

A journey through Krivine realizability

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Introduction

The cornerstone

Proof:

from a set of **hypotheses**

apply **deduction rules**

to obtain a **theorem**

Program:

from a set of **inputs**

apply **instructions**

to obtain the **output**

Curry-Howard

(On well-chosen subsets of mathematics and programs)

That's the same thing!

A somewhat obvious observation

Deduction rules

$$\frac{A \in \Gamma}{\Gamma \vdash A} \text{ (Ax)}$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \text{ } (\rightarrow_I)$$

$$\frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} \text{ } (\rightarrow_E)$$

Typing rules

$$\frac{(\textcolor{blue}{x} : A) \in \Gamma}{\Gamma \vdash \textcolor{blue}{x} : A} \text{ (Ax)}$$

$$\frac{\Gamma, \textcolor{blue}{x} : A \vdash \textcolor{blue}{t} : B}{\Gamma \vdash \lambda x. \textcolor{blue}{t} : A \rightarrow B} \text{ } (\rightarrow_I)$$

$$\frac{\Gamma \vdash \textcolor{blue}{t} : A \rightarrow B \quad \Gamma \vdash \textcolor{blue}{u} : A}{\Gamma \vdash \textcolor{blue}{t} \textcolor{blue}{u} : B} \text{ } (\rightarrow_E)$$

Proofs-as-programs

Formulas \equiv Types

Proofs \equiv λ -terms



Proofs-as-programs

The Curry-Howard correspondence

Mathematics

Proofs
Propositions
Deduction rules
$\frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} (\Rightarrow_E)$
A implies B
A and B
$\forall x \in A. B(x)$

Computer Science

Programs
Types
Typing rules
$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B} (\rightarrow_E)$
function $A \rightarrow B$
pair of A and B
dependent product $\Pi x : A. B$

Benefits:

Program your proofs!

Prove your programs!

Proofs-as-programs

This is about **provability**.

syntax

Intuitionistic realizability

Brouwer-Heyting-Kolmogoroff interpretation (1932)

evidence of $A \wedge B$: evidence of A and an evidence of B ;

evidence of $A \vee B$: either an evidence of A or an evidence of B ;

evidence of $A \rightarrow B$: transforms evidence of A into evidence of B ;

evidence of \perp : absurdity \perp (contradiction) has no evidence.

Intuitionistic realizability :

“evidence” = realizer

Intuitionistic realizability

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Intuitionistic realizability

Kleene realizability (1945)

Realizers = Computable functions

(identified with its Gödel's number)

Rephrased with λ -terms:

$t \Vdash A \wedge B : t \rightarrow (t_1, t_2) \text{ s.t. } t_1 \Vdash A \wedge t_2 \Vdash B;$

$t \Vdash A \vee B : (t \rightarrow \text{inl}(u) \wedge u \Vdash A) \text{ or } (t \rightarrow \text{inr}(u) \wedge u \Vdash B);$

$t \Vdash A \rightarrow B : \text{for any } u \Vdash A, tu \Vdash B;$

$\nVdash \perp : \text{there is no } t \text{ s.t. } t \Vdash \perp$

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Realizability in 1 slide

Main intuition

t realizes A : t computes adequately w.r.t. A

Soundness

$$HA \vdash A \quad \Rightarrow \quad t \Vdash A$$

A realizability interpretation is a **model**.

Consistency

$$HA \not\vdash \perp$$

Consequences:

- disjunction/witness property
- normalization of typed terms
reducibility candidates

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Adequacy

$$\vdash t : A \quad \Rightarrow \quad t \Vdash A$$

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$$H A Y \perp$$

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Bad news

Yet a lot of things are missing

Limitations

Mathematics

- $A \vee \neg A$
- $\neg\neg A \Rightarrow A$
- All sets can be well-ordered
- Sets that have the same elements are equal

Computer Science

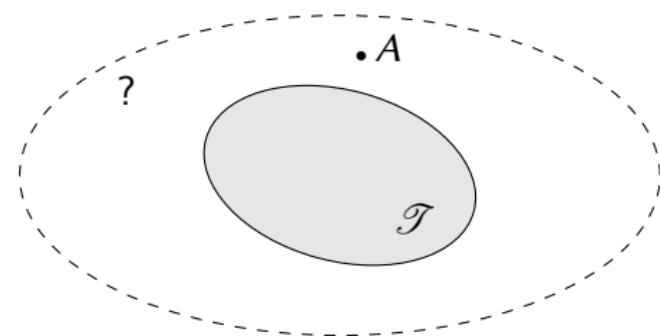
- try... catch ...
- x := 42
- random()
- stop
- goto

↪ We want more !

