

Nevanlinna theory and the degeneracy of holomorphic curves

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§1 Preliminaries

Griffiths, Bull. A.M.S. (1972)

Conjecture 1.  $X$ : cmt var. of general type

$\Rightarrow \forall f: \mathbb{C} \rightarrow X$ , hol., is degenerate (= alg. deg. in this talk).

Conjecture 2.  $D = \sum D_i \subset \subset X$  s.n.c. divisor  
 $X$  is smooth.  $f: \mathbb{C} \rightarrow X$  non-deg.

$\Rightarrow m_f(r, D) + T_f(r, K_X) \leq \varepsilon T_f(r) + o(r), \forall \varepsilon > 0.$

Here

$$m_f(r, D) = \int_{|z|=r} \log \frac{1}{\| \sigma_D(f(z)) \|} \frac{d\theta}{2\pi}$$

$$T_f(r, \mathbb{P}_X^1) = \int_0^1 \frac{dt}{t} \int_{\Delta(t)} f^* \mathbb{P}_X^1$$

$$T_f(r, K_X) = T_f(r, c_1(K_X))$$

$$T_f(r) = T_f(r, \text{Herm. metric})$$

N.B. • Conj. 2 implies Conj. 1.

• Conj. 2

$$\Leftrightarrow T_f(r, L(D)) + T_f(r, K_X) \leq N(r, f^*D) + \varepsilon T_f(r)$$

I would like to strengthen it to

Conjecture 3.  $D \subset X$ ,  $f: \mathbb{C} \rightarrow X$  as above.

$$T_f(r, L(D)) + T_f(r, K_X) \leq N_1(r, f^*D) + \varepsilon T_f(r)$$

Here  $N_1(n, f^*D) = \int_1^n \frac{|f^*(D) \cap \Delta(t)|}{t} dt$ .

Conjecture 4 (Kobayashi, 1970). A "generic" hypersurface  $X \subset \mathbb{P}^n$  is Kob. hyperbolic.

Conjecture 5 (Lang, 1974).  $X$  proj. alg. var /  $k$ ,  $k/\mathbb{Q}$ , finite ext. If  $k \hookrightarrow \mathbb{C}$  s.t.  $X_{\mathbb{C}}$  is Kob. hyperbolic, then  $|X(k)| < \infty$  (rat'l points).

Conjecture 6 (Cor. of Conj. 4+5). A "generic" hypersurface  $X \subset \mathbb{P}_k^n \Rightarrow |X(k)| < \infty$ .

Approaches for Kob. Conj.

- ① To find Examples / Existence
- ② To show the "generic" statement, now available only in  $n \leq 3$ .

For ①, Masuda-N. (1996).  $\exists d(n) \leq \sqrt{d}$ ,  
 $\exists$  hypersurface  $X \subset \mathbb{P}^n$ ,  $\deg = d$ , Kob. hyperbolic.

N.B. This  $X$  carries some arithmetic finiteness properties.  
 Siu-Yeung, Shiffman-Zaidenberg,  $d(n) \sim n^2$ .  
 Shirotsuki, Fujimoto,  $d(n) \sim 2^n$ . -- arith. f. properties

Example - Thur (N., 2002)  $\exists X \subset \mathbb{P}_{\mathbb{Q}}^n$ ,  $\deg X = 13^{n-1}$   
 s.t.  $X_{\mathbb{C}}$  is Kob. hyperbolic and  $|X(k)| < \infty$   
 for  $\forall$  fixed  $k/\mathbb{Q}$ .

? Quest.  $\exists X \subset \mathbb{P}^n$ , Kob. hyperbolic and  $|X(k)| = \infty$ .

Case:

$n=3$ ,  $\dim X=2$ .

① Example,  $X \subset \mathbb{P}^3$ , Kob. hyperbolic,  $\deg=8$   
Fujimoto (2001), Shiffman-Zaidenberg (2003 preprint)

? optimal  $2 \times 3 + 1 = 7$

② "very generic"  $\deg \geq 21$  (Demailly - Green)  
 $\deg \geq 18$  (Paun, preprint)

## §2 Jets and differential eqn.

The theory of holomorphic foliations comes in.

