

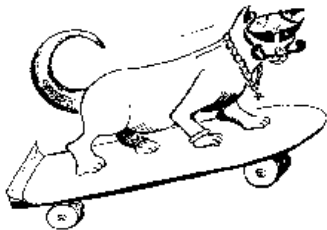
On morphisms preserving palindromic richness

Francesco DOLCE



joint work with Edita PELANTOVÁ

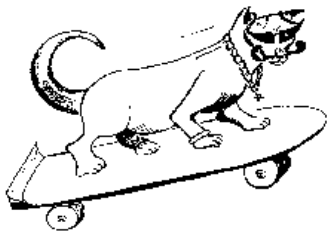
Day of Short Talks on Combinatorics on Words
One World CoW Seminar
March 22nd, 2021



GOFLOWOLFOG

GOFLOWOLFOG, the spirit who eases traffic blockages so that you can continue your journey. GOFLOWOLFOG typically appears in the form of a shades-wearing cat riding a skateboard. He brings with him a wind, and a noise which sounds like "Neeewww." [...] If nothing else, this act of summoning may take your mind off sources of stress.

[Phil Hine, *Aspects of Evocation* (1995)]



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Naming the Spirit - several suggestions were made for an appropriate name, and Go FLOW was chosen. This name was made suitably 'barbaric' by mirroring it, so becoming GoFLOWOLFoG.

[Phil Hine, *Aspects of Evocation* (1995)]

Palindromes

A *palindrome* is a finite word w such that $w = \tilde{w}$.

Theorem [Droubay, Justin, Pirillo (2001)]

A word of length n has at most $n + 1$ palindrome factors

A word with maximal number of palindromes is *rich*.

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- $\mathcal{P}\{\text{pizza}\} = \{\varepsilon, \text{a}, \text{i}, \text{p}, \text{z}, \text{zz}\}$
 $\#\mathcal{P}\{w\} = 6 = |w| + 1$ ✓



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- $\mathcal{P}\{\text{ananas}\} = \{\varepsilon, \text{a}, \text{n}, \text{s}, \text{ana}, \text{nan}, \text{anana}\}$
 $\#\mathcal{P}\{w\} = 7 = |w| + 1$ ✓



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- $\mathcal{P}\{\text{pizza}\} = \{\varepsilon, a, i, p, z, zz\}$

$$\#\mathcal{P}\{w\} = 6 = |w| + 1 \quad \checkmark$$

- $\mathcal{P}\{\text{ananas}\} = \{\varepsilon, a, n, s, ana, nan, anana\}$

$$\#\mathcal{P}\{w\} = 7 = |w| + 1 \quad \checkmark$$

- $\mathcal{P}\{\text{hawaiianpizza}\} = \{\varepsilon, a, h, i, n, p, w, z, ii, zz, awa, aia\}$

$$\#\mathcal{P}\{w\} = 12 < 13 = |w| + 1 \quad \times$$



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- Arnoux-Rauzy words

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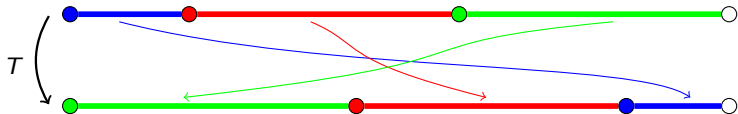
$$\mathbf{f} = \varphi^\omega(\mathbf{a}) = \mathbf{abaababaabaababaababaababaababaababaababaab} \cdots$$

$$\text{where } \varphi = \begin{cases} \mathbf{a} \rightarrow \mathbf{ab} \\ \mathbf{b} \rightarrow \mathbf{a} \end{cases}$$

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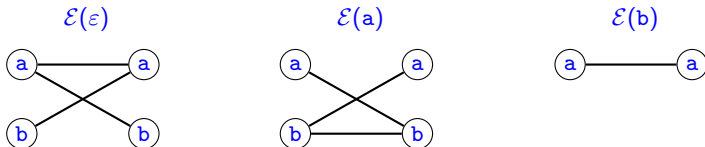
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- (Recurrent) dendric sets closed under reversal

[Berthé, De Felice, Delecroix, D., Leroy, Perrin, Reutenauer, Rindone (2016)]



$$\mathcal{L}(\mathbf{f}) = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$$

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[Berthé, De Felice, Delecroix, D., Leroy, Perrin, Reutenauer, Rindone (2016)]
- Complementary-symmetric Rote words
[Blondin-Massé, Brlek, Labbé, Vuillon (2011)]
- Languages closed under reversal with factor complexity $\mathcal{C}(n) = 2n + 1$
[Balková, Pelantová, Starosta (2009)]
- etc.

How many (finite) rich words?

Theorem [Guo, Shallit, Shur (2016), Rukavicka (2017)]

Let $\mathcal{R}_q(n)$ denote the number of rich words for of length $n \in \mathbb{N}$ over an alphabet of cardinality q .

