Comparison results and improved quantified inequalities for semilinear elliptic equations

François Hamel [a] and Emmanuel Russ [b*]

[a] Aix Marseille Université, CNRS, Centrale Marseille
Institut de Mathématiques de Marseille, UMR 7373, 13453 Marseille, France
[b] Université Joseph Fourier, Institut Fourier, 100 rue des maths, BP 74, 38402 Saint-Martin d'Hères Cedex, France

Abstract

In this paper, we prove some pointwise comparison results between the solutions of some second-order semilinear elliptic equations in a domain Ω of $\mathbb{R}^n$ and the solutions of some radially symmetric equations in the equimeasurable ball Ω^*. The coefficients of the symmetrized equations in Ω^* satisfy similar constraints as the original ones in Ω. We consider both the case of equations with linear growth in the gradient and the case of equations with at most quadratic growth in the gradient. Moreover, we show some improved quantified comparisons when the original domain is not a ball. The method is based on a symmetrization of the second-order terms.

1 Introduction and main results

Throughout all the paper, $n \geq 1$ is a given integer, Ω is a bounded domain of $\mathbb{R}^n$ of class C^2 and Ω^* denotes the open Euclidean ball centered at 0 such that $|\Omega^*| = |\Omega|$, where by a domain we mean a non-empty open connected subset of $\mathbb{R}^n$ and, for any measurable subset $E \subset \mathbb{R}^n$, $|E|$ stands for the Lebesgue measure of E.

We consider the following problem: given a bounded domain $\Omega \subset \mathbb{R}^n$ of class C^2 and given a positive solution u of a quasilinear elliptic equation of the type

$$\begin{cases} -\mathrm{div}(A(x)\nabla u) + H(x, u, \nabla u) &= 0 \;\; \text{in } \Omega, \\ u &= 0 \;\; \text{on } \partial\Omega, \end{cases} \tag{1.1}$$

in a sense to be detailed later, we want to compare u to a solution v of a similar problem in the ball Ω^*, namely

$$\begin{cases} -\mathrm{div}(\widehat{A}(x)\nabla v) + \widehat{H}(x, v, \nabla v) &= 0 \;\; \text{in } \Omega^*, \\ v &= 0 \;\; \text{on } \partial\Omega^*. \end{cases} \tag{1.2}$$

Our goal is to show that, under some natural assumptions on A and H, any solution u of (1.1) in Ω will be controlled from above by a radially symmetric solution v of a similar problem (1.2) in Ω^*. More precisely, we will show that the distribution function of u is not larger than that of v. Moreover, the coefficients of the problem (1.2) solved by v in the ball Ω^* will actually be radially symmetric, and the solution v itself will also be radially symmetric.

Let us list the precise notations and the assumptions attached to the problem (1.1) and made throughout the paper. We denote by $\mathcal{S}_n(\mathbb{R})$ the set of $n \times n$ symmetric matrices with real entries. We always assume that $A : \Omega \to \mathcal{S}_n(\mathbb{R})$ is in $W^{1,\infty}(\Omega)$. This assumption will be denoted by $A = (A_{i,i'})_{1 \le i,i' \le n} \in W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$: all the components $A_{i,i'}$ are in $W^{1,\infty}(\Omega)$ and they can therefore be assumed to be continuous in $\overline{\Omega}$ up to a modification on a zero-measure set. We always assume that A is uniformly elliptic in $\overline{\Omega}$: there exists $\lambda > 0$ such that $A \ge \lambda \operatorname{Id}$ in $\overline{\Omega}$, where $\operatorname{Id} \in \mathcal{S}_n(\mathbb{R})$ is the identity matrix, that is

$$\sum_{1 \le i,i' \le n} A_{i,i'}(x)\xi_i\xi_{i'} = A(x)\xi \cdot \xi \ge \lambda \left|\xi\right|^2 := \lambda \sum_{1 \le i \le n} (\xi_i)^2$$

for all $x \in \overline{\Omega}$ and $\xi \in \mathbb{R}^n$. Actually, in some statements we compare the matrix field A with a matrix field of the type $x \mapsto \Lambda(x)\operatorname{Id}$ in the sense that

$$A(x) \ge \Lambda(x)\operatorname{Id} \text{ a.e. in } \Omega, \tag{1.3}$$

where $\Lambda \in L^\infty_+(\Omega)$ and

$$L^\infty_+(\Omega) = \left\{\Lambda \in L^\infty(\Omega); \; \operatorname*{ess\,inf}_\Omega \Lambda > 0\right\} = \left\{\Lambda \in L^\infty(\Omega); \; \exists \widetilde{\lambda} > 0, \; \Lambda(x) \ge \widetilde{\lambda} \text{ a.e. in } \Omega\right\}.$$

The inequality (1.3) means that $A(x)\xi \cdot \xi \ge \Lambda(x)|\xi|^2$ for a.e. $x \in \Omega$ and all $\xi \in \mathbb{R}^n$. For instance, if, for each $x \in \overline{\Omega}$, $\Lambda_A(x)$ denotes the smallest eigenvalue of the matrix $A(x)$, then $\Lambda_A \in L^\infty_+(\Omega)$ and there holds $A(x) \ge \Lambda_A(x)\operatorname{Id}$ for all $x \in \overline{\Omega}$. The given function $H : \overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n \to \mathbb{R}$ is assumed to be continuous and such that there exist a real number $1 \le q \le 2$ and three bounded and continuous functions a, b and $f : \overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n \to \mathbb{R}$ such that

$$\begin{cases} H(x,s,p) \ge -a(x,s,p)|p|^q + b(x,s,p)s - f(x,s,p), \\ b(x,s,p) \ge 0 \end{cases} \tag{1.4}$$

for all $(x,s,p) \in \overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n$. In particular, H is bounded from below by an at most quadratic function in its last variable p. Notice that no bound from above is assumed a priori. The cases $q = 1$ and $1 < q \le 2$ will actually be treated separately, since the existence and uniqueness results for problems (1.1) or (1.2) are different whether q be equal to or larger than 1, and since an additional condition will be used when $q > 1$.

We say that u is a weak solution of (1.1) if $u \in H^1_0(\Omega)$, $H(\cdot, u(\cdot), \nabla u(\cdot)) \in L^1(\Omega)$ and

$$\int_\Omega A(x)\nabla u \cdot \nabla\varphi + \int_\Omega H(x,u,\nabla u)\varphi = 0 \;\text{ for all } \varphi \in H^1_0(\Omega) \cap L^\infty(\Omega).$$

The condition $u = 0$ on $\partial\Omega$ simply means that the trace of u on $\partial\Omega$ is equal to 0. When $H(\cdot, u(\cdot), \nabla u(\cdot))$ belongs to $L^2(\Omega)$, then this identity holds for all test functions φ in $H^1_0(\Omega)$. Similarly, one defines the notion of weak solution v of (1.2). Throughout the paper, the solutions of (1.1) and (1.2) are always understood as weak solutions, even if they may of course be stronger under some additional assumptions on the coefficients. Furthermore, we denote $W(\Omega)$ the space $W(\Omega) = \bigcap_{1 \le p < +\infty} W^{2,p}(\Omega)$. We recall from the Sobolev embeddings that any function u in $W(\Omega)$ belongs to $C^{1,\alpha}(\overline{\Omega})$ for all $\alpha \in [0,1)$, even if it means redefining u in a negligible subset of $\overline{\Omega}$. Notice that if $u \in W(\Omega)$ is a weak solution of (1.1), then u is a

strong solution, the equation (1.1) is satisfied almost everywhere in Ω and the boundary condition on $\partial\Omega$ holds in the pointwise sense.

In order to compare a solution of (1.1) in Ω to another function defined in the equimeasurable ball Ω^*, the natural way is to use their distribution functions. Namely, for any function $u \in L^1(\Omega)$, let μ_u be the distribution function of u given by

$$\mu_u(t) = \big|\{x \in \Omega; \ u(x) > t\}\big|$$

for all $t \in \mathbb{R}$. Note that μ_u is right-continuous, non-increasing, $\mu_u(t) \to |\Omega|$ as $t \to -\infty$ and $\mu_u(t) \to 0$ as $t \to +\infty$. For all $x \in \Omega^*\backslash\{0\}$, define

$$u^*(x) = \min\big\{t \in \mathbb{R}; \ \mu_u(t) \le \alpha_n |x|^n \big\},$$

where $\alpha_n = \pi^{n/2}/\Gamma(n/2+1)$ denotes the Lebesgue measure of the Euclidean unit ball in $\mathbb{R}^n$. The function u^*, called the decreasing Schwarz rearrangement of u, is clearly radially symmetric, non-increasing in the variable $|x|$ and it satisfies

$$\big|\{x \in \Omega; \ u(x) > \zeta\}\big| = \big|\{x \in \Omega^*; \ u^*(x) > \zeta\}\big|$$

for all $\zeta \in \mathbb{R}$. An essential property of the Schwarz symmetrization is the following one: if u belongs to the space $H_0^1(\Omega)$, then $|u|^* \in H_0^1(\Omega^*)$ and it is such that $\| |u|^* \|_{L^2(\Omega^*)} = \|u\|_{L^2(\Omega)}$ and $\|\nabla|u|^*\|_{L^2(\Omega^*)} \le \|\nabla u\|_{L^2(\Omega)}$, see [19, 20, 39, 45].

In this paper, motivated by some results on the comparison of principal eigenvalues of non-symmetric second-order elliptic operators in [33], we aim at comparing any given positive solution $u \in W(\Omega)$ of (1.1) to a weak solution v of a problem of the type (1.2), in the sense that $u^* \le v$ in Ω^*, where the new coefficients $\widehat{A}$ and $\widehat{H}$ of (1.2) are radially symmetric with respect to $x \in \Omega^*$ and satisfy similar constraints or bounds as the given coefficients A and H. As already mentioned, we consider two types of assumptions regarding the dependency of the lower bound (1.4) with respect to the variable p: namely, we treat separately the case where the lower bound is at most linear in $|p|$ (that is, $q = 1$) and the general case where $1 < q \le 2$ and the lower bound is at most quadratic in $|p|$, for which an additional assumption on the function b is made.

1.1 Linear growth with respect to the gradient

Our first main result is concerned with the case where H is linear in $|\nabla u|$ from below, in the sense that $q = 1$ in (1.4). If g is a real number or a real-valued function, we set $g^+ = \max(g, 0)$.

Theorem 1.1. *Assume* (1.3) *and* (1.4) *with* $\Lambda \in L_+^\infty(\Omega)$ *and* $q = 1$. *Let* $u \in W(\Omega)$ *be a solution of* (1.1) *such that* $u > 0$ *in* Ω *and* $|\nabla u| \ne 0$ *everywhere on* $\partial\Omega$. *Then there are two radially symmetric functions* $\widehat{\Lambda} \in L_+^\infty(\Omega^*)$ *and* $\widehat{a} \in L^\infty(\Omega^*)$ *such that*

$$\begin{cases} 0 < \operatorname*{ess\,inf}_{\Omega} \Lambda \le \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} \le \operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} \le \operatorname*{ess\,sup}_{\Omega} \Lambda, \quad \|\widehat{\Lambda}^{-1}\|_{L^1(\Omega^*)} = \|\Lambda^{-1}\|_{L^1(\Omega)}, \\[2mm] 0 \le \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \le \operatorname*{ess\,inf}_{\Omega^*} \widehat{a} \le \operatorname*{ess\,sup}_{\Omega^*} \widehat{a} \le \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+, \end{cases} \tag{1.5}$$

and

$$u^* \le v \ \text{a.e. in} \ \Omega^*, \tag{1.6}$$

where $v \in H_0^1(\Omega^*)$ *is the unique weak solution of*

$$\begin{cases} -\operatorname{div}(\widehat{\Lambda}(x)\nabla v) + \widehat{H}(x, \nabla v) &= 0 \quad \text{in} \ \Omega^*, \\ v &= 0 \quad \text{on} \ \partial\Omega^* \end{cases} \tag{1.7}$$

3

with

$$\widehat{H}(x,p) = -\widehat{a}(x)\,|p| - f_u^*(x)$$

and f_u^* is the Schwarz rearrangement of the function f_u defined in Ω by

$$f_u(y) = f(y, u(y), \nabla u(y)) \text{ for all } y \in \Omega. \tag{1.8}$$

Furthermore, v belongs to $C(\overline{\Omega^*})$.

Notice that, since $\widehat{H}(\cdot, \nabla v(\cdot)) \in L^2(\Omega^*)$, the fact that $v \in H_0^1(\Omega^*)$ is a weak solution of (1.7) means that

$$\int_{\Omega^*} \widehat{\Lambda}(x)\nabla v \cdot \nabla\varphi - \int_{\Omega^*} \widehat{a}(x)\,|\nabla v|\,\varphi - \int_{\Omega^*} f_u^*(x)\,\varphi = 0 \text{ for all } \varphi \in H_0^1(\Omega^*).$$

From Theorem 1.1 and the maximum principle, the following corollary holds.

Corollary 1.2. *Under the notations of Theorem 1.1, for any functions $\overline{a}$ and $\overline{f}$ in $L^\infty(\Omega^*)$ such that $\widehat{a} \le \overline{a}$ and $f_u^* \le \overline{f}$ a.e. in Ω^*, there holds $u^* \le \overline{v}$ a.e. in Ω^*, where $\overline{v} \in H_0^1(\Omega^*)$ is the unique weak solution of*

$$\begin{cases} -\mathrm{div}(\widehat{\Lambda}(x)\nabla\overline{v}) + \overline{H}(x, \nabla\overline{v}) &=& 0 & in \ \Omega^*, \\ \overline{v} &=& 0 & on \ \partial\Omega^* \end{cases} \tag{1.9}$$

with $\overline{H}(x,p) = -\overline{a}(x)\,|p| - \overline{f}(x)$. In particular, there holds $u^* \le \overline{V}$ a.e. in Ω^*, where $\overline{V} \in H_0^1(\Omega^*)$ is the unique weak solution of (1.9) with $\overline{a} = \sup_{\Omega\times\mathbb{R}\times\mathbb{R}^n} a^+$ and $\overline{f} = \sup_{\Omega\times\mathbb{R}\times\mathbb{R}^n} f$. Furthermore, $\overline{v}$ and $\overline{V}$ belong to $C(\overline{\Omega^*})$.

Proof. Notice first that, for problem (1.9), the existence and uniqueness of the solutions $\overline{v}$ and $\overline{V}$ in $H_0^1(\Omega^*)$ is a direct consequence of Theorem 2.1 of Porretta [46] (similarly, there is a unique solution v of (1.7) in $H_0^1(\Omega^*)$). The proof of the inequality $u^* \le \overline{v}$ (and $u^* \le \overline{V}$) in Corollary 1.2 is an immediate consequence of Corollary 2.1 of Porretta [46]: indeed, with the notations of Theorem 1.1 and Corollary 1.2, there holds

$$-\mathrm{div}(\widehat{\Lambda}(x)\nabla v) + \overline{H}(x, \nabla v) = (\widehat{a}(x) - \overline{a}(x))|\nabla v| + f_u^*(x) - \overline{f}(x) \le 0$$

in the weak $H_0^1(\Omega^*)$ sense, that is $\int_{\Omega^*} \widehat{\Lambda}(x)\nabla v \cdot \nabla\varphi - \int_{\Omega^*} \overline{a}(x)\,|\nabla v|\,\varphi - \int_{\Omega^*} \overline{f}(x)\,\varphi \le 0$ for all $\varphi \in H_0^1(\Omega^*)$ with $\varphi \ge 0$ a.e. in Ω^*. In other words, $v \in H_0^1(\Omega^*)$ is a weak subsolution of (1.9). The maximum principle (Corollary 2.1 of [46]) yields $v \le \overline{v}$ a.e. in Ω^*, whence $u^* \le \overline{v}$ a.e. in Ω^* from (1.6). In particular, $u^* \le \overline{V}$ a.e. in Ω^* (moreover, since $\overline{v}$ is a weak subsolution of the equation satisfied by $\overline{V}$, there holds $\overline{v} \le \overline{V}$ a.e. in Ω^*).

Lastly, in the equation satisfied by the function $\overline{V}$, since the coefficients $\widehat{\Lambda}$, $\overline{a}$ and $\overline{f}$ are radially symmetric ($\overline{a}$ and $\overline{f}$ are constant in this particular case), it follows from the uniqueness that $\overline{V}$ is itself radially symmetric. Furthermore, $\overline{V}$ is then Hölder continuous in $\overline{\Omega^*}$ from the radial symmetry and the local De Giorgi-Moser-Nash estimates (see Theorem 8.29 in [30]). For problem (1.9) satisfied by $\overline{v}$, the function $\overline{v}$ is still locally Hölder continuous in Ω^*, but it may not be radially symmetric in general, since the functions $\overline{a}$ and $\overline{f}$ are not assumed to be radially symmetric. However, it follows from the previous paragraph that $0 \le u^* \le \overline{v} \le \overline{V}$ in Ω^*, and since $\overline{V}$ is continuous in $\overline{\Omega^*}$ and vanishes on $\partial\Omega^*$, the function $\overline{v}$ is continuous in $\overline{\Omega^*}$ too. $\square$

For problem (1.1), additional conditions guaranteeing the existence and uniqueness of a solution u in $H_0^1(\Omega)$ can be given. Namely, if one assumes that

$$\begin{cases} \exists\,\omega > 0, \ \forall\,(x, s, s', p) \in \Omega \times \mathbb{R} \times \mathbb{R} \times \mathbb{R}^n, \ \ \omega^{-1}(s - s') \le H(x, s, p) - H(x, s', p) \le \omega(s - s'), \\ \exists\,\alpha \in L^r(\Omega), \ \forall\,(x, s, p, p') \in \Omega \times \mathbb{R} \times \mathbb{R}^n \times \mathbb{R}^n, \ \ |H(x, s, p) - H(x, s, p')| \le \alpha(x)(1 + |s|^{2/n})|p - p'|, \end{cases}$$

4

where $r = n^2/2$ if $n \geq 3$, $r \in (2, +\infty)$ if $n = 2$ and $r = 2$ if $n = 1$, then there is at most one solution of (1.1) in $H_0^1(\Omega)$ (see Theorem 5.1 of [34]). If, in addition to the previous assumption, one assumes that

$$\exists \beta \in L^t(\Omega), \ \forall (x, s, p) \in \Omega \times \mathbb{R} \times \mathbb{R}^n, \ |H(x, s, p)| \leq \beta(x)(1 + |s| + |p|),$$

where $t = n$ if $n \geq 3$, t is any real number in $(2, +\infty)$ if $n = 2$ and $t = 2$ if $n = 1$, then there exists a (unique) solution u of (1.1) in $H_0^1(\Omega)$. Furthermore, if the function β above is such that $\beta \in L^\infty(\Omega)$, then the unique solution u of (1.1) belongs to the space $W(\Omega)$. Lastly, under the additional assumption of the existence of $\varpi \geq 0$ such that $H(x, 0, p) \leq \varpi |p|$ for all $(x, p) \in \Omega \times \mathbb{R}^n$ and $H(\cdot, 0, 0) \not\equiv 0$ in Ω, then $u > 0$ in Ω and $\partial_\nu u := \nu \cdot \nabla u < 0$ on $\partial\Omega$, where ν denotes the outward unit normal to $\partial\Omega$. These aforementioned existence and uniqueness results, which are inspired from [46], are summarized in Theorem 5.1 of [34]. For further uniqueness results on semilinear problems of the type (1.1) with linear growth in $|\nabla u|$, we refer to [14, 25].

Let us now compare Theorem 1.1 with some existing results in the literature. Theorem 1.1 provides a comparison of the distribution functions of a given solution of (1.1) in Ω and a solution v of (1.7) in Ω^*. The so-called pseudo-rearranged coefficients $\widehat{\Lambda}$ and $\widehat{H}$ in (1.7), which are rearranged with respect to the solution u (as will be detailed in Section 2), satisfy the same type of pointwise bounds as the coefficients A and H in Ω (see also [2, 4, 5, 43, 51] and the comments below on the use of such pseudo-rearrangements). Furthermore, the diffusion matrix $\widehat{\Lambda}$ Id in the second-order term of (1.7) is proportional to the identity matrix at each point x, and the nonlinear term $\widehat{H}(x, \nabla v)$ is exactly affine in $|\nabla v|$. The first comparison result in the spirit of Theorem 1.1 goes back to the seminal paper of Talenti [49]: if $A \in L^\infty(\Omega, \mathcal{S}_n(\mathbb{R}))$ and

$$A \geq \text{Id a.e. in } \Omega, \quad \Lambda = 1 \quad \text{and} \quad H(x, s, p) = b(x)s - f(x)$$

with $b, f \in L^\infty(\Omega)$ and $b \geq 0$ a.e. in Ω, then equation (1.1) admits a unique solution $u \in H_0^1(\Omega)$ and $|u|^* \leq v$ a.e. in Ω^*, where $v \in W(\Omega^*)$ is the unique solution of $-\Delta v = |f|^*$ in Ω^* with $v = 0$ on $\partial\Omega^*$. If $A \in L^\infty(\Omega, \mathcal{S}_n(\mathbb{R}))$ and

$$\begin{cases} A \geq \text{Id a.e. in } \Omega, \quad \Lambda = 1, \ H(x, s, p) = \alpha(x) \cdot p + b(x)s - f(x), \\ \alpha \in L^\infty(\Omega, \mathbb{R}^n), \ b, f \in L^\infty(\Omega), \ b \geq 0 \text{ a.e. in } \Omega, \end{cases}$$

then it follows from Talenti [51] (among other results) that the unique solution $u \in H_0^1(\Omega)$ of (1.1) satisfies $|u|^* \leq v$ a.e. in Ω^*, where $v \in W(\Omega^*) \cap H_0^1(\Omega^*)$ is the unique weak solution of $-\Delta v + \widehat{\alpha} e_r \cdot \nabla v = |f|^*$ in Ω^*. Here, $e_r(x) = x/|x|$ for all $x \in \Omega^* \backslash \{0\}$ and the nonnegative and radially symmetric $L^\infty(\Omega^*)$ function $\widehat{\alpha}$ is a pseudo-rearrangement of $|\alpha|$ which satisfies properties similar to the function $\widehat{a}$ in Theorem 1.1. The proof of [51] uses Schwarz symmetrization and is completely different from the one we use in the present paper (actually, in Section 5.3 of [34], we show that our method in the proof of Theorem 1.1 can be used to recover some of the seminal results of [49, 51], assuming that the matrix field A is continuous in $\overline{\Omega}$). On the other hand, Trombetti and Vazquez [53] proved that if, in particular, $A \geq \text{Id}$, $\Lambda = 1$, $H(x, s, p) = \alpha(x) \cdot p + bs - f(x)$ with $\alpha \in W^{1,\infty}(\Omega, \mathbb{R}^n)$, $b, f \in L^\infty(\Omega)$, $\min(b, \text{div}(\alpha) + b) \geq 0$ a.e. in Ω, then $\|u\|_{L^p(\Omega)} \leq \|v\|_{L^p(\Omega^*)}$ for all $1 \leq p \leq +\infty$, where $v \in W(\Omega^*)$ solves $-\Delta v + \widetilde{\alpha} e_r \cdot \nabla v + c_* v = |f|^*$ in Ω^* with $v = 0$ on $\partial\Omega^*$, $\widetilde{\alpha} = \| |\alpha| \|_{L^\infty(\Omega)}$ and $c_* \in L^\infty(\Omega^*)$ is the nondecreasing symmetric rearrangement of any function c such that $0 \leq c \leq \min(b, \text{div}(\alpha) + b)$ in Ω. Further results in this spirit can be found in [6, 7, 37].