$\{ D \subset X \rightsquigarrow \text{log. jet bundle } J_n(X, D) \text{ (Nag. 1986)}$   
 $\{ f: \mathbb{C} \rightarrow X \setminus D \rightsquigarrow J_n(f): \mathbb{C} \rightarrow J_n(X, D), \text{ jet lift}$

$\rightsquigarrow \text{diff. eqn} \rightsquigarrow \text{hol. foliation applied} \rightsquigarrow f \text{ is deg.}$   
(McQuillan, Brunella, ...)

$\left. \begin{array}{l} \} \text{ allowing } f(\mathbb{C}) \cap D \neq \emptyset \\ \} \text{ Nevanlinna theory, S.M.T.} \\ \} f: \mathbb{C} \rightarrow X \setminus D \text{ is deg.} \end{array} \right\}$

◦ There is some advantage to introduce the truncated counting function  $N_1(r, f^*D)$ .

To explain this we look at

Example (observation by Yamanishi)

For  $f: \mathbb{C} \rightarrow \mathbb{P}^1$ , mer. and  $a_1, \dots, a_g \in \mathbb{P}^1$ , distinct  
 $(g-2)T_f(r) \leq \sum_{i=1}^g N_1(r, f^*a_i) + \varepsilon T_f(r) \|\varepsilon, \forall \varepsilon > 0.$   
3

(Nevanlinna's S.M.T.)

" $N_1(n, f^*a_i)$ " makes it possible to deal with curves  $X$  of higher genus. For instance,

$\pi: X \rightarrow \mathbb{P}^1$ , hyperelliptic curve, branching over  $a_1, \dots, a_g$  ( $g$ : even). genus  $g = \frac{g-2}{2}$

$$g \geq 2 \Leftrightarrow g \geq 6$$

Suppose  $\gamma: \mathbb{C} \rightarrow X$ ,  $\neq \text{const}$ .

$$f = \pi \circ \gamma$$

$$\begin{aligned} (g-2)T_f(n) &\leq \sum_{i=1}^g N_1(n, f^*a_i) + \varepsilon T_f(n) \\ &\leq \frac{1}{2} \sum_{i=1}^g N_1(n, f^*a_i) + \varepsilon T_f(n) \\ &\leq \frac{g}{2} T_f(n) + \varepsilon T_f(n) \end{aligned}$$

$$\Rightarrow 2(g-2) \leq g \Rightarrow g \leq 4 \Rightarrow g \leq 2.$$

The "General case" is handled by Hurwitz formula.

• Conj. 3 for  $X = \mathbb{P}^n \Rightarrow$  Conj. 1.

$$\begin{array}{ccc} f: \mathbb{C} & \rightarrow & X \supset E \text{ ramification} \\ & \searrow g & \downarrow \\ & & \mathbb{P}^n \supset D, \text{ branch} \end{array}$$

$$T_f(n, L(D)) + T_g(n, K_{\mathbb{P}^n}) \leq N_1(n, g^*D) + \varepsilon T_g(n)$$

$$\rightarrow T_f(n, K_X) \leq \frac{1}{2} T_f(n, K_X) + \varepsilon T_f(n),$$

contradiction.

We will give a new result based on this method for hol. curv.

$\exists$  some related result due to Min Ru (preprint).

Thm (Min Ru)  $D = \sum_1^s D_i \subset \mathbb{P}^n$ , in general posic  
 $f: \mathbb{C} \rightarrow \mathbb{P}^n$ , non deg.

$$\Rightarrow (g-n-1) T_f(\nu) \leq \sum_1^s \frac{1}{\deg D_i} N(\nu, f^* D_i) + \varepsilon T_f(\nu) \|\varepsilon\|$$

N.B. No truncation ~~in~~  $N(\nu, f^* D_i)$ .

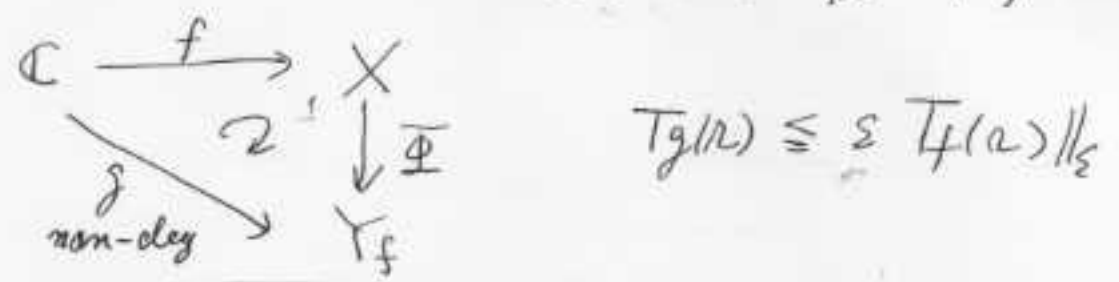
§3. Fibration by order (growth) functions.  
 $X$ , alg. var.,  $f: \mathbb{C} \rightarrow X$  non-deg.  
 $\mathbb{C}(X)$  = the rational function field of  $X$ .

