# Realizability games for the specification problem

Mauricio Guillermo<sup>2</sup>, Étienne Miquey<sup>1,2</sup>

<sup>1</sup>Team πr<sup>2</sup> (INRIA), PPS, Université Paris-Diderot <sup>2</sup>Fac. de Ingeniería, Universidad de la República, Uruguay

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Introduction

# The manège enchanté

Classical realizability

Curry-Howard correspondence	
Proof theory	Functional programming
Proposition	Туре
Deduction rule	Typing rule
$A \Rightarrow B$	A  o B
$\frac{\Gamma \vdash A \Rightarrow B \qquad \Gamma \vdash A}{\Gamma \vdash B}$	$ \frac{\Gamma \vdash t : A \to B \qquad \Gamma \vdash u : A}{\Gamma \vdash (t)u : B} $

- Constructive mathematics: intuitionistic logic
- Correct (for the execution) program might be untypable :

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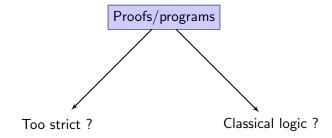
- Constructive mathematics: intuitionistic logic
- Correct (for the execution) program might be untypable :

```
let stupid n =
           if n=n+1 then 27 else true
```

Classical realizability

Introduction

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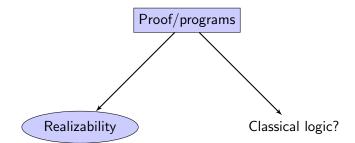


 $\mathbb{G}^1$ : a first game

# Relaxing: realizability

Introduction

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# Relaxing: realizability

### Realizers

Introduction

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- $t \Vdash \mathsf{Nat}$  si  $t \succ \overline{n}$
- $t \Vdash A \Rightarrow B$  si  $u \Vdash A$  implies  $(t)u \Vdash B$ 
  - Definition purely computational: no sintax
  - Relation  $t \Vdash A$  undecidable

# Classical logic

Introduction

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#### Griffin, 1990

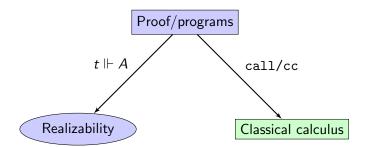
$$call/cc: ((A \Rightarrow B) \Rightarrow A) \Rightarrow A$$

- Intuitionistic logic + Peirce's Law = Classical logic
- Classical Curry-Howard :
  - $\rightarrow$  add a control operator + its typing rule
- Backtrack makes computational analysis harder

# Classical realizability

Introduction

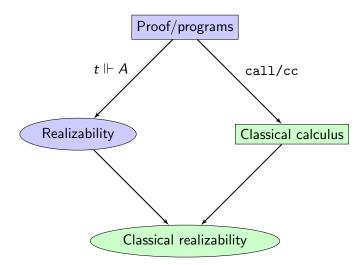
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## Classical realizability

Introduction

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# The question of this talk

## Specification of *A*:

Can we give a **characterization** of the realizers of *A* ?

Introduction

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Conclusion

Introduction

Introduction

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2 Krivine classical realizability

Classical realizability

- Specification
- First game over arithmetical formulæ
- General case
- 6 Conclusion

Krivine classical realizability

Introduction

Introduction

### Terms, stacks, processes

 $\mathcal{B}$ : stack constants

C: instructions (including  $\mathbf{c}$ ), countable

KAM

Conclusion

Introduction

## Terms, stacks, processes

 $\mathcal{B}$ : stack constants

C: instructions (including  $\mathbf{c}$ ), countable

#### **KAM**

Push: 
$$(t)u \star \pi \succ_1 t \star u \cdot \pi$$
  
Grab:  $\lambda x.t \star u \cdot \pi \succ_1 t\{x := u\} \star \pi$ 

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Grab :  $\lambda x.t \star u \cdot \pi \succ_{1} t\{x := u\} \star \pi$   
Save :  $\mathbf{c} \star t \cdot \pi \succ_{1} t \star \mathbf{k}_{\pi} \cdot \pi$   
Restore :  $\mathbf{k}_{\pi} \star t \cdot \rho \succ_{1} t \star \pi$ 

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Introduction

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Terms 
$$t, u ::= x \mid \lambda x.t \mid tu \mid \mathbf{k}_{\pi} \mid \kappa$$
  $\kappa \in \mathcal{C}$   
Stacks  $\pi ::= \alpha \mid t \cdot \pi$   $(\alpha \in \mathcal{B}, t \text{ closed})$   
Processes  $p, q ::= t \star \pi$   $(t \text{ closed})$ 

#### KAM + C extended

```
SAVE : \mathbf{c} \star t \cdot \pi \succ_{1} t \star \mathbf{k}_{\pi} \cdot \pi

QUOTE : quote \star \phi \cdot t \cdot \pi \succ_{1} t \star \overline{n_{\phi}} \cdot \pi

FORK : \pitchfork \star t \cdot u \cdot \pi \succ_{1} t \star \pi

FORK : \pitchfork \star t \cdot u \cdot \pi \succ_{1} u \star \pi

PRINT : print \star \overline{n} \cdot t \cdot \pi \succ_{1} t \star \pi
```