Non-constructive principles

Side-effects

Extending Curry-Howard



New axiom

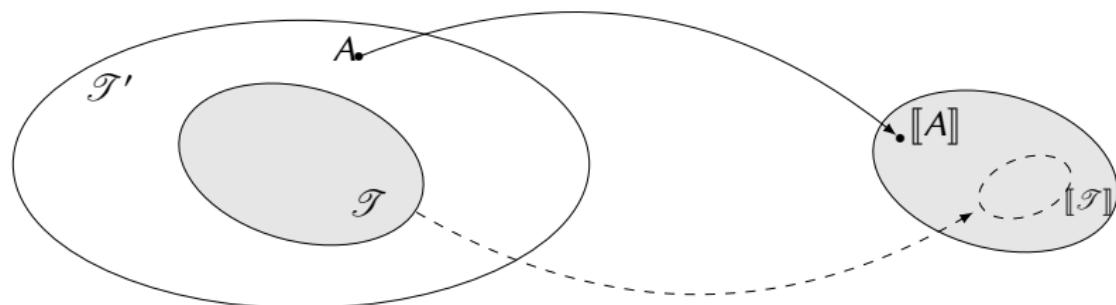
~ Programming primitive



Logical translation

~ Program translation

Extending Curry-Howard



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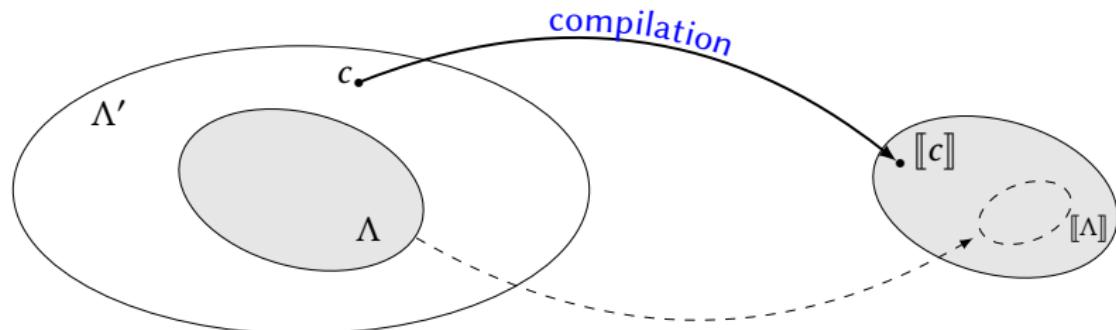
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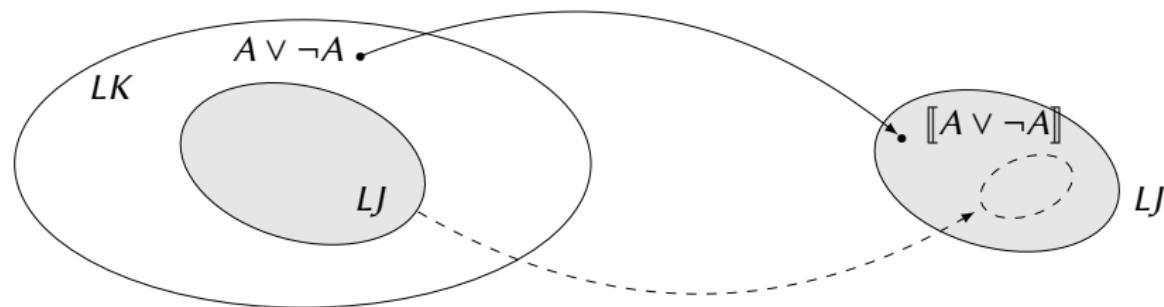
\Updownarrow

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Logical translation \sim Program translation

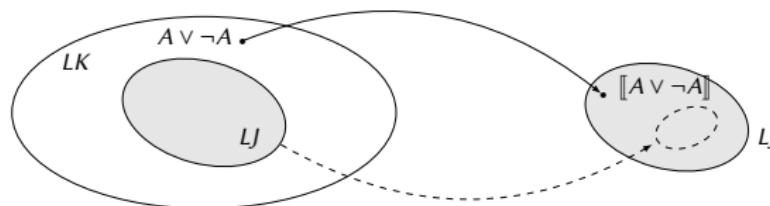
Classical logic

Classical logic = Intuitionistic logic + $A \vee \neg A$



Classical logic

$$\text{Classical logic} = \text{Intuitionistic logic} + A \vee \neg A$$



New axiom

$$A \vee \neg A$$

Who doesn't use it?

$$\Updownarrow$$

Logical translation

$$A \mapsto \neg\neg A$$

Gödel's negative translation

Programming primitive

$$\text{call/cc}$$

Backtracking operator

$$\Updownarrow$$

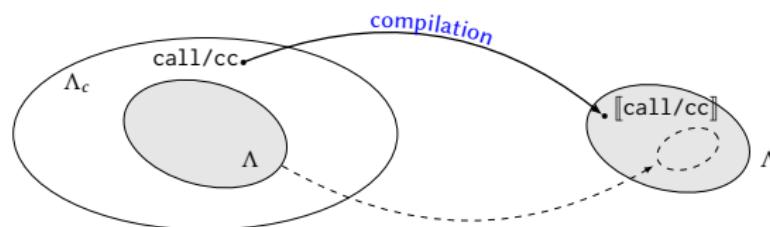
Program translation

$$z \mapsto \lambda k. k z$$

Continuation-passing style translation

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$$2 \mapsto \lambda k. k\ 2$$

Continuation-passing style translation

Computational content of classical logic

What is a program for $A \vee (A \rightarrow \perp)$?

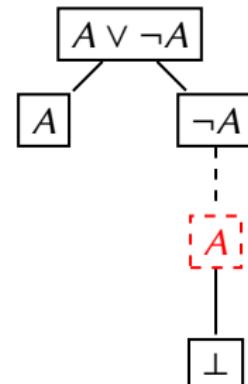
In the pure λ -calculus:

- $A \vee B \rightsquigarrow \text{choose one side and give a proof}$
- $A \rightarrow B \rightsquigarrow \text{given a proof of } A, \text{ computes a proof of } B$

Which side to choose?

