

# Combinatorics on words for Markoff numbers

## One World Combinatorics on Words Seminar

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and Christophe Reutenauer, July 2020



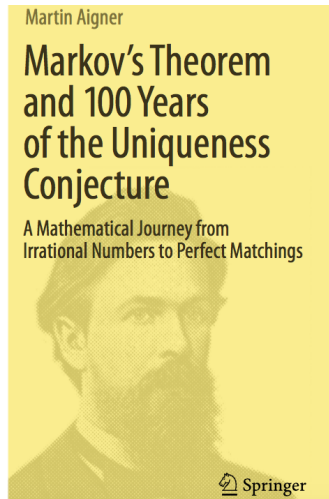
**LAMA**

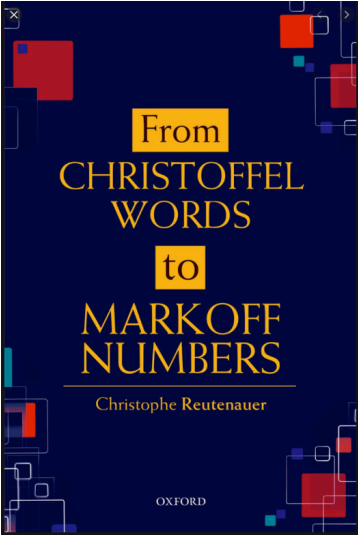
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UMR 5127

# More than 100 years





From  
CHRISTOFFEL  
WORDS  
to  
MARKOFF  
NUMBERS

Christophe Reutenauer

OXFORD

# Outline

- 1 Approximations of real numbers
- 2 Diophantine equation
- 3 Tree of Markoff triples
- 4 Combinatorics on words

# Dirichlet's Theorem

## Theorem [Dirichlet, 1855]

Let  $\alpha \in \mathbb{R}$  and  $N \in \mathbb{N}$ . There exists  $\frac{p}{q} \in \mathbb{Q}$  with  $q \leq N$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{qN} \quad \left( \leq \frac{1}{q^2} \right).$$

## Corollary

If  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ , then there are infinitely many  $\frac{p}{q} \in \mathbb{Q}$  with

$$\left| \alpha - \frac{p}{q} \right| \leq \frac{1}{q^2}.$$

# Number Theory

A complex number  $\alpha$  is called *algebraic of degree  $d$*  if  $\alpha$  is the root of a polynomial with integer coefficients of degree  $d$ , and  $d$  is the smallest degree for which such a polynomial exists.

$\sqrt{2}$  is algebraic of degree 2 because it is solution of  $x^2 - 2 = 0$ .

## Number Theory

A complex number  $\alpha$  is called *algebraic of degree  $d$*  if  $\alpha$  is the root of a polynomial with integer coefficients of degree  $d$ , and  $d$  is the smallest degree for which such a polynomial exists.

$\sqrt{2}$  is algebraic of degree 2 because it is solution of  $x^2 = 2$ .

If there is no such polynomial for any degree, then  $\alpha$  is called *transcendental*.

For example,

$$\sum_{n=1}^{\infty} \frac{1}{10^{n!}} = 0.1100010000000000000000001000000 \dots, e \text{ and } \pi$$

are transcendental.

# Liouville's Theorem

## Theorem [Liouville, 1836]

Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  be algebraic of degree  $d$ . Then there is a constant  $C > 0$  such that

$$\frac{C}{q^d} < \left| \alpha - \frac{p}{q} \right| \text{ for all } \frac{p}{q} \in \mathbb{Q}.$$

This implies a nice link between rational approximation and degree of the algebraic number.



# Roth's Theorem

## Theorem [Liouville, 1836]

Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  be algebraic of degree  $d$ . Then there is a constant  $C > 0$  such that

$$\frac{C}{q^d} < \left| \alpha - \frac{p}{q} \right| \text{ for all } \frac{p}{q} \in \mathbb{Q}.$$

On the opposite

## Theorem [Roth, 1955]

Let  $\alpha$  be a real number and  $\epsilon > 0$ . If there are infinitely many  $\frac{p}{q} \in \mathbb{Q}$  with

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{q^{2+\epsilon}}$$

then  $\alpha$  is transcendental.