## 2<sup>nd</sup>-order arithmetic

Classical realizability

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## Language

Introduction

**Expressions** e ::=  $x \mid f(e_1, \ldots, e_k)$ 

**Formulæ**  $A, B ::= X(e_1, \ldots, e_k) \mid A \Rightarrow B \mid \forall x A \mid \forall X A$ 

#### Shorthands

$$\bot \equiv \forall Z.Z 
\neg A \equiv A \Rightarrow \bot 
A \land B \equiv \forall Z((A \Rightarrow B \Rightarrow Z) \Rightarrow Z) 
A \lor B \equiv \forall Z((A \Rightarrow Z) \Rightarrow (B \Rightarrow Z) \Rightarrow Z) 
A \Leftrightarrow B \equiv (A \Rightarrow B) \land (B \Rightarrow A) 
\exists xA(x) \equiv \forall Z(\forall x(A(x) \Rightarrow Z) \Rightarrow Z) 
\exists XA(X) \equiv \forall Z(\forall Z(A(X) \Rightarrow Z) \Rightarrow Z) 
e_1 = e_2 \equiv \forall Z(Z(e_1) \Rightarrow Z(e_2))$$

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## Typing rules

Introduction

$$\frac{\Gamma \vdash x : A}{\Gamma \vdash t : A} (x : A) \in \Gamma$$

$$\frac{\Gamma \vdash t : A \Rightarrow B}{\Gamma \vdash t : B} \qquad \frac{\Gamma \vdash t : A}{\Gamma \vdash t : A \Rightarrow B}$$

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t : \forall x . A} x \notin FV(\Gamma)$$

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t : \forall x . A} X \notin FV(\Gamma)$$

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$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t : A \land (x_1, \dots, x_k) := B}$$

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G2: general case

Introduction

#### Intuition

- falsity value ||A||: stacks, opponent to A
- truth value |A|: terms, player of A
- pole ⊥: processes, referee

$$t \star \pi \succ p_0 \succ \cdots \succ p_n \in \bot\!\!\bot?$$

$$|A| = ||A||^{\perp \perp} = \{t \in \Lambda_c : \forall \pi \in ||A||, t \star \pi \in \perp \perp \}$$

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 $\rightsquigarrow \bot \bot \subset \Lambda_c \star \Pi$  closed by anti-reduction

Truth value defined by **orthogonality** :  $|A| = ||A||^{\perp \perp} = \{t \in \Lambda_c : \forall \pi \in ||A||, t \star \pi \in \perp \perp \}$ 

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Introduction

#### Ground model $\mathcal{M}$

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#### Pole

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$$\forall p, p' \in \Lambda_c \star \Pi : \quad (p \succ p') \land (p' \in \bot\!\!\!\bot) \Rightarrow p \in \bot\!\!\!\bot$$

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Truth value (player):

$$|A| = ||A||^{\perp \perp} = \{t \in \Lambda_c : \forall \pi \in ||A||, t \star \pi \in \perp \perp\}$$

- $\bullet ||A \Rightarrow B|| = \{t \cdot \pi : t \in |A| \land \pi \in ||B||\}$
- $\bullet \|\forall xA\| = \bigcup_{n \in \mathbb{N}} \|A\{x := n\}\|$
- $\bullet \|\forall XA\| = \bigcup_{F:\mathbb{N}^k \to \mathcal{D}(\Pi)} \|A\{X := \hat{F}\}\|$
- $\|\dot{F}(e_1, ..., e_{\nu})\| = F([e_1], ..., [e_{\nu}])$

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Introduction

Ground model  $\mathcal{M}$  , pole  $\perp \!\!\! \perp$ Truth value (player):

$$|A| = ||A||^{\perp \perp} = \{t \in \Lambda_c : \forall \pi \in ||A||, t \star \pi \in \perp \perp\}$$

Falsity value (opponent):

- $||A \Rightarrow B|| = \{t \cdot \pi : t \in |A| \land \pi \in ||B||\}$
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- $\|\dot{F}(e_1,...,e_k)\| = F([e_1],...,[e_k])$

#### Notation

$$t \Vdash A$$
 iff  $t \in |A| = ||A||^{\perp \perp}$   
 $t \Vdash A$  iff  $t \Vdash A$  for all  $\perp \perp$ 

## Remarks

Introduction

### Case $\perp \!\!\! \perp = \emptyset$ (degenerated model)

• Truth as in the standard model:

$$|A| = \begin{cases} \Lambda & \text{if } \llbracket A \rrbracket = 1 \\ \emptyset & \text{if } \llbracket A \rrbracket = 0 \end{cases}$$

Realizable ⇔ True in the standard model

### Case $\bot\!\!\!\bot \neq \emptyset$

- $t \star \pi \in \bot \bot \Rightarrow$  forall  $A, \mathbf{k}_{\pi} t \Vdash A$
- Restriction to proof-like

## Remarks

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Classical realizability

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## **Properties**

Introduction

#### Realizing Peano axioms

If  $PA2 \vdash A$ , then there is a closed proof-like term t s.t.  $t \Vdash A$ .

#### Witness extraction

If  $t \Vdash \exists \exists^{N} x A(x)$  and A(x) is atomic or decidable, then we can build a term u s.t. that  $\forall \pi \in \Pi$ :

$$t \star u \cdot \pi \succ \text{stop} \star \overline{n} \cdot \pi$$

#### Adequacy

$$\begin{cases} x_1: A_1, \dots, x_k: A_k \vdash t: A \\ \forall i \in [1, k] (t_i \Vdash A_i) \end{cases} \Rightarrow t[t_1/x_1, \dots, t_k/x_k] \Vdash A$$

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## A Michelin-like metaphor

### Adequacy

$$\begin{cases} x_1 : A_1, \dots, x_k : A_k \vdash t : A \\ \forall i \in [1, k](t_i \Vdash A_i) \end{cases} \Rightarrow t[t_1/x_1, \dots, t_k/x_k] \Vdash A$$