Extension: call/cc allows us to *backtrack*

- ➊ Create a backtrack point
- ➋ Play right: $A \rightarrow \perp$
- ➌ Given a proof t of A , go back to 1
- ➍ Play left: A
- ➎ Give t



$$\text{em} \triangleq \text{call/cc}(\lambda k. \text{inr}(\lambda t. k \text{ inl}(t)))$$

Computational content of classical logic

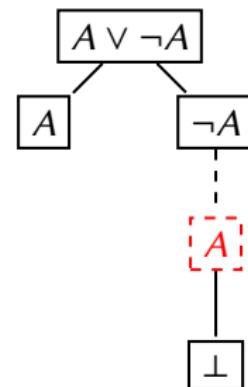
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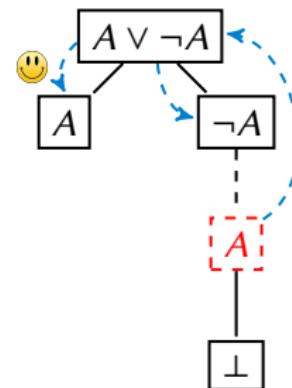
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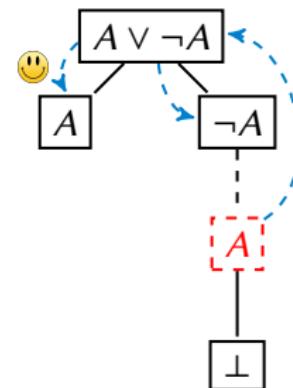
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Curien-Herbelin's duality of computation

The duality of computation
Curien, Herbelin [2000]

Griffin (1990): classical logic \cong control operator

Curien-Herbelin's observation:

Computational duality:



This is where classical computation lie.

Curien-Herbelin's duality of computation

Griffin (1990): classical logic \cong control operator

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Curien-Herbelin's observation:

calculus and $\lambda\mu$ -calculus. Our starting point was the observation that the call-by-value discipline manipulates input much in the same way as (the classical extension of) λ -calculus manipulates output. Computing MN in call-by-

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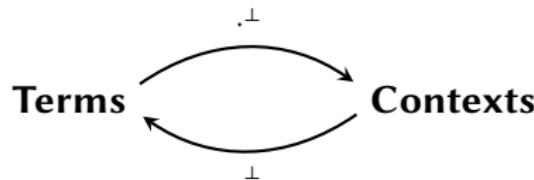
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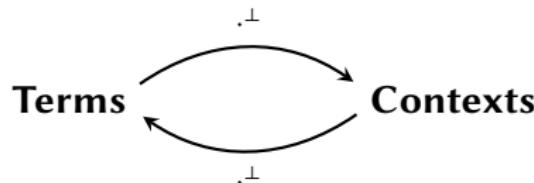
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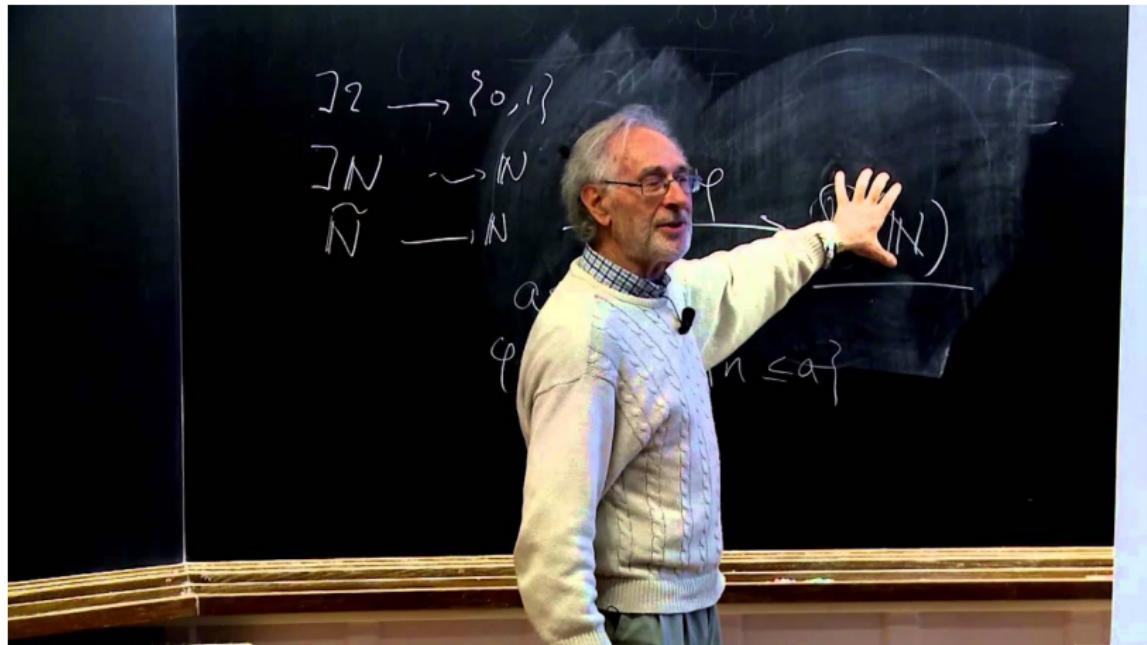


This is where classical computation lie.

Krivine realizability

Unveiling the computational contents of proofs

What belongs to Caesar...



What belongs to Caesar...



©V. Padovani

Krivine realizability, from above

- A **complete reformulation** of intuitionistic realizability.