$$K = \{ \varphi \in \mathbb{C}(X) ; T_{\varphi \circ f}(\nu) \leq \varepsilon T_f(\nu) \|\varepsilon\|, \forall \varepsilon > 0 \}$$

$K \subset \mathbb{C}(X)$ , subfield.

$K \ni \varphi_1, \dots, \varphi_e$ , generators /  $\mathbb{C}$ .

$$\Phi: x \in X \rightarrow (\varphi_1(x), \dots, \varphi_e(x)) \in \Phi(X) = \mathbb{C}^e \subset \mathbb{P}^e, \text{ dominant.}$$



(3.1)  $\odot$  If  $\Phi$  is finite, then  $T_f(\nu) = O(T_g(\nu))$   
 $\Rightarrow T_f(\nu) \leq \varepsilon T_f(\nu) \|\varepsilon\|$ , Contradiction  
 $\Rightarrow f$  is deg.

Example.  $\Phi_{K_X^e}: X^m \rightarrow \mathbb{P}^e$ , pluri-canonical system

$\dim X = 1$ ,  $f: \mathbb{C}^m \rightarrow X$ , h.d.

$$\Rightarrow T_{\Phi_{K_X^e} \circ f}(\nu) \leq \varepsilon T_f(\nu) \|\varepsilon\|$$

Thus, if  $K_X$  is big, then  $\det(df) \equiv 0$ .

(Picard, Griffiths, Kobayashi-Ochiai)  
 $\dim X = 1$ ,  $K_X$ , ample,  $K_X$ , big.

Applying (3.1) for jet lifts, we have

• Block-Ochiai's Theorem.  $f: \mathbb{C} \rightarrow X$  (semi-abelian)  
 by  $X$  is met semi-abelian  $\Rightarrow f$  is deg.

• Lang's Conj'.  $f: \mathbb{C} \rightarrow A \setminus D$  ( $D = \text{divisor}$ )  
 $\Rightarrow f$  is deg.

Siu-Yeung:  $A = \text{abelian}$ , jet +  $\mathbb{H}$ -Wronskians (1996)

N. — :  $A = \text{semi-abelian}$ , jet of jets (1998)

#### § 4. Semi-abelian varieties

$X = A$ , semi-abelian variety

$$0 \rightarrow (\mathbb{C}^x)^* \rightarrow A \rightarrow A_0 (\text{abelian var.}) \rightarrow 0$$

$f: \mathbb{C} \rightarrow A$ , hd. non-deg.

$J_k(f): \mathbb{C} \rightarrow J_k(A)$ ,  $k$ -jet lift,  $k=0, 1, 2, \dots$

$$X_k(f) = \frac{1}{J_k(f)(\mathbb{C})} \mathbb{Z} \text{ or } \mathbb{R}$$

(4.1)  
 Theorem (N. Winkelmann-Yamanoi, to appear in Forum Math)

For sub ~~space~~ <sup>var.</sup>  $Z \subset X_k(f)$ ,  $\exists$  compactification  $\bar{Z} \subset \bar{X}_k$   
 such that

$$(*) \quad T_f(\nu, \omega_{\bar{Z}, J_k(f)}) \leq N_1(\nu, J_k(f)^* Z) + \varepsilon T_f(\nu) \| \varepsilon$$

Moreover, if  $\text{codim } Z \geq 2$ , then

$$(**) \quad T(\nu, \omega_{\bar{Z}, J_k(f)}) \leq \varepsilon T_f(\nu) \| \varepsilon$$

Proof.  $k=0$  for simplicity.  $\exists l \geq 1$  ( $l < \infty$ ).

$$\bullet T_f(\mathcal{R}, \omega_{\mathcal{R}} \otimes f^* \mathcal{Z}) \cong N_X(\mathcal{R}, f^* \mathcal{Z}) + \varepsilon T_f(\mathcal{R}) \otimes \mathcal{L}$$

$$\text{ord}_f f^* \mathcal{Z} \geq 2 \Rightarrow J_1(f) \in X_1(f) \cap J_1(\mathcal{Z}) = \mathcal{Z}'$$