**Typing** 

Realizability

### Relativization

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Introduction

$$\mathsf{Nat}(x) \; \equiv \; \forall Z \, (Z(0) \Rightarrow \forall y \, (Z(y) \Rightarrow Z(s(y))) \Rightarrow Z(x))$$

### **Proposition**

There is no  $t \in \Lambda_c$  such that  $t \Vdash \forall n.Nat(n)$ 

$$A, B ::= \dots \mid \{e\} \Rightarrow A$$

$$\|\{e\} \Rightarrow A\| = \{\bar{n} \cdot \pi : \llbracket e \rrbracket = n \land \pi \in \|A\|\}$$

$$\forall^{N} x A(x) \equiv \forall x (\{x\} \Rightarrow A(x))$$

- $\lambda x. Tx \Vdash \forall^{N} x. A(x) \Rightarrow \forall^{nat} x. A(x)$

G2: general case

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#### **Proposition**

There is no  $t \in \Lambda_c$  such that  $t \Vdash \forall n.Nat(n)$ 

Fix:

$$\forall^{nat} x A := \forall x (\mathsf{Nat}(x) \Rightarrow A)$$

Obviously,  $\lambda x.x \Vdash \forall^{nat} x \mathsf{Nat}(x)$ 

Better

$$A, B ::= \dots | \{e\} \Rightarrow A$$

$$\|\{e\} \Rightarrow A\| = \{\bar{n} \cdot \pi : [\![e]\!] = n \land \pi \in \|A\|\}$$

$$\forall^{\mathsf{N}} x A(x) \equiv \forall x (\{x\} \Rightarrow A(x))$$

Let T be a storage operator. The following holds for any formula

## Relativization

Classical realizability

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Better:

$$A, B ::= \dots \mid \{e\} \Rightarrow A$$

$$\|\{e\} \Rightarrow A\| = \{\bar{n} \cdot \pi : \llbracket e \rrbracket = n \land \pi \in \|A\|\}$$

$$\forall^{\mathsf{N}} x \, A(x) \equiv \forall x \, (\{x\} \Rightarrow A(x))$$

Let T be a storage operator. The following holds for any formula A(x):

- $\lambda x. Tx \Vdash \forall^{N} x. A(x) \Rightarrow \forall^{nat} x. A(x)$

## A short digression through models

- Initially designed for  $PA^2$ , but we can design model of ZF, and in particular simulate Cohen's forcing.
- Remember there is no  $t \Vdash \forall x \text{Nat}(x)$ ? In fact, there is  $\bot \bot$  s.t.:

$$(\mathcal{M}, \perp \!\!\!\perp) \Vdash \exists x \neg \mathsf{Nat}(x)$$

- ullet As a "consequence", we can build a model of ZF in which  $\mathbb R$ 
  - I<sub>2</sub> is not well-ordered
  - $\bullet$   $J_n \hookrightarrow J_{n+1}$
  - ]<sub>n+1</sub>  $\rightarrow$  ]<sub>n</sub>
  - $J_m \times J_n \equiv J_{mn}$
- some kind of non-commutative forcing : more power ?

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$$(\mathcal{M}, \perp \!\!\!\perp) \Vdash \exists x \neg \mathsf{Nat}(x)$$

- As a "consequence", we can build a model of ZF in which  $\mathbb{R}$  has some "pathological" subsets  $\mathfrak{I}_n$ :
  - $J_2$  is not well-ordered
  - $J_n \hookrightarrow J_{n+1}$
  - $J_{n+1} \not\rightarrow J_n$
  - $\gimel_m \times \gimel_n \equiv \gimel_{mn}$
- some kind of non-commutative forcing : more power ?

## Our problem

Introduction

### Specification of A

Can we give a characterization of  $\{t \in \Lambda_c : t \Vdash A\}$ ?

#### **Absoluteness**

Are arithmetical formulæ absolute for realizability models  $(\mathcal{M}, \perp\!\!\!\perp)$ ?

The specification problem

## A first example of specification

Two ways of building poles from any set P of processes.

goal-oriented :

$$\perp \!\!\! \perp \equiv \{ p \in \Lambda_c \star \Pi : \exists p' \in P, \ p \succ p' \}$$

$$th_p = \{p' \in \Lambda_c * \Pi : p \succ p'\}$$

$$\perp \!\!\! \perp \equiv (\bigcup_{p \in P} th_p)^c \equiv \bigcap_{p \in P} th_p^c$$

$$t \Vdash \forall X.(X \Rightarrow X)$$
 if and only if  $\forall k \forall \pi (t \star k \cdot \pi \succ k \star \pi)$ 

## A first example of specification

Two ways of building poles from any set P of processes.

goal-oriented :

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### Thread of a process p

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## A first example of specification

Classical realizability

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Ex. on board:

$$t \Vdash \forall X.(X \Rightarrow X)$$
 if and only if  $\forall k \forall \pi (t \star k \cdot \pi \succ k \star \pi)$ 

$$t_0 \Vdash \forall X.(X \Rightarrow X) \Rightarrow X \Rightarrow X \text{ iff ???}$$

$$t_{0} \star \kappa_{s} \cdot \kappa_{z} \cdot \pi \succ \kappa_{s} \star t_{1} \cdot \pi$$

$$t_{1} \star \pi \qquad \succ \kappa_{s} \star t_{2} \cdot \pi$$

$$\vdots$$

$$t_{i} \star \pi \qquad \succ \kappa_{s} \star t_{i+1} \cdot \pi$$

$$\vdots$$

$$t_{s} \star \pi \qquad \succ \kappa_{z} \star \pi$$

• Define  $p_i := t_i \star \pi$ ,  $\bot := \bigcap_{j \in [0,i]} (th(p_j))^c$  and  $||X|| = \{\pi\}$ : •  $\kappa_z \Vdash_i X$  implies  $\kappa_s \nvDash_i X \Rightarrow X$  and  $p_i \succ \kappa_s \star t_{i+1} \cdot \pi$ •  $\kappa_z \nvDash_i X$  implies  $p_i \succ \kappa_z \star \pi'$ 