## Lagrange spectrum

In general we cannot improve the exponent 2...

Consider all real numbers  $L > 0$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{Lq^2}$$

holds for infinitely many  $\frac{p}{q} \in \mathbb{Q}$ .

### Definition

Given  $\alpha \in \mathbb{R}$ ,  $L(\alpha) = \sup L$  over all  $L$  that satisfy  $\left| \alpha - \frac{p}{q} \right| < \frac{1}{Lq^2}$  is called the *Lagrange number* of  $\alpha$ .

$\mathcal{L} = \{L(\alpha) : \alpha \in \mathbb{R} \setminus \mathbb{Q}\}$  is the Lagrange spectrum.

The Lagrange spectrum below 3 is

$$\mathcal{L}_{<3} = \{L(\alpha) \in \mathcal{L} : L(\alpha) < 3\}.$$

# First value of the Lagrange spectrum

## Theorem [Hurwitz, 1891]

Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ . Then there are infinitely many rational numbers  $\frac{p}{q}$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{\sqrt{5}q^2}.$$

And in fact  $L\left(\frac{1+\sqrt{5}}{2}\right) = \sqrt{5}$ .

Thus  $\frac{1+\sqrt{5}}{2}$  is the most badly approximated quadratic number and  $\sqrt{5}$  is the first element of the Lagrange spectrum ...

## First value of the Lagrange spectrum

In fact  $L\left(\frac{1+\sqrt{5}}{2}\right) = \sqrt{5}$ .

Thus  $\frac{1+\sqrt{5}}{2}$  is the most badly approximated quadratic number and  $\sqrt{5}$  is the first element of the Lagrange spectrum ...

In fact all cousins of the golden ratio are badly approximated : two real numbers  $\alpha$  and  $\beta$  are cousin if  $\beta = \frac{a\alpha+b}{c\alpha+d}$  where  $a, b, c, d \in \mathbb{Z}$  and  $ad - bc = \pm 1$ .

Using homography transformations we find the same Lagrange number : if two real numbers  $\alpha$  and  $\beta$  are cousin then  $L(\alpha) = L(\beta)$ .

## Second value of the Lagrange spectrum

If we exclude  $\frac{1+\sqrt{5}}{2}$  and all its cousins, then we find the second Lagrange number :

### Theorem [Markoff, 1880]

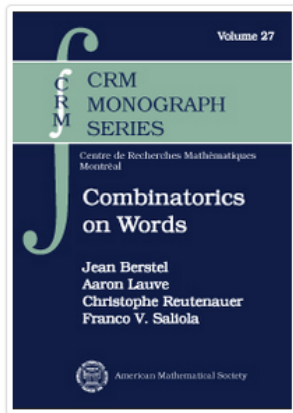
Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  which is neither  $\frac{1+\sqrt{5}}{2}$  nor a cousin of  $\frac{1+\sqrt{5}}{2}$ . Then there are infinitely many rational numbers  $\frac{p}{q}$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{\sqrt{8}q^2}.$$

Thus  $\sqrt{8}$  is the second value of the Lagrange spectrum and in fact  $L(1 + \sqrt{2}) = \sqrt{8}$  is the second badly approximated quadratic number.

We could find the third value of the Lagrange spectrum which is  $\frac{\sqrt{221}}{5}$  and so on ...