Claim 1.  $\text{codim}_{X_1(f)} \mathcal{Z}' \geq 2$

Claim 2.  $N_1(\mathcal{R}, J_1(f)^* \mathcal{Z}') \cong \varepsilon T_f(\mathcal{R}) \otimes \mathcal{L}$

$\Rightarrow$  Two inequalities,  $\binom{*}{\text{---}} + \binom{**}{\text{---}}$

N.B. The case of  $k=0$ , abelian  $A$  due to Yamanoi.  
(2004).

Thm 4.2 (Yamanoi)  $A$ , abelian and simple,

$\pi: X \rightarrow A$ , ramified ( $R_\pi \neq \emptyset$ )

$\Rightarrow X$  is Kob. hyperbolic

N.B.  $\dim X = 2$  by C. Grant (1988).

Thm 4.3 (N.-W.-Y.)  $f: \mathbb{C} \rightarrow \mathbb{P}^n \setminus D$

$D: X_i = 0, 1 \leq i \leq n$ , linear, and

$$X_0^q + \dots + X_n^q = 0, q \geq 2$$

$\Rightarrow f$  is deg

N.B.  $n=q=2$  and  $f$  of finite order by M. Green (1977)

who conjectured for general  $f$  and  $n=q=2$ .

§ 5 Degeneracy Theorem (application of Thm (4.1))

Theorem (5.1) (N.-W.-Y., preprint)

$A$ , semi-abelian,  $\pi: X \rightarrow A$ , (surjective) proper  
 $\bar{K}(X) > 0 \Rightarrow \forall f: \mathbb{C} \rightarrow X$  is degenerate.

By Kawamata's thm, 
$$\begin{array}{ccc} X & \xrightarrow{\pi} & Y \quad (\text{after finite étale cover}) \\ \downarrow & & \downarrow \\ A & \xrightarrow{\pi} & A/B \end{array}$$

$$\bar{K}(Y) = \dim Y = \bar{K}(X) > 0.$$

Reduced to  $\bar{K}(X) = \dim X$ .

N.B. Related results by Dethloff-Lu (to appear in *Fourier*):  $\dim X = 2$

(a)  $\bar{K}(X) = 2$  and  $f$  is Brody.

(b)  $\bar{K}(X) = 1$ , a weaker condition than "proper" to keep the above reduction by Kawamata.

Problem. What is a Brody curve?

Counter example for the "proper" condition.  
 (due to Dethloff-Lu)