Termination:

If  $\forall i \in \mathbb{N}(\kappa_z \not\Vdash_i X)$ , define  $\perp \!\!\! \perp_{\infty} := \bigcap_{i \in \mathbb{N}} (th(p_i))^c$ , get a contradiction

Introduction

G2: general case

Conclusion

 $t_0 \star \kappa_s \cdot \kappa_z \cdot \pi \succ \kappa_s \star t_1 \cdot \pi$ 

$$t_{1} \star \pi \qquad \succ \quad \kappa_{s} \star t_{2} \cdot \pi$$

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- ① Define  $p_i := t_i \star \pi$ ,  $\coprod_i := \bigcap_{j \in [0,i]} (th(p_j))^c$  and  $\|X\| = \{\pi\}$ :  $\hookrightarrow \kappa_z \Vdash_i X$  implies  $\kappa_s \not\Vdash_i X \Rightarrow X$  and  $p_i \succ \kappa_s \star t_{i+1} \cdot \pi$  $\hookrightarrow \kappa_z \not\Vdash_i X$  implies  $p_i \succ \kappa_z \star \pi'$

Termination

f  $\forall i \in \mathbb{N}(\kappa_z \not\Vdash_i X)$ , define  $\perp \!\!\! \perp_{\infty} := \bigcap_{i \in \mathbb{N}} (th(p_i))^c$ , get a

# $t_0 \Vdash \forall X.(X \Rightarrow X) \Rightarrow X \Rightarrow X \text{ iff ???}$

$$t_{0} \star \kappa_{s} \cdot \kappa_{z} \cdot \pi \succ \kappa_{s} \star t_{1} \cdot \pi$$

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Termination

If  $\forall i \in \mathbb{N}(\kappa_z \not\Vdash_i X)$ , define  $\perp \!\!\! \perp_{\infty} := \bigcap_{i \in \mathbb{N}} (th(p_i))^c$ , get a

 $t_0 \star \kappa_s \cdot \kappa_z \cdot \pi \succ \kappa_s \star t_1 \cdot \pi$ 

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① Define 
$$p_i := t_i \star \pi$$
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 $\hookrightarrow \kappa_z \Vdash_i X$  implies  $\kappa_s \nvDash_i X \Rightarrow X$  and  $p_i \succ \kappa_s \star t_{i+1} \cdot \pi$   
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#### Termination

If  $\forall i \in \mathbb{N}(\kappa_z \not\Vdash_i X)$ , define  $\perp\!\!\!\perp_{\infty} := \bigcap_{i \in \mathbb{N}} (th(p_i))^c$ , get a contradiction

## $t_0 \Vdash \forall X.(X \Rightarrow X) \Rightarrow X \Rightarrow X \text{ iff ???}$

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$$\hookrightarrow \kappa_z \, \mathbb{1}_i \, X \text{ implies } p_i \succ \kappa_z \star \pi'$$

#### **Termination:**

If  $\forall i \in \mathbb{N}(\kappa_z \not \Vdash_i X)$ , define  $\perp \!\!\! \perp_{\infty} := \bigcap_{i \in \mathbb{N}} (th(p_i))^c$ , get a contradiction.

## $t_0 \Vdash \forall XY.(X \Rightarrow Y) \Rightarrow X \Rightarrow Y \text{ iff ???}$

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$$\vdots$$

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$$t_{s} \star \pi' \quad \succ \kappa_{x} \star \pi'$$

- - $\hookrightarrow \kappa_x \Vdash_0 X$  implies  $\kappa_f \nVdash_0 X \Rightarrow Y$  and  $p_0 \succ \kappa_f \star t_1 \cdot \pi$
- **1** Define  $p_i := t_i \star \pi'$ ,  $\perp \!\!\! \perp_i := \bigcap_{j \in [0,i]} (th(p_j))^c$  and  $||X|| = \{\pi'\}$ :
  - $\hookrightarrow \kappa_{\mathsf{x}} \Vdash_{i} X$  implies  $\kappa_{\mathsf{f}} \nVdash_{i} X \Rightarrow Y$  and  $\mathsf{p}_{i} \succ \kappa_{\mathsf{f}} \star t_{i+1} \cdot \pi$

$$t_{0} \star \kappa_{f} \cdot \kappa_{x} \cdot \pi \succ \kappa_{f} \star t_{1} \cdot \pi$$

$$t_{1} \star \pi' \succ \kappa_{f} \star t_{2} \cdot \pi$$

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- **①** Define  $p_0 := t_0 \star \kappa_f \cdot \kappa_x \cdot \pi, \perp \downarrow_0 := (th(p_0))^c$  and  $||Y|| = \{\pi\}$ :
  - $\hookrightarrow$   $\kappa_x \Vdash_0 X$  implies  $\kappa_f \nvDash_0 X \Rightarrow Y$  and  $p_0 \succ \kappa_f \star t_1 \cdot \pi$
- Define  $p_i := t_i \star \pi'$ ,  $\perp \!\!\! \perp_i := \bigcap_{j \in [0,i]} (th(p_j))^c$  and  $||X|| = \{\pi'\}$ : •  $\kappa_x \Vdash_i X$  implies  $\kappa_f \nvDash_i X \Rightarrow Y$  and  $p_i \succ \kappa_f \star t_{i+1} \cdot \pi$ •  $\kappa_x \nvDash_i X$  implies  $p_i \succ \kappa_x \star \pi'$