Necessary reformulation:

$$\forall x.(H(x) \vee \neg H(x)) \text{ not realized}$$



- duality between terms / contexts
- interaction player / opponent

- (wait for the next slides)

- prove normalization/soundness properties
- analyze computational behaviours of programs
- build new models

(wait for next week)

Krivine realizability, from above

- A **complete reformulation** of intuitionistic realizability.
- Computational **classical logic**:
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- Powerful tool to:
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Krivine realizability, from inside

A 3-steps recipe

- ① an operational semantics
- ② a logical language
- ③ formulas interpretation

Krivine realizability, from inside

A 3-steps recipe

- ① an operational semantics (*a.k.a. the abstract Krivine machine*)

PUSH :	$\langle tu \parallel \pi \rangle > \langle t \parallel u \cdot \pi \rangle$
GRAB :	$\langle \lambda x. t \parallel u \cdot \pi \rangle > \langle t\{x := u\} \parallel \pi \rangle$
SAVE :	$\langle cc \parallel t \cdot \pi \rangle > \langle t \parallel k_\pi \cdot \pi \rangle$
RESTORE :	$\langle k_\pi \parallel t \cdot \rho \rangle > \langle t \parallel \pi \rangle$

- ② a logical language (*a.k.a. a type system*)

1st-order terms $e ::= x \mid f(e_1, \dots, e_k)$

Formulas $A, B ::= X(e_1, \dots, e_k) \mid A \Rightarrow B \mid \forall x. A \mid \forall X. A$

- ③ formulas interpretation

Krivine realizability, from inside

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- ② a logical language (*a.k.a. a type system*)
- ③ formulas interpretation

- **falsity** value $\|A\|$: **stacks**, **opponent** to A
- **truth** value $|A|$: **terms**, **player** of A
- **pole** $\perp\!\!\!\perp$: **processes**, **referee**

λ_c -calculus

Terms, stacks, commands

Terms	$t, u ::= x \mid \lambda x. t \mid tu \mid k_\pi \mid \kappa$	$(\kappa \in C)$
Stacks	$\pi ::= \alpha \mid t \cdot \pi$	$(\alpha \in \mathcal{B}, t \text{ closed})$
Commands	$c, c' ::= \langle t \parallel \pi \rangle$	$(t \text{ closed})$

\mathcal{B} : stack constants

C : instructions (s.t. $cc \in C$), enumerable

KAM

$$\begin{array}{lll} \text{PUSH} & \langle tu \parallel \pi \rangle & \rightarrow \langle t \parallel u \cdot \pi \rangle \\ \text{GRAB} & \langle \lambda x. t \parallel u \cdot \pi \rangle & \rightarrow \langle t \{x := u\} \parallel \pi \rangle \end{array}$$

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Second order arithmetic

Language

Expressions $e ::= x \mid f(e_1, \dots, e_k)$

Formulas $A, B ::= X(e_1, \dots, e_k) \mid A \Rightarrow B \mid \forall x.A \mid \forall X.A$

Abbreviations :

$$\perp \equiv \forall Z.Z$$

$$\neg A \equiv A \Rightarrow \perp$$

$$A \wedge B \equiv \forall Z((A \Rightarrow B \Rightarrow Z) \Rightarrow Z)$$

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$$\exists x A(x) \equiv \forall Z(\forall x(A(x) \Rightarrow Z) \Rightarrow Z)$$

$$\exists X A(X) \equiv \forall Z(\forall X(A(X) \Rightarrow Z) \Rightarrow Z)$$

$$e_1 = e_2 \equiv \forall Z(Z(e_1) \Rightarrow Z(e_2))$$

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Typing rules

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$$\frac{}{\Gamma \vdash t : \top} FV(t) \subset \text{dom}(\Gamma)$$

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$$\frac{\Gamma \vdash t : \forall X. A}{\Gamma \vdash t : A\{X(x_1, \dots, x_k) := B\}}$$

$$\frac{}{\Gamma \vdash \mathbf{cc} : ((A \Rightarrow B) \Rightarrow A) \Rightarrow A}$$

Realizability interpretation (1/2)

Intuition

- falsity value $\|A\|$: **stacks, opponent** to A
- truth value $|A|$: **proofs, player** of A
- pole \perp : **commands, referee**

$$\langle t \parallel \pi \rangle > c_0 > \dots > c_n \in \perp ?$$

$\rightsquigarrow \perp \subset \Lambda \times \Pi$ closed by anti-reduction

Truth value defined by **orthogonality** :

$$|A| = \|A\|^{\perp} = \{t \in \Lambda : \forall \pi \in \|A\|, \langle t \parallel \pi \rangle \in \perp\}$$

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- falsity value $\|A\|$: **stacks, opponent** to A
- truth value $|A|$: **proofs, player** of A
- pole $\perp\!\!\!\perp$: **commands, referee**

$$\langle t \parallel \pi \rangle > c_0 > \dots > c_n \in \perp\!\!\!\perp ?$$

$\rightsquigarrow \perp\!\!\!\perp \subset \Lambda \times \Pi$ closed by anti-reduction

Truth value defined by **orthogonality** :

$$|A| = \|A\|^{\perp\!\!\!\perp} = \{t \in \Lambda : \forall \pi \in \|A\|, \langle t \parallel \pi \rangle \in \perp\!\!\!\perp\}$$

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Realizability interpretation (2/2)