# Link with continued fractions



# Link with continued fractions

Suppose  $\alpha \in \mathbb{R}$ . The (simple) **continued fraction representation** of  $\alpha$  is the sequence of integers  $a_0, a_1, a_2, \dots$  constructed recursively as follows: let

$$\beta_0 = \alpha \quad \text{and} \quad a_0 = \lfloor \beta_0 \rfloor;$$

if  $i > 0$  and  $a_{i-1} \neq \beta_{i-1}$ , then let

$$\beta_i = \frac{1}{\beta_{i-1} - a_{i-1}} \quad \text{and} \quad a_i = \lfloor \beta_i \rfloor;$$

if  $i > 0$  and  $a_{i-1} = \beta_{i-1}$ , then the recursion terminates. The continued fraction representation of  $\alpha$  is commonly denoted by

$$\alpha = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\ddots}}}}$$

or more compactly by  $\alpha = [a_0, a_1, a_2, a_3, \dots]$ .

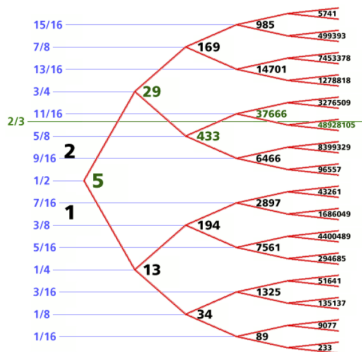
$$\frac{1+\sqrt{5}}{2} = [1, 1, \dots, 1, \dots]; \quad 1 + \sqrt{2} = [2, 2, \dots, 2, \dots]; \\ [1, 2, \dots, 1, 2, \dots].$$

## Theorem [Markoff, 1880]

Let  $\mathcal{M} =$

$\{1, 2, 5, 13, 29, 34, 89, 169, 194, 233, 433, 610, 985, 1325, \dots\}$   
 be the sequence of Markoff numbers. The Lagrange spectrum

below 3 is given by  $\mathcal{L}_{<3} = \left\{ \frac{\sqrt{9m^2-4}}{m} : m \in \mathcal{M} \right\}$ .





# Markoff's Theorem

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be the sequence of Markoff numbers. The Lagrange spectrum below 3 is given by

$$\mathcal{L}_{<3} = \left\{ \frac{\sqrt{9m^2 - 4}}{m} : m \in \mathcal{M} \right\}.$$

And to define the sequence of Markoff numbers, we consider the solutions of the following Diophantine equation :

$$x^2 + y^2 + z^2 = 3xyz \text{ with } x, y, z \in \mathbb{N}^*.$$

# First triples

The triplets  $(1,1,1)$  and  $(1,1,2)$  are solutions of the equation

$$x^2 + y^2 + z^2 = 3xyz \text{ with } x, y, z \in \mathbb{N}^*.$$

The triple  $(1,2,5)$  is a solution with non repeated values.

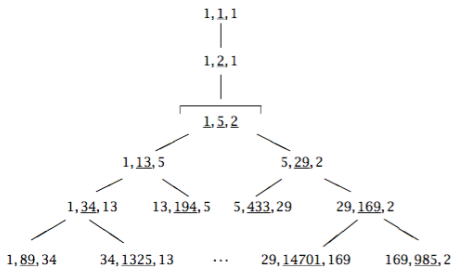
In fact, if we note the maximal value in the middle of the triples, we find a recursive rule in order to generate new solutions :

$$\begin{array}{ccc}
 & \ell, \underline{m}, r & \\
 & \swarrow \quad \searrow & \\
 \ell, \underline{3\ell m - r}, m & & m, \underline{3mr - \ell}, r
 \end{array}$$

# Markoff tree

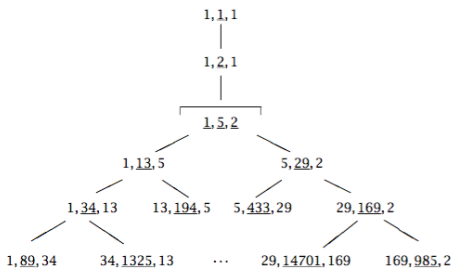
$$\begin{array}{c}
 \ell, m, r \\
 \swarrow \quad \searrow \\
 \ell, \underline{3\ell m - r}, m \quad m, \underline{3mr - \ell}, r
 \end{array}$$

The recursive rule gives birth to an infinite tree



## Frobenius' conjecture

All the Markov numbers appear in the Markoff tree.