- $\mathcal{R}_q(n)$ is superpolynomial;
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$$\varphi(\text{aaabbbba}) = \text{abababaaaab}$$

$$\text{where } \varphi : \begin{cases} a \rightarrow ab \\ b \rightarrow a \end{cases}$$

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Can we construct new rich words from known ones?

Theorem [Vesti (2014)]

Let u be a finite rich word.

There exist an infinite **aperiodic** rich word and an infinite **periodic** rich words such that u is a factor of both of them.

Morphisms

A *morphism* is a map $\varphi : \mathcal{A}^* \rightarrow \mathcal{A}^*$ such that $\varphi(uv) = \varphi(u)\varphi(v)$ for all $u, v \in \mathcal{A}^*$.

A *substitution* is a morphism φ such that there exists $a \in \mathcal{A}$ with $\varphi(a) = av$ and $\lim_{n \rightarrow \infty} |\varphi^n(a)| = \infty$. The word $\varphi^\omega(a)$ is a *fixed point* of the substitution.

A morphism φ is *primitive* if there exists $k \in \mathbb{N}$ such that b is a factor of $\varphi^k(a)$ for all $a, b \in \mathcal{A}$.

Example (Fibonacci)

$$\varphi : \begin{cases} a \rightarrow ab \\ b \rightarrow a \end{cases}, \quad \varphi^2 : \begin{cases} a \rightarrow aba \\ b \rightarrow ab \end{cases}$$

$$\mathbf{f} = \varphi^\omega(a) = \text{abaababaabaabaabaabaabaabaabaabaabaabaabaabaab} \dots$$

Conjugated morphisms

A morphism φ is *right conjugate* to a morphism ψ if there exists a word $x \in \mathcal{A}^*$, called the *conjugate word*, such that $\psi(a)x = x\varphi(a)$ for each $a \in \mathcal{A}$.

The *rightmost conjugate* to φ is (when it exists) a right conjugate to φ that is the only right conjugate to itself. We denote it by φ_R .

Example ($x = a$)

$$\varphi : \begin{cases} a \rightarrow bba \\ b \rightarrow a \end{cases}, \quad \varphi_R : \begin{cases} a \rightarrow abb \\ b \rightarrow a \end{cases}$$

If φ has no rightmost conjugate, then it is called *cyclic* and there exists $z \in \mathcal{A}$ such that $\varphi(a) \in z^*$ for each $a \in \mathcal{A}$. A fixed point of a cyclic morphism is periodic.

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If φ and ψ are conjugates and \mathbf{u} is a recurrent infinite word one has $\mathcal{L}(\varphi(\mathbf{u})) = \mathcal{L}(\psi(\mathbf{u}))$. Since the palindromic richness can be seen as a property of a language (and not of an infinite word itself) it is enough to examine richness for one of these languages.

Arnoux-Rauzy morphisms

The *Arnoux-Rauzy* monoid is generated by *elementary Arnoux-Rauzy* morphisms:

- permutations over \mathcal{A} and
- for each $a \in \mathcal{A}$

$$\psi_a : \begin{cases} a \rightarrow a \\ b \rightarrow ab \end{cases} \text{ if } b \neq a \quad \text{and} \quad \tilde{\psi}_a : \begin{cases} a \rightarrow a \\ b \rightarrow ba \end{cases} \text{ if } b \neq a$$

Example (Fibonacci and Tribonacci)

$$\varphi = \psi_a \circ \pi_{(ab)} : \begin{cases} a \rightarrow ab \\ b \rightarrow a \end{cases}, \quad \tau = \psi_a \circ \pi_{(abc)} : \begin{cases} a \rightarrow ab \\ b \rightarrow ac \\ c \rightarrow a \end{cases}$$

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A morphism over the binary alphabet $\{a, b\}$ is called *standard Sturmian* if it belongs to the monoid generated by $\pi_{(ab)}$ and φ .

Arnoux-Rauzy morphisms

Theorem [Glen, Justin, Widmer, Zamboni (2009)]

Let $\psi : \mathcal{A}^* \rightarrow \mathcal{A}^*$ be an Arnoux-Rauzy morphism and $\mathbf{u} \in \mathcal{A}^{\mathbb{N}}$ s.t. $\mathcal{L}(\mathbf{u})$ is closed under reversal. Then

$$\mathbf{u} \text{ is rich} \iff \psi(\mathbf{u}) \text{ is rich.}$$

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Example (Fibonacci after Tribonacci)

The infinite word

$$\tau(\mathbf{f}) = \text{abacababacabacababacababacababacababacababacabac} \cdots$$

is rich.