In the references cited in the paragraph above, the function Λ appearing in (1.3) was assumed to be constant. When Λ is given as a constant $\lambda > 0$ in (1.3) (this is always possible since the matrix field A is assumed to be uniformly elliptic), then it follows from (1.5) that the function $\widehat{\Lambda}$ appearing in Theorem 1.1 is equal to λ and the principal part in (1.7) is proportional to the Laplacian. Furthermore, in this case, Corollary 1.2 (or [46, 51]) implies that $u^* \leq \overline{V}$ a.e. in Ω^*, where $\overline{V} \in H_0^1(\Omega^*) \cap C(\overline{\Omega^*})$ is the unique

5

weak solution of the following independent-of-u equation: $-\lambda\Delta\overline{V} - \overline{a}|\nabla\overline{V}| = \overline{f}$, where $\overline{a} = \sup_{\Omega\times\mathbb{R}\times\mathbb{R}^n} a^+$ and $\overline{f} = \sup_{\Omega\times\mathbb{R}\times\mathbb{R}^n} f$. However, in the present paper, Λ is not assumed to be constant and the function $\widehat{\Lambda}$ is actually not constant in general (see Remark 5.5 of [33] for some examples). Notice in particular from (1.5) that $\operatorname{ess\,inf}_{\Omega^*} \widehat{\Lambda} \geq \operatorname{ess\,inf}_{\Omega} \Lambda$ and $\operatorname{ess\,sup}_{\Omega^*} \widehat{\Lambda} \leq \operatorname{ess\,sup}_{\Omega} \Lambda$, and that

$$\operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} > \operatorname*{ess\,inf}_{\Omega} \Lambda \quad \text{and} \quad \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} < \operatorname*{ess\,sup}_{\Omega} \Lambda$$

as soon as Λ is not constant. To our best knowledge, the first occurrence of a non-constant function Λ in comparison results for elliptic problems of the type (1.1) goes back to some papers by Alvino and Trombetti [2, 4, 5]. In [2], problem (1.1) is considered with H linear in s and independent of p given by $H(x, s, p) = b(x)s - f(x)$ and $b \geq 0$ a.e. in Ω. By using Schwarz symmetrization, it is proved that $|u|^* \leq v$ a.e. in Ω^*, where $v \in H_0^1(\Omega^*)$ is the weak solution of $-\operatorname{div}(\widehat{\Lambda}(x)\nabla v) = |f|^*$ in Ω^* for some pseudo-rearranged coefficient $\widehat{\Lambda}$. Comparisons of principal eigenvalues of self-adjoint second-order elliptic operators are given in [4, 22] and isoperimetric estimates for the torsion problem are proved in [5].

The problems described in the previous two paragraphs were concerned with functions $H(x, u, \nabla u)$ which were linear with respect to u and ∇u. Analogous results for nonlinear problems have been established in [6, 8, 21, 26, 42, 50]. In most of these works, the second-order coefficients for problems of the type (1.1) in Ω are compared with a multiple of the Laplace operator for a problem of the type (1.2) in Ω^* (or with homogeneous second-order terms such as the p-Laplacian), and the comparisons between u^* and v are either pointwise or only integral and hold either in the whole ball Ω^* or only in a strict subdomain, depending on the assumptions on the lower-order coefficients. In Theorem 1.1, the original problem (1.1) is nonlinear with respect to u and ∇u, the highest-order terms in (1.1) are compared with equations having heterogeneous second-order terms, and the comparison between u^* and v are pointwise in the whole ball Ω^*. One of the main novelties is also the method, which involves a symmetrization of the second-order terms with respect to the level sets of u. We refer to the proofs in the following sections for more details.

As a matter of fact, the method used in the proof of Theorem 1.1 leads to a quantified comparison result in the case where the original domain Ω is not a ball. We recall that $A = (A_{i,i'})_{1 \leq i, i' \leq n}$ and we denote $\|A\|_{W^{1,\infty}(\Omega)} = \max_{1 \leq i, i' \leq n} \|A_{i,i'}\|_{W^{1,\infty}(\Omega)}$.

Theorem 1.3. *Assume that Ω is not a ball, that (1.3) and (1.4) hold with $\Lambda \in L_+^\infty(\Omega)$ and $q = 1$. Then, under the notations of Theorem 1.1, there is a constant $\eta_u > 0$, which depends on Ω, n and u, such that*

$$(1 + \eta_u)\, u^* \leq v \ \ a.e. \ \ in \ \Omega^*. \tag{1.10}$$

Furthermore, if there is $M > 0$ such that

$$\begin{cases} \|A\|_{W^{1,\infty}(\Omega)} + \|\Lambda^{-1}\|_{L^\infty(\Omega)} + \|a\|_{L^\infty(\Omega\times\mathbb{R}\times\mathbb{R}^n)} + \|f\|_{L^\infty(\Omega\times\mathbb{R}\times\mathbb{R}^n)} \leq M, \\ |H(x, s, p) - H(x, 0, 0)| \leq M\,(|s| + |p|) \ \text{for all} \ (x, s, p) \in \Omega\times\mathbb{R}\times\mathbb{R}^n, \\ -M \leq H(x, 0, 0) \leq 0 \ \text{for all} \ x \in \Omega, \quad \int_\Omega H(x, 0, 0)\, dx \leq -M^{-1} < 0, \end{cases} \tag{1.11}$$

then there is a constant $\eta > 0$, which depends on Ω, n and M but not on u, such that

$$(1 + \eta)\, u^* \leq v \ \ a.e. \ \ in \ \Omega^*. \tag{1.12}$$

In addition to the aforementioned differences with respect to the existing results in the literature, the improved quantified comparisons stated in Theorem 1.3 for problems of the type (1.1) and (1.7) when Ω is not a ball have, to our knowledge, never been established in the literature, even in particular situations.

Remark 1.4. In our results, the solution u of (1.1) is assumed to be in $W(\Omega)$ (notice that this assumption is equivalent to $u \in C^1(\overline{\Omega})$, from the standard elliptic estimates applied to (1.1) and the fact that the function H is continuous). In the proof of our results, u is approximated by some analytic functions u_j in $W^{2,p}(\Omega)$ weakly for all $1 \le p < +\infty$ and in $C^{1,\alpha}(\overline{\Omega})$ strongly for all $0 \le \alpha < 1$. Since $u > 0$ in Ω and $|\nabla u| > 0$ on $\partial\Omega$ by assumption, the functions u_j satisfy these properties for large j and one can then apply to them the symmetrization method described in Section 2. In the approximation of u by u_j, the $W^{2,p}$ theory is needed and the Lipschitz-continuity of the matrix field A is used. It is actually beyond the scope of this paper to see under which minimal regularity assumptions on the coefficients of (1.1) the main results would still hold. Whereas the proof of Talenti's results are based on the Schwarz symmetrization, on Pólya-Szegö inequality and on the standard geometric isoperimetric inequality, our proofs are based on the symmetrization of the second-order terms and they require more regularity assumptions on the equation. However, our results also cover the case where the ellipticity functions Λ in Ω and $\widehat{\Lambda}$ in Ω^* are not constant in general. Furthermore, they provide some new pointwise differential inequalities which can be quantitatively expressed in terms of some bounds on the coefficients and the domain and which can then be improved when the domain is not a ball.

1.2 At most quadratic growth with respect to the gradient

Our second main result is concerned with the general case where H is at most quadratic in $|\nabla u|$ from below, under the additional assumption that $\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0$ in (1.4).

Theorem 1.5. *Assume that* (1.3) *and* (1.4) *with* $\Lambda \in L^\infty_+(\Omega)$, $1 < q \le 2$ *and*

$$\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0. \tag{1.13}$$

Let $u \in W(\Omega)$ *be a solution of* (1.1) *such that* $u > 0$ *in* Ω *and* $|\nabla u| \ne 0$ *everywhere on* $\partial\Omega$. *Then there are three radially symmetric functions* $\widehat{\Lambda} \in L^\infty_+(\Omega^*)$, $\widehat{a} \in L^\infty(\Omega^*)$ *and* $\widehat{f} \in L^\infty(\Omega^*)$, *and a positive constant* $\widehat{\delta}$ *such that*

$$\begin{cases} 0 < \operatorname*{ess\,inf}_{\Omega} \Lambda \le \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} \le \operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} \le \operatorname*{ess\,sup}_{\Omega} \Lambda, \quad \|\widehat{\Lambda}^{-1}\|_{L^1(\Omega^*)} = \|\Lambda^{-1}\|_{L^1(\Omega)}, \\[2mm] 0 \le \left(\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \right) \times \left(\frac{\operatorname{ess\,inf}_\Omega \Lambda}{\operatorname{ess\,sup}_\Omega \Lambda} \right)^{q-1} \\[4mm] \qquad\qquad \le \operatorname*{ess\,inf}_{\Omega^*} \widehat{a} \le \operatorname*{ess\,sup}_{\Omega^*} \widehat{a} \le \left(\sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \right) \times \left(\frac{\operatorname{ess\,sup}_\Omega \Lambda}{\operatorname{ess\,inf}_\Omega \Lambda} \right)^{2q-2}, \\[4mm] \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f \le \operatorname*{ess\,inf}_{\Omega^*} \widehat{f} \le \operatorname*{ess\,sup}_{\Omega^*} \widehat{f} \le \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f, \quad \int_{\Omega^*} \widehat{f} = \int_{\Omega} f_u, \end{cases} \tag{1.14}$$

and

$$u^* \le v \text{ a.e. in } \Omega^*, \tag{1.15}$$

where f_u *is defined as in* (1.8) *and* $v \in H^1_0(\Omega^*) \cap L^\infty(\Omega^*)$ *is the unique weak solution of*

$$\begin{cases} -\operatorname{div}(\widehat{\Lambda}(x)\nabla v) + \widehat{H}(x, v, \nabla v) &= 0 \quad \text{in } \Omega^*, \\ v &= 0 \quad \text{on } \partial\Omega^* \end{cases} \tag{1.16}$$

with

$$\widehat{H}(x, s, p) = -\widehat{a}(x)\,|p|^q + \widehat{\delta}\,s - \widehat{f}(x). \tag{1.17}$$

Furthermore, for every $\varepsilon > 0$, *there is a radially symmetric function* $\widehat{f}_\varepsilon \in L^\infty(\Omega^*)$ *such that* $\mu_{\widehat{f}_\varepsilon} = \mu_{f_u}$ *and*

$$\|(u^* - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \le \varepsilon, \tag{1.18}$$

where $v_\varepsilon \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is the unique weak solution of

$$\begin{cases} -\text{div}(\widehat{\Lambda}(x)\nabla v_\varepsilon) + \widehat{H}_\varepsilon(x, v_\varepsilon, \nabla v_\varepsilon) &= 0 \quad in \ \Omega^*, \\ v_\varepsilon &= 0 \quad on \ \partial\Omega^* \end{cases} \tag{1.19}$$

with

$$\widehat{H}_\varepsilon(x, s, p) = -\widehat{a}(x)\,|p|^q + \widehat{\delta}\,s - \widehat{f}_\varepsilon(x). \tag{1.20}$$

In (1.18), $2^* = 2n/(n-2)$ if $n \geq 3$, $2^* = \infty$ if $n = 1$ and 2^* is any fixed real number in $[1, +\infty)$ if $n = 2$.

Notice that, contrary to the conclusion of Theorem 1.1, $\widehat{f}$ and f_u do not have the same distribution function in general, but $\widehat{f}_\varepsilon$ and f_u do.

Since $1 < q \leq 2$, the functions $\widehat{a}\,|\nabla v|^q$ and $\widehat{a}\,|\nabla v_\varepsilon|^q$ are only in $L^1(\Omega^*)$ in general. Since $\widehat{H}(\cdot, v(\cdot), \nabla v(\cdot)) \in L^1(\Omega^*)$, the fact that $v \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is a weak solution of (1.16) means that $\int_{\Omega^*} \widehat{\Lambda}(x)\nabla v \cdot \nabla\varphi - \int_{\Omega^*} \widehat{a}(x)\,|\nabla v|^q\,\varphi + \int_{\Omega^*} \widehat{\delta}\,v\,\varphi - \int_{\Omega^*} \widehat{f}(x)\,\varphi = 0$ for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$, and similarly for v_ε with $\widehat{f}_\varepsilon$ instead of $\widehat{f}$.

From Theorem 1.5 and the maximum principle, the following corollary will be infered.

Corollary 1.6. *Under the notations of Theorem 1.5, for any functions $\overline{a}$ and $\overline{f}$ in $L^\infty(\Omega^*)$ such that $\widehat{a} \leq \overline{a}$ and $\widehat{f} \leq \overline{f}$ a.e. in Ω^*, and for any constant $\overline{\delta}$ such that $0 < \overline{\delta} \leq \widehat{\delta}$, there holds $u^* \leq \overline{v}$ a.e. in Ω^*, where $\overline{v} \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is the unique weak solution of*

$$\begin{cases} -\text{div}(\widehat{\Lambda}(x)\nabla\overline{v}) + \overline{H}(x, \overline{v}, \nabla\overline{v}) &= 0 \quad in \ \Omega^*, \\ \overline{v} &= 0 \quad on \ \partial\Omega^* \end{cases} \tag{1.21}$$

with $\overline{H}(x, s, p) = -\overline{a}(x)\,|p|^q + \overline{\delta}\,s - \overline{f}(x)$. In particular, $u^* \leq \overline{V}$ a.e. in Ω^*, where $\overline{V} \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is the unique weak solution of (1.21) with $\overline{\delta} = \widehat{\delta}$, $\overline{a} = \left(\sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+\right) \times \left(\text{ess}\sup_\Omega \Lambda / \text{ess}\inf_\Omega \Lambda\right)^{2q-2}$ and $\overline{f} = \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f$.

Proof. With the notations of Theorem 1.5 and Corollary 1.6, together with the fact that $v \geq u^* \geq 0$ a.e. in Ω^* (from Theorem 1.5), there holds

$$-\text{div}(\widehat{\Lambda}(x)\nabla v) + \overline{H}(x, v, \nabla v) = (\widehat{a}(x) - \overline{a}(x))|\nabla v|^q + (\overline{\delta} - \widehat{\delta})\,v + \widehat{f}(x) - \overline{f}(x) \leq 0$$

in the weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ sense, that is $\int_{\Omega^*} \widehat{\Lambda}(x)\nabla v \cdot \nabla\varphi - \int_{\Omega^*} \overline{a}(x)\,|\nabla v|^q\,\varphi + \int_{\Omega^*} \overline{\delta}\,v\,\varphi - \int_{\Omega^*} \overline{f}(x)\,\varphi \leq 0$ for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ with $\varphi \geq 0$ a.e. in Ω^*. In other words, v is a weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ subsolution of (1.21), with $\overline{\delta} > 0$. The maximum principle (Theorem 2.1 of [10]) yields $v \leq \overline{v}$ a.e. in Ω^*, whence $u^* \leq \overline{v}$ a.e. in Ω^* from (1.15). $\square$

For problems (1.16), (1.19) and (1.21), the existence of weak solutions v, v_ε and $\overline{v}$ in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ follows from a paper by Boccardo, Murat and Puel (Théorème 2.1 and the following comments of [15], see also [16]) and the uniqueness follows from Barles and Murat (Theorem 2.1 of [10]). Furthermore, even if it means redefining them in a negligible subset of $\overline{\Omega^*}$, v, v_ε and $\overline{v}$ are locally Hölder continuous in Ω^* from Corollary 4.23 of [36], and v and v_ε are radially symmetric (v and v_ε are then Hölder continuous in $\overline{\Omega^*}$) by uniqueness since all coefficients of (1.16) are radially symmetric. For problem (1.21), the function $\overline{v}$ may not be radially symmetric in general, since the functions $\overline{a}$ and $\overline{f}$ are not assumed to be radially symmetric. But it follows from the maximum principle and Corollary 1.6 that $0 \leq u^* \leq \overline{v} \leq \overline{V}$ in Ω^*, and since $\overline{V}$ is continous in $\overline{\Omega^*}$ and vanishes on $\partial\Omega^*$, the function $\overline{v}$ is continuous in $\overline{\Omega^*}$ too.

8

More generally speaking, for problem (1.1), it follows from [16, 18] that there exists a solution $u \in H_0^1(\Omega) \cap L^\infty(\Omega)$ if

$$\begin{cases} H(x,s,p) = \beta(x,s,p) + \widetilde{H}(x,s,p), \\ \beta(x,s,p)s \geq \delta\, s^2, \quad |\beta(x,s,p)| \leq \kappa\,(\gamma(x) + |s| + |p|), \quad |\widetilde{H}(x,s,p)| \leq \rho + \varrho(|s|)\,|p|^2 \end{cases}$$

with $\delta > 0$, $\kappa > 0$, $\rho > 0$, $\gamma \in L^2(\Omega)$, $\gamma \geq 0$ a.e. in Ω and $\varrho : \mathbb{R}_+ \to \mathbb{R}_+$ is increasing (see also [12, 24, 46] for further existence results). Furthermore, if $q < 1 + 2/n$ and if there exist $M \geq 0$ and $r \geq 0$ such that $r(n-2) < n+2$ and $|H(x,s,p)| \leq M(1 + |s|^r + |p|^q)$ for all $(x,s,p) \in \Omega \times \mathbb{R} \times \mathbb{R}^n$, then any weak solution u of (1.1) belongs to $W(\Omega)$ (see Theorem 5.5 in [34]). On the other hand, it follows from [10] that, if $\partial_s H$ and $\partial_p H$ exist with $\partial_s H(x,s,p) \geq \sigma > 0$, $|\partial_p H(x,s,p)| \leq \theta(|s|)\,(1+|p|)$, $|H(x,s,0)| \leq \vartheta(|s|)$ for some continuous nonnegative functions θ and ϑ, then there is at most one solution u of (1.1) in $H_0^1(\Omega) \cap L^\infty(\Omega)$, and u is necessarily nonnegative if $H(\cdot,0,0) \leq 0$ in Ω (see also [9, 46] for other results in this direction). We refer to [11, 13, 32] for further existence and uniqueness results for problems with strictly sub-quadratic dependence in $|\nabla u|$ (say, $q < 2$ in (1.16)) and to [1, 27, 29, 38, 47] for further existence results for problems of the type (1.16), (1.19), (1.21), or more general ones, when $\widehat{\delta} \leq 0$ and $\widehat{f}$, $\widehat{f}_\varepsilon$ or $\overline{f}$ are small in some spaces. However, it is worth pointing out that the existence and the uniqueness are not always guaranteed for general functions $\widehat{f}$, $\widehat{f}_\varepsilon$ or $\overline{f}$ if $\widehat{\delta} \leq 0$, see in particular [1, 35, 38, 46, 47] for problems of the type (1.16), (1.19), (1.21) or for more general problems.

Comparisons between a solution u of (1.1) and a solution v of a problem of the type (1.16) when $H(x,s,p)$ is nonlinear in p and grows at most quadratically in $|p|$ were first established by Alvino, Trombetti and Lions [6] in the case $H = H(x,p)$ with

$$A \geq \mathrm{Id}, \quad \Lambda = 1, \quad |H(x,p)| \leq f(x) + \kappa\,|p|^q \tag{1.22}$$

and $\kappa > 0$, $f \in L^\infty(\Omega)$, $f \geq 0$ a.e. in Ω: in this case, there holds $u^* \leq v$ a.e. in Ω^*, where $v \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is any weak solution of

$$-\Delta v = f^* + \kappa|\nabla v|^q \quad \text{in } \Omega^*, \tag{1.23}$$

provided such a solution v exists (it does if $\|f\|_{L^\infty(\Omega)}$ is small enough). We refer to [40, 42, 44] for further results in this direction. On the other hand, Ferone and Posteraro [28] (see also [29]) showed that if

$$A \geq \mathrm{Id}, \quad \Lambda = 1, \quad H(x,s,p) = \mathrm{div}(F) + \widetilde{H}(x,s,p), \quad |\widetilde{H}(x,s,p)| \leq f(x) + |p|^2$$

with $F \in (L^r(\Omega))^n$, $f \in L^{r/2}(\Omega)$ and $r > n$, then $u^* \leq v$ a.e. in Ω^* for any weak solution $v^* \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ of $-\Delta v = f^*(x) + |\nabla v|^2 + \mathrm{div}(\widehat{F}e_r)$ in Ω^*, provided such a solution v exists (it does if the norms of f and F are small enough), where $\widehat{F}$ shares some common properties with the function $\widehat{a}$ appearing in Theorems 1.1 and 1.5. To our best knowledge, in the case of at most quadratic growth with respect to the gradient, the only comparison result involving non-constant functions Λ is contained in a recent paper by Tian and Li [52]: if $|H(x,s,p)| \leq f(x) + \kappa\,\Lambda(x)^{2/q}|p|^q$ with $\kappa > 0$ and $f \geq 0$ a.e. in Ω, then $u^* \leq v$ a.e. in Ω^* for any weak solution $v \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ of $-\mathrm{div}(\widetilde{\Lambda}(x)\nabla v) = f^*(x) + \kappa\,\widetilde{\Lambda}(x)^{2/q}|\nabla v|^q$ in Ω^*, provided such a solution v exists (it does if f is small enough), where $\widetilde{\Lambda}$ shares some common properties with the function $\widehat{\Lambda}$ appearing in Theorems 1.1 and 1.5 (in [52], the function Λ can even be degenerate at some points, that is Λ is nonnegative but is not necessarily in $L_+^\infty(\Omega)$, and f/Λ belongs to $L^r(\Omega)$ for some suitable r). Lastly, we refer to [41] for comparison results where u is compared to a solution v, if any, of an equation whose principal part is a homogeneous nonlinear term such as the p-Laplacian.