Standard model \mathbb{N} for 1st-order expressions

Definition (Pole)

$$\textcolor{teal}{\mathbb{U}} \subseteq \Lambda \times \Pi \text{ s.t. } \forall c, c', (c' \in \textcolor{teal}{\mathbb{U}} \wedge c \rightarrow c') \Rightarrow c \in \textcolor{teal}{\mathbb{U}}$$

Falsity value (**opponent**):

$$\|\dot{F}(e_1, \dots, e_k)\| = F(\llbracket e_1 \rrbracket, \dots, \llbracket e_k \rrbracket)$$

$$\|A \rightarrow B\| = \{u \cdot \pi : u \in |A| \wedge \pi \in \|B\|\}$$

$$\|\forall x.A\| = \bigcup_{n \in \mathbb{N}} \|A[n/x]\|$$

$$\|\forall X.A\| = \bigcup_{F:\mathbb{N}^k \rightarrow \mathcal{P}(\Pi)} \|A[\dot{F}/X]\|$$

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One lemma to rule them all

Adequacy

If $\vdash t : A$ then $t \in |A|$ for any pole.

Consequences

Normalizing commands

$\Pi_{\Downarrow} \triangleq \{c : c \text{ normalizes}\}$ defines a valid pole.

Proof. If $c \rightarrow c'$ and c' normalizes, so does c .



Normalization

Typed terms normalize.

Proof. Using the lemma above.



Soundness

There is no term t such that $\vdash t : \perp$.

Proof. Otherwise, $t \in |\perp| = \Pi^{\perp\perp}$ for any pole, absurd ($\perp \triangleq \emptyset$).



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Connecting the dots

We saw that:

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New axiom	$A \vee \neg A$	\sim	Programming primitive
	Who doesn't use it?		call/cc
	\Downarrow		Backtracking operator
Logical translation		\Downarrow	Program translation
	$A \mapsto \neg\neg A$	\sim	$2 \mapsto \lambda k.k\ 2$
	Gödel's negative translation		Continuation-passing style translation

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$\forall x.(H(x) \vee \neg H(x))$ is not realized intuitionistically.

In fact:

Theorem

Krivine = Int. Realizability \circ Negative translation

On Krivine's Realizability Interpretation of Classical Second-Order Arithmetic
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User guide

Diving into the details

HowTo: Realizability proofs

Building realizers (1/2)

The easiest proof of all :

$$\lambda x.x \Vdash \forall X.(X \Rightarrow X)$$

Proof :

Let \perp be any pole. By definition, we have

$$\|\forall X(X \Rightarrow X)\| = \bigcup_{\mathbf{X} \in \mathcal{P}(\Pi)} \|\dot{\mathbf{X}} \Rightarrow \dot{\mathbf{X}}\|$$

Since $\dot{\mathbf{X}} \Rightarrow \dot{\mathbf{X}}$ is a closed term, it is a realizer.

Besides,

$$\langle \lambda x.x \| u \cdot \pi \rangle \rightarrow \langle \cdot \rangle$$

Then by anti-reduction, we get :

$$\langle \lambda x.x \| u \cdot \pi \rangle \in \perp$$

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$$\langle \lambda x.x \| u \cdot \pi \rangle > \langle u \| \pi \rangle$$

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Building realizers (2/2)

The most classical proof of all

$$\text{em} := \lambda \ell r . \mathbf{cc}(\lambda k.r (\lambda a.k (\ell a))) \Vdash \forall A.(A \vee \neg A)$$

Proof :

Let \perp be any pole. By definition, we have

$$\forall A.(A \vee \neg A) \equiv \forall A.(\forall X.((A \Rightarrow X) \Rightarrow ((A \Rightarrow \perp) \Rightarrow X) \Rightarrow X))$$

Besides,

$$\langle \text{em} \parallel \ell \cdot r \cdot \pi \rangle > \langle r \parallel \lambda a.k_\pi(\ell a) \cdot \pi \rangle$$

The question become :

$$\lambda a.k_\pi(\ell a) \Vdash A \Rightarrow \perp ?$$

We conclude by anti-reduction, since for any $a \in |A|$, $\rho \in \|\perp\|$:

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Proof?

Claim:

"The adequacy theorem [...] corresponds to an evaluation procedure."

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“The adequacy theorem [...] corresponds to an evaluation procedure.”

Proof:

Normalization by realizability
Dagand, Rieg, Scherer [2019]

Adequacy

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If $\Gamma \vdash t : A$ and $\sigma \Vdash_{\rho} \Gamma$ then $\sigma(t) \in |A|_{\rho}$ for any pole and any ρ .

Claim:

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“The adequacy theorem [...] corresponds to an evaluation procedure.”

Proof :

Induction on typing rules.

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If $\Gamma \vdash t : A$ and $\sigma \Vdash_\rho \Gamma$ then $\sigma(t) \in |A|_\rho$ for any pole and any ρ .

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Case
$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : B}{\Gamma \vdash tu : B} (\rightarrow_I)$$

IH :

- $t \Vdash_\rho A \rightarrow B$
- $u \Vdash_\rho A$

Goal : $tu \Vdash_\rho B$

Let $\pi \in \|B\|_\rho$, we have :

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By IH: $u \cdot \pi \in \|A \rightarrow B\|_\rho$, hence the result by anti-reduction.