Class P_{ret}

A morphism $\psi : \mathcal{A}^* \rightarrow \mathcal{A}^*$ belongs to *Class P_{ret}* , if there exists a palindrome w , called *marker*, such that:

- $\psi(a)w$ is a palindromic complete return word to w for each $a \in \mathcal{A}$,
(i.e., $\psi(a)w = w\widetilde{\psi(a)}$ and $|\psi(a)w|_w = 2$)
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Example ($l, p, q \in \mathbb{N}$, $l > 0$, $p \neq q$)

$$\psi_1 : \begin{cases} a \rightarrow aba \\ b \rightarrow abaab \end{cases}$$

$$w_1 = abaaba$$

$$\psi_2 : \begin{cases} a \rightarrow bba \\ b \rightarrow b \end{cases}$$

$$w_2 = bb$$

$$\psi_3 : \begin{cases} a \rightarrow a^l b^p \\ b \rightarrow a^l b^q \end{cases}$$

$$w_3 = a^l$$

$$\psi_1(a)w_1 = \boxed{abaaba}aba \quad , \quad \psi_1(b)w_1 = abaab\boxed{abaaba}$$

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◇ Every permutation on \mathcal{A} is in Class P_{ret} with marker ε ,

$$\pi_{(abc)} : \begin{cases} a \rightarrow b \\ b \rightarrow c \\ c \rightarrow a \end{cases}$$

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- ◇ Every permutation on \mathcal{A} is in Class P_{ret} with marker ε ,
- ◇ For each $a \in \mathcal{A}$ the elementary A-R morphism ψ_a is in Class P_{ret} with marker a ,

$$\pi_{(abc)} : \begin{cases} a \rightarrow b \\ b \rightarrow c \\ c \rightarrow a \end{cases}, \quad \psi_a : \begin{cases} a \rightarrow a \\ b \rightarrow ab \\ c \rightarrow ac \end{cases}$$

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- ◇ Every permutation on \mathcal{A} is in Class P_{ret} with marker ε ,
 - ◇ For each $a \in \mathcal{A}$ the elementary A-R morphism ψ_a is in Class P_{ret} with marker a ,
 - ◇ For each $a \in \mathcal{A}$ the elementary A-R morphism $\widetilde{\psi}_a$ is **not** in Class P_{ret} , **but** it is conjugated to $\psi_a \in P_{ret}$ with conjugate word a .

$$\pi_{(abc)} : \begin{cases} a \rightarrow b \\ b \rightarrow c \\ c \rightarrow a \end{cases}, \quad \psi_a : \begin{cases} a \rightarrow a \\ b \rightarrow ab \\ c \rightarrow ac \end{cases}, \quad \widetilde{\psi}_a : \begin{cases} a \rightarrow a \\ b \rightarrow ba \\ c \rightarrow ca \end{cases}$$

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- ◇ Every permutation on \mathcal{A} is in Class P_{ret} with marker ε ,
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Theorem [D., Pelantová (2021)]

Every Arnoux-Rauzy morphism is conjugate to a morphism in Class P_{ret} .

Class P_{ret}

Theorem [Balková, Pelantová, Starosta (2011)]

Let ψ_1, ψ_2 be in Class P_{ret} with marker w_1, w_2 respectively.
Then $\psi_2 \circ \psi_1$ is in Class P_{ret} with marker $\psi_2(w_1)w_2$.

Example

$$\psi_1 : \begin{cases} a \rightarrow a \\ b \rightarrow ab \end{cases}$$

$$w_1 = a$$

$$\psi_2 : \begin{cases} a \rightarrow bba \\ b \rightarrow b \end{cases}$$

$$w_2 = bb$$

$$\psi_2 \circ \psi_1 : \begin{cases} a \rightarrow bba \\ b \rightarrow bbab \end{cases}$$

$$\psi_2(w_1)w_2 = bba\ bb$$

$$(\psi_2 \circ \psi_1)(a)bbabb = \boxed{bbabbabb} \quad , \quad (\psi_2 \circ \psi_1)(b)bbabb = \boxed{bbabbbabb}$$

Class P_{ret} and Class P

A morphism $\psi : \mathcal{A}^* \rightarrow \mathcal{A}^*$ belongs to *Class P* if there exists a palindrome $p \in \mathcal{A}^*$ such that $\psi(a) = pq_a$ for each $a \in \mathcal{A}$, where q_a is a palindrome.

Any fixed point of a substitution from *Class P* contains infinitely many palindromes.

Proposition

Any morphism from *Class P_{ret}* is conjugate to an acyclic morphism from *Class P* .

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Proposition

Any morphism from *Class P_{ret}* is conjugate to an acyclic morphism from *Class P* .