In the references of the previous paragraph, a bound on the absolute value $|H|$ of H in $\Omega \times \mathbb{R} \times \mathbb{R}^n$ is used, the existence of solutions v of some symmetrized problems in Ω^* is assumed and the functions $\widehat{H}$ of

these symmetrized problems only depend on $(x, p) \in \Omega^* \times \mathbb{R}^n$. As already mentioned, the existence of such solutions v is guaranteed only when some norms of the function f (or f_u) are small, since the existence of v does not hold for general functions f or f_u. However, when $\widehat{H} = \widehat{H}(x, p)$, roughly speaking, one can integrate the one-dimensional equation satisfied by the radially symmetric function v and it is then possible to compare v with the solution of an equation involving the Schwarz rearrangement f_u^* of the function f_u, as in the results of Section 1.1 (see also Lemma 3.4 below).

On the contrary, in Theorem 1.5, only the lower bound (1.4) is needed and the existence (and uniqueness) of the solutions v and v_ε of (1.16) and (1.19) is actually automatically guaranteed by the condition $\widehat{\delta} > 0$, which follows from the additional assumption $\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0$. The counterpart of the positivity of $\widehat{\delta}$ in (1.17) and (1.20) is that one cannot integrate the one-dimensional equations satisfied by the functions v and v_ε. Thus, we do not know if it is possible to compare these functions v and v_ε to the solution of the same type of equation with f_u^* instead of $\widehat{f}$ or $\widehat{f}_\varepsilon$ in (1.17) or (1.20). However, since $\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0$ and since $\widehat{\delta}$ is shown to be positive, there is no need to assume that some norms of f_u, $\widehat{f}$ or $\widehat{f}_\varepsilon$ are small. The function f in (1.4) can be any bounded function.

We also point out an interesting particular case of Corollary 1.6: namely, if $\Lambda = \lambda > 0$ is assumed to be constant, then $u^* \leq \overline{V}$ almost everywhere in Ω^*, where $\overline{V}$ is the unique $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of

$$
\begin{cases}
-\lambda \Delta \overline{V} - \overline{a} \, |\nabla \overline{V}|^q + \widehat{\delta} \, \overline{V} &= \overline{f} \;\; \text{in } \Omega^*, \\
\overline{V} &= 0 \;\; \text{on } \partial \Omega^*,
\end{cases}
$$

where $\overline{a} = \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+$ and $\overline{f} = \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f$. Despite its apparent simplicity, even this particular case of Corollary 1.6 is actually new. Furthermore, under the assumption (1.26) below with $n \geq 2$, it follows from Lemma 4.1 and Steps 2 and 3 of the proof of Theorems 1.5 and 1.7 given in Section 4.1 below, that the real number $\widehat{\delta}$ can be chosen independently of u, whence the upper function $\overline{V}$ is actually independent of u too.

As for Theorem 1.1, the method used in the proof of Theorem 1.5 is based on a symmetrization of the second-order terms. However, a special attention has to be put on the constant $\widehat{\delta}$ appearing in (1.16) and (1.19), for these problems to be well-posed.

Lastly, when Ω is not a ball, an improved quantified inequality can be established. To our knowledge, such an improved inequality for problems (1.1) and (1.16) had never been obtained before, even in particular situations.

Theorem 1.7. *Assume that Ω is not a ball, that (1.3) and (1.4) hold with $\Lambda \in L_+^\infty(\Omega)$, $1 < q \leq 2$ and that (1.13) is satisfied. Then, under the notations of Theorem 1.5, there is a constant $\eta_u > 0$, which depends on Ω, n and u, such that*

$$(1 + \eta_u) \, u^* \leq v \;\; \text{a.e. in } \Omega^* \tag{1.24}$$

and

$$\|((1 + \eta_u) \, u^* - v_\varepsilon)^+\|_{L^{2*}(\Omega^*)} \leq \varepsilon. \tag{1.25}$$

Furthermore, if $q < 1 + 2/n$ and if there are $M > 0$ and $r \geq 0$ such that $r(n-2) < n+2$ and

$$
\begin{cases}
\|A\|_{W^{1,\infty}(\Omega)} + \|\Lambda^{-1}\|_{L^\infty(\Omega)} + \|a\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)} + \|f\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)} \leq M, \\
b(x, s, p) \geq M^{-1} > 0 \text{ for all } (x, s, p) \in \Omega \times \mathbb{R} \times \mathbb{R}^n, \\
|H(x, s, p) - H(x, 0, 0)| \leq M \left(|s|^r + |p|^q \right) \text{ for all } (x, s, p) \in \Omega \times \mathbb{R} \times \mathbb{R}^n, \\
|H(x, s, p)| \leq M \left(1 + |s|^r + |p|^q \right) \text{ for all } (x, s, p) \in \Omega \times \mathbb{R} \times \mathbb{R}^n, \\
H(x, 0, 0) \leq 0 \text{ for all } x \in \Omega, \;\; \int_\Omega H(x, 0, 0) \, dx \leq -M^{-1} < 0,
\end{cases} \tag{1.26}
$$

then there is a constant $\eta > 0$ depending only on Ω, n, q, M and r such that

$$(1 + \eta) \, u^* \le v \ \text{ a.e. in } \Omega^* \tag{1.27}$$

and

$$\|((1 + \eta) \, u^* - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \le \varepsilon. \tag{1.28}$$

Outline of the paper. In Section 2, we set the basic ingredients for the proof of the main theorems. Namely, we prove some pointwise and differential rearrangement inequalities using a symmetrization of the second-order terms of (1.1). Section 3 is devoted to the proofs of Theorems 1.1 and 1.3 for equation (1.1) when the nonlinear term H is bounded from below by an at most linear function of $|\nabla u|$. In Section 4, we consider the general case $q \in (1, 2]$ in the lower bound (1.4) of H and we do the proofs of Theorems 1.5 and 1.7.

2 Rearrangement inequalities

This section is devoted to the proofs of some rearrangement inequalities in the spirit of [33], Section 3. These pointwise estimates and partial differential inequalities are of independent interest and are thus stated in a separate section. They will then be used in the proof of the main theorems of the paper in the next sections.

2.1 Definitions of the symmetrizations

As in [33], let Ω be a C^2 bounded domain of $\mathbb{R}^n$, let $A_\Omega \in C^1(\overline{\Omega}, \mathcal{S}_n(\mathbb{R}))$, $\Lambda_\Omega \in C^1(\overline{\Omega}) \cap L^\infty_+(\Omega)$ and assume that $A_\Omega(x) \ge \Lambda_\Omega(x)\mathrm{Id}$ for all $x \in \overline{\Omega}$. Let ψ be a $C^1(\overline{\Omega})$ function, analytic and positive in Ω, such that $\mathrm{div}(A_\Omega\nabla\psi) \in L^1(\Omega)$, $\psi = 0$ on $\partial\Omega$ and $|\nabla\psi(x)| \ne 0$ for all $x \in \partial\Omega$, so that $\nu \cdot \nabla\psi < 0$ on $\partial\Omega$, where ν denotes the outward unit normal to $\partial\Omega$. For the sake of completeness, let us recall some definitions and notations already introduced in [33]. Set $M = \max_{x \in \overline{\Omega}} \psi(x)$. For all $a \in [0, M)$, define

$$\Omega_a = \{x \in \Omega; \ \psi(x) > a\} \tag{2.1}$$

and, for all $a \in [0, M]$,

$$\Sigma_a = \{x \in \overline{\Omega}; \ \psi(x) = a\}.$$

Notice that the n-dimensional Lebesgue measure $|\Sigma_a|$ of Σ_a is equal to 0 for every $a \in (0, M]$ by analyticity of ψ in Ω, and that the n-dimensional Lebesgue measure of $\Sigma_0 = \partial\Omega$ is also equal to 0. The set $\{x \in \overline{\Omega}; \ \nabla\psi(x) = 0\}$ is included in some compact set $K \subset \Omega$, which implies that the set

$$Z = \{a \in [0, M]; \ \exists x \in \Sigma_a, \ \nabla\psi(x) = 0\}$$

of the critical values of ψ is finite ([48]) and can then be written as $Z = \{a_1, \cdots, a_m\}$ for some positive integer $m \in \mathbb{N}^* = \mathbb{N}\backslash\{0\}$. Observe also that $M \in Z$ and that $0 \notin Z$. One can then assume without loss of generality that $0 < a_1 < \cdots < a_m = M$. The set $Y = [0, M]\backslash Z$ of the non-critical values of ψ is open relatively to $[0, M]$ and can be written as

$$Y \ = \ [0, M]\backslash Z \ = \ [0, a_1) \cup (a_1, a_2) \cup \cdots \cup (a_{m-1}, M).$$

Denote by R the radius of Ω^*, that is $\Omega^* = B_R$, where, for $s > 0$, B_s denotes the open Euclidean ball centered at the origin with radius s. For all $a \in [0, M)$, let $\rho(a) \in (0, R]$ be defined so that

$$|\Omega_a| = |B_{\rho(a)}| = \alpha_n\rho(a)^n, \tag{2.2}$$

11

where α_n is the volume of the unit ball B_1. The function ρ is extended at M by $\rho(M) = 0$. It follows then from [33] (Lemma 3.1 in [33]) and the fact that $|\Sigma_a| = 0$ for every $a \in [0, M]$ that ρ is a continuous decreasing map from $[0, M]$ onto $[0, R]$. Lastly, call

$$E = \{x \in \overline{\Omega^*}; \ |x| \in \rho(Y)\}. \tag{2.3}$$

The set E is a finite union of spherical shells, it is open relatively to $\overline{\Omega^*}$ and can be written as

$$E = \{x \in \mathbb{R}^n; \ |x| \in (0, \rho(a_{m-1})) \cup \cdots \cup (\rho(a_2), \rho(a_1)) \cup (\rho(a_1), R]\}$$

with $0 = \rho(a_m) = \rho(M) < \rho(a_{m-1}) < \cdots < \rho(a_1) < R$. Notice that $0 \notin E$.

Let us now recall the definition of the symmetrization $\widehat{\psi}$ of ψ introduced in [33]. To do so, we first define a symmetrization of Λ_Ω. Namely, for all $r \in \rho(Y)$, set

$$G(r) = \frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)|^{-1} \, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} \Lambda_\Omega(y)^{-1} |\nabla\psi(y)|^{-1} \, d\sigma_{\rho^{-1}(r)}} > 0, \tag{2.4}$$

where $\rho^{-1} : [0, R] \to [0, M]$ denotes the reciprocal of the function ρ and $d\sigma_a$ denotes the surface measure on Σ_a for $a \in Y$. For all $x \in E$, define

$$\widehat{\Lambda}(x) = G(|x|) \tag{2.5}$$

and set, say, $\widehat{\Lambda}(x) = 0$ for all x in the negligible set $\overline{\Omega^*} \backslash E = \Sigma_{a_1} \cup \cdots \cup \Sigma_{a_m}$. Notice that

$$0 < \min_{\overline{\Omega}} \Lambda_\Omega \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} \leq \max_{\overline{\Omega}} \Lambda_\Omega \ \text{ and } \ \int_{\Omega^*} \widehat{\Lambda}^{-1} = \int_{\Omega} \Lambda_\Omega^{-1} \tag{2.6}$$

from the co-area formula and the fact that $|\Sigma_a| = 0$ for all $a \in [0, M]$. Furthermore, the $L^\infty_+(\Omega^*)$ function $\widehat{\Lambda}$ is actually of class C^1 in $E \cap \Omega^*$. Define now $F(0) = 0$ and, for all $r \in \rho(Y)$, set

$$F(r) = \frac{1}{n\alpha_n r^{n-1} G(r)} \int_{\Omega_{\rho^{-1}(r)}} \operatorname{div}(A_\Omega \nabla\psi)(x) \, dx. \tag{2.7}$$

This definition makes sense when $r \in \rho(Y) \backslash \{R\}$ since $A_\Omega \nabla\psi$ is of class C^1 in Ω, and also when $r = R$ since $\operatorname{div}(A_\Omega \nabla\psi)$ is assumed to be in $L^1(\Omega)$. Let ν_a denote the outward unit normal to Ω_a for $a \in Y$. From Green-Riemann formula, there holds

$$\begin{aligned} F(r) &= \frac{1}{n\alpha_n r^{n-1} G(r)} \int_{\Sigma_{\rho^{-1}(r)}} A_\Omega(y) \nabla\psi(y) \cdot \nu_{\rho^{-1}(r)}(y) \, d\sigma_{\rho^{-1}(r)} \\ &= \frac{-1}{n\alpha_n r^{n-1} G(r)} \int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)| A_\Omega(y) \nu_{\rho^{-1}(r)}(y) \cdot \nu_{\rho^{-1}(r)}(y) \, d\sigma_{\rho^{-1}(r)} < 0 \end{aligned} \tag{2.8}$$

for all $r \in \rho(Y) \backslash \{R\}$, as well as for $r = R$ from Lebesgue's theorem and the smoothness of $\partial\Omega$. From (2.7) and (2.8), it follows that the function F is actually continuous and bounded on the set $\rho(Y) \cup \{0\} = [0, \rho(a_{m-1})) \cup \cdots \cup (\rho(a_2), \rho(a_1)) \cup (\rho(a_1), R]$ (the continuity at $r = 0$ follows from definition (2.7) and the fact that $|\Omega_{\rho^{-1}(r)}| = |B_r| = \alpha_n r^n$). Finally, for all $x \in \overline{\Omega^*}$, set

$$\widehat{\psi}(x) = -\int_{|x|}^R F(r) dr. \tag{2.9}$$

12

We recall from [33] that $\widehat{\psi}$ is positive in Ω^*, equal to zero on $\partial\Omega^* = \partial B_R$, radially symmetric, decreasing with respect to $|x|$ in $\overline{\Omega^*}$, continuous in $\overline{\Omega^*}$, of class C^1 in $E \cup \{0\}$, of class C^2 in $E \cap \Omega^*$, and that $\widehat{\psi} \in W^{1,\infty}(\Omega^*) \cap H_0^1(\Omega^*)$.

Throughout this Section 2, we are also given a real number q such that $1 \leq q \leq 2$, and two continuous functions a_Ω and f_Ω in $\overline{\Omega}$. We now define symmetrizations of the coefficients a_Ω and f_Ω. For all $x \in E$, define $\widehat{a}(x)$ by

$$
\widehat{a}(x) = \begin{cases}
\displaystyle\max_{y \in \Sigma_{\rho^{-1}(|x|)}} \left(a_\Omega^+(y)\Lambda_\Omega^{-1}(y)\right) \times \widehat{\Lambda}(x) & \text{if } q = 2, \\[4mm]
\left(\dfrac{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} a_\Omega^+(y)^{\frac{2}{2-q}} \Lambda_\Omega(y)^{-\frac{q}{2-q}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(|x|)}}{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(|x|)}}\right)^{\frac{2-q}{2}} \times \widehat{\Lambda}(x)^{\frac{q}{2}} & \text{if } 1 \leq q < 2,
\end{cases}
$$
(2.10)

and $\widehat{f}(x)$ by

$$
\widehat{f}(x) = \frac{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} f_\Omega(y)\, |\nabla\psi(y)|^{-1} d\sigma_{\rho^{-1}(|x|)}}{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(|x|)}}.
$$
(2.11)

Note that $\widehat{a}$ and $\widehat{f}$ are defined almost everywhere in $\overline{\Omega^*}$ (they can be extended by, say, 0 on $\overline{\Omega^*}\backslash E$).

Let us list here a few basic properties satisfied by the functions $\widehat{a}$ and $\widehat{f}$, which will be used later in Section 3. Firstly, the functions $\widehat{a}$ and $\widehat{f}$ are continuous in E. Secondly, from (2.5), it follows immediately that, when $q = 2$,

$$
\widehat{a}(x) \geq \min_{\overline{\Omega}} a_\Omega^+ \quad \text{for all } x \in E.
$$
(2.12)

When $1 \leq q < 2$, the Hölder inequality yields, for all $x \in E$,

$$
\fint_{\Sigma_{\rho^{-1}(|x|)}} \Lambda_\Omega(y)^{-1}|\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)} \leq \left(\fint_{\Sigma_{\rho^{-1}(|x|)}} \Lambda_\Omega(y)^{-\frac{q}{2-q}}|\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}\right)^{\frac{2-q}{q}}
$$
$$
\times \left(\fint_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}\right)^{\frac{2q-2}{q}},
$$

whence

$$
\widehat{a}(x)\,\widehat{\Lambda}(x)^{-\frac{q}{2}} \geq \left(\min_{\overline{\Omega}} a_\Omega^+\right) \times \left(\frac{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} \Lambda_\Omega(y)^{-\frac{q}{2-q}}|\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}}{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}}\right)^{\frac{2-q}{2}}
$$
$$
\geq \left(\min_{\overline{\Omega}} a_\Omega^+\right) \times \left(\frac{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} \Lambda_\Omega(y)^{-1}|\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}}{\displaystyle\fint_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1}d\sigma_{\rho^{-1}(|x|)}}\right)^{\frac{q}{2}} = \left(\min_{\overline{\Omega}} a_\Omega^+\right) \times \widehat{\Lambda}(x)^{-\frac{q}{2}}
$$

13

from (2.5). Therefore, (2.12) holds for $1 \leq q < 2$ as well. As for the upper bound of $\widehat{a}$, it follows immediately from (2.6) and (2.10) that, when $q = 2$,

$$\widehat{a}(x) \leq \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega^{-1} \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega \right) = \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \frac{\max_{\overline{\Omega}} \Lambda_\Omega}{\min_{\overline{\Omega}} \Lambda_\Omega}$$

for all $x \in E$. When $1 \leq q < 2$, we get from (2.5), (2.6), (2.10) and by writing $\Lambda_\Omega(y)^{-q/(2-q)} = \Lambda_\Omega(y)^{-2(q-1)/(2-q)} \times \Lambda_\Omega(y)^{-1}$, that, for all $x \in E$,

$$
\begin{aligned}
\widehat{a}(x)\,\widehat{\Lambda}(x)^{-\frac{q}{2}} &\leq \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega^{-(q-1)} \right) \times \left(\frac{\displaystyle\int_{\Sigma_{\rho^{-1}(|x|)}} \Lambda_\Omega(y)^{-1} |\nabla\psi(y)|^{-1} d\sigma_{\rho^{-1}(|x|)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(|x|)}} |\nabla\psi(y)|^{-1} d\sigma_{\rho^{-1}(|x|)}} \right)^{\frac{2-q}{2}} \\
&= \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega^{-(q-1)} \right) \times \widehat{\Lambda}(x)^{-\frac{2-q}{2}},
\end{aligned}
$$

whence $\widehat{a}(x) \leq \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega^{-(q-1)} \right) \times \widehat{\Lambda}(x)^{q-1} \leq \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\max_{\overline{\Omega}} \Lambda_\Omega / \min_{\overline{\Omega}} \Lambda_\Omega \right)^{q-1}$. To sum up, there holds

$$\min_{\overline{\Omega}} a_\Omega^+ \leq \underset{\Omega^*}{\operatorname{ess\,inf}}\, \widehat{a} \leq \underset{\Omega^*}{\operatorname{ess\,sup}}\, \widehat{a} \leq \left(\max_{\overline{\Omega}} a_\Omega^+ \right) \times \left(\frac{\max_{\overline{\Omega}} \Lambda_\Omega}{\min_{\overline{\Omega}} \Lambda_\Omega} \right)^{q-1} \tag{2.13}$$

in all cases $1 \leq q \leq 2$. We also point out that

$$\min_{\overline{\Omega}} f_\Omega \leq \underset{\Omega^*}{\operatorname{ess\,inf}}\, \widehat{f} \leq \underset{\Omega^*}{\operatorname{ess\,sup}}\, \widehat{f} \leq \max_{\overline{\Omega}} f_\Omega \quad \text{and} \quad \int_{\Omega^*} \widehat{f} = \int_\Omega f_\Omega. \tag{2.14}$$

from (2.11), the co-area formula and the fact that $|\Sigma_a| = 0$ for all $a \in [0, M]$.

Lastly, we are given a nonnegative continuous function b_Ω in $\overline{\Omega}$.