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$$\text{Case } \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B} (\rightarrow_E)$$

$$\text{IH : } \sigma' \Vdash_\rho \Gamma, x : a \Rightarrow \sigma'(t) \Vdash_\rho B$$

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Let $u \cdot \pi \in \|A \rightarrow B\|_\rho$, we have :

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GRAB

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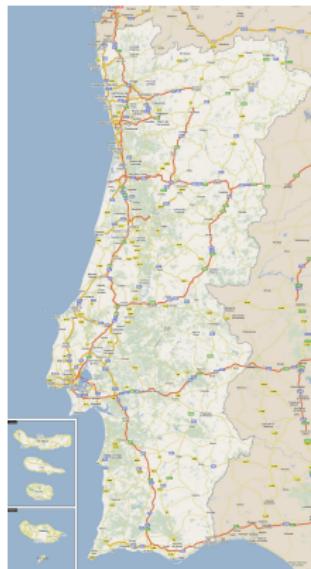
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“The adequacy theorem [...] corresponds to an evaluation procedure.”

✓ Yes, indeed!

Typing vs. realizability

This is highly **syntactic**.
(provability)



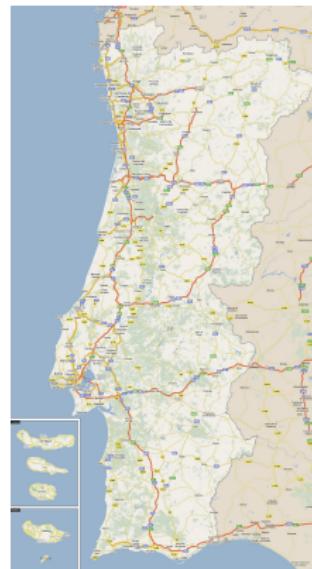
Typing
 $\vdash t : A$

Realizability is about **semantics**.
(validity)

Realizability
 $t \Vdash A$

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\sqsubseteq
adequacy

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Realizability
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HowTo: Extensions

Modularity at the rescue

An innocent riddle

Say we have an axiom we cannot realize. What can we do?

(Hint: think of $A \vee \neg A$ and call/cc)

An innocent riddle

Say we have an axiom we cannot realize. What can we do?

(*Hint: think of $A \vee \neg A$ and call/cc*)

An innocent riddle

The motto

With side-effects come new reasoning principles.

An innocent riddle

Terms, stacks, commands

Terms	$t, u ::= x \mid \lambda x. t \mid tu \mid k_\pi \mid \kappa$	$(\kappa \in C)$
Stacks	$\pi ::= \alpha \mid t \cdot \pi$	$(\alpha \in \mathcal{B}, t \text{ closed})$
Commands	$c, c' ::= \langle t \parallel \pi \rangle$	$(t \text{ closed})$

\mathcal{B} : stack constants

C : instructions (s.t. $cc \in C$), enumerable

Realizing dependent choice

quote

Suppose we have a surjective map $n \mapsto t_n$, we set :

$$(\text{QUOTE}) \quad \langle \text{quote} \parallel t \cdot u \cdot \pi \rangle > \langle u \parallel \bar{n} \cdot \pi \rangle$$

where n is any integer such that $t_n = t$.

Dependent Choice [Krivine'03]

Using quote, one can define ϕ st $t \Vdash DC$

Actually, not the only approach to get DC:

- with a bar recursor (Berger-Oliva, Krivine, Blot)
- via dependent types and memoization (Herbelin, M.)

Remarks

- If $t \Vdash A$ for any role, then $\vdash t : A$ is a valid axiom from the

Realizing dependent choice

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Remarks

- If $t \Vdash A$ for any pole, then $\vdash t : A$ is a valid axiom from the computational point-of-view
- We can add other instructions : \mathbb{N} , eq, stop, print...
- Adding new instructions may break some properties!

Realizing dependent choice

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- If $t \Vdash A$ for any pole, then $\vdash t : A$ is a valid axiom from the computational point-of-view
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HowTo: Get your own interpretation

Danvy's semantic artifacts

The $\lambda\mu\tilde{\mu}$ -calculus

The duality of computation
Curien, Herbelin [2000]

Syntax:

TERMS	$t ::= x \mid \lambda x.t \mid \mu\alpha.c$
CONTEXTS	$e ::= \alpha \mid t \cdot e \mid \tilde{\mu}x.c$
COMMANDS	$c ::= \langle t \parallel e \rangle$

Reduction:

$$\begin{aligned} & \text{let } x=u \text{ in } \langle t \parallel e \rangle \\ \langle \lambda x.t \parallel u \cdot e \rangle & \rightarrow \overbrace{\langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle}^{\text{let } x=u \text{ in } \langle t \parallel e \rangle} \\ \langle t \parallel \tilde{\mu}x.c \rangle & \rightarrow c[t/x] \\ \langle \mu\alpha.c \parallel e \rangle & \rightarrow c[e/\alpha] \end{aligned}$$

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Critical pair:

$$\begin{array}{ccc}\langle \mu\alpha.c \parallel \tilde{\mu}a.c' \rangle & & \\ \swarrow & & \searrow \\ c[\tilde{\mu}x.c'/\alpha] & & c'[\mu\alpha.c/x]\end{array}$$

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Syntax:

TERMS	$t ::= \textcolor{teal}{V} \mid \mu\alpha.c$	(Values)	$\textcolor{teal}{V} ::= x \mid \lambda x.t$
CONTEXTS	$e ::= \textcolor{violet}{E} \mid \tilde{\mu}x.c$	(Co-values)	$\textcolor{violet}{E} ::= \alpha \mid t \cdot e$
COMMANDS	$c ::= \langle t \parallel e \rangle$		