The **Frobenius' conjecture** asserts that :

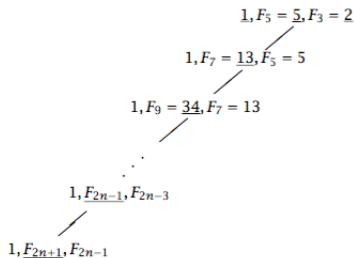
**Conjecture [Frobenius, 1913]**

Each Markoff number is the **maximum of a unique Markoff triple** in the Markoff tree.

## Left branch of the Markoff tree

Consider the Fibonacci numbers  $F_n$ , with  $F_0 = 0$ ,  $F_1 = 1$ , and the recurrence  $F_{n+1} = F_n + F_{n-1}$  ( $n \geq 1$ ).

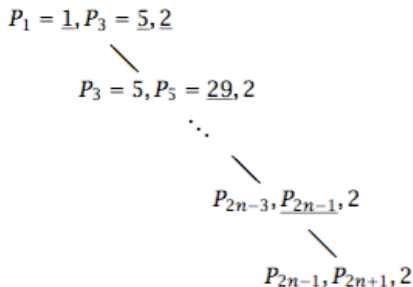
The first values are  $0, 1, 1, 2, 3, 5, 8, 13, 21, 34, \dots$  and  $F_{2n+1}$  with  $n \geq 1$  are in the left branch of the Markoff tree :



## Right branch of the Markoff tree

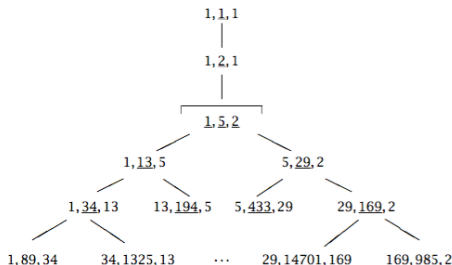
Consider the Pell numbers  $P_n$ , with  $P_0 = 0, P_1 = 1$ , and the recurrence  $P_{n+1} = 2P_n + P_{n-1}$  ( $n \geq 1$ ).

The first values are  $0, 1, 2, 5, 12, 29, 70, 169, 408, 985 \dots$  and  $P_{2n+1}$  with  $n \geq 1$  are in the right branch of the Markoff tree :



## Fibonacci and Pell numbers

The **Frobenius' conjecture** asserts that each Markoff number is the **maximum of a unique Markoff triple** in the Markoff tree !



It is not easy to prove that the sets  $\{F_{2n+1} : n \geq 1\}$  and  $\{P_{2n+1} : n \geq 1\}$  are distinct except for the value 5 (see the paper in 2009 of Y. Bugeaud, C. Reutenauer, S. Siksek).

# Palindrome

## Definition

A *word*  $w$  is a finite sequence of letters  $w_1 w_2 \cdots w_n$  on a finite alphabet  $\Sigma$ .

The set of all finite words on the alphabet  $\Sigma$  is denoted by  $\Sigma^*$ .

$w = aabb$  is a word on the alphabet  $\Sigma = \{a, b\}$ .

## Definitions

A *prefix* of a word  $w = w_1 w_2 \cdots w_n$  with  $w_i \in \Sigma$  is a word  $p = w_1 w_2 \cdots w_j$  where  $j \leq n$ .

The *reversal* of a word  $w = w_1 w_2 \cdots w_n$  with  $w_i \in \Sigma$  is the word  $\tilde{w} = w_n w_{n-1} \cdots w_1$ .

A word  $w$  is a *palindrome* if it is equal to its reversal (that is  $w = \tilde{w}$ ).

$w = aba$  is a palindrome on the alphabet  $\Sigma = \{a, b\}$ .

$p = ab$  is a prefix of  $w$ .