2.2 Inequalities for the symmetrized data

Recall first that the function $\widehat{\psi}$ satisfies the following key inequality (see [33], Corollary 3.6):

Proposition 2.1. *For all $x \in \overline{\Omega^*}$ and all $y \in \Sigma_{\rho^{-1}(|x|)}$, $\widehat{\psi}(x) \geq \psi(y) = \rho^{-1}(|x|) \geq 0$.*

We now establish a pointwise differential inequality involving all the symmetrizations defined in Section 2.1:

Proposition 2.2. *For all $x \in E \cap \Omega^*$, there exists $y \in \Sigma_{\rho^{-1}(|x|)}$ such that*

$$
\begin{aligned}
-\operatorname{div}\!\big(\widehat{\Lambda}\nabla\widehat{\psi}\big)(x) - \widehat{a}(x)|\nabla\widehat{\psi}(x)|^q - \widehat{f}(x) &\leq -\operatorname{div}(A_\Omega \nabla\psi)(y) - a_\Omega(y)\,|\nabla\psi(y)|^q - f_\Omega(y) \\
&\leq -\operatorname{div}(A_\Omega \nabla\psi)(y) - a_\Omega(y)\,|\nabla\psi(y)|^q + b_\Omega(y)\psi(y) - f_\Omega(y).
\end{aligned}
$$

For the proof of Proposition 2.2, we need the following lemma:

Lemma 2.3. *For all $x \in E$ with $|x| = r$, there holds*

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}} a_\Omega(y)\,|\nabla\psi(y)|^q \, dy}{\left| \Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)} \right|} \leq \widehat{a}(x)\,\big|\nabla\widehat{\psi}(x)\big|^q \tag{2.15}$$

14

and

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}} f_\Omega(y)\, dy}{\left|\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}\right|} = \widehat{f}(x). \tag{2.16}$$

Proof. Let $x \in E$ with $|x| = r$. Notice that $\{z \in \mathbb{R}^n;\ r - \eta \le |z| \le r\} \subset E$ for some $\eta > 0$, and that formula (2.16) is an immediate consequence of the co-area formula and the definition (2.11) of $\widehat{f}$.

For the proof of (2.15), consider first the case where $q = 2$. By the co-area formula,

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}} a_\Omega(y)\, |\nabla\psi(y)|^2\, dy}{\left|\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}\right|} = \frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} a_\Omega(y)\, |\nabla\psi(y)|\, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(r)}}.$$

As a consequence, by the definition of $\widehat{a}$ in (2.10),

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}} a_\Omega(y)\, |\nabla\psi(y)|^2\, dy}{\left|\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}\right|} \le \widehat{a}(x)\, \widehat{\Lambda}(x)^{-1} \frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} \Lambda_\Omega(y)\, |\nabla\psi(y)|\, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(r)}}.$$

But inequality (3.16) in [33] yields

$$\frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} \Lambda_\Omega(y)\, |\nabla\psi(y)|\, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(r)}} \le \widehat{\Lambda}(x)\, \left|\nabla\widehat{\psi}(x)\right|^2, \tag{2.17}$$

which ends the proof of (2.15) when $q = 2$.

Consider now the case where $1 \le q < 2$. Then, using the co-area formula again, one has

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}} a_\Omega(y)\, |\nabla\psi(y)|^q\, dy}{\left|\Omega_{\rho^{-1}(r)} \setminus \Omega_{\rho^{-1}(r-t)}\right|} = \frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} a_\Omega(y)\, |\nabla\psi(y)|^{q-1}\, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(r)}}. \tag{2.18}$$

The Hölder inequality yields

$$\int_{\Sigma_{\rho^{-1}(r)}} a_\Omega(y)\, |\nabla\psi(y)|^{q-1}\, d\sigma_{\rho^{-1}(r)} \le \int_{\Sigma_{\rho^{-1}(r)}} a_\Omega^+(y)\Lambda_\Omega(y)^{-\frac{q}{2}}\, |\nabla\psi(y)|^{\frac{q}{2}-1}\Lambda_\Omega(y)^{\frac{q}{2}}\, |\nabla\psi(y)|^{\frac{q}{2}}\, d\sigma_{\rho^{-1}(r)}$$

$$\le \left(\int_{\Sigma_{\rho^{-1}(r)}} a_\Omega^+(y)^{\frac{2}{2-q}}\Lambda_\Omega(y)^{-\frac{q}{2-q}}\, |\nabla\psi(y)|^{-1}\, d\sigma_{\rho^{-1}(r)}\right)^{\frac{2-q}{2}}$$

$$\times \left(\int_{\Sigma_{\rho^{-1}(r)}} \Lambda_\Omega(y)\, |\nabla\psi(y)|\, d\sigma_{\rho^{-1}(r)}\right)^{\frac{q}{2}},$$

15

so that, by the definition (2.10) of $\widehat{a}$ and by (2.18),

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}} a_\Omega(y) \, |\nabla \psi(y)|^q \, dy}{\left|\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}\right|} \leq \widehat{a}(x) \, \widehat{\Lambda}(x)^{-\frac{q}{2}} \times \left(\frac{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} \Lambda_\Omega(y) \, |\nabla \psi(y)| \, d\sigma_{\rho^{-1}(r)}}{\displaystyle\int_{\Sigma_{\rho^{-1}(r)}} |\nabla \psi(y)|^{-1} \, d\sigma_{\rho^{-1}(r)}} \right)^{\frac{q}{2}}.$$

Using inequality (2.17), one therefore concludes that

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}} a_\Omega(y) \, |\nabla \psi(y)|^q \, dy}{\left|\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}\right|} \leq \widehat{a}(x) \, \big|\nabla \widehat{\psi}(x)\big|^q,$$

as claimed. The proof of Lemma 2.3 is thereby complete. $\qquad\square$

Proof of Proposition 2.2. Let $x \in E \cap \Omega^*$ with $|x| = r$ and let η be a positive real number such that $\{z \in \mathbb{R}^n; \ r - \eta \leq |z| \leq r\} \subset E \cap \Omega^*$. It follows from the definition of $\widehat{\psi}$ and the Green-Riemann formula that, for all $t \in (0, \eta]$ and for all $z \in \mathbb{R}^n$ with $|z| = 1$, one has

$$\int_{\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}} \mathrm{div}(A_\Omega \nabla \psi)(y) \, dy = n\alpha_n r^{n-1} \widehat{\Lambda}(rz) \nabla \widehat{\psi}(rz) \cdot z - n\alpha_n (r-t)^{n-1} \widehat{\Lambda}((r-t)z) \nabla \widehat{\psi}((r-t)z) \cdot z$$

$$= \int_{B_r \backslash B_{r-t}} \mathrm{div}\big(\widehat{\Lambda} \nabla \widehat{\psi}\big)(y) \, dy,$$

since $\widehat{\Lambda}$ is radially symmetric and of class C^1 in E and $\widehat{\psi}$ is radially symmetric and of class C^2 in $E \cap \Omega^*$. Hence,

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}} \mathrm{div}(A_\Omega \nabla \psi)(y) \, dy}{\left|\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}\right|} = \lim_{t \to 0^+} \frac{\displaystyle\int_{B_r \backslash B_{r-t}} \mathrm{div}\big(\widehat{\Lambda} \nabla \widehat{\psi}\big)(y) \, dy}{|B_r \backslash B_{r-t}|} = \mathrm{div}\big(\widehat{\Lambda} \nabla \widehat{\psi}\big)(x). \qquad (2.19)$$

Gathering (2.19) and Lemma 2.3, one obtains

$$\lim_{t \to 0^+} \frac{\displaystyle\int_{\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}} \big(\mathrm{div}(A_\Omega \nabla \psi)(y) + a_\Omega(y) \, |\nabla \psi(y)|^q + f_\Omega(y)\big) dy}{\left|\Omega_{\rho^{-1}(r)} \backslash \Omega_{\rho^{-1}(r-t)}\right|} \leq \mathrm{div}\big(\widehat{\Lambda} \nabla \widehat{\psi}\big)(x) + \widehat{a}(x) \big|\nabla \widehat{\psi}(x)\big|^q + \widehat{f}(x).$$

Arguing as in the proof of Proposition 3.8 in [33], one therefore obtains the existence of a point $y \in \Sigma_{\rho^{-1}(r)}$ such that $\mathrm{div}(A_\Omega \nabla \psi)(y) + a_\Omega(y) \, |\nabla \psi(y)|^q + f_\Omega(y) \leq \mathrm{div}\big(\widehat{\Lambda} \nabla \widehat{\psi}\big)(x) + \widehat{a}(x) \big|\nabla \widehat{\psi}(x)\big|^q + \widehat{f}(x)$. Since both functions b_Ω and ψ are nonnegative, the conclusion of Proposition 2.2 readily follows. $\qquad\square$

2.3 An improved inequality when $\min_{\overline{\Omega}} b_\Omega > 0$

Let us now state an improved version of Proposition 2.2, assuming especially that b_Ω is bounded from below on $\overline{\Omega}$ by a positive constant. For all $N > 0$ and all $\beta > 0$, let $E_{N,\beta}(\Omega)$ be the set of functions $\phi \in C^1(\overline{\Omega})$ such that

$$\phi = 0 \text{ on } \partial\Omega, \ \|\phi\|_{C^1(\overline{\Omega})} \leq N \text{ and } \phi(x) \geq \beta \, d(x, \partial\Omega) \geq 0 \text{ for all } x \in \overline{\Omega},$$

where $d(\cdot, \partial\Omega)$ denotes the Euclidean distance to $\partial\Omega$ and $\|\phi\|_{C^1(\overline{\Omega})} = \|\phi\|_{L^\infty(\Omega)} + \|\, |\nabla \phi| \, \|_{L^\infty(\Omega)}$.

Proposition 2.4. *In addition to the general assumptions of Section* 2.1, *assume that*

$$\min_{\overline{\Omega}} b_\Omega \geq m_b > 0, \tag{2.20}$$

that $m_\Lambda > 0$, $M_a \geq 0$, $M_f \geq 0$, $N > 0$ *and* $\beta > 0$ *are such that* $\min_{\overline{\Omega}} \Lambda_\Omega \geq m_\Lambda > 0$, $\|a_\Omega^+\|_{L^\infty(\Omega)} \leq M_a$, $\|f_\Omega^+\|_{L^\infty(\Omega)} \leq M_f$ *and* $\psi \in E_{N,\beta}(\Omega)$, *and that there exists* $\kappa \geq 0$ *such that*

$$-\mathrm{div}(A_\Omega \nabla \psi)(y) - a_\Omega(y) |\nabla \psi(y)|^q + b_\Omega(y)\psi(y) - f_\Omega(y) \leq \kappa \ \text{in} \ \Omega. \tag{2.21}$$

Then there exists a constant $\delta > 0$ *only depending on* Ω, n, m_b, m_Λ, M_a, M_f, N, β *and* κ, *with the following property: for all* $x \in E \cap \Omega^*$, *there exists* $y \in \Sigma_{\rho^{-1}(|x|)}$ *such that*

$$-\mathrm{div}\big(\widehat{\Lambda}\nabla\widehat{\psi}\big)(x) - \widehat{a}(x)\big|\nabla\widehat{\psi}(x)\big|^q + \delta\widehat{\psi}(x) - \widehat{f}(x) \leq -\mathrm{div}(A_\Omega \nabla \psi)(y) - a_\Omega(y)|\nabla\psi(y)|^q + b_\Omega(y)\psi(y) - f_\Omega(y).$$

The proof relies on the following observation:

Lemma 2.5. *Under the assumptions of Proposition* 2.4, *there exists a constant* $\widehat{\delta} > 0$ *only depending on* Ω, n, m_Λ, M_a, M_f, N, β *and* κ, *such that, for all* $x \in \overline{\Omega^*}$ *and all* $y \in \Sigma_{\rho^{-1}(|x|)}$, *there holds* $\widehat{\delta}\,\widehat{\psi}(x) \leq \psi(y)$.

Proof. We first claim that there exists $\gamma > 0$ only depending on Ω and β such that, for all $\phi \in E_{N,\beta}(\Omega)$ and all $a \in [0, \max_{\overline{\Omega}} \phi]$,

$$0 \leq \alpha_n (R^n - (\rho_\phi(a))^n) \leq \gamma a, \tag{2.22}$$

where ρ_ϕ is the function ρ associated with ϕ, as defined in (2.1) and (2.2) with ϕ instead of ψ. Indeed, for $\phi \in E_{N,\beta}(\Omega)$, one has $|\Omega_a| \geq |\{y \in \Omega; \ d(y, \partial\Omega) > a/\beta\}| \geq |\Omega| - \gamma a$, using the fact that Ω is of class C^1. This yields the claim.

Let us now prove that there exists $\eta > 0$ only depending on Ω, N and β such that, for all $\phi \in E_{N,\beta}(\Omega)$, all $x \in \overline{\Omega^*}$ and all $y \in \Sigma_{(\rho_\phi)^{-1}(|x|)}$,

$$d(y, \partial\Omega) \geq \eta \, d(x, \partial\Omega^*). \tag{2.23}$$

Let us assume by contradiction that this is not true. Then, there exist a sequence of functions $(\phi_k)_{k \geq 1} \in E_{N,\beta}(\Omega)$ and two sequences of points $(x_k)_{k \geq 1} \in \overline{\Omega^*}$ and $(y_k)_{k \geq 1} \in \overline{\Omega}$ with

$$y_k \in \Sigma_{(\rho_{\phi_k})^{-1}(|x_k|)} \ \text{and} \ d(y_k, \partial\Omega) < \frac{d(x_k, \partial\Omega^*)}{k} \tag{2.24}$$

for all $k \geq 1$. This implies that $d(y_k, \partial\Omega) \to 0$, and since the $C^1(\overline{\Omega})$ norms of the functions ϕ_k are uniformly bounded, it follows that $\phi_k(y_k) \to 0$. Applying (2.22) with $a = \phi_k(y_k)$, one obtains that $\rho_{\phi_k}(\phi_k(y_k)) \to R$, that is $|x_k| = \rho_{\phi_k}(\phi_k(y_k)) \to R$ when $k \to +\infty$. Using again the uniform bound for the $C^1(\overline{\Omega})$ norms of ϕ_k, one has, for all k large enough, $\phi_k(y_k) \leq N d(y_k, \partial\Omega)$, whence $\phi_k(y_k) \leq N d(x_k, \partial\Omega^*)/k = N(R - |x_k|)/k$. Applying ρ_{ϕ_k} to both sides of this inequality and using the fact that ρ_{ϕ_k} is nonincreasing, it follows that $|x_k| \geq \rho_{\phi_k}(N(R - |x_k|)/k)$ for all k large enough. Using (2.22) again, one easily deduces that, for all k large enough, $\alpha_n |x_k|^n \geq \alpha_n R^n - \gamma N(R - |x_k|)/k$, that is, for all k large enough,

$$\alpha_n \frac{R^n - |x_k|^n}{R - |x_k|} \leq \frac{\gamma N}{k}$$

(note that, by (2.24), $|x_k| < R$ for all $k \geq 1$), and this provides a contradiction when $k \to +\infty$ since $|x_k| \to R$. Thus, (2.23) is proved.

Let us now end up the proof of Lemma 2.5. By (2.23), one has

$$\psi(y) \geq \beta \, d(y, \partial\Omega) \geq \beta \, \eta \, d(x, \partial\Omega^*) = \beta \, \eta \, (R - |x|) \tag{2.25}$$

17

for all $x \in \overline{\Omega^*}$ and $y \in \Sigma_{\rho^{-1}(|x|)}$. But, using (2.4), (2.7), (2.21) and the nonnegativity of b_Ω and ψ, one has, for all $r \in \rho(Y)$,

$$-F(r) \leq \frac{1}{n\alpha_n r^{n-1} G(r)} \int_{\Omega_{\rho^{-1}(r)}} \left(\|a_\Omega^+\|_{L^\infty(\Omega)} N^q + \kappa + \|f_\Omega^+\|_{L^\infty(\Omega)} \right) dy \leq \frac{R \left(M_a \max(1, N)^2 + \kappa + M_f \right)}{n \, m_\Lambda},$$

since $\min_{\overline{\Omega}} \Lambda_\Omega \geq m_\Lambda > 0$ and $|\Omega_{\rho^{-1}(r)}| = |B_r| = \alpha_n r^n$. Together with (2.9), it follows that there exists $\theta > 0$ only depending on Ω, n, m_Λ, M_a, M_f, N and κ, such that

$$\widehat{\psi}(x) \leq \theta \left(R - |x| \right) \tag{2.26}$$

for all $x \in \overline{\Omega^*}$. The conclusion of Lemma 2.5 then readily follows from (2.25) and (2.26). $\qquad\square$

Proof of Proposition 2.4. Let $x \in E \cap \Omega^*$. Proposition 2.2 provides the existence of a point $y \in \Sigma_{\rho^{-1}(|x|)}$ such that

$$-\mathrm{div}\big(\widehat{\Lambda}\nabla\widehat{\psi}\big)(x) - \widehat{a}(x)\big|\nabla\widehat{\psi}(x)\big|^q - \widehat{f}(x) \leq -\mathrm{div}(A_\Omega\nabla\psi)(y) - a_\Omega(y)\left|\nabla\psi(y)\right|^q - f_\Omega(y). \tag{2.27}$$

Now, it follows from Lemma 2.5 and (2.20) that, with $\delta = \widehat{\delta}\, m_b > 0$, one has

$$\delta\, \widehat{\psi}(x) \leq m_b\, \psi(y) \leq b_\Omega(y)\, \psi(y), \tag{2.28}$$

and it is therefore enough to sum up (2.27) and (2.28) to obtain the conclusion of Proposition 2.4. $\qquad\square$

2.4 The case where Ω is not a ball

Let us finally recall that Proposition 2.1 can be improved when Ω is not a ball. Following Section 4 of [33], for all $\alpha \in (0, 1)$, all $N > 0$ and all $\beta > 0$, let $E_{\alpha,N,\beta}(\Omega)$ be the set of functions $\phi \in E_{N,\beta}(\Omega) \cap C^{1,\alpha}(\overline{\Omega})$ such that $\|\phi\|_{C^{1,\alpha}(\overline{\Omega})} \leq N$, where

$$\|\phi\|_{C^{1,\alpha}(\overline{\Omega})} = \|\phi\|_{C^1(\overline{\Omega})} + \sup_{(x,y)\in\Omega\times\Omega,\, x\neq y} \frac{|\nabla\phi(x) - \nabla\phi(y)|}{|x - y|^\alpha}.$$

It was established in [33] (Corollary 4.4 in [33]) that:

Proposition 2.6. *In addition to the general assumptions of Section 2.1, assume that $\psi \in E_{\alpha,N,\beta}(\Omega)$ for some $\alpha \in (0, 1)$, $N > 0$, $\beta > 0$, and that Ω is not a ball. Then, there exists $\eta > 0$ only depending on Ω, n, α, N and β such that $\widehat{\psi}(x) \geq (1 + \eta)\,\psi(y)$ for all $x \in \overline{\Omega^*}$ and all $y \in \Sigma_{\rho^{-1}(|x|)}$.*

3 Linear growth with respect to the gradient

This section is devoted to the proofs of Theorems 1.1 and 1.3. The proofs of some technical lemmas used in the proofs of these theorems are done in Section 3.2. The proofs of Theorems 1.1 and 1.3, that are done in Section 3.1, follow the same general scheme. As a matter of fact, the only difference in the conclusions (1.6), (1.10) and (1.12) is that the inequalities (1.10) and (1.12) are quantified when Ω is not a ball, in that they involve a parameter $\eta_u > 0$ (resp. $\eta > 0$) which depends on Ω, n and u (resp. Ω, n and M given in (1.11)). Most of the steps of the proofs of Theorems 1.1 and 1.3 will be identical, this is the reason why the proofs are done simultaneously. However, in some steps or in some arguments, we will consider specifically the case where Ω is not ball and where the assumption (1.11) is made. Some more precise estimates will be proved in this case.

Throughout this section, we assume (1.3) and (1.4) with $\Lambda \in L_+^\infty(\Omega)$ and $q = 1$, that is the nonlinear function H is bounded from below by an at most linear function of $|p|$. Furthermore, $u \in W(\Omega)$ denotes a solution of (1.1) such that

$$u > 0 \text{ in } \Omega \text{ and } |\nabla u| \neq 0 \text{ on } \partial\Omega. \tag{3.1}$$

We recall that, even if it means redefining u on a negligible subset of $\overline{\Omega}$, one can assume without loss of generality that $u \in C^{1,\alpha}(\overline{\Omega})$ for all $\alpha \in [0, 1)$.

3.1 Proofs of Theorems 1.1 and 1.3

The preliminary step (Step 1) of the proofs is concerned with some uniform bounds on u, depending only on Ω, n and M, under the assumption (1.11). These bounds, which are of independent interest, will be used later in the specific case where Ω is not a ball. Then, the general strategy consists firstly in approximating u in Ω by smooth solutions u_j of some regularized equations (Step 2) and then in applying the rearrangement inequalities of Section 2 to the approximated solutions u_j and the coefficients appearing in the approximated equations (Step 3). In Step 3, these rearrangement inequalities are quantified when Ω is not a ball and (1.11) is assumed. The ideas used in the next steps of the proofs are identical for Theorems 1.1 and 1.3. More precisely, in Steps 4 and 5, we apply a maximum principle to the symmetrized functions in Ω^*, called $\widehat{\psi}_k = \widehat{u_{j_k}}$, namely we compare them to the solutions v_k of some radially symmetric equations in Ω^*. We then pass to the limit as $k \to +\infty$ in Ω^* (Steps 6 and 7). We also approximate the symmetrized coefficients $\widehat{f}_k$ in Ω^* appearing in the proof by some functions in Ω^* having the same distribution function as the function f_u defined in (1.8) (Steps 8 and 9). Finally, in Steps 10 and 11, we pass to some limits and we use the Hardy-Littlewood inequality to compare some approximated solutions in Ω^* with the solution v of (1.7).

Step 1: uniform bounds on u under assumption (1.11)

In this step, some uniform pointwise and smoothness estimates are established under assumption (1.11). Actually, these quantified estimates will only be needed for the quantified inequality (1.12) in Theorem 1.3. We recall that the sets $E_{\alpha,N,\beta}(\Omega)$ have been defined in Section 2.4

Lemma 3.1. *Under assumption* (1.11), *there are some real numbers $N > 0$ and $\beta > 0$, which depend only on Ω, n and M, such that $u \in E_{1/2,N,\beta}(\Omega)$.*

The proof of this lemma, which has its independent interest, is postponed in Section 3.2. We prefer to directly go in the sequel on the main steps of the proofs of Theorems 1.1 and 1.3.