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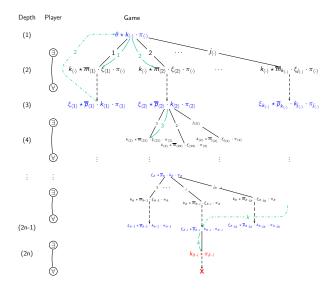
$$\vdots$$

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## Arithmetical formulæ by hand

Classical realizability



### Advertisement

Introduction

#### **Problem**

You want to specify A.

### Methodology:

→ requirement: some intuition...

- **1 direct-style**: define the good poles,
- indirect-style: try the thread method,

## Advertisement

Introduction

#### **Problem**

You want to specify A.

### Methodology:

→ requirement: some intuition...

- **1 direct-style**: define the good poles,
- 2 indirect-style: try the thread method,
- induction-style: define a game (brand new!)

Conclusion

A first notion of game

## Coquand's game

#### Arithmetical formula

$$\Phi_{2h}: \exists x_1 \forall y_1 \dots \exists x_h \forall y_h f(\vec{x}_h, \vec{y}_h) = 0$$

#### Rules:

- Players : Eloise  $(\exists)$  and Abelard  $(\forall)$  .
- Moves: at his turn, each player instantiates his variable
  - Eloise allowed to backtrack
- Final position : evaluation of  $f(\vec{m}_h, \vec{n}_h) = 0$  :
  - true : Floise wins
  - false : game continues
- Abelard wins if the game never ends

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Introduction

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  - false : game continues
- Abelard wins if the game never ends

### Winning strategy

Way of playing that ensures the victory, independently of the opponent moves.

## Example

Introduction

#### Formula

$$\exists x \forall y \exists z (x \cdot y = 2 \cdot z)$$

$$\begin{array}{c|cccc} \textbf{Player} & \textbf{Action} & \textbf{Position} \\ & \textbf{Start} & P_0 = (\cdot, \cdot, \cdot) \\ \end{array}$$

Conclusion

# **E**xample

Introduction

#### Formula

$$\forall y \exists z (1 \cdot y = 2 \cdot z)$$

$$\begin{array}{c|cccc} \textbf{Player} & \textbf{Action} & \textbf{Position} \\ \hline & \textbf{Start} & P_0 = (\cdot, \cdot, \cdot) \\ \hline & & x := 1 & P_1 = (1, \cdot, \cdot) \\ \hline \end{array}$$

Conclusion

## Example

Introduction

### Formula

$$\exists z (1 = 2 \cdot z)$$

Player	Action	Position
	Start	$P_0=(\cdot,\cdot,\cdot)$
$\bigcirc$	x := 1	$P_1=(1,\cdot,\cdot)$
$\bigcirc$	y := 1	$P_2=(1,1,\cdot)$
		,

Introduction

$$\forall y \exists z (2 \cdot y = 2 \cdot z)$$

Player	Action	Position
	Start	$P_0 = (\cdot, \cdot, \cdot)$
$\bigcirc$	x := 1	$P_0 = (\cdot, \cdot, \cdot)$ $P_1 = (1, \cdot, \cdot)$
$\bigcirc$	<i>y</i> := 1	$P_1 = (1, \cdot, \cdot)$ $P_2 = (1, 1, \cdot)$
$\bigcirc$	backtrack to $P_0 + x := 2$	$P_3=(2,\cdot,\cdot)$
		'

Introduction

$$\exists z (2 = 2 \cdot z)$$

Player	Action	Position
	Start	$P_0=(\cdot,\cdot,\cdot)$
$\exists$	x := 1	$P_1=(1,\cdot,\cdot)$
$\bigcirc$	y := 1	$P_2=(1,1,\cdot)$
$\bigcirc$	backtrack to $P_0 + x := 2$	$P_3=(2,\cdot,\cdot)$
$\bigcirc$	y := 1	$P_4=(2,1,\cdot)$

Introduction

$$2 = 2$$

Player	Action	Position
	Start	$P_0=(\cdot,\cdot,\cdot)$
$\exists$	x := 1	$P_1=(1,\cdot,\cdot)$
$\bigcirc$	y := 1	$P_2=(1,1,\cdot)$
$\bigcirc$	backtrack to $P_0 + x := 2$	$P_3=(2,\cdot,\cdot)$
$\bigcirc$	y := 1	$P_4=(2,1,\cdot)$
$\exists$	z := 1	$P_5 = (2,1,1)$

Introduction

$$2 = 2$$

Player	Action	Position
	Start	$P_0=(\cdot,\cdot,\cdot)$
$\exists$	x := 1	$P_1=(1,\cdot,\cdot)$
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$\exists$	z := 1	$P_5 = (2,1,1)$
	evaluation	$\exists$ wins

Introduction

### Formula

$$2 = 2$$

Player	Action	Position
	Start	$P_0=(\cdot,\cdot,\cdot)$
$\bigcirc$	x := 1	$P_1=(1,\cdot,\cdot)$
$\bigcirc$	<i>y</i> := 1	$P_2=(1,1,\cdot)$
$\bigcirc$	backtrack to $P_0 + x := 2$	$P_3=(2,\cdot,\cdot)$
$\Theta$	<i>y</i> := 1	$P_4=(2,1,\cdot)$
$\bigcirc$	z := 1	$P_5 = (2,1,1)$
	evaluation	$\bigcirc$ wins