# Palindromic closure

## Definition [de Luca, 1997]

The palindromic closure of a word  $x$  on the alphabet  $\Sigma = \{a, b\}$  is the shortest palindrome having  $x$  as a prefix, it exists and is unique, it is denoted by  $x^{(+)}$ .

For example, if  $x = a$ , then  $x^{(+)} = a$  because  $x$  is a palindrome. If  $x = ab$ , then  $x^{(+)} = aba$ .

It is known that  $x^{(+)} = x'y\tilde{x}'$  where  $x = x'y$  with  $y$  the longest palindrome suffix of  $x$ . A *suffix* of a word  $w = w_1 w_2 \cdots w_n$  with  $w_i \in \Sigma$  is a word  $s = w_j w_{j+1} \cdots w_n$  where  $j \geq 1$ .

# Iterated palindromic closure

## Definition [de Luca, 1997]

We consider the iterated palindromic closure, denoted by  $\text{Pal}(d)$ , is defined recursively by

$\text{Pal}(d_1 d_2 \cdots d_n) = (\text{Pal}(d_1 d_2 \cdots d_{n-1}) d_n)^{(+)}$ ,  $d_i \in \Sigma$ , with the initial condition  $\text{Pal}(\epsilon) = \epsilon$ , where  $\epsilon$  denotes the empty word. The word  $d$  is called the *directive word* of  $\text{Pal}(d)$ .

For example  $\text{Pal}(aba) = abaaba$ ; indeed

$\text{Pal}(a) = a$  and

$\text{Pal}(ab) = (\text{Pal}(a)b)^{(+)} = (ab)^{(+)} = aba$  and then

$\text{Pal}(aba) = (\text{Pal}(ab)a)^{(+)} = (abaa)^{(+)} = abaaba = \underline{a} \underline{ba} \underline{aba}$ .

## Thue-Morse substitution and the main theorem

We also use the *Thue-Morse substitution*, denoted by  $\theta = (ab, ba)$  that maps the letter  $a$  to  $ab$  and the letter  $b$  to  $ba$ .

### Theorem[C. Reutenauer, LV, 2017]

For each word  $v \in \{a, b\}^*$ , the number  $|\text{Pal} \circ \theta \circ \text{Pal}(av)| + 2$  is a Markoff number  $\neq 1, 2$ .

The mapping defined in this way from  $\{a, b\}^*$  into the set of Markoff numbers different from 1, 2 is surjective. Injectivity of this mapping is equivalent to the Frobenius' conjecture.

The Markoff number  $m = 5$  is given by  $v = \epsilon$ ; indeed  $\text{Pal}(a) = a$ , thus  $\theta \circ \text{Pal}(a) = ab$  and then  $\text{Pal} \circ \theta \circ \text{Pal}(a) = \text{Pal}(ab) = aba$ , which is of length 3.

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The Markoff number  $m = 13$  is given by  $v = a$ ; indeed  $\text{Pal}(aa) = aa$ , thus  $\theta \circ \text{Pal}(aa) = abab$  and then  $\text{Pal} \circ \theta \circ \text{Pal}(aa) = \text{Pal}(abab) = \underline{a} \underline{ba} \underline{aba} \underline{baaba}$ , which is of length 11.

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The Markoff number  $m = 29$  is given by  $v = b$  indeed  $\text{Pal}(ab) = aba$ , thus  $\theta \circ \text{Pal}(aba) = abbaab$  and then  $\text{Pal} \circ \theta \circ \text{Pal}(ab) = \text{Pal}(abbaab) = \underline{a} \underline{ba} \underline{ba} \underline{ababa} \underline{ababa} \underline{baababaababa}$ , which is of length 27.

## Christoffel words

The Markoff number  $m = 13$  is given by  $v = a$  and

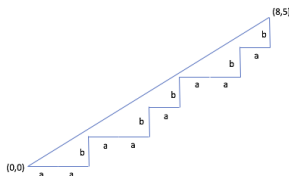
$\text{Pal} \circ \theta \circ \text{Pal}(aa) = \underline{a} \underline{ba} \underline{aba} \underline{baaba}$ .