Step 2: approximated coefficients and approximated solutions u_j in Ω

Let $H_\infty : \overline{\Omega} \to \mathbb{R}$ be the continuous function defined by $H_\infty(x) = H(x, u(x), \nabla u(x))$ for all $x \in \overline{\Omega}$ and let $(H_j)_{j\in\mathbb{N}}$ be a sequence of polynomial functions such that

$$H_j(x) \underset{j\to+\infty}{\longrightarrow} H_\infty(x) = H(x, u(x), \nabla u(x)) \text{ uniformly in } x \in \overline{\Omega}. \tag{3.2}$$

We recall that the given matrix field $A = (A_{i,i'})_{1\le i,i'\le n}$ is in $W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$ and that all entries $A_{i,i'}$ can be assumed to be continuous in $\overline{\Omega}$ without loss of generality. Now, following Steps 1 and 2 of Section 5.2.1 of [33], there is a sequence of $C^\infty(\overline{\Omega}, \mathcal{S}_n(\mathbb{R}))$ matrix fields $(A_j)_{j\in\mathbb{N}} = ((A_{j;i,i'})_{1\le i,i'\le n})_{j\in\mathbb{N}}$ with polynomial

entries $A_{j;i,i'}$ and a sequence of $C^\infty(\overline{\Omega}) \cap L^\infty_+(\Omega)$ functions $(\Lambda_j)_{j\in\mathbb{N}}$ such that

$$\begin{cases} A_{j;i,i'} \underset{j\to+\infty}{\longrightarrow} A_{i,i'} \text{ uniformly in } \overline{\Omega} \text{ for all } 1 \le i, i' \le n, \quad \sup_{j\in\mathbb{N}} \|A_j\|_{W^{1,\infty}(\Omega)} < +\infty, \\[2mm] A_j \ge \Lambda_j\mathrm{Id} \text{ in } \overline{\Omega} \ \text{ and } \ \|\Lambda_j^{-1}\|_{L^1(\Omega)} = \|\Lambda^{-1}\|_{L^1(\Omega)} \ \text{ for all } j \in \mathbb{N}, \\[2mm] 0 < \operatorname*{ess\,inf}_\Omega \Lambda \le \liminf_{j\to+\infty} \left(\min_{\overline{\Omega}} \Lambda_j \right) \le \limsup_{j\to+\infty} \left(\max_{\overline{\Omega}} \Lambda_j \right) \le \operatorname*{ess\,sup}_\Omega \Lambda \end{cases} \tag{3.3}$$

(namely, one can take $A_j = A_{j,j}$ and $\Lambda_j = \alpha_{j,j}\underline{\Lambda}_{j,j}$ for all $j \in \mathbb{N}$, with the notations of Section 5.2.1 of [33]).

For each $j \in \mathbb{N}$, let u_j be the solution of

$$\begin{cases} -\mathrm{div}(A_j \nabla u_j)(x) &=& -H_j(x) & \text{in } \Omega, \\ u_j &=& 0 & \text{on } \partial\Omega. \end{cases} \tag{3.4}$$

Each function u_j belongs to $W(\Omega) \cap H^1_0(\Omega)$ and is analytic in Ω. Furthermore, from the previous definitions and from standard elliptic estimates, the functions u_j converge, up to extraction of a subsequence, in $W^{2,p}(\Omega)$ weakly for all $1 \le p < +\infty$ and in $C^{1,\alpha}(\overline{\Omega})$ strongly for all $0 \le \alpha < 1$ to the solution $u_\infty \in W(\Omega) \cap H^1_0(\Omega)$ of

$$\begin{cases} -\mathrm{div}(A\nabla u_\infty)(x) &=& -H_\infty(x) = -H(x, u(x), \nabla u(x)) & \text{in } \Omega, \\ u_\infty &=& 0 & \text{on } \partial\Omega, \end{cases}$$

which, by uniqueness of the solution of this linear problem with right-hand side $-H_\infty$, is necessarily equal to u. By uniqueness of the limit, one gets that the whole sequence $(u_j)_{j\in\mathbb{N}}$ converges to u in $W^{2,p}(\Omega)$ weak for all $1 \le p < +\infty$ and in $C^{1,\alpha}(\overline{\Omega})$ strong for all $0 \le \alpha < 1$.

On the other hand, there are some positive real numbers N_u and β_u, which depend on u, such that $u \in E_{1/2,N_u,\beta_u}(\Omega)$, because of (3.1) and the smoothness of u. Thus, it follows from the convergence of the sequence $(u_j)_{j\in\mathbb{N}}$ to u, in (at least) $C^{1,1/2}(\overline{\Omega})$ that, for all j large enough,

$$|\nabla u_j| \ne 0 \text{ on } \partial\Omega, \quad u_j > 0 \text{ in } \Omega \ \text{ and } \ u_j \in E_{1/2,2N_u,\beta_u/2}(\Omega) \tag{3.5}$$

(notice that the properties $|\nabla u_j| \ne 0$ on $\partial\Omega$ and $u_j > 0$ in Ω are actually automatically fulfilled when the third property $u_j \in E_{1/2,2N_u,\beta_u/2}(\Omega)$ is fulfilled, since $\beta_u > 0$, but we prefer to write the three properties all together for the sake of clarity). We can assume that (3.5) holds for all $j \in \mathbb{N}$ without loss of generality.

Furthermore, under assumption (1.11) of Theorem 1.3, it follows from Lemma 3.1 that $u \in E_{1/2,N,\beta}(\Omega)$ for some positive constants N and β which only depend on Ω, n and M (and which do not depend on u). As in the previous paragraph, one can then assume without loss of generality that, under assumption (1.11),

$$|\nabla u_j| \ne 0 \text{ on } \partial\Omega, \quad u_j > 0 \text{ in } \Omega \ \text{ and } \ u_j \in E_{1/2,2N,\beta/2}(\Omega) \ \text{ for all } j \in \mathbb{N}. \tag{3.6}$$

Step 3: symmetrized coefficients and the inequalities $u^*_{j_k} \le \widehat{\psi}_k$, $(1+\eta_u)\, u^*_{j_k} \le \widehat{\psi}_k$ and $(1+\eta)\, u^*_{j_k} \le \widehat{\psi}_k$ in Ω^*

Let now $k \in \mathbb{N}$ be fixed in this step and in the next two ones. For all $j \in \mathbb{N}$ and $x \in \Omega$, denote

$$\begin{aligned} B_j(x) &=& -\mathrm{div}(A_j \nabla u_j)(x) - a(x, u(x), \nabla u(x))\, |\nabla u_j(x)| + b(x, u(x), \nabla u(x))\, u_j(x) \\ && -f(x, u(x), \nabla u(x)) - 2^{-k} \\ &=& -H_j(x) - a(x, u(x), \nabla u(x))\, |\nabla u_j(x)| + b(x, u(x), \nabla u(x))\, u_j(x) \\ && -f(x, u(x), \nabla u(x)) - 2^{-k}. \end{aligned}$$

Due to (1.4), (3.2) and the fact that $u_j \to u$ in (at least) $C^1(\overline{\Omega})$ as $j \to +\infty$, it follows that $\limsup_{j \to +\infty} \left(\sup_{x \in \Omega} B_j(x) \right) \leq -2^{-k} < 0$. Therefore, there is an integer $j_k \in \mathbb{N}$ such that $B_{j_k}(x) \leq 0$ for all $x \in \Omega$, that is

$$
\begin{aligned}
&-\operatorname{div}(A_{j_k} \nabla u_{j_k})(x) - a(x, u(x), \nabla u(x)) |\nabla u_{j_k}(x)| + b(x, u(x), \nabla u(x)) u_{j_k}(x) \\
&-f(x, u(x), \nabla u(x)) - 2^{-k} \ \leq \ 0 \ \text{ for all } x \in \Omega.
\end{aligned} \tag{3.7}
$$

Without loss of generality, one can assume that $j_k \geq k$.

One can then apply the general results of Section 2 to the coefficients

$$
\begin{cases}
A_\Omega(x) = A_{j_k}(x), \ \Lambda_\Omega(x) = \Lambda_{j_k}(x), \ \psi(x) = u_{j_k}(x), \\
a_\Omega(x) = a(x, u(x), \nabla u(x)), \ b_\Omega(x) = b(x, u(x), \nabla u(x)), \\
f_\Omega(x) = f(x, u(x), \nabla u(x)) = f_u(x),
\end{cases} \tag{3.8}
$$

and $q = 1$. Call $\rho_k : [0, \max_{\overline{\Omega}} u_{j_k}] \to [0, R]$, E_k, $\widehat{\Lambda}_k$, $\widehat{\psi}_k$, $\widehat{a}_k$ and $\widehat{f}_k$ the symmetrized quantities defined as in (2.2), (2.3), (2.5), (2.9), (2.10) and (2.11). In particular, the set E_k can be written as

$$
E_k = \left\{ x \in \mathbb{R}^n; \ |x| \in (0, \rho_k(a^k_{m_k - 1})) \cup \cdots \cup (\rho_k(a^k_2), \rho_k(a^k_1)) \cup (\rho_k(a^k_1), R] \right\} \tag{3.9}
$$

where $0 < a^k_1 < \cdots < a^k_{m_k} = \max_{\overline{\Omega}} u_{j_k}$ denote the m_k critical values of the function u_{j_k} in $\overline{\Omega}$. The function $\widehat{\psi}_k$ belongs to $W^{1,\infty}(\Omega^*) \cap H^1_0(\Omega^*)$ and is of class C^1 in $E_k \cup \{0\}$ and C^2 in $E_k \cap \Omega^*$, the function $\widehat{\Lambda}_k \in L^\infty_+(\Omega^*)$ is of class C^1 in $E_k \cap \Omega^*$ and the functions $\widehat{a}_k$ and $\widehat{f}_k \in L^\infty(\Omega^*)$ are continuous in E_k. All functions $\widehat{\Lambda}_k$, $\widehat{\psi}_k$, $\widehat{a}_k$ and $\widehat{f}_k$ are radially symmetric. It follows from (2.6), (2.13), (2.14) and (3.3) that

$$
\begin{cases}
0 < \min_{\overline{\Omega}} \Lambda_{j_k} \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{\Lambda}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{\Lambda}_k \leq \max_{\overline{\Omega}} \Lambda_{j_k}, \\
\|\widehat{\Lambda}_k^{-1}\|_{L^1(\Omega^*)} = \|\Lambda_{j_k}^{-1}\|_{L^1(\Omega)} = \|\Lambda^{-1}\|_{L^1(\Omega)}, \\
\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \leq \min_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{a}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{a}_k \leq \max_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+, \\
\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f \leq \min_{\overline{\Omega}} f_u \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{f}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{f}_k \leq \max_{\overline{\Omega}} f_u \leq \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f.
\end{cases} \tag{3.10}
$$

For the proof of Theorem 1.1, it follows then from Proposition 2.1 that

$$
\widehat{\psi}_k(x) \geq u_{j_k}(y) \geq 0 \ \text{ for all } x \in \overline{\Omega^*} \text{ and } y \in \Sigma_{\rho_k^{-1}(|x|)}. \tag{3.11}
$$

That means that

$$
0 \leq u^*_{j_k}(x) \leq \widehat{\psi}_k(x) \ \text{ for all } x \in \overline{\Omega^*}, \tag{3.12}
$$

where $u^*_{j_k}$ denotes the Schwarz symmetrization of the function u_{j_k}.

On the other hand, if Ω is not a ball, it follows from (3.5) and Proposition 2.6 that, for all $x \in \overline{\Omega^*}$ and $y \in \Sigma_{\rho_k^{-1}(|x|)}$, $\widehat{\psi}_k(x) \geq (1 + \eta_u) u_{j_k}(y) \geq 0$, where $\eta_u > 0$ only depends on Ω, n, N_u and β_u, that is on Ω, n and u. Therefore,

$$
0 \leq (1 + \eta_u) u^*_{j_k}(x) \leq \widehat{\psi}_k(x) \ \text{ for all } x \in \overline{\Omega^*}. \tag{3.13}
$$

Furthermore, if Ω is not a ball and the assumption (1.11) of Theorem 1.3 is made, it follows from (3.6) and Proposition 2.6 that $\widehat{\psi}_k(x) \geq (1 + \eta) u_{j_k}(y) \geq 0$ for all $x \in \overline{\Omega^*}$ and $y \in \Sigma_{\rho_k^{-1}(|x|)}$, where $\eta > 0$ only depends on Ω, n, N and β, that is on Ω, n and M. Therefore,

$$
0 \leq (1 + \eta) u^*_{j_k}(x) \leq \widehat{\psi}_k(x) \ \text{ for all } x \in \overline{\Omega^*} \tag{3.14}
$$

21

in this case.

Lastly, for both Theorems 1.1 and 1.3, Proposition 2.2 implies that, for all $x \in E_k \cap \Omega^*$, there exists a point $y \in \Sigma_{\rho_k^{-1}(|x|)}$ such that

$$- \operatorname{div}\big(\widehat{\Lambda}_k \nabla \widehat{\psi}_k\big)(x) - \widehat{a}_k(x)|\nabla \widehat{\psi}_k(x)| - \widehat{f}_k(x) - 2^{-k}$$
$$\leq - \operatorname{div}(A_{j_k} \nabla u_{j_k})(y) - a(y, u(y), \nabla u(y))\,|\nabla u_{j_k}(y)| + b(y, u(y), \nabla u(y))\,u_{j_k}(y) - f(y, u(y), \nabla u(y)) - 2^{-k}$$
$$\leq 0,$$

where the last inequality follows from (3.7). In other words,

$$- \operatorname{div}\big(\widehat{\Lambda}_k \nabla \widehat{\psi}_k\big)(x) + \widehat{a}_k(x) e_r(x) \cdot \nabla \widehat{\psi}_k(x) \leq g_k(x) \quad \text{for all } x \in E_k \cap \Omega^*, \tag{3.15}$$

where $e_r(x) = x/|x|$ for all $x \in \mathbb{R}^n \backslash \{0\}$ and

$$g_k(x) = \widehat{f}_k(x) + 2^{-k} \tag{3.16}$$

for all $x \in E_k$ (remember indeed that $\nabla \widehat{\psi}_k(x)$ points in the direction of $-e_r(x)$ for all $x \in E_k$, from (2.8) and (2.9)).

Step 4: the functions $\widehat{\psi}_k$ are $H_0^1(\Omega^*)$ weak subsolutions of (3.15)

The inequality (3.15) holds in $E_k \cap \Omega^*$, whence almost everywhere in Ω^*. But the quantities appearing in (3.15) might be discontinuous across the critical spheres ∂E_k in general. The goal of this step is to show that (3.15) holds nevertheless in the $H_0^1(\Omega^*)$ weak sense as well, as stated in the following lemma.

Lemma 3.2. *There holds*

$$\int_{\Omega^*} \widehat{\Lambda}_k \nabla \widehat{\psi}_k \cdot \nabla \varphi + \int_{\Omega^*} \big(\widehat{a}_k e_r \cdot \nabla \widehat{\psi}_k\big) \varphi - \int_{\Omega^*} g_k \varphi \leq 0 \tag{3.17}$$

for all $k \in \mathbb{N}$ and for all $\varphi \in H_0^1(\Omega^*)$ with $\varphi \geq 0$ a.e. in Ω^*.

In order not to lengthen the main scheme of the proofs of Theorems 1.1 and 1.3, the proof of Lemma 3.2 is postponed in Section 3.2 below.

Step 5: the inequalities $u_{j_k}^* \leq v_k$, $(1 + \eta_u)\, u_{j_k}^* \leq v_k$ and $(1 + \eta)\, u_{j_k}^* \leq v_k$ in Ω^*

We first point out that $\widehat{a}_k$ and g_k are in $L^\infty(\Omega^*)$. Let then v_k be the unique $H_0^1(\Omega^*)$ solution of

$$\begin{cases} - \operatorname{div}\big(\widehat{\Lambda}_k \nabla v_k\big) + \widehat{a}_k\, e_r \cdot \nabla v_k &= g_k \quad \text{in } \Omega^*, \\ v_k &= 0 \quad \text{on } \partial\Omega^*, \end{cases} \tag{3.18}$$

where the above equation is understood in the weak sense, that is $\int_{\Omega^*} \widehat{\Lambda}_k \nabla v_k \cdot \nabla \varphi + \int_{\Omega^*} \widehat{a}_k (e_r \cdot \nabla v_k)\, \varphi - \int_{\Omega^*} g_k\, \varphi = 0$ for every $\varphi \in H_0^1(\Omega^*)$. The existence and uniqueness of v_k is guaranteed by Theorem 8.3 of [30]. Since $\widehat{\psi}_k$ is an $H_0^1(\Omega^*)$ subsolution of this problem, in the sense of Lemma 3.2 of Step 4, it then follows from the weak maximum principle (see Theorem 8.1 of [30]) that $\widehat{\psi}_k \leq v_k$ a.e. in Ω^*. Hence, (3.12) yields

$$0 \leq u_{j_k}^* \leq v_k \quad \text{a.e. in } \Omega^* \tag{3.19}$$

under the assumptions of Theorem 1.1, whereas (3.13) implies that

$$0 \leq (1 + \eta_u)\, u_{j_k}^* \leq v_k \quad \text{a.e. in } \Omega^* \tag{3.20}$$

if Ω is not a ball, and (3.14) yields

$$0 \leq (1 + \eta)\, u_{j_k}^* \leq v_k \quad \text{a.e. in } \Omega^*, \tag{3.21}$$

if Ω is not a ball and (1.11) is assumed, where $\eta_u > 0$ and $\eta > 0$ are as in Step 3.

22

Step 6: the limiting inequalities $u^* \leq v_\infty$, $(1 + \eta_u) u^* \leq v_\infty$ and $(1 + \eta) u^* \leq v_\infty$ in Ω^*

First of all, since $u_j \to u$ as $j \to +\infty$ in (at least) $C^1(\overline{\Omega})$ and since $j_k \geq k$ for all $k \in \mathbb{N}$, it follows from [23] that $u^*_{j_k} \to u^*$ in $L^1(\Omega^*)$ as $k \to +\infty$. Up to extraction of a subsequence, one can then assume that

$$u^*_{j_k}(x) \to u^*(x) \text{ a.e. in } \Omega^* \text{ as } k \to +\infty. \tag{3.22}$$

Let us now pass to the limit in the $H_0^1(\Omega^*)$ solutions v_k of (3.18). Notice first, from (3.3), (3.10) and (3.16), that the sequences $(\widehat{\Lambda}_k)_{k \in \mathbb{N}}$, $(\widehat{\Lambda}_k^{-1})_{k \in \mathbb{N}}$, $(\widehat{a}_k)_{k \in \mathbb{N}}$ and $(g_k)_{k \in \mathbb{N}}$ are bounded in $L^\infty(\Omega^*)$. It follows then from Corollary 8.7 of [30] that the sequence $(v_k)_{k \in \mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$. Therefore, up to extraction of a subsequence, there exists a radially symmetric function $v_\infty \in H_0^1(\Omega^*)$ such that

$$v_k \rightharpoonup v_\infty \text{ in } H_0^1(\Omega^*) \text{ weak}, \ v_k \to v_\infty \text{ in } L^2(\Omega^*) \text{ strong and a.e. in } \Omega^* \text{ as } k \to +\infty. \tag{3.23}$$

Together with (3.19), (3.20), (3.21) and (3.22), one gets that

$$0 \leq u^* \leq v_\infty \quad \text{a.e. in } \Omega^* \tag{3.24}$$

under the assumptions of Theorem 1.1, that

$$0 \leq (1 + \eta_u) u^* \leq v_\infty \quad \text{a.e. in } \Omega^* \tag{3.25}$$

if Ω is not a ball, and that

$$0 \leq (1 + \eta) u^* \leq v_\infty \quad \text{a.e. in } \Omega^* \tag{3.26}$$

if Ω is not a ball and assumption (1.11) is made, where $\eta_u > 0$ and $\eta > 0$ are as in Step 3.

Step 7: a limiting equation satisfied by v_∞ in Ω^*

Let us now pass to the limit in the coefficients $\widehat{\Lambda}_k$, $\widehat{a}_k$ and g_k of (3.18). From (3.3), (3.10) and (3.16), there exist three radially symmetric functions $\widehat{\Lambda} \in L_+^\infty(\Omega^*)$, $\widehat{a} \in L^\infty(\Omega^*)$ and $\widehat{f} \in L^\infty(\Omega^*)$ such that, up to extraction of some subsequence,

$$\widehat{\Lambda}_k^{-1} \rightharpoonup \widehat{\Lambda}^{-1}, \quad \widehat{\Lambda}_k^{-1} \widehat{a}_k \rightharpoonup \widehat{\Lambda}^{-1} \widehat{a} \ \text{ and } \ g_k \rightharpoonup \widehat{f} \ \text{ in } L^\infty(\Omega^*) \text{ weak-* } \text{ as } k \to +\infty, \tag{3.27}$$

whence

$$0 < \operatorname*{ess\,inf}_{\Omega} \Lambda \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} \leq \operatorname*{ess\,sup}_{\Omega} \Lambda \ \text{ and } \ \|\widehat{\Lambda}^{-1}\|_{L^1(\Omega^*)} = \|\Lambda^{-1}\|_{L^1(\Omega)}. \tag{3.28}$$

Namely, the function $\widehat{a} = \widehat{\Lambda} \, \widehat{\Lambda}^{-1} \widehat{a}$ is defined as $\widehat{\Lambda}$ times the $L^\infty(\Omega^*)$ weak-* limit of the sequence $(\widehat{\Lambda}_k^{-1} \widehat{a}_k)_{k \in \mathbb{N}}$. Furthermore, $\widehat{a}$ is thus the $L^\infty(\Omega^*)$ weak-* limit of the functions $\widehat{\Lambda} \widehat{\Lambda}_k^{-1} \widehat{a}_k$. Since

$$\min_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{a}_k \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{a}_k \leq \max_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+$$

from (3.10), while $(0 <) \widehat{\Lambda} \widehat{\Lambda}_k^{-1} \rightharpoonup 1$ in the $L^\infty(\Omega^*)$ weak-* sense as $k \to +\infty$, it follows that

$$0 \leq \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \leq \min_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{a} \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{a} \leq \max_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+. \tag{3.29}$$

The main goal of this step is to show that v_∞ is a weak $H_0^1(\Omega^*)$ solution of the limiting equation obtained by passing formally to the limit as $k \to +\infty$ in (3.18).