### History

$$H := \bigcup_n P_n$$

Conclusion

# $\mathbb{G}^0$ : deductive system

#### Rules:

Introduction

• If there exists  $(\vec{m}_h, \vec{n}_h) \in H$  such that  $\mathcal{M} \models f(\vec{m}_h, \vec{n}_h) = 0$ :

$$\overline{H\in \mathbb{W}^0_\Phi}\ ^{\mathrm{Win}}$$

• For all i < h,  $(\vec{m_i}, \vec{n_i}) \in H$  and  $m \in \mathbb{N}$ :

$$\frac{H \cup \{(\vec{m}_i \cdot m, \vec{n}_i \cdot n)\} \in \mathbb{W}_{\Phi}^0 \quad \forall n \in \mathbb{N}}{H \in \mathbb{W}_{\Phi}^0} \text{ PLAY}$$

#### Formulæ structure

$$\Phi \equiv \exists^{\mathsf{N}} x_1 \forall^{\mathsf{N}} y_1 \dots \exists^{\mathsf{N}} x_h \forall y_h (f(\vec{x}_h, \vec{y}_h) = 0)$$
$$\equiv \forall X_1 (\forall^{\mathsf{N}} x_1 (\forall^{\mathsf{N}} y_1 \Phi_1 \Rightarrow X_1) \Rightarrow X_1)$$

#### Formulæ structure

$$\Phi \equiv \exists^{N} x_{1} \forall^{N} y_{1} \dots \exists^{N} x_{h} \forall y_{h} (f(\vec{x}_{h}, \vec{y}_{h}) = 0)$$

$$\Phi_{0} \equiv \forall X_{1} (\forall^{N} x_{1} (\forall^{N} y_{1} \Phi_{1} \Rightarrow X_{1}) \Rightarrow X_{1})$$

$$\Phi_{i-1} \equiv \forall X_{i} (\forall^{N} x_{i} (\forall^{N} y_{i} \Phi_{i} \Rightarrow X_{i}) \Rightarrow X_{i})$$

$$\Phi_{h} \equiv \forall W (W (f(\vec{x}_{h}, \vec{y}_{h})) \Rightarrow W(0))$$

#### Formulæ structure

Introduction

$$\Phi_0 \equiv \forall X_1 (\forall^N x_1 (\forall^N y_1 \Phi_1 \Rightarrow X_1) \Rightarrow X_1) 
\Phi_{i-1} \equiv \forall X_i (\forall^N x_i (\forall^N y_i \Phi_i \Rightarrow X_i) \Rightarrow X_i) 
\Phi_h \equiv \forall W (W(f(\vec{x}_h, \vec{y}_h)) \Rightarrow W(0))$$

### Realizability

$$||A \Rightarrow B|| = \{u \cdot \pi : u \in |A| \land \pi \in ||B||\}$$
  
$$||\forall^{N} \times A(x)|| = \bigcup_{n \in \mathbb{N}} \{\overline{n} \cdot \pi : \pi \in ||A(n)||\}$$

#### Formulæ structure

$$\Phi_0 \equiv \forall X_1 (\forall^N x_1 (\forall^N y_1 \Phi_1 \Rightarrow X_1) \Rightarrow X_1)$$

#### Start:

- Eloise proposes  $t_0$  to defend  $\Phi_0$
- Abelard proposes  $u_0 \cdot \pi_0$  to attack  $\Phi_0$

move	$p_i$ ( $\exists$ -position)	history
0	$t_0\star u_0\cdot \pi_0$	$H_0 := \{(\emptyset, \emptyset, u_0, \pi_0)\}$

#### Formulæ structure

Introduction

$$\Phi_0 \equiv \forall X_1 (\forall^N x_1 (\forall^N y_1 \Phi_1 \Rightarrow X_1) \Rightarrow X_1)$$

#### Eloise reduces $p_0$ until

- $p_0 \succ u_0 \star \overline{m_1} \cdot t_1 \cdot \pi_0$ 
  - $\hookrightarrow$  she can decide to play  $(m_1, t_1)$  and ask for Abelard's answer
  - $\rightarrow$  Abelard must give  $\overline{n_1} \cdot u' \cdot \pi'$ .

#### Formulæ structure

Introduction

$$\Phi_0 \equiv \forall X_1 (\forall^N x_1 (\forall^N y_1 \Phi_1 \Rightarrow X_1) \Rightarrow X_1)$$

mov	vе	$p_i$ ( $\exists$ -position)	history
0		$t_0\star u_0\cdot \pi_0$	$H_0:=\{(\emptyset,\emptyset,u_0,\pi_0)\}$
1		$t_1 \star \overline{n}_1 \cdot u_1 \cdot \pi_1$	$H_1 := \{(m_1, n_1, u_1, \pi_1)\} \cup H_0$

### Eloise reduces $p_0$ until

- $p_0 \succ u_0 \star \overline{m_1} \cdot t_1 \cdot \pi_0$ 
  - $\hookrightarrow$  she *can* decide to play  $(m_1, t_1)$  and ask for Abelard's answer
  - $\hookrightarrow$  Abelard must give  $\overline{n_1} \cdot u' \cdot \pi'$ .