The word  $a \text{Pal} \circ \theta \circ \text{Pal}(av)b$  is a Christoffel word :

### Definition

A Christoffel word coding of a discrete segment from  $(0, 0)$  to  $(i, j)$  where  $i$  and  $j$  are co-prime (i.e.  $\text{gcd}(i, j) = 1$ ).

For  $v = a$  we compute  $C = a \text{abaababaaba} b$



## More on Christoffel words

**Definition 1.2.** Suppose  $a \perp b$  and  $(a, b) \neq (0, 1)$ . The **label** of a point  $(i, j)$  on the (lower) Christoffel path of slope  $\frac{b}{a}$  is the number  $\frac{ib - ja}{a}$ . That is, the label of  $(i, j)$  is the vertical distance from the point  $(i, j)$  to the line segment from  $(0, 0)$  to  $(a, b)$ .

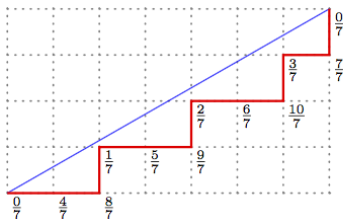


FIGURE 1.3: The labels of the points on the Christoffel path of slope  $\frac{4}{7}$ .

**Definition 1.4.** Suppose  $a \perp b$ . Consider the Cayley graph of  $\mathbb{Z}/(a+b)\mathbb{Z}$  with generator  $b$ . It is a cycle, with vertices  $0, b, 2b, 3b, \dots, a, 0 \pmod{(a+b)}$ . Starting from zero and proceeding in the order listed above,

- (i) label those edges  $(s, t)$  satisfying  $s < t$  by  $x$ ;
- (ii) label those edges  $(s, t)$  satisfying  $s > t$  by  $y$ ;
- (iii) read edge-labels in the prescribed order, i.e.,  $0 \xrightarrow{x} b \xrightarrow{*} \dots \xrightarrow{*} a \xrightarrow{y} 0$ .

The **lower Christoffel word** of slope  $\frac{b}{a}$  is the word  $x \cdots y$  formed above.

*Example.* Pick  $a = 7$  and  $b = 4$ . Figure 1.4 shows the Cayley graph of  $\mathbb{Z}/11\mathbb{Z}$  with generator 4 and edges  $u \rightarrow v$  labelled  $x$  or  $y$  according to whether or not  $u < v$ . Reading the edges clockwise from 0 yields the word  $xyxxyxyxyxy$ ,

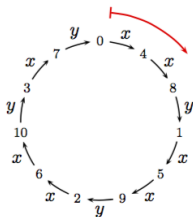


FIGURE 1.4: The Cayley graph of  $\mathbb{Z}/(7+4)\mathbb{Z}$  with generator 4 and the associated Christoffel word.



*Example.* From the previous example, the continued fraction representation of  $\frac{10}{23}$  is given by the sequence  $[0, 2, 3, 3]$ . Therefore, the continuants of  $\frac{10}{23}$  are

$$0 + \frac{1}{2} = \frac{1}{2}, \quad 0 + \frac{1}{2 + \frac{1}{3}} = \frac{3}{7}, \quad 0 + \frac{1}{2 + \frac{1}{3 + \frac{1}{3}}} = \frac{10}{23}. \quad (7.1)$$

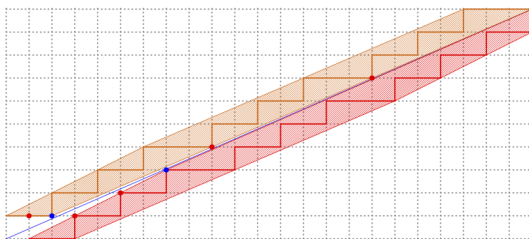


FIGURE 7.1: The convex hulls of the lower Christoffel path from  $(0, 1)$  to  $(23, 10)$  and the upper Christoffel path from  $(1, 0)$  to  $(23, 10)$ .