Lemma 3.3. *The function v_∞ is a weak $H_0^1(\Omega^*)$ solution of*

$$\begin{cases} -\operatorname{div}(\widehat{\Lambda}\nabla v_\infty) + \widehat{a}\, e_r \cdot \nabla v_\infty &=& \widehat{f} & in\ \Omega^*, \\ v_\infty &=& 0 & on\ \partial\Omega^*, \end{cases} \tag{3.30}$$

in the sense that $\int_{\Omega^*} \widehat{\Lambda}\, \nabla v_\infty \cdot \nabla\varphi + \int_{\Omega^*}(\widehat{a}\, e_r \cdot \nabla v_\infty)\,\varphi - \int_{\Omega^*} \widehat{f}\,\varphi = 0$ for every $\varphi \in H_0^1(\Omega^*)$.

In order to go on the last steps of the proofs of Theorems 1.1 and 1.3, the proof of Lemma 3.3 is postponed in Section 3.2.

The radially symmetric functions $\widehat{\Lambda} \in L_+^\infty(\Omega^*)$ and $\widehat{a} \in L^\infty(\Omega^*)$ will be those of the statements of Theorems 1.1 and 1.3. Notice in particular that the properties (1.5) follow from (3.28) and (3.29). Furthermore, we already know from (3.24), (3.25) and (3.26) that $0 \le u^* \le v_\infty$ a.e. in Ω^* (respectively $0 \le (1+\eta_u)\, u^* \le v_\infty$ and $0 \le (1+\eta)\, u^* \le v_\infty$) under the assumptions of Theorem 1.1 (respectively Theorem 1.3 when Ω is not a ball, without or with assumption (1.11)), where v_∞ solves (3.30). However, the right-hand side of (3.30) involves a function $\widehat{f}$ which may not be the Schwarz rearrangement f_u^* of the function f_u defined in (1.8), or may even not have the same distribution function as f_u. In the remaining four steps of the proofs of Theorems 1.1 and 1.3, one shall then approximate the function v_∞ by some functions w_k and z_l (different from the v_k's in general) and the functions $\widehat{f_k}$ by some functions having the same distribution function as $f_u = f(\cdot, u(\cdot), \nabla u(\cdot))$, before finally comparing a function z_L for L large enough to the solution v of (1.7) with H and f_u^*.

Step 8: approximation of v_∞ by some functions w_k in Ω^*

Let $(\widehat{f_k})_{k\in\mathbb{N}}$ be the sequence of radially symmetric functions defined in Step 3, and remember that the sequence $(\widehat{f_k})_{k\in\mathbb{N}}$ is bounded in $L^\infty(\Omega^*)$ from (3.10) (one could replace $\widehat{f_k}$ by g_k without any change in the conclusions). Since $\widehat{\Lambda} \in L_+^\infty(\Omega^*)$ and $\widehat{a} \in L^\infty(\Omega^*)$, it follows from Theorem 8.3 of [30] that, for each $k \in \mathbb{N}$, there is a unique weak $H_0^1(\Omega^*)$ solution w_k of

$$\begin{cases} -\operatorname{div}(\widehat{\Lambda}\nabla w_k) + \widehat{a}\, e_r \cdot \nabla w_k &=& \widehat{f_k} & in\ \Omega^*, \\ w_k &=& 0 & on\ \partial\Omega^*, \end{cases} \tag{3.31}$$

in the sense that

$$\int_{\Omega^*} \widehat{\Lambda}\, \nabla w_k \cdot \nabla\varphi + \int_{\Omega^*}(\widehat{a}\, e_r \cdot \nabla w_k)\,\varphi - \int_{\Omega^*} \widehat{f_k}\,\varphi = 0 \quad \text{for all } \varphi \in H_0^1(\Omega^*). \tag{3.32}$$

Furthermore, the functions w_k are all radially symmetric by uniqueness and since all the coefficients $\widehat{\Lambda}$, $\widehat{a}$ and $\widehat{f_k}$ are radially symmetric. Lastly, as in Step 6 above, the sequence $(w_k)_{k\in\mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$ from Corollary 8.7 of [30]. There exists then a function $w_\infty \in H_0^1(\Omega^*)$ such that, up to extraction of a subsequence, one has $w_k \rightharpoonup w_\infty$ in $H_0^1(\Omega^*)$ weak and $w_k \to w_\infty$ in $L^2(\Omega^*)$ strong as $k \to +\infty$. Since $\widehat{f_k} = g_k - 2^{-k} \rightharpoonup \widehat{f}$ in $L^\infty(\Omega^*)$ weak-* as $k \to +\infty$ from (3.16) and (3.27), it follows by passing to the limit as $k \to +\infty$ in (3.32) that

$$\int_{\Omega^*} \widehat{\Lambda}\, \nabla w_\infty \cdot \nabla\varphi + \int_{\Omega^*}(\widehat{a}\, e_r \cdot \nabla w_\infty)\,\varphi - \int_{\Omega^*} \widehat{f}\,\varphi = 0 \quad \text{for all } \varphi \in H_0^1(\Omega^*).$$

In other words, w_∞ is a weak $H_0^1(\Omega^*)$ solution of (3.30). Referring again to Theorem 8.3 of [30] for the uniqueness of the solution of (3.30), one concludes that $w_\infty = v_\infty$ and that, by uniqueness of the limit, the whole sequence $(w_k)_{k\in\mathbb{N}}$ converges to v_∞ in the following sense:

$$w_k \rightharpoonup v_\infty \text{ in } H_0^1(\Omega^*) \text{ weak and } w_k \to v_\infty \text{ in } L^2(\Omega^*) \text{ strong as } k \to +\infty. \tag{3.33}$$

24

Step 9: approximation of the function w_K for K large by some functions z_l in Ω^*

Let $\varepsilon > 0$ be arbitrary and given until the end of the proofs of Theorems 1.1 and 1.3. From (3.33), there is an integer $K \in \mathbb{N}$ large enough such that

$$\|w_K - v_\infty\|_{L^2(\Omega^*)} \leq \frac{\varepsilon}{2}. \tag{3.34}$$

The function w_K is the weak $H_0^1(\Omega^*)$ solution of (3.31) with $k = K$. The radially symmetric function $\widehat{f}_K \in L^\infty(\Omega^*)$ in the right-hand side of (3.31) is given by (2.11) with $f_\Omega(y) = f(y, u(y), \nabla u(y)) = f_u(y)$ and $\rho = \rho_K$ is given by the function $\psi = u_{j_K}$: namely, with the general notations of Section 2, there holds

$$\widehat{f}_K(x) = \frac{\displaystyle\int_{\Sigma_{\rho_K^{-1}(|x|)}} f_u(y) \, |\nabla u_{j_K}(y)|^{-1} d\sigma_{\rho_K^{-1}(|x|)}}{\displaystyle\int_{\Sigma_{\rho_K^{-1}(|x|)}} |\nabla u_{j_K}(y)|^{-1} \, d\sigma_{\rho_K^{-1}(|x|)}}$$

for all $x \in E_K$, where the set $E_K \subset \overline{\Omega^*}$ is given by (3.9) with $k = K$. As already noticed in the general properties of Section 2, one knows that $\big|\{y \in \Omega; \ u_{j_K}(y) = a\}\big| = 0$ for every $a \in [0, \max_{\overline{\Omega}} u_{j_K}]$. It follows then from the co-area formula that $\int_{S_{\rho_K(b), \rho_K(a)}} \widehat{f}_K = \int_{\Omega_{a,b}} f_u$ for all $0 \leq a < b \leq \max_{\overline{\Omega}} u_{j_K}$, where $\Omega_{a,b} = \{y \in \Omega; \ a < u_{j_K}(y) < b\}$. Therefore, one infers from Lemma 5.1 of [33] (see also Lemma 1.1 of [4] and Lemma 2.2 of [2]) that there is a sequence $(h_l)_{l \in \mathbb{N}}$ of $L^\infty(\Omega^*)$ radially symmetric functions such that $h_l \rightharpoonup \widehat{f}_K$ as $l \to +\infty$ in $L^\infty(\Omega^*)$ weak-* and $\mu_{h_l} = \mu_{f_u}$ for all $l \in \mathbb{N}$, that is the functions h_l have all the same distribution function as the function f_u.

On the other hand, as in Step 8, there is for every $l \in \mathbb{N}$ a unique weak $H_0^1(\Omega^*)$, and radially symmetric, solution z_l of

$$\begin{cases} -\operatorname{div}\big(\widehat{\Lambda}\nabla z_l\big) + \widehat{a}\, e_r \cdot \nabla z_l &=& h_l \quad \text{in } \Omega^*, \\ z_l &=& 0 \quad \text{on } \partial\Omega^* \end{cases} \tag{3.35}$$

and the functions z_l converge to w_K in $H_0^1(\Omega^*)$ weak and in $L^2(\Omega^*)$ strong as $l \to +\infty$. In particular, there is $L \in \mathbb{N}$ large enough such that $\|z_L - w_K\|_{L^2(\Omega^*)} \leq \varepsilon/2$, whence

$$\|z_L - v_\infty\|_{L^2(\Omega^*)} \leq \varepsilon \tag{3.36}$$

from (3.34).

Step 10: the inequality $z_L \leq z$ in Ω^*

Remember that the distributions functions μ_{h_L} and μ_{f_u} of the functions $h_L \in L^\infty(\Omega^*)$ and $f_u \in L^\infty(\Omega)$ are identical, and let z be the unique weak $H_0^1(\Omega^*)$, and radially symmetric, solution of

$$\begin{cases} -\operatorname{div}\big(\widehat{\Lambda}\nabla z\big) + \widehat{a}\, e_r \cdot \nabla z &=& f_u^* \quad \text{in } \Omega^*, \\ z &=& 0 \quad \text{on } \partial\Omega^*, \end{cases} \tag{3.37}$$

where $f_u^* \in L^\infty(\Omega^*)$ is the radially symmetric Schwarz rearrangement of the function f_u. The key-point of this step is the following lemma.

Lemma 3.4. *There holds*

$$z_L \leq z \ \text{a.e. in } \Omega^*. \tag{3.38}$$

Actually, the same inequality holds with z_l for every $l \in \mathbb{N}$, but we will only use it with the function z_L. Notice also that, from the radial symmetry and the De Giorgi-Moser-Nash regularity theory (see Theorem 8.29 in [30]), both functions z_L and z can be assumed to be continuous in $\overline{\Omega^*}$, even if it means redefining them on the negligible subset of $\overline{\Omega^*}$. Therefore, the inequality $z_L(x) \leq z(x)$ holds for all $x \in \overline{\Omega^*}$ without loss of generality.

The proof of this lemma is postponed in Section 3.2. Let us now conclude the proofs of Theorems 1.1 and 1.3.

Step 11: conclusion of the proofs of Theorems 1.1 and 1.3

Let v be the unique weak $H_0^1(\Omega^*)$ solution of the equation (1.7) of Theorems 1.1 and 1.3, that is

$$\begin{cases} -\operatorname{div}\big(\widehat{\Lambda}\nabla v\big) - \widehat{a}\,|\nabla v| &= f_u^* \quad \text{in } \Omega^*, \\ v &= 0 \quad \text{on } \partial\Omega^*. \end{cases}$$

Remember that the existence and uniqueness of v is guaranteed by Theorem 2.1 of [46], and that v is actually radially symmetric and continuous in $\overline{\Omega^*}$, with similar arguments as for the functions $\overline{v}$ and $\overline{V}$ in the second paragraph of the proof of Corollary 1.2. We also recall that $\widehat{a} \geq 0$ a.e. in Ω^* from (3.29). In particular, $-\operatorname{div}(\widehat{\Lambda}\nabla v) + \widehat{a}\,e_r \cdot \nabla v \geq -\operatorname{div}(\widehat{\Lambda}\nabla v) - \widehat{a}\,|\nabla v| = f_u^*$ in the weak $H_0^1(\Omega^*)$ sense, that is $\int_{\Omega^*} \widehat{\Lambda}\nabla v \cdot \nabla\varphi + \int_{\Omega^*} (\widehat{a}\,e_r \cdot \nabla v)\,\varphi - \int_{\Omega^*} f_u^*\,\varphi \geq 0$ for every $\varphi \in H_0^1(\Omega^*)$ with $\varphi \geq 0$ a.e. in Ω^*. In other words, the function v is a weak $H_0^1(\Omega^*)$ supersolution of the equation (3.37) satisfied by z. The maximum principle (Theorem 8.1 of [30]) implies that $z \leq v$ a.e. in Ω^*. Together with (3.38), one gets that

$$z_L \leq v \text{ a.e. in } \Omega^*. \tag{3.39}$$

As a conclusion, it follows from (3.24), (3.25), (3.26), (3.36) and (3.39) that, under the assumptions of Theorem 1.1,

$$\begin{aligned} \|(u^* - v)^+\|_{L^2(\Omega^*)} &\leq \|(u^* - v_\infty)^+ + (v_\infty - z_L)^+ + (z_L - v)^+\|_{L^2(\Omega^*)} \\ &\leq \|(u^* - v_\infty)^+\|_{L^2(\Omega^*)} + \|(v_\infty - z_L)^+\|_{L^2(\Omega^*)} + \|(z_L - v)^+\|_{L^2(\Omega^*)} \\ &\leq 0 + \varepsilon + 0 = \varepsilon, \end{aligned} \tag{3.40}$$

whereas, under the assumptions of Theorem 1.3, there holds similarly $\|((1 + \eta_u)u^* - v)^+\|_{L^2(\Omega^*)} \leq \varepsilon$ if Ω is not a ball and $\|((1 + \eta)u^* - v)^+\|_{L^2(\Omega^*)} \leq \varepsilon$ if Ω is not a ball and assumption (1.11) is made, where $\eta_u > 0$ (resp. $\eta > 0$) only depends on Ω, n and u (resp. on Ω, n and the constant M in (1.11)).

Since $\varepsilon > 0$ can be arbitrary and u^* and v do not depend on ε, one concludes that $\|(u^* - v)^+\|_{L^2(\Omega^*)} = 0$ under the assumptions of Theorem 1.1, that is

$$u^* \leq v \text{ a.e. in } \Omega^*, \tag{3.41}$$

whereas $\|((1 + \eta_u)u^* - v)^+\|_{L^2(\Omega^*)} = 0$ (resp. $\|((1 + \eta)u^* - v)^+\|_{L^2(\Omega^*)} = 0$), that is $(1 + \eta_u)\,u^* \leq v$ a.e. in Ω^* (resp. $(1 + \eta)\,u^* \leq v$ a.e. in Ω^*), if Ω is not a ball (resp. if Ω is not a ball and assumption (1.11) is made). They are the desired conclusions. The proofs of Theorems 1.1 and 1.3 are thereby complete. $\square$

Remark 3.5. By replacing v by z in (3.40) and by using directly (3.38) instead of (3.39), it also follows that

$$u^* \leq z \text{ a.e. in } \Omega^* \tag{3.42}$$

under the assumptions of Theorem 1.1, whereas $(1 + \eta_u)\,u^* \leq z$ a.e. in Ω^* (resp. $(1 + \eta)\,u^* \leq z$ a.e. in Ω^*) if Ω is not a ball (resp. if Ω is not a ball and assumption (1.11) is made).

Remark 3.6. In Theorems 1.1 and 1.3, if we further assume that $\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0$, then similar comparison results as in Theorems 1.5 and 1.7 can be obtained. We refer to Remark 4.3 below for more details.

Remark 3.7. In Theorem 4 of [6], some integral comparisons of $|\nabla u|$ in Ω and $|\nabla v|$ in Ω^* (in particular, $\|\nabla u\|_{L^p(\Omega,\mathbb{R}^n)} \leq \|\nabla v\|_{L^p(\Omega^*,\mathbb{R}^n)}$ for $1 \leq p \leq 2$) are established under assumptions of the type (1.22), where $v \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is any weak solution of (1.23), provided such a solution v exists. For our problems (1.1) and (1.7), with the notations used in the above proof, it follows from Remark 3.13 of [33] that $\|\nabla u_{j_k}\|_{L^1(\Omega,\mathbb{R}^n)} \leq \|\nabla \widehat{\psi}_k\|_{L^1(\Omega^*,\mathbb{R}^n)}$ and $\|\nabla u_{j_k}\|_{L^2(\Omega,\mathbb{R}^n)} \leq \sqrt{M_{\Lambda_{j_k}}/m_{\Lambda_{j_k}}} \|\nabla \widehat{\psi}_k\|_{L^2(\Omega^*,\mathbb{R}^n)}$, where $M_{\Lambda_{j_k}} = \max_{\overline{\Omega}} \Lambda_{j_k}$ and $m_{\Lambda_{j_k}} = \min_{\overline{\Omega}} \Lambda_{j_k}$ (in particular, if Λ is equal to a constant $\lambda > 0$ and Λ_{j_k} is chosen as the same constant λ, then $\|\nabla u_{j_k}\|_{L^2(\Omega,\mathbb{R}^n)} \leq \|\nabla \widehat{\psi}_k\|_{L^2(\Omega^*,\mathbb{R}^n)}$). One also knows that $u_{j_k} \to u$ in $C^{1,\alpha}(\overline{\Omega})$ for all $0 \leq \alpha < 1$. However, it is not clear whether one could compare integral norms of $|\nabla \widehat{\psi}_k|$ with those of $|\nabla v_k|$ in Ω^*. Furthermore, the functions v_k and w_k (resp. z_l) are only known to converge to v_∞ (resp. w_K) weakly in $H_0^1(\Omega^*)$, and the inequalities $z_L \leq z \leq v$ are only pointwise in Ω^*. Therefore, deriving a comparison between $\|\nabla u\|_{L^p(\Omega,\mathbb{R}^n)}$ and $\|\nabla v\|_{L^p(\Omega^*,\mathbb{R}^n)}$ does not follow straightforwardly from the above proof. We thank the referee for mentioning this interesting question, which remains open in this framework.

3.2 Proofs of Lemmas 3.1, 3.2, 3.3 and 3.4

This section is devoted to the proof of four technical lemmas which have been used for the proofs of Theorems 1.1 and 1.3 in the previous section.

Proof of Lemma 3.1. We first prove uniform $L^\infty(\Omega)$ bounds for the function u, from which uniform $C^{1,1/2}(\overline{\Omega})$ estimates will follow. Next, we prove a uniform lower bound on u. These estimates will imply that $u \in E_{1/2,N,\beta}(\Omega)$ for some constants positive N and β which do not depend on u. In the proof, we denote C_i some constants which may depend on Ω, n and $M > 0$ given in (1.11), but which do not depend on the given solution u of (1.1). We recall that (1.3), (1.4) with $q = 1$ and (3.1) are assumed throughout the proof.

First of all, it follows from (1.4) and (3.1) that $-\text{div}(A(x)\nabla u) + q_u(x) \cdot \nabla u \leq f_u(x)$ a.e. in Ω (we recall that $A \in W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$ and $u \in W(\Omega)$), where f_u is given in (1.8) and

$$q_u(x) = \begin{cases} -a(x, u(x), \nabla u(x))\dfrac{\nabla u(x)}{|\nabla u(x)|} & \text{if } |\nabla u(x)| \neq 0, \\ 0 & \text{if } |\nabla u(x)| = 0. \end{cases}$$

It follows then from the maximum principle (Theorem 8.1 in [30]) that $u \leq U$ a.e. in Ω, where $U \in H_0^1(\Omega) \cap W(\Omega)$ denotes the solution of

$$\begin{cases} -\text{div}(A(x)\nabla U) + q_u(x) \cdot \nabla U &=& f_u(x) & \text{in } \Omega, \\ U &=& 0 & \text{on } \partial\Omega, \end{cases}$$

Since $\|q_u\|_{L^\infty(\Omega,\mathbb{R}^n)} \leq \|a\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)} \leq M$, $\|f_u\|_{L^\infty(\Omega)} \leq \|f\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)} \leq M$ and $\|A\|_{W^{1,\infty}(\Omega)} + \|\Lambda^{-1}\|_{L^\infty(\Omega)} \leq M$, it follows from standard elliptic estimates that $\|U\|_{L^\infty(\Omega)} \leq C_1$ for some positive constant C_1 (which depends on Ω, n and M but not on u). Since $0 \leq u \leq U$, one concludes that

$$\|u\|_{L^\infty(\Omega)} \leq C_1. \tag{3.43}$$

By testing (1.1) against u itself and using (1.3) and (1.11), one gets that

$$M^{-1} \int_\Omega |\nabla u(x)|^2 dx \leq \int_\Omega \Lambda(x)\,|\nabla u(x)|^2 dx \leq \int_\Omega A(x)\nabla u(x) \cdot \nabla u(x) = \int_\Omega -H(x, u(x), \nabla u(x))\,u(x)dx.$$

But $u \geq 0$ in Ω and $-H(x, u, \nabla u) \leq a(x, u, \nabla u) |\nabla u| - b(x, u, \nabla u) u + f(x, u, \nabla u) \leq M(1 + |\nabla u|)$ from (1.4) and (1.11). Hence, (3.43) yields

$$M^{-1} \int_{\Omega} |\nabla u|^2 \leq M \int_{\Omega} (1 + |\nabla u|) u \leq M C_1 \int_{\Omega} (1 + |\nabla u|),$$

from which one infers that $\|u\|_{H_0^1(\Omega)} \leq C_2$ for some positive constant C_2. It follows now from (1.11) and (3.43) that

$$|H(x, u, \nabla u)| \leq |H(x, u, \nabla u) - H(x, 0, 0)| + |H(x, 0, 0)| \leq M(C_1 + |\nabla u|) + M \tag{3.44}$$

in Ω, whence $\|H(\cdot, u(\cdot), \nabla u(\cdot))\|_{L^2(\Omega)} \leq C_3$ for some positive constant C_3. Standard elliptic estimates, together with (1.11), then yield the existence of a positive constant C_4 such that $\|u\|_{H^2(\Omega)} \leq C_4$, whence $\|u\|_{W^{1,2^*}(\Omega)} \leq C_5$ for some positive constant C_5 with $2^* = 2n/(n-2)$ if $n \geq 3$ ($2^* = \infty$ if $n = 1$, and 2^* denotes an arbitrarily fixed real number larger than 2 if $n = 2$). Using again (3.44) and a standard bootstrap argument, it follows that $\|u\|_{W^{2,p}(\Omega)} \leq \widetilde{C}_p$ for every $1 \leq p < +\infty$ where $\widetilde{C}_p$ depends only on Ω, n, M and p. In particular, one gets that $\|u\|_{C^{1,1/2}(\overline{\Omega})} \leq C_6$ for some positive constant C_6.