Reduction:

$$\begin{aligned}\langle \lambda x.t \parallel u \cdot e \rangle &\rightarrow \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle \\ \langle \textcolor{teal}{t} \parallel \tilde{\mu}x.c \rangle &\rightarrow c[t/x] \quad t \in \mathcal{T} \\ \langle \mu\alpha.c \parallel \textcolor{violet}{e} \rangle &\rightarrow c[e/\alpha] \quad e \in \mathcal{E}\end{aligned}$$

Critical pair:

$$\begin{array}{ccc} & \langle \mu\alpha.c \parallel \tilde{\mu}a.c' \rangle & \\ \text{CbV} \swarrow & & \searrow \text{CbN} \\ c[\tilde{\mu}x.c'/\alpha] & & c'[\mu\alpha.c/x] \end{array}$$

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Syntax:

TERMS	$t ::= V \mid \mu\alpha.c$	(Values)	$V ::= x \mid \lambda x.t$
CONTEXTS	$e ::= E \mid \tilde{\mu}x.c$	(Co-values)	$E ::= \alpha \mid t \cdot e$
COMMANDS	$c ::= \langle t \parallel e \rangle$		

Typing rules:

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : A \vdash \Delta}{\langle t \parallel e \rangle : (\Gamma \vdash \Delta)}$$

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A \mid \Delta}$$

$$\frac{\Gamma, x : A \vdash t : B \mid \Delta}{\Gamma \vdash \lambda x.t : A \rightarrow B \mid \Delta}$$

$$\frac{c : (\Gamma \vdash \Delta, \alpha : A)}{\Gamma \vdash \mu\alpha.c : A \mid \Delta}$$

$$\frac{(\alpha : A) \in \Delta}{\Gamma \mid \alpha : A \vdash \Delta}$$

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : B \vdash \Delta}{\Gamma \mid t \cdot e : A \rightarrow B \vdash \Delta}$$

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$$\frac{\Gamma, \quad A \vdash B \mid \Delta}{\Gamma \vdash A \rightarrow B \mid \Delta}$$

$$\frac{\Gamma \vdash \Delta, \quad A}{\Gamma \vdash A \mid \Delta}$$

$$\frac{A \in \Delta}{\Gamma \mid A \vdash \Delta}$$

$$\frac{\Gamma \vdash A \mid \Delta \quad \Gamma \mid B \vdash \Delta}{\Gamma \mid A \rightarrow B \vdash \Delta}$$

$$\frac{\Gamma, \quad A \vdash \Delta}{\Gamma \mid A \vdash \Delta}$$

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Call-by-value $\lambda\mu\tilde{\mu}$ -calculus

Syntax:

TERMS	$t ::= V \mid \mu\alpha.c$	CONTEXTS	$e ::= E \mid \tilde{\mu}x.c$
VALUES	$V ::= x \mid \lambda x.t$	Co-VALUES	$E ::= \alpha \mid t \cdot e$
COMMANDS		$c ::= \langle t \parallel e \rangle$	

Reduction rules:

$$\begin{array}{lll} \langle \mu\alpha.c \parallel e \rangle & \rightarrow & c[e/\alpha] \\ \langle V \parallel \tilde{\mu}x.c \rangle & \rightarrow & c[V/x] \\ \langle \lambda x.t \parallel u \cdot e \rangle & \rightarrow & \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle \end{array}$$

Question

How to define an adequate realizability interpretation?

Intuitions

Call-by-value $\lambda\mu\tilde{\mu}$ -calculus

Syntax:

TERMS

 $t ::= V \mid \mu\alpha.c$

CONTEXTS

 $e ::= E \mid \tilde{\mu}x.c$

VALUES

 $V ::= x \mid \lambda x.t$

Co-VALUES

 $E ::= \alpha \mid t \cdot e$

COMMANDS

 $c ::= \langle t \parallel e \rangle$

Reduction rules:

$$\langle \mu\alpha.c \parallel e \rangle \rightarrow c[e/\alpha]$$

$$\langle V \parallel \tilde{\mu}x.c \rangle \rightarrow c[V/x]$$

$$\langle \lambda x.t \parallel u \cdot e \rangle \rightarrow \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle$$

Intuitions

Substitutions $\rightsquigarrow \begin{cases} \sigma \Vdash \Gamma, x : A & \triangleq \sigma \Vdash \Gamma \wedge \sigma(x) \in |A| \cap \text{Values} \\ \sigma \Vdash \Delta, \alpha : A & \triangleq \sigma \Vdash \Delta \wedge \sigma(\alpha) \in \|A\| \end{cases}$

Call-by-value $\lambda\mu\tilde{\mu}$ -calculus

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Reduction rules:

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Intuitions

Substitutions $\rightsquigarrow \begin{cases} \sigma \Vdash \Gamma, x : A & \triangleq \sigma \Vdash \Gamma \wedge \sigma(x) \in |A|_V \\ \sigma \Vdash \Delta, \alpha : A & \triangleq \sigma \Vdash \Delta \wedge \sigma(\alpha) \in \|A\| \end{cases}$

Call-by-value $\lambda\mu\tilde{\mu}$ -calculus

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Reduction rules:

✓

 $\langle \mu\alpha.c \parallel e \rangle$ \rightarrow $c[e/\alpha]$

✗

 $\langle V \parallel \tilde{\mu}x.c \rangle$ \rightarrow $c[V/x]$

✗

 $\langle \lambda x.t \parallel u \cdot e \rangle$ \rightarrow $\langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle$

Intuitions

A realizer should “work” given any opponent...