Example (The converse is not true)

$$\psi : \begin{cases} a \rightarrow ababab \\ b \rightarrow ababaab \end{cases}, \quad \psi_R : \begin{cases} a \rightarrow ababab \\ b \rightarrow abababa \end{cases}$$

in *Class P* , acyclic

$$w_R = abababa$$

$$|\psi_R(a)w_R|_{w_R} = |abababababa|_{w_R} = 4$$

Marked morphisms

An acyclic morphism ψ is

- *right marked* if the mapping $a \rightarrow \text{Lst}(\psi_R(a))$ is injective on \mathcal{A} .
- *left marked* if the mapping $a \rightarrow \text{Fst}(\psi_L(a))$ is injective on \mathcal{A} .

A morphism is *marked* if it is both right marked and left marked.

A marked morphism is *well-marked* if the mappings above are the identity on \mathcal{A} .

Example (Tribonacci)

$$\tau = \tau_R : \begin{cases} a \rightarrow \underline{a}\underline{b} \\ b \rightarrow \underline{a}\underline{c} \\ c \rightarrow \underline{a} \end{cases}, \quad \tau_L : \begin{cases} a \rightarrow \underline{b}a \\ b \rightarrow \underline{c}a \\ c \rightarrow \underline{a} \end{cases}$$

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A marked morphism is *well-marked* if the mappings above are the identity on \mathcal{A} .

Proposition [D., Pelantová (2021)]

Let ψ be in Class P_{ret} and right marked. Then ψ is left marked too. Moreover there exists $k \geq 1$ such that ψ^k is well-marked.

Example (Tribonacci)

$$\tau^3 = \tau_R^3 : \begin{cases} a \rightarrow \text{abacaba} \\ b \rightarrow \text{abacab} \\ c \rightarrow \text{abac} \end{cases}, \quad \tau_L^3 : \begin{cases} a \rightarrow \underline{\text{abacaba}} \\ b \rightarrow \underline{\text{bacaba}} \\ c \rightarrow \underline{\text{caba}} \end{cases}$$

Marked morphisms

Theorem [D., Pelantová (2021)]

Let ψ be a marked morphism in Class P_{ret} and $\mathbf{u} \in \mathcal{A}^{\mathbb{N}}$ s.t. $\mathcal{L}(\mathbf{u})$ is closed under reversal. If $\psi(\mathbf{u})$ is rich, then \mathbf{u} is rich.

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And the other direction?

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Theorem [D., Pelantová (2021)]

Let $\psi : \{a, b\}^* \rightarrow \{a, b\}^*$ be a morphism conjugated to a morphism in Class P_{ret} , and let w be the marker associated to ψ_R . Assume that $\psi_R(\mathbf{ab})w$ is rich. Then

- If $\mathbf{u} \in \{a, b\}^{\mathbb{N}}$ is recurrent and rich, then $\psi(\mathbf{u})$ is rich.
- If $\mathbf{u} \in \{a, b\}^{\mathbb{N}}$ is a fixed point of ψ , and ψ is primitive, then $\psi(\mathbf{u}) = \mathbf{u}$ is rich.

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- If $\mathbf{u} \in \{a, b\}^{\mathbb{N}}$ is a fixed point of ψ , and ψ is primitive, then $\psi(\mathbf{u}) = \mathbf{u}$ is rich.

Corollary

Let $\psi : \{a, b\}^* \rightarrow \{a, b\}^*$ be a morphism from Class P_{ret} and $\mathbf{u} \in \{a, b\}^{\mathbb{N}}$ a non-unary recurrent word. If $\psi(\mathbf{u})$ is rich, then $\psi(\mathbf{v})$ is rich for every recurrent rich word $\mathbf{v} \in \{a, b\}^{\mathbb{N}}$.

To sum up

We can construct new rich words from known ones.

- Applying an arbitrary Arnoux-Rauzy morphism to a symmetric regular IET word gives a new rich word which is neither Arnoux-Rauzy nor a IET word.
(see [Fibonacci after Tribonacci](#)).
- We can apply the results both to finite and infinite words.
([\[Vesti \(2014\)\]](#))
- Improve lower bound of rich words over a binary alphabet.
(Each word of the form $a^{m_1}b^{n_1}a^{m_2}b^{n_2} \dots a^{m_k}b^{n_k}$, with $m_1 \leq m_2 \leq \dots \leq m_k$ and $n_1 \leq n_2 \leq \dots \leq n_k$ is rich [[Guo, Shallit, Shur \(2016\)](#)])

Open questions

- Which tame morphisms preserve richness?
- How characterize dendric languages closed under reversal?
- How many finite rich words of given length are there over a given alphabet?
- Can we determine an optimal lower bound for the critical exponent?
(Lower bounds on alphabets of cardinality $k = 2, 3, 4, 5$. [Baranwal, Shallit (2019)]
The bound is the best possible for $k = 2$. [Currie, Mol, Rampersad (2020)]
What about $k \geq 3$?)

THANK YOU ΟΥ ΚΝΑΗΤ