To complete the proof of Lemma 3.1, one just needs to show the existence of a positive constant β, depending on Ω, n and M but not on u, such that

$$u(x) \geq \beta \, d(x, \partial\Omega) \text{ for all } x \in \Omega.$$

Assume by contradiction that there is no such constant $\beta > 0$. Then there are a sequence $(A_m)_{m \in \mathbb{N}}$ of $W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$ matrix fields, a sequence $(\Lambda_m)_{m \in \mathbb{N}}$ of $L_+^\infty(\Omega)$ functions and four sequences $(H_m)_{m \in \mathbb{N}}$, $(a_m)_{m \in \mathbb{N}}$, $(b_m)_{m \in \mathbb{N}}$, $(f_m)_{m \in \mathbb{N}}$ of continuous functions in $\overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n$, satisfying (1.3), (1.4) and (1.11) with the same parameter $M > 0$, as well as a sequence $(u_m)_{m \in \mathbb{N}}$ of $W(\Omega)$ solutions of (1.1) satisfying (3.1) and a sequence $(x_m)_{m \in \mathbb{N}}$ of points in Ω such that

$$u_m(x_m) < 2^{-m} d(x_m, \partial\Omega). \tag{3.45}$$

From the previous paragraph, the sequence $(u_m)_{m \in \mathbb{N}}$ is actually bounded in $W^{2,p}(\Omega)$ for every $1 \leq p < +\infty$. Thus, the sequence $(H_m(\cdot, u_m(\cdot), \nabla u_m(\cdot)))_{m \in \mathbb{N}}$ is bounded in $L^\infty(\Omega)$ from (1.11). As a consequence, there are a symmetric matrix field $A_\infty \in W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$, a function $u_\infty \in W(\Omega) \cap H_0^1(\Omega)$, a point $x_\infty \in \overline{\Omega}$ and two functions H_∞, $H^0 \in L^\infty(\Omega)$ such that, up to extraction of a subsequence and as $m \to +\infty$,

$$\begin{cases} A_m \to A_\infty \text{ in } L^\infty(\Omega, \mathcal{S}_n(\mathbb{R})) \text{ with } A_\infty \geq M^{-1}\text{Id in } \Omega, \\ u_m \to u_\infty \text{ in } W^{2,p}(\Omega) \text{ weak for all } 1 \leq p < +\infty \text{ and in } C^{1,\alpha}(\overline{\Omega}) \text{ for all } 0 \leq \alpha < 1, \\ x_m \to x_\infty, \\ H_m(\cdot, u_m(\cdot), \nabla u_m(\cdot)) \rightharpoonup H_\infty \text{ and } H_m(\cdot, 0, 0) \rightharpoonup H^0 \text{ in } L^\infty(\Omega) \text{ weak-*}, \end{cases} \tag{3.46}$$

and u_∞ is a weak $H_0^1(\Omega)$ solution of

$$\begin{cases} -\text{div}(A_\infty(x)\nabla u_\infty) + H_\infty(x) &=& 0 \text{ in } \Omega, \\ u_\infty &=& 0 \text{ on } \partial\Omega, \end{cases} \tag{3.47}$$

The function u_∞ is then a strong $W(\Omega)$ solution of the above equation by elliptic regularity. Furthermore, $u_\infty \geq 0$ in Ω and it follows from (3.45) that $u_\infty(x_\infty) = 0$ and that

$$\text{either } x_\infty \in \Omega, \text{ or } x_\infty \in \partial\Omega \text{ and } |\nabla u_\infty(x_\infty)| = 0. \tag{3.48}$$

For every $m \in \mathbb{N}$ and $x \in \Omega$, one has $H_m(x, u_m(x), \nabla u_m(x)) \leq M(u_m(x) + |\nabla u_m(x)|) + H_m(x, 0, 0)$ from (1.11) and the nonnegativity of u_m, whence $H_\infty(x) \leq M(u_\infty(x) + |\nabla u_\infty(x)|) + H^0(x)$ a.e. in Ω by passing to the $L^\infty(\Omega)$ weak-* limit as $m \to +\infty$. Therefore,

$$-\text{div}(A_\infty(x)\nabla u_\infty) + M(u_\infty + |\nabla u_\infty|) \geq -H^0(x) \geq 0 \quad \text{a.e. in } \Omega, \tag{3.49}$$

where the last inequality follows from the nonpositivity of $H_m(\cdot, 0, 0)$ by (1.11). Since $u_\infty \geq 0$ in Ω, $u_\infty(x_\infty) = 0$ and (3.48) holds, it follows from the strong maximum principle and Hopf lemma that u_∞ is identically equal to 0 (see in particular Theorem 9.6 of [30] – and the discussion there around the Hopf lemma and the strong maximum principle for strong solutions – even if it means changing the function u_∞ into $U(x) = -e^{\lambda x} u_\infty(x)$ for some suitable $\lambda \in \mathbb{R}$). Therefore, (3.49) implies that $H^0(x) = 0$ for a.e. $x \in \Omega$. But $\int_\Omega H^0 \leq -M^{-1} < 0$ since $\int_\Omega H_m(\cdot, 0, 0) \leq -M^{-1}$ from (1.11) and $H_m(\cdot, 0, 0) \rightharpoonup H^0$ as $m \to +\infty$ in $L^\infty(\Omega)$ weak-*. One has then reached a contradiction.

Finally, there is a positive constant β which depends on Ω, n and M but not on u such that $u \geq \beta \, d(\cdot, \partial\Omega)$ in Ω. Finally, $u \in E_{1/2, N, \beta}(\Omega)$ for some positive constants N and β only depending on Ω, n and M. The proof of Lemma 3.1 is thereby complete. $\qquad\square$

Proof of Lemma 3.2. Let $k \in \mathbb{N}$ be fixed. The proof of the inequality (3.17) consists in integrating the inequality (3.15) satisfied by $\widehat{\psi}_k$ in the shells of E_k against a nonnegative test function φ and then in controlling the boundary terms coming from the critical spheres of ∂E_k. First of all, by elementary arguments, it is enough to prove that (3.17) holds for every $\varphi \in C_c^1(\Omega^*)$ with $\varphi \geq 0$ in Ω^*, where $C_c^1(\Omega^*)$ denotes the set of $C^1(\Omega^*)$ functions with compact support included in Ω^*. Let φ be any such nonnegative $C_c^1(\Omega^*)$ function and call

$$I = \int_{\Omega^*} \widehat{\Lambda}_k \nabla\widehat{\psi}_k \cdot \nabla\varphi + \int_{\Omega^*} (\widehat{a}_k e_r \cdot \nabla\widehat{\psi}_k)\varphi - \int_{\Omega^*} g_k\varphi.$$

From (3.9), one can write

$$E_k \cap \Omega^* = S_{\rho_k(a_{m_k}^k), \rho_k(a_{m_k-1}^k)} \cup \cdots \cup S_{\rho_k(a_2^k), \rho_k(a_1^k)} \cup S_{\rho_k(a_1^k), R},$$

where $\rho_k(a_{m_k}^k) = 0$, the integer m_k is the number of the critical values $0 < a_1^k < \cdots < a_{m_k}^k = \max_{\overline{\Omega}} u_{j_k}$ of the function u_{j_k} in $\overline{\Omega}$ and $S_{\sigma, \sigma'}$ denotes the spherical shell $S_{\sigma, \sigma'} = \{x \in \mathbb{R}^n; \, \sigma < |x| < \sigma'\}$ for any $0 \leq \sigma < \sigma'$. For convenience, define $a_0^k = 0$, so that $\rho_k(a_0^k) = R$. It follows from Lebesgue's dominated convergence theorem that

$$I = \lim_{\gamma \to 0^+} \sum_{i=0}^{m_k-1} \underbrace{\int_{S_{\rho_k(a_{i+1}^k)+\gamma, \rho_k(a_i^k)-\gamma}} \left(\widehat{\Lambda}_k \nabla\widehat{\psi}_k \cdot \nabla\varphi + (\widehat{a}_k e_r \cdot \nabla\widehat{\psi}_k)\varphi - g_k\varphi\right)}_{=:I_{i,\gamma}}. \tag{3.50}$$

For every $0 \leq i \leq m_k - 1$ and every γ such that $0 < 2\gamma < \gamma_0 := \min_{0 \leq \iota \leq m_k-1}(\rho_k(a_\iota^k) - \rho_k(a_{\iota+1}^k))$, the functions $\widehat{\Lambda}_k$ and $\widehat{\psi}_k$ are of class $C^1(\overline{S_{\rho_k(a_{i+1}^k)+\gamma, \rho_k(a_i^k)-\gamma}})$ and $C^2(\overline{S_{\rho_k(a_{i+1}^k)+\gamma, \rho_k(a_i^k)-\gamma}})$ respectively, whence Green-Riemann formula implies that

$$\begin{aligned}
I_{i,\gamma} &= \int_{S_{\rho_k(a_{i+1}^k)+\gamma, \rho_k(a_i^k)-\gamma}} \underbrace{\left(-\text{div}(\widehat{\Lambda}_k \nabla\widehat{\psi}_k) + \widehat{a}_k e_r \cdot \nabla\widehat{\psi}_k - g_k\right)}_{\leq 0} \underbrace{\varphi}_{\geq 0} \\
&\quad + \int_{\partial B_{\rho_k(a_i^k)-\gamma}} \widehat{\Lambda}_k \nabla\widehat{\psi}_k \cdot e_r \, \varphi \, d\theta_{\rho_k(a_i^k)-\gamma} - \int_{\partial B_{\rho_k(a_{i+1}^k)+\gamma}} \widehat{\Lambda}_k \nabla\widehat{\psi}_k \cdot e_r \, \varphi \, d\theta_{\rho_k(a_{i+1}^k)+\gamma},
\end{aligned}$$

where $d\theta_s$ denotes the surface measure on the sphere ∂B_s for $s > 0$. The first integral in the right-hand side of the above equality is nonpositive because of (3.15) and since φ is nonnegative. On the other hand, for all $s \in [0, R] \backslash \{\rho_k(a_\iota^k); \ 1 \leq \iota \leq m_k\}$ and for all $x \in \partial B_s$, it follows from (2.5), (2.7), (2.9) and (3.4) that

$$\widehat{\Lambda}_k(x) \nabla \widehat{\psi}_k(x) \cdot e_r(x) = \frac{1}{n\alpha_n s^{n-1}} \int_{\Omega_{\rho_k^{-1}(s)}} \text{div}(A_{j_k} \nabla u_{j_k}) = \frac{1}{n\alpha_n s^{n-1}} \int_{\Omega_{\rho_k^{-1}(s)}} H_{j_k} =: J(s).$$

Remember that H_{j_k} is a continuous function in $\overline{\Omega}$ (it is a polynomial function) and that $|\Omega_{\rho_k^{-1}(s)}| = |B_s| = \alpha_n s^n$ and $|\Sigma_{\rho_k^{-1}(s)}| = 0$ for each $s \in [0, R]$. Therefore, the function J, which can be defined for all $s \in (0, R]$ by the right-hand side of the above displayed equality, is continuous and bounded on $(0, R]$ (the boundedness of J follows from the boundedness of H_{j_k} and the fact that $|\Omega_{\rho_k^{-1}(s)}| = \alpha_n s^n$). Therefore, one has

$$I_{i,\gamma} \leq J(\rho_k(a_i^k) - \gamma) \int_{\partial B_{\rho_k(a_i^k)-\gamma}} \varphi \, d\theta_{\rho_k(a_i^k)-\gamma} - J(\rho_k(a_{i+1}^k) + \gamma) \int_{\partial B_{\rho_k(a_{i+1}^k)+\gamma}} \varphi \, d\theta_{\rho_k(a_{i+1}^k)+\gamma}$$

for every $0 \leq i \leq m_k - 1$ and every $\gamma \in (0, \gamma_0/2)$. Finally, using the continuity of J on $[0, R]$ and of φ in $\overline{\Omega^*}$, it follows that, for every $0 \leq i \leq m_k - 2$,

$$\limsup_{\gamma \to 0^+} I_{i,\gamma} \leq J(\rho_k(a_i^k)) \int_{\partial B_{\rho_k(a_i^k)}} \varphi \, d\theta_{\rho_k(a_i^k)} - J(\rho_k(a_{i+1}^k)) \int_{\partial B_{\rho_k(a_{i+1}^k)}} \varphi \, d\theta_{\rho_k(a_{i+1}^k)},$$

while, for $i = m_k - 1$, $\limsup_{\gamma \to 0^+} I_{i,\gamma} \leq J(\rho_k(a_i^k)) \int_{\partial B_{\rho_k(a_i^k)}} \varphi \, d\theta_{\rho_k(a_i^k)}$, since J is bounded on $(0, R]$ and $\lim_{\rho \to 0^+} \int_{\partial B_\rho} \varphi \, d\theta_\rho = 0$. As a conclusion, (3.50) yields

$$I \leq J(\rho_k(a_0^k)) \int_{\partial B_{\rho_k(a_0^k)}} \varphi \, d\theta_{\rho_k(a_0^k)} = J(R) \int_{\partial \Omega^*} \varphi \, d\theta_R = 0$$

since $a_0^k = 0$ (by convention), $\rho_k(a_0^k) = R$ and φ is compactly supported in Ω^*. As already emphasized, (3.17) then holds for every $\varphi \in H_0^1(\Omega^*)$ such that $\varphi \geq 0$ a.e. in Ω^*. The proof of Lemma 3.2 is thereby complete. $\square$

Proof of Lemma 3.3. Equation (3.30) is obtained formally by passing to the limit as $k \to +\infty$ in (3.18). However, since the convergence of the first-order derivatives of the functions v_k is only weak, as is that of the coefficients of (3.18) or some functions of them, one cannot pass directly to the limit in the first two terms of (3.18) and one needs more regularity. This regularity will be guaranteed by the radial symmetry, as shown in the next paragraphs.

Recall first that the sets $E_k \subset \overline{\Omega^*}$ are given in (3.9). For every $k \in \mathbb{N}$, since $\widehat{\Lambda}_k$ is of class C^1 in $E_k \cap \Omega^*$ and the functions $\widehat{a}_k$ and g_k are continuous in E_k, it follows from standard elliptic estimates that the function v_k is in $W_{loc}^{2,p}(E_k \cap \Omega^*)$ for all $1 \leq p < +\infty$ whence in $C_{loc}^{1,\alpha}(E_k \cap \Omega^*)$ for all $0 \leq \alpha < 1$. Define

$$\mathcal{I}_k = (0, R) \backslash \{\rho_k(a_i^k); \ 1 \leq i \leq m_k\} = (0, \rho_k(a_{m_k-1}^k)) \cup \cdots \cup (\rho_k(a_1^k), R),$$

where $0 < a_1^k < \cdots < a_{m_k}^k = \max_{\overline{\Omega}} u_{j_k}$ are the critical values of the function u_{j_k} in $\overline{\Omega}$. Let ς be any point in the unit sphere $\mathbb{S}^{n-1}$ and set

$$\begin{cases} \widetilde{v}_k(r) = v_k(r\varsigma), \quad \widetilde{\Lambda}_k(r) = \widehat{\Lambda}_k(r\varsigma), \\ \widetilde{w}_k(r) = \widehat{\Lambda}_k(r\varsigma) \nabla v_k(r\varsigma) \cdot \varsigma = \widetilde{\Lambda}_k(r) \widetilde{v}_k'(r), \\ \widetilde{a}_k(r) = \widehat{a}_k(r\varsigma), \quad \widetilde{g}_k(r) = g_k(r\varsigma), \end{cases} \tag{3.51}$$

for all $r \in \mathcal{I}_k$. Denote also

$$
\begin{cases}
\widetilde{\Lambda}(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \widehat{\Lambda} \, d\theta_r, & \widetilde{v}_\infty(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} v_\infty \, d\theta_r, \\[4mm]
\widetilde{a}(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \widehat{a} \, d\theta_r, & \widetilde{f}(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \widehat{f} \, d\theta_r.
\end{cases} \tag{3.52}
$$

From Fubini's theorem, the above quantities can be defined for almost every $r \in (0, R)$. Furthermore, the function $\widetilde{\Lambda}$ is in $L_+^\infty(0, R)$, the functions $\widetilde{a}$ and $\widetilde{f}$ are in $L^\infty(0, R)$, the function $\widetilde{v}_\infty$ is in $H_{loc}^1((0, R])$, and it follows from (3.23), (3.27) and (3.51) that

$$
\widetilde{\Lambda}_k^{-1} \rightharpoonup \widetilde{\Lambda}^{-1}, \ \ \widetilde{\Lambda}_k^{-1} \widetilde{a}_k \rightharpoonup \widetilde{\Lambda}^{-1} \widetilde{a} \ \text{ and } \ \widetilde{g}_k \rightharpoonup \widetilde{f}, \ \text{ in } L^\infty(r_0, R) \text{ weak-*, as } k \to +\infty, \text{ for every } r_0 \in (0, R) \tag{3.53}
$$

and

$$
\widetilde{v}_k \rightharpoonup \widetilde{v}_\infty \text{ in } H^1(r_0, R) \text{ weak and } \widetilde{v}_k \to \widetilde{v}_\infty \text{ in } L^2(r_0, R) \text{ strong, as } k \to +\infty, \text{ for every } r_0 \in (0, R). \tag{3.54}
$$

Let us now pass to the limit as $k \to +\infty$ in the elliptic partial differential equation (3.18) and its associated one-dimensional ordinary differential equation. Namely, from the observations of the previous paragraph, there holds

$$
-\widetilde{w}_k' = -\big(\widetilde{\Lambda}_k \widetilde{v}_k'\big)' = \frac{n-1}{r} \widetilde{\Lambda}_k \widetilde{v}_k' - \widetilde{a}_k \widetilde{v}_k' + \widetilde{g}_k \quad \text{a.e. in } \mathcal{I}_k \tag{3.55}
$$

for every $k \in \mathbb{N}$. Since the right-hand side of (3.55) is continuous in $\mathcal{I}_k$, the continuous function $\widetilde{w}_k = \widetilde{\Lambda}_k \widetilde{v}_k'$ is actually of class C^1 in $\mathcal{I}_k$, whence $\widetilde{v}_k$ is of class C^2 in $\mathcal{I}_k$ and the above equation (3.55) is satisfied in the classical pointwise sense in $\mathcal{I}_k$. Furthermore, the sequence $(\widetilde{v}_k')_{k\in\mathbb{N}}$ is bounded in $L^2(r_0, R)$ for every $r_0 \in (0, R)$ since $(v_k)_{k\in\mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$ and the functions v_k are radially symmetric. On the other hand, the sequences $(\widetilde{\Lambda}_k)_{k\in\mathbb{N}}$, $(\widetilde{a}_k)_{k\in\mathbb{N}}$ and $(\widetilde{g}_k)_{k\in\mathbb{N}}$ are bounded in $L^\infty(0, R)$ from (3.3), (3.10), (3.16) and (3.51). It follows then from (3.51) and (3.55) that the sequence $(\widetilde{w}_k)_{k\in\mathbb{N}}$ is bounded in $H^1(r_0, R)$ for every $r_0 \in (0, R)$. Therefore, there is a function $\widetilde{w} \in H_{loc}^1((0, R])$ such that, up to extraction of a subsequence,

$$
\widetilde{w}_k \underset{k\to+\infty}{\rightharpoonup} \widetilde{w} \text{ in } H^1(r_0, R) \text{ weak}, \ \ \widetilde{w}_k \underset{k\to+\infty}{\to} \widetilde{w} \text{ in } L^2(r_0, R) \text{ strong, for every } r_0 \in (0, R). \tag{3.56}
$$

By (3.53), one therefore has $\widetilde{v}_k' = \widetilde{\Lambda}_k^{-1} \widetilde{w}_k \rightharpoonup \widetilde{\Lambda}^{-1} \widetilde{w}$ in $L^2(r_0, R)$ weak as $k \to +\infty$, for every $r_0 \in (0, R)$. By uniqueness of the weak limit, it follows then from (3.54) that

$$
\widetilde{w} = \widetilde{\Lambda} \widetilde{v}_\infty' \text{ a.e. in } (0, R). \tag{3.57}
$$