The unity of semantic artifacts

TERMS	$t ::= V \mid \mu\alpha.c$	CONTEXTS	$e ::= E \mid \tilde{\mu}x.c$
VALUES	$V ::= x \mid \lambda x.t$	Co-VALUES	$E ::= \alpha \mid t \cdot e$
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Small steps

t	$\langle \mu\alpha.c \parallel e \rangle_t$	\rightsquigarrow	$c_t[e/\alpha]$
	$\langle V \parallel e \rangle_t$	\rightsquigarrow	$\langle V \parallel e \rangle_e$
e	$\langle V \parallel \tilde{\mu}x.c \rangle_e$	\rightsquigarrow	$c_t[V/x]$
	$\langle V \parallel E \rangle_e$	\rightsquigarrow	$\langle V \parallel E \rangle_V$
v	$\langle \lambda x.t \parallel E \rangle_V$	\rightsquigarrow	$\langle \lambda x.t \parallel E \rangle_E$
E	$\langle \lambda x.t \parallel u \cdot e \rangle_E$	\rightsquigarrow	$\langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle_t$

Big steps

$\langle \mu\alpha.c \parallel e \rangle$	$\rightarrow c[E/\alpha]$
$\langle V \parallel \tilde{\mu}x.c \rangle$	$\rightarrow c[V/x]$
$\langle \lambda x.t \parallel u \cdot e \rangle$	$\rightarrow \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle$

The unity of semantic artifacts

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Small steps

$\vdash_t \langle \mu\alpha.c \parallel e \rangle_t \rightsquigarrow c_t[e/\alpha]$ $\langle V \parallel e \rangle_t \rightsquigarrow \langle V \parallel e \rangle_e$	$\vdash_e \langle V \parallel \tilde{\mu}x.c \rangle_e \rightsquigarrow c_t[V/x]$ $\langle V \parallel E \rangle_e \rightsquigarrow \langle V \parallel E \rangle_V$
$\vdash_v \langle \lambda x.t \parallel E \rangle_V \rightsquigarrow \langle \lambda x.t \parallel E \rangle_E$	$\vdash_{\mathcal{E}} \langle \lambda x.t \parallel u \cdot e \rangle_E \rightsquigarrow \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle_t$
	\downarrow

Realizability

$ A _t \triangleq \ A\ _e^{\perp\!\!\!\perp}$
$\ A\ _e \triangleq A _v^{\perp\!\!\!\perp}$
$ A \rightarrow B _v \triangleq \{\lambda x.t : \forall V \in A _V, t[V/x] \in B _t\}$

The unity of semantic artifacts

“The adequacy theorem [...] corresponds to an evaluation procedure.”

We get “for free”:

Adequacy

If $\sigma \Vdash \Gamma$ and $\sigma \Vdash \Delta$, then for any $\perp\!\!\!\perp$:

- | | |
|---|---|
| ❶ $\Gamma \vdash t : A \mid \Delta \Rightarrow \sigma(t) \in A _t$ | ❷ $\Gamma \mid e : A \vdash \Delta \Rightarrow \sigma(e) \in \ A\ _e$ |
| ❸ $\Gamma \vdash V : A \mid \Delta \Rightarrow \sigma(V) \in A _V$ | ❹ $c : (\Gamma \vdash \Delta) \Rightarrow \sigma(c) \in \perp\!\!\!\perp$ |

⇒ With the same method, we also can mechanically derive a typed CPS translation.

The unity of semantic artifacts

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- | | |
|--|--|
| 1 $\Gamma \vdash t : A \mid \Delta \Rightarrow \sigma(t) \in A _t$ | 3 $\Gamma \mid e : A \vdash \Delta \Rightarrow \sigma(e) \in \ A\ _e$ |
| 2 $\Gamma \vdash V : A \mid \Delta \Rightarrow \sigma(V) \in A _V$ | 4 $c : (\Gamma \vdash \Delta) \Rightarrow \sigma(c) \in \perp\!\!\!\perp$ |

⇒ With the same method, we also can mechanically derive a typed CPS translation.

The unity of semantic artifacts

Normalizing commands

$\perp\!\!\perp \triangleq \{c : c \text{ normalizes}\}$ defines a valid pole.

Proof. If $c \rightarrow c'$ and c' normalizes, so does c .



Normalization

For any command c , if $c : \Gamma \vdash \Delta$, then c normalizes.

Proof. By adequacy, any typed command c belongs to the pole $\perp\!\!\perp$.



Soundness

There is no term t such that $\vdash t : \perp \mid .$

Proof. Otherwise, $p \in |\perp|_p = \Pi^{\perp\!\!\perp}$ for any pole, absurd ($\perp\!\!\perp \triangleq \emptyset$).



Resumindo

We saw:

- **Classical logic:** interaction **terms**/**contexts**
- **Krivine realizability:**
 - interaction **player**/**opponent**
 - primitive falsity values + **orthogonality**
- Key property: **adequacy** w.r.t. typing

Killer features

- Normalization / soundness as corollaries
- Very modular
- Compatible with *your* favorite calculus (probably)
- More coming soon!

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Take-away message

The motto

With side-effects come new reasoning principles.

In the next episode

Next week, we shall dwell on:

- **specification problem**

“Who are the realizer of A?”

- witness extraction

Spoiler: it works for Σ_1^0 -formulas.

- connexion with forcing

Spoiler: realizability generalizes forcing!

- the algebraic structure of realizability models

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Questions?