#### Formulæ structure

Introduction

$$\Phi_{i-1} \equiv \forall X_i (\forall^N x_i (\forall^N y_i \Phi_i \Rightarrow X_i) \Rightarrow X_i)$$

move	$p_i$ ( $\exists$ -position)	history
1	$t_1 \star \overline{n}_1 \cdot u_1 \cdot \pi_1$	$H_1 := \{(m_1, n_1, u_1, \pi_1)\} \cup H_0$
:	:	i i
i	$t_i \star \overline{n}_i \cdot u_i \cdot \pi_i$	$H_i := \{(m_i, n_i, u_i, \pi_i)\} \cup H_{i-1}$

### Eloise reduces $p_i$ until

- $p_i \succ u \star \overline{m} \cdot t \cdot \pi$  with  $(\vec{m_i}, \vec{n_i}, u, \pi) \in H_i$  where j < h.
  - $\rightarrow$  she *can* decide to play  $(m_{i+1}, t_{i+1})$
  - $\hookrightarrow$  Abelard must give  $\overline{n_i} \cdot u' \cdot \pi'$ .

#### Formulæ structure

$$\Phi_h \equiv \forall W(W(f(\vec{x}_h, \vec{y}_h)) \Rightarrow W(0))$$

move	$p_i$ ( $\exists$ -position)	history	
1	$t_1 \star \overline{n}_1 \cdot u_1 \cdot \pi_1$	$H_1 := \{(m_1, n_1, u_1, \pi_1)\} \cup H_0$	
:	:	<u> </u>	
i	$t_i \star \overline{n}_i \cdot u_i \cdot \pi_i$	$H_i := \{(m_i, n_i, u_i, \pi_i)\} \cup H_{i-1}$	

### Eloise reduces $p_i$ until

- $p_i \succ u \star \overline{m} \cdot t \cdot \pi$  with  $(\vec{m}_j, \vec{n}_j, u, \pi) \in H_j$  where j < h.
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- $p_i \succ u \star \pi$  with  $(\vec{m}_h, \vec{n}_h, u, \pi) \in H_j$  $\rightarrow$  she wins iff  $\mathcal{M} \models f(\vec{m}_h, \vec{n}_h) = 0$ .

Introduction

# • if $\exists (\vec{m}_h, \vec{n}_h, u, \pi) \in H$ s.t. $p \succ u \star \pi$ and $\mathcal{M} \models f(\vec{m}_h, \vec{n}_h) = 0$ :

$$\overline{\langle \rho, H \rangle \in \mathbb{W}^1_\Phi} \ ^{\mathrm{Win}}$$

• for every  $(\vec{m}_i, \vec{n}_i, u, \pi) \in H$ ,  $m \in \mathbb{N}$  s.t.  $p \succ u \star \overline{m} \cdot t \cdot \pi$ :

$$\frac{\langle t \star \overline{n} \cdot u' \cdot \pi', H \cup \{(\overrightarrow{m}_i \cdot m, \overrightarrow{n}_i \cdot n, u', \pi')\} \rangle \in \mathbb{W}^1_{\Phi} \quad \forall (n', u', \pi')}{\langle p, H \rangle \in \mathbb{W}^1_{\Phi}} \quad \text{Play}$$

#### Winning strategy

 $t \in \Lambda_c$  s.t. for any handle  $(u, \pi) \in \Lambda \times \Pi$ 

$$\langle t \star u \cdot \pi, \{(\emptyset, \emptyset, u, \pi)\} \rangle \in \mathbb{W}_{\delta}^{3}$$

# $\mathbb{G}^1$ : formal definition

Introduction

• if  $\exists (\vec{m}_h, \vec{n}_h, u, \pi) \in H$  s.t.  $p \succ u \star \pi$  and  $\mathcal{M} \models f(\vec{m}_h, \vec{n}_h) = 0$ :

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 Win

• for every  $(\vec{m}_i, \vec{n}_i, u, \pi) \in H$ ,  $m \in \mathbb{N}$  s.t.  $p \succ u \star \overline{m} \cdot t \cdot \pi$ :

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# Specification result

# Adequacy

Introduction

If t is a winning strategy for  $\mathbb{G}^1_{\Phi}$ , then  $t \Vdash \Phi$ 

### Proof (sketch):

- play a match with stacks from falsity value,
- conclude by anti-reduction.

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Classical realizability

# Completeness of $\mathbb{G}^1$

If the calculus is deterministic and substitutive, then if  $t \Vdash \Phi$  then t is a winning strategy for the game  $\mathbb{G}^1_{\Phi}$ 

# Proof (sketch): by contradiction

- substitute Abelard's winning answers along the thread scheme,
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The general case

# Loosing the substition

#### quote

Introduction

quote 
$$\star \varphi \cdot t \cdot \pi \succ t \star \overline{n_{\varphi}} \cdot \pi$$

- the calculus is no longer substitutive
- there are some wild realizers which are not winning strategies!

Consider 
$$\Phi \leq \equiv \exists^{N} x \forall^{N} y (x \leq y)$$
 and  $t \leq s.t.$ 

$$t \leq \star u \cdot \pi \succ T_0 \star \pi \succ u \star \overline{0} \cdot T_1 \cdot \pi$$

and

$$T_1 \star \overline{n} \cdot u' \cdot \pi' \succ \left\{ \begin{array}{ll} \mathbb{I} \star \pi' & \text{if } u' \equiv T_0 \text{ and } \pi \equiv \pi' \\ u' \star \pi' & \text{otherwise} \end{array} \right.$$

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# $\mathbb{G}^2$ : non-substitutive case