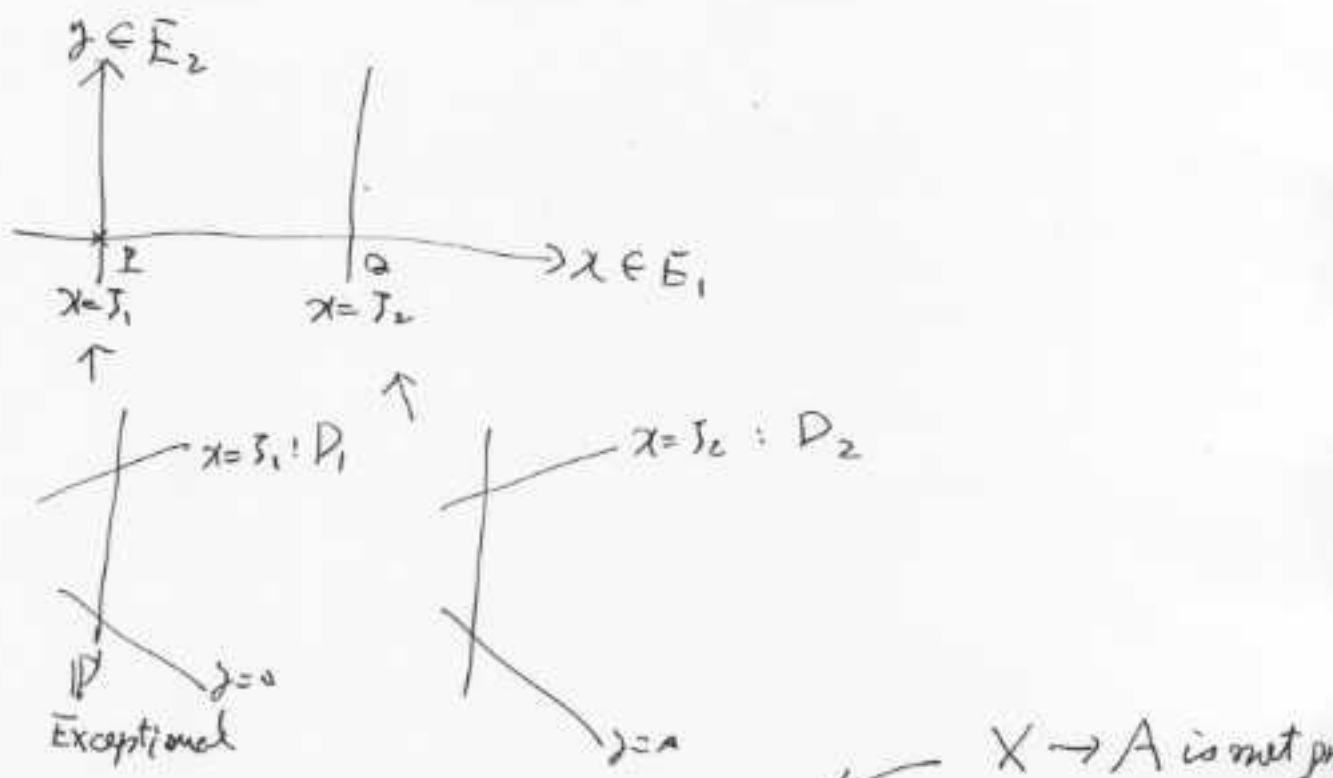
$E_i, i=1, 2$ , elliptic curves,  $A = E_1 \times E_2$

$T_1, T_2 \in E_1$ , distinct

$P = (T_1, 0), Q = (T_2, 0)$

$\tilde{A} \rightarrow A$ , blow up at  $P$  and  $Q$ .





$$X = \tilde{A} \setminus (D_1 + D_2) \quad \left\{ \begin{array}{l} \pi(X) = 1. \\ X \rightarrow A \text{ is met pr} \end{array} \right.$$

By general result of Buzzard-Lu

$$\exists \tilde{g}: \mathbb{C}^2 \rightarrow X, \quad \det(d\tilde{g}) \neq 0$$

$$\exists f: \mathbb{C} \rightarrow X, \quad \text{non-deg.}$$

~~Explicit~~ construction of  $g$ .  
Simple

$$\pi_1: \mathbb{C} \rightarrow E_1, \quad \text{univ. covering}$$

$$\pi_1^{-1}(\{J_1, J_2\}) = \{z_\nu\}_{\nu=1}^{\infty} \subset \mathbb{C}, \quad \text{discrete.}$$

Take an entire function  $F$  s.t.

$$F(z_\nu) = F'(z_\nu) = 0, \quad \nu=1, 2, \dots, \quad \text{no other } z_\nu \quad (\text{or } F \neq 0)$$

$$\pi_2: \mathbb{C} \rightarrow E_2, \quad \text{univ. covering}$$

$$\text{Set } g: (z, w) \in \mathbb{C}^2 \rightarrow (\pi_1(z), \pi_2(wF(z))) \in A.$$

$$\text{If } \pi_1(z) = J_1 \text{ or } J_2, \quad dg_{T_{(z,w)}(\mathbb{C}^2)} \subset T_{J_i}(E_1) \times \{0\}.$$

$\exists$  lifting  $\tilde{f}: \mathbb{C}^2 \rightarrow X$ , hol.

In this example,

$$N(\nu, f^*(P_1 + P_2)) \simeq T_{P_1 + P_2}(\nu),$$

where  $p_i: X \rightarrow E_i$ , projections.

By Theorem (4.1)

$$N(\nu, f^*(P_1 + P_2)) \cong \varepsilon T_f(\nu) \parallel \varepsilon.$$

$$\text{Thus } T_{p_i \circ f}(\nu) \cong \varepsilon T_f(\nu) \parallel \varepsilon$$

In terms of fibrations in §3

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{f} & X \\ & \searrow p_i \circ f & \downarrow p_i \\ & & E_i = Y_f \end{array}$$

$\nexists f: \mathbb{C} \rightarrow X$  with  $\dim Y_f = 0$ .