# Computation of Markoff numbers

## Theorem[C. Reutenauer, LV, 2017]

Consider  $d = \theta \circ \text{Pal}(av)$  with  $v \in \{a, b\}^*$ . We write  $d = d_1 d_2 \cdots d_{|d|}$  with  $d_i \in \{a, b\}$ . We let  $L_0 = L_1 = 1$  and  $L_2 = L_1 + L_0 = 2$ . For  $j \geq 3$  we define recursively the  $L_j$  :

$$L_j = \begin{cases} L_{j-1} & \text{if } d_j = d_{j-1}, \\ L_{j-1} + L_{j-2} & \text{if } d_j \neq d_{j-1} \neq d_{j-2}, \\ L_{j-1} + L_{j-2} + L_{j-3} & \text{if } d_j \neq d_{j-1} = d_{j-2}. \end{cases}$$

Then the Markoff number  $m_v$  is given by

$$m_v = 1 + \sum_{j=0}^{|d|} L_j.$$

# Computation of Markoff numbers

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$d_\epsilon = \theta(a) = ab$  and then  $d_\epsilon = \begin{matrix} a & b \\ 1 & 1 & 2 \end{matrix}$  and thus

$$m_\epsilon = 1 + (1 + 1 + 2) = 5.$$

# Computation of Markoff numbers

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$d_a = \theta(aa) = abab$  and then  $d_a = \begin{matrix} a & b & a & b \\ 1 & 1 & 2 & 3 & 5 \end{matrix}$

and thus  $m_a = 1 + (1 + 1 + 2 + 3 + 5) = 13.$

## Computation of Markoff numbers

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$$\text{and thus } m_a = 1 + (1 + 1 + 2 + 3 + 5) = 13.$$

$$d_{aa} = \theta(aaa) = ababab \text{ and then}$$

$$d_{aa} = \begin{matrix} a & b & a & b & a & b \\ 1 & 1 & 2 & 3 & 5 & 8 & 13 \end{matrix} \text{ and thus}$$

$$m_{aa} = 1 + (1 + 1 + 2 + 3 + 5 + 8 + 13) = 34.$$

The directive words  $d_{aa\dots a}$  compute the Markoff numbers that are Fibonacci numbers...

# Computation of Markoff numbers

Consider  $d_v = \theta \circ \text{Pal}(av)$  with  $v \in \{a, b\}^*$ .

$$L_j = \begin{cases} L_{j-1} & \text{if } d_j = d_{j-1}, \\ L_{j-1} + L_{j-2} & \text{if } d_j \neq d_{j-1} \neq d_{j-2}, \\ L_{j-1} + L_{j-2} + L_{j-3} & \text{if } d_j \neq d_{j-1} = d_{j-2}. \end{cases}$$

$d_b = \theta(aba) = abbaab$  and then

$$\begin{array}{cccccc} d_b = & a & b & b & a & a & b \\ & 1 & 1 & 2 & 2 & 5 & 5 & 12 \end{array} \quad \text{and thus}$$

$$m_{aa} = 1 + (1 + 1 + 2 + 2 + 5 + 5 + 12) = 29.$$

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The directive words  $d_{b^k}$  compute the Markoff numbers that are Pell numbers...

$d_{ab} = \theta(aabaa) = ababbaabab$  and then

$$\begin{array}{cccccccccc} d_{ab} = & a & b & a & b & b & a & a & b & a & b \\ & 1 & 1 & 2 & 3 & 5 & 5 & 13 & 13 & 31 & 44 & 75 \end{array}$$

$$m_{ab} = 194.$$

# Conclusion

We are able to compute all the Markoff numbers by iterated palindromic closures.

How to prove that the words  $\text{Pal} \circ \theta \circ \text{Pal}(av)$  have two by two distinct lengths ?

What are the properties of the  $L_i$ 's ?

How to use discrete geometry and Christoffel words in order to prove the Frobenius' conjecture ?