- → Idea: I've already been there...
  - if  $\exists (\vec{m}_h, \vec{n}_h, u, \pi) \in H$  s.t.  $p \succ u \star \pi$  and  $\mathcal{M} \vDash f(\vec{m}_h, \vec{n}_h) = 0$ :

$$\overline{\langle p, H \rangle \in \mathbb{W}^1_\Phi}$$
 Win

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Classical realizability

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  - if  $\exists (\vec{m}_h, \vec{n}_h, u, \pi) \in H$ ,  $\exists p \in \mathbf{P}$  s.t.  $p \succ u \star \pi$  and  $\mathcal{M} \models f(\vec{m}_h, \vec{n}_h) = 0$ :

$$\overline{\langle \mathbf{P}, H \rangle \in \mathbb{W}_{\Phi}^2}$$
 Win

• for every  $(\vec{m}_i, \vec{n}_i, u, \pi) \in H$ ,  $m \in \mathbb{N}$  s.t.  $\exists p \in \mathbf{P}$ ,  $p \succ u \star \overline{m} \cdot t \cdot \pi$ :

$$\frac{\langle \{t \star \overline{n} \cdot u' \cdot \pi'\} \cup \mathbf{P} \rangle, H \cup \{(\vec{m}_i \cdot m, \vec{n}_i \cdot n, u', \pi')\} \in \mathbb{W}_{\Phi}^2 \quad \forall (n', u', \pi')}{\langle \mathbf{P}, H \rangle \in \mathbb{W}_{\Phi}^2} \quad P$$

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## Completeness of $\mathbb{G}^2$

If  $t \Vdash \Phi$  then t is a winning strategy for the game  $\mathbb{G}_{\Phi}^2$ 

Proof (sketch): by contradiction,

- build an increasing sequence  $\langle P_i, H_i \rangle$  using  $(\forall)$  winning answers,
- define  $\perp := (\bigcup_{p \in P_{\infty}} \mathbf{th}(p))^c$ ,
- reach a contradiction.

# Consequences

Introduction

# **Proposition**: Uniform realizer

There exists a term T such that if:

- $\mathcal{M} \models \exists x_1 \forall y_1 ... f(\vec{x}, \vec{y}) = 0$
- $\theta_f$  computes f then

$$T\theta_f \Vdash \exists x_1 \forall y_1 ... f(\vec{x}, \vec{y}) = 0$$

### Proposition

There is a winning strategy iff  $\mathcal{M} \models \exists x_1 \forall y_1...f(\vec{x}, \vec{y}) = 0$ .

#### Theorem: Absoluteness

If  $\Phi$  is an arithmetical formula, then

$$\exists t \in \Lambda_c, t \Vdash \Phi \quad \text{iff} \quad \mathcal{M} \models \Phi$$

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Comments & conclusion

# About equality

$$\Phi_{2h}: \exists x_1 \forall y_1 \dots \exists x_h \forall y_h f(\vec{x}_h, \vec{y}_h) \neq 0$$

	$\ f(x)=0\ $	$  f(x)\neq 0  $
$\mathcal{M} \vDash f(x) = 0$	$\ \forall X.X \to X\ $	П
$\mathcal{M} \vDash f(x) \neq 0$	$\Lambda_c  imes \Pi$	Ø

$$\forall n \in \mathbb{N}, \text{ there exists } t_n \in \Lambda_c \text{ s.t. } \forall f : \mathbb{N}^{2n} \to \mathbb{N},$$
 
$$\mathcal{M} \vDash \exists x_1 \forall y_1 \dots f(\vec{x}, \vec{y}) \neq 0 \quad \Rightarrow \quad t_n \Vdash \exists^N x_1 \forall^N y_1 \dots f(\vec{x}, \vec{y}) \neq 0.$$

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#### Uniform realizer

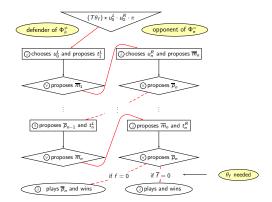
$$\forall n \in \mathbb{N}$$
, there exists  $t_n \in \Lambda_c$  s.t.  $\forall f : \mathbb{N}^{2n} \to \mathbb{N}$ ,  $\mathcal{M} \models \exists x_1 \forall y_1 \dots f(\vec{x}, \vec{y}) \neq 0 \implies t_n \Vdash \exists^{N} x_1 \forall^{N} y_1 \dots f(\vec{x}, \vec{y}) \neq 0$ .

→ t does not necessarily play according to the formula...

# Combining strategies

Introduction

Forall n, there exists a term  $T_n$  s.t. if  $\theta_f$  computes f, then  $T_n\theta_f \Vdash \Phi_n^{\neq} \Rightarrow \Phi_n^{=}$ 



# About absoluteness

Introduction

- it was already known
- it extends to realizability algebras
- we now know even more :

#### Shoenfield barrier

Every  $\Sigma_2^1/\Pi_2^1$ -relation is absolute for all inner models  $\mathcal M$  of ZF.

#### Krivine'14

There exists an ultrafilter on 12

### Corollary

For any realizability algebra A,  $M^A$  contains a proper class M' which is an *inner model* of 7E.

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For any realizability algebra  $\mathcal{A}$ ,  $\mathcal{M}^{\mathcal{A}}$  contains a proper class  $\mathcal{M}'$  which is an *inner model* of 7E.

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#### What we did:

- We defined two games for substitutive and non-substitutive cases
- We proved equivalence between universal realizers and winning strategies
- It solved both specification and absoluteness problems

#### Further work:

- classes of formulæ compatible with games ?
- transformation  $\mathbb{G}^1 \leadsto \mathbb{G}^2$  generic ?
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Thank you for your attention.

Conclusion