Finally, we are ready to show that v_∞ is a weak $H_0^1(\Omega^*)$ solution of the limiting equation (3.30), that is

$$
I := \int_{\Omega^*} \widehat{\Lambda} \nabla v_\infty \cdot \nabla \varphi + \int_{\Omega^*} \big(\widehat{a} \, e_r \cdot \nabla v_\infty\big) \varphi - \int_{\Omega^*} \widehat{f} \varphi = 0
$$

for all $\varphi \in H_0^1(\Omega^*)$. Let $\varphi \in H_0^1(\Omega^*)$ and $\varepsilon > 0$ be arbitrary. Since the functions $\widehat{\Lambda}$, $\widehat{a}$, $\widehat{f}$ are in $L^\infty(\Omega^*)$, since the sequences $(\widehat{\Lambda}_k)_{k\in\mathbb{N}}$, $(\widehat{a}_k)_{k\in\mathbb{N}}$, $(g_k)_{k\in\mathbb{N}}$ are bounded in $L^\infty(\Omega^*)$, since the function v_∞ is in $H_0^1(\Omega^*)$ and since the sequence $(v_k)_{k\in\mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$, it follows from Cauchy-Schwarz inequality and the fact that $\|\varphi\|_{H^1(B_r)} \to 0$ as $r \to 0^+$ (from Lebesgue's dominated convergence theorem) that there exists $r_0 \in (0, R)$ small enough so that

$$
\left| \int_{B_{r_0}} \Big(\widehat{\Lambda}_k \nabla v_k \cdot \nabla \varphi + (\widehat{a}_k e_r \cdot \nabla v_k) \varphi - g_k \varphi \Big) \right| \le \varepsilon \ \text{ for all } k \in \mathbb{N} \tag{3.58}
$$

31

and $\left| \int_{B_{r_0}} \left(\widehat{\Lambda} \nabla v_\infty \cdot \nabla \varphi + (\widehat{a}\, e_r \cdot \nabla v_\infty)\varphi - \widehat{f}\,\varphi \right) \right| \leq \varepsilon$. Hence,

$$|I| \leq \varepsilon + \left| \underbrace{\int_{\Omega^* \setminus B_{r_0} = S_{r_0, R}} \left(\widehat{\Lambda} \nabla v_\infty \cdot \nabla \varphi + (\widehat{a}\, e_r \cdot \nabla v_\infty)\varphi - \widehat{f}\,\varphi \right)}_{=:J} \right|. \tag{3.59}$$

Call

$$\phi(r) = \int_{\partial B_r} \varphi \, d\theta_r \quad \text{and} \quad \Phi(r) = \int_{\partial B_r} e_r \cdot \nabla \varphi \, d\theta_r \quad \text{for } r \in (r_0, R). \tag{3.60}$$

From Fubini's theorem and Cauchy-Schwarz inequality, the functions ϕ and Φ are defined almost everywhere in (r_0, R) and they belong to $L^2(r_0, R)$. Fubini's theorem also implies that

$$J = \int_{r_0}^R \left(\widetilde{\Lambda}(r)\, \widetilde{v}'_\infty(r)\, \Phi(r) + \widetilde{a}(r)\, \widetilde{v}'_\infty(r)\, \phi(r) - \widetilde{f}(r)\, \phi(r) \right) dr.$$

Observe that

$$\widetilde{\Lambda}_k \widetilde{v}'_k = \widetilde{w}_k \to \widetilde{w} = \widetilde{\Lambda}\, \widetilde{v}'_\infty \quad \text{in } L^2(r_0, R) \text{ strong as } k \to +\infty \tag{3.61}$$

from (3.51), (3.56) and (3.57). Furthermore, $\widetilde{a}_k \widetilde{v}'_k = (\widetilde{\Lambda}_k^{-1}\widetilde{a}_k) \times (\widetilde{\Lambda}_k \widetilde{v}'_k) \rightharpoonup (\widetilde{\Lambda}^{-1}\widetilde{a}) \times (\widetilde{\Lambda}\, \widetilde{v}'_\infty) = \widetilde{a}\, \widetilde{v}'_\infty$ in $L^2(r_0, R)$ weak as $k \to +\infty$ from (3.53) and (3.61). Lastly, $\widetilde{g}_k \rightharpoonup \widetilde{f}$ in $L^\infty(r_0, R)$ weak-* as $k \to +\infty$ from (3.53). Putting together all this limits leads to

$$J = \lim_{k \to +\infty} \Big[\underbrace{\int_{r_0}^R \left(\widetilde{\Lambda}_k(r)\, \widetilde{v}'_k(r)\, \Phi(r) + \widetilde{a}_k(r)\, \widetilde{v}'_k(r)\, \phi(r) - \widetilde{g}_k(r)\, \phi(r) \right) dr}_{=:J_k} \Big].$$

Therefore, there is $K \in \mathbb{N}$ large enough such that

$$|J| \leq |J_K| + \varepsilon. \tag{3.62}$$

But

$$J_K = \int_{\Omega^* \setminus B_{r_0}} \left(\widehat{\Lambda}_K \nabla v_K \cdot \nabla \varphi + (\widehat{a}_K e_r \cdot \nabla v_K)\varphi - g_K \varphi \right) = -\int_{B_{r_0}} \left(\widehat{\Lambda}_K \nabla v_K \cdot \nabla \varphi + (\widehat{a}_K e_r \cdot \nabla v_K)\varphi - g_K \varphi \right)$$

because the integral of $\widehat{\Lambda}_K \nabla v_K \cdot \nabla \varphi + (\widehat{a}_K e_r \cdot \nabla v_K)\varphi - g_K \varphi$ over Ω^* is equal to 0: indeed, $\varphi \in H_0^1(\Omega^*)$ and v_K is the weak $H_0^1(\Omega^*)$ solution of (3.18) with $k = K$. Finally, it follows from (3.58) that $|J_K| \leq \varepsilon$, whence $|I| \leq 3\varepsilon$ from (3.59) and (3.62). Since $\varepsilon > 0$ was arbitrary, one concludes that $I = 0$. Since $\varphi \in H_0^1(\Omega^*)$ was arbitrary, this means that v_∞ is the weak $H_0^1(\Omega^*)$ solution of (3.30). The proof of Lemma 3.3 is thereby complete. $\qquad\square$

Proof of Lemma 3.4. Remember that z_L and z are the unique weak $H_0^1(\Omega^*)$ solutions of (3.35) with $l = L$ and (3.37), respectively. Furthermore, z_L and z are radially symmetric. In order to get the inequality (3.38), the general strategy is to integrate twice the one-dimensional equations associated to (3.35) and (3.37), with a special care since these equations are only satisfied in the weak $H_0^1(\Omega^*)$ sense. We shall finally use the Hardy-Littlewood inequality to compare some integral terms.

First of all, from the De Giorgi-Moser-Nash regularity theory and the radial symmetry, it follows that, without loss of generality, z_L and z can be assumed to be continuous in $\overline{\Omega^*}$, even if it means redefining them on a negligible subset of $\overline{\Omega^*}$. Let ς be any point in the unit sphere $\mathbb{S}^{n-1}$ and define

$$\widetilde{z}_L(r) = z_L(r\varsigma) \quad \text{and} \quad \widetilde{z}(r) = z(r\varsigma) \quad \text{for all } r \in [0, R]. \tag{3.63}$$

32

The functions $\widetilde{z}_L$ and $\widetilde{z}$ are continuous on $[0, R]$, they belong to $H^1_{loc}((0, R])$ and, from Fubini's theorem, the integrals

$$\int_0^R r^{n-1} \widetilde{z}_L(r)^2 \, dr, \ \int_0^R r^{n-1} \widetilde{z}_L'(r)^2 \, dr, \ \int_0^R r^{n-1} \widetilde{z}(r)^2 \, dr, \ \int_0^R r^{n-1} \widetilde{z}'(r)^2 \, dr \text{ converge.} \tag{3.64}$$

Let $\widetilde{\Lambda} \in L^\infty_+(0, R)$ and $\widetilde{a} \in L^\infty(0, R)$ be defined as in (3.52) and set

$$\widetilde{h}_L(r) = \frac{1}{n\alpha_n r^{n-1}} \int_{\partial B_r} h_L \, d\theta_r \text{ and } \widetilde{f}_u(r) = \frac{1}{n\alpha_n r^{n-1}} \int_{\partial B_r} f_u^* \, d\theta_r, \tag{3.65}$$

where we recall that h_L (resp. f_u^*) is the right-hand side of (3.35) (resp. (3.37)). These quantities can be defined for almost every $r \in (0, R)$ ($\widetilde{f}_u$ is actually defined for all $0 < r < R$) from Fubini's theorem, and $\widetilde{h}_L$ and $\widetilde{f}_u$ are in $L^\infty(0, R)$.

Consider now the equation (3.35) with $l = L$. It follows from the definitions of z_L, $\widetilde{\Lambda}$, $\widetilde{a}$ and $\widetilde{h}_L$ that

$$\int_0^R \widetilde{\Lambda}(r) \, \widetilde{z}_L'(r) \, \varphi'(r) \, r^{n-1} \, dr + \int_0^R \widetilde{a}(r) \, \widetilde{z}_L'(r) \, \varphi(r) \, r^{n-1} \, dr = \int_0^R \widetilde{h}_L(r) \, \varphi(r) \, r^{n-1} \, dr$$

for all $\varphi \in C_c^1(0, R)$. Define

$$\zeta_L(r) = r^{n-1} \, \widetilde{\Lambda}(r) \, \widetilde{z}_L'(r) \tag{3.66}$$

for almost every $r \in (0, R)$. Since $r \mapsto r^{(n-1)/2} \widetilde{\Lambda}(r)$ is in $L^\infty(0, R)$, it follows from (3.64) that ζ_L is in $L^2(0, R)$. There holds

$$\int_0^R \zeta_L(r) \, \varphi'(r) \, dr + \int_0^R \widetilde{\Lambda}^{-1}(r) \, \widetilde{a}(r) \, \zeta_L(r) \, \varphi(r) \, dr = \int_0^R \widetilde{h}_L(r) \, \varphi(r) \, r^{n-1} \, dr$$

for all $\varphi \in C_c^1(0, R)$. Furthermore, $\widetilde{\Lambda}^{-1} \widetilde{a} \, \zeta_L \in L^2(0, R)$ and the function $r \mapsto \widetilde{h}_L(r) \, r^{n-1}$ is in $L^\infty(0, R) \subset L^2(0, R)$. Therefore, the function ζ_L is actually in $H^1(0, R)$ and

$$\zeta_L'(r) = \widetilde{\Lambda}^{-1}(r) \, \widetilde{a}(r) \, \zeta_L(r) - \widetilde{h}_L(r) \, r^{n-1} \text{ a.e. in } (0, R). \tag{3.67}$$

Even if it means redefining ζ_L on a negligible subset of $[0, R]$, one can assume without loss of generality that ζ_L is then continuous on $[0, R]$. Define $\widetilde{\Theta}(r) = e^{-\int_0^r \widetilde{\Lambda}^{-1}(s) \, \widetilde{a}(s) \, ds}$ for all $r \in [0, R]$. The function $\widetilde{\Theta}$, which does not depend on L, is continuous on $[0, R]$ and it belongs to $W^{1,\infty}(0, R)$. Define also

$$\omega_L = \widetilde{\Theta} \, \zeta_L \text{ on } [0, R]. \tag{3.68}$$

The function ω_L is continuous on $[0, R]$ and it belongs to $H^1(0, R)$. It follows from (3.67) that $\omega_L'(r) = -\widetilde{\Theta}(r) \, \widetilde{h}_L(r) \, r^{n-1}$ a.e. in $(0, R)$. As a consequence,

$$\omega_L(r) = -\int_0^r \widetilde{\Theta}(s) \, \widetilde{h}_L(s) \, s^{n-1} \, ds + \omega_L(0) \text{ for all } r \in [0, R]. \tag{3.69}$$

Let us now prove in this paragraph that $\omega_L(0) = 0$ (remember that ω_L is continuous on $[0, R]$). One has $\omega_L(0) = \zeta_L(0)$ since $\widetilde{\Theta}(0) = 1$. Consider first the case where the dimension n is such that $n \geq 2$. If $\zeta_L(0) \neq 0$, then there would exist $r_0 \in (0, R)$ and $\gamma > 0$ such that $|\zeta_L(r)| \geq \gamma > 0$ for all $r \in [0, r_0]$, whence $|r^{n-1} \widetilde{\Lambda}(r) \, \widetilde{z}_L'(r)| \geq \gamma > 0$ for a.e. $r \in (0, r_0)$. Since $\widetilde{\Lambda} \in L^\infty_+(0, R)$ and $\widetilde{\Lambda} \leq M_\Lambda := \operatorname{ess\,sup}_\Omega \Lambda$ from (3.28) and (3.52), it would then follow that

$$r^{n-1} \widetilde{z}_L'(r)^2 \geq \frac{\gamma^2}{M_\Lambda^2 r^{n-1}} \text{ for a.e. } r \in (0, r_0),$$

33

which contradicts the integrability of the integral $\int_0^R r^{n-1} \widetilde{z}'_L(r)^2 \, dr$ given in (3.64). Hence, $\zeta_L(0) = 0$ and $\omega_L(0) = 0$.

Consider now the case $n = 1$. We work directly in the interval $\Omega^* = (-R, R)$. With similar arguments as in the previous paragraph, the $L^2(-R, R)$ function $\zeta_L^1 := \widehat{\Lambda} z'_L$ is actually in $H^1(-R, R)$, whence continuous on $[-R, R]$ without loss of generality, and $\zeta_L^1(x) = \zeta_L(x)$ for all $x \in [0, R]$. But since the $H^1(-R, R)$ function z_L is even, the $L^2(-R, R)$ function z'_L is odd, in the sense that $z'_L(-x) = -z'_L(x)$ for a.e. $x \in (-R, R)$. Since $\widehat{\Lambda}$ is even, the (continuous) function $\zeta_L^1 = \widehat{\Lambda} z'_L$ is odd on $[0, R]$. In particular, it vanishes at 0. Hence, $\omega_L(0) = \zeta_L(0) = \zeta_L^1(0) = 0$. To sum up, there holds $\omega_L(0) = 0$ in all dimensions $n \geq 1$.

From (3.69), one then infers that $\omega_L(r) = - \int_0^r \widetilde{\Theta}(s) \, \widetilde{h}_L(s) \, s^{n-1} \, ds$ for all $r \in [0, R]$, whence

$$\widetilde{\Theta}(r) \, r^{n-1} \, \widetilde{\Lambda}(r) \, \widetilde{z}'_L(r) = - \int_0^r \widetilde{\Theta}(s) \, \widetilde{h}_L(s) \, s^{n-1} \, ds \quad \text{for a.e. } r \in (0, R) \tag{3.70}$$

from (3.66) and (3.68). Similarly, by working with the equation (3.37) satisfied by z with right-hand side f_u^* (instead of h_L in (3.35) with $l = L$) and by using the notations (3.63) and (3.65), one gets that

$$\widetilde{\Theta}(r) \, r^{n-1} \, \widetilde{\Lambda}(r) \, \widetilde{z}'(r) = - \int_0^r \widetilde{\Theta}(s) \, \widetilde{f}_u(s) \, s^{n-1} \, ds \quad \text{for a.e. } r \in (0, R). \tag{3.71}$$

Let us finally compare the right-hand sides of (3.70) and (3.71), which are actually continuous with respect to $r \in [0, R]$. Define $\Theta(x) = \widetilde{\Theta}(|x|) = e^{- \int_0^{|x|} \widetilde{\Lambda}^{-1}(s) \, \widetilde{a}(s) \, ds}$ for every $x \in \overline{\Omega^*}$. The function Θ is continuous in $\overline{\Omega^*}$, positive, radially symmetric and nonincreasing with respect to $|x|$ since $\widetilde{\Lambda} > 0$ and $\widetilde{a} \geq 0$ a.e. in $(0, R)$, by (3.28), (3.29) and (3.52). In particular, the function Θ is equal to its Schwarz rearrangement Θ^* in the ball Ω^*. For every $r \in (0, R]$, it follows then from Fubini's theorem and Hardy-Littlewood inequality that

$$\int_0^r \widetilde{\Theta}(s) \, \widetilde{h}_L(s) s^{n-1} ds = \frac{1}{n\alpha_n} \int_{B_r} \Theta(x) h_L(x) dx \leq \frac{1}{n\alpha_n} \int_{B_r} \Theta^*(x) (h_L^r)^*(x) dx = \frac{1}{n\alpha_n} \int_{B_r} \Theta(x) (h_L^r)^*(x) dx, \tag{3.72}$$

where $(h_L^r)^*$ denotes the Schwarz rearrangement, in the ball B_r, of the restriction $h_L^r := (h_L)_{|B_r}$ of the function h_L in the ball B_r (notice that the Hardy-Littlewood inequality is usually stated for nonnegative functions; here, the function Θ is nonnegative, but the function h_L may not be nonnegative in general; however, due to the definition of the Schwarz rearrangement given in Section 1 for general L^1 functions with no sign, the inequality $\int_{B_r} \Theta \, h_L \leq \int_{B_r} \Theta^* (h_L^r)^*$ holds immediately from the standard Hardy-Littlewood inequality, since $(h + \lambda)^* = h^* + \lambda$ for any L^1 function h and any constant $\lambda \in \mathbb{R}$). On the other hand, elementary arguments imply that $(h_L^r)^* \leq (h_L^*)_{|B_r}$ in B_r, where $(h_L^*)_{|B_r}$ denotes the restriction in B_r of the Schwarz rearrangement h_L^* of the function h_L in Ω^*. Hence

$$(h_L^r)^* \leq (f_u^*)_{|B_r} \quad \text{in } B_r \tag{3.73}$$

since $h_L^* = f_u^*$ in Ω^* (the functions $h_L \in L^\infty(\Omega^*)$ and $f_u \in L^\infty(\Omega)$ have indeed the same distribution functions $\mu_{h_L} = \mu_{f_u}$ and thus the same Schwarz rearrangements in Ω^*). Since $\Theta \geq 0$ in Ω^*, one infers from (3.72), (3.73) and Fubini's theorem that, for all $r \in (0, R]$,

$$\int_0^r \widetilde{\Theta}(s) \, \widetilde{h}_L(s) \, s^{n-1} \, ds \leq \frac{1}{n\alpha_n} \int_{B_r} \Theta(x) \, (h_L^r)^*(x) \, dx \leq \frac{1}{n\alpha_n} \int_{B_r} \Theta(x) \, f_u^*(x) \, dx = \int_0^r \widetilde{\Theta}(s) \, \widetilde{f}_u(s) \, s^{n-1} \, ds.$$

Together with (3.70) and (3.71), it follows that $-\widetilde{\Theta}(r) \, r^{n-1} \, \widetilde{\Lambda}(r) \, \widetilde{z}'_L(r) \leq -\widetilde{\Theta}(r) \, r^{n-1} \, \widetilde{\Lambda}(r) \, \widetilde{z}'(r)$ for a.e. $r \in (0, R)$, whence $-\widetilde{z}'_L(r) \leq -\widetilde{z}'(r)$ for a.e. $r \in (0, R)$ since $\widetilde{\Lambda} \in L^\infty_+(0, R)$ and $\widetilde{\Theta}$ is continuous and positive on $[0, R]$. Finally, since both functions $\widetilde{z}_L$ and $\widetilde{z}$ are continuous on $[0, R]$, vanish at R (z_L and z

34

are in $H^1_0(\Omega^*)$) and are in $H^1_{loc}((0,R])$, one gets that $\widetilde{z}_L(r) = \int_r^R -\widetilde{z}'_L(s)\,ds \leq \int_r^R -\widetilde{z}'(s)\,ds = \widetilde{z}(r)$ for all $r \in [0, R]$. As a conclusion, remembering the definitions (3.63) and the radial symmetry and continuity of z_L and z in $\overline{\Omega}^*$, one concludes that $z_L(x) \leq z(x)$ for all $x \in \overline{\Omega}^*$. The proof of Lemma 3.4 is thereby complete. $\qquad\square$

4 General growth with respect to the gradient

This section is devoted to the proofs of Theorems 1.5 and 1.7. Throughout this section, we assume (1.3), (1.4) and (1.13) with $\Lambda \in L^\infty_+(\Omega)$ and $1 < q \leq 2$, that is the nonlinear function H is bounded from below by an at most quadratic function of $|p|$. Furthermore, $u \in W(\Omega)$ denotes a solution of (1.1) satisfying (3.1), that is $u > 0$ in Ω and $|\nabla u| \neq 0$ on $\partial\Omega$. We recall that, even if it means redefining u on a negligible subset of $\overline{\Omega}$, one can assume without loss of generality that $u \in C^{1,\alpha}(\overline{\Omega})$ for all $\alpha \in [0, 1)$.

Our goal is to establish the inequalities (1.15) and (1.18) and the quantified ones (1.24), (1.25), (1.27) and (1.28), that is to compare the Schwarz rearrangement u^* of u with the unique solutions v and v_ε of (1.16) and (1.19). The strategy follows a similar scheme to that used in the previous section for the proofs of Theorems 1.1 and 1.3. Namely, after establishing some uniform bounds on u under assumption (1.26), we first approximate u by some smooth solutions u_j of some regularized equations in Ω. Next, we apply the general rearrangement inequalities of Section 2 and we compare some $u^*_{j_k}$ with the solutions v_k of some symmetrized equations in Ω^*. Lastly, we approximate the functions v_k by some solutions of equations of the type (1.16) and (1.19) in Ω^*.

The proofs of Theorems 1.5 and 1.7 are done in Section 4.1 and the proofs of two auxiliary technical lemmas are carried out in Section 4.2.

4.1 Proofs of Theorems 1.5 and 1.7

Step 1: uniform bounds on u under assumption (1.26)

In this step, some uniform pointwise and smoothness estimates are established under assumption (1.26). Actually, these quantified estimates will only be needed for Theorem 1.7, in which Ω is not a ball. We recall that the sets $E_{\alpha,N,\beta}(\Omega)$ have been defined in Section 2.4.

Lemma 4.1. *Under assumption* (1.26) *with $n \geq 2$, there are some real numbers $N > 0$ and $\beta > 0$, which depend only on Ω, n, q, M and r, such that $u \in E_{1/2,N,\beta}(\Omega)$.*

The proof of Lemma 4.1, which is a version of Lemma 3.1 adapted to the case where $1 < q \leq 2$, can be found in Section 4.2 below

Step 2: approximated coefficients and approximated solutions u_j in Ω

This step is the same as Step 2 of the proofs of Theorems 1.1 and 1.3. Namely, the sequences $(H_j)_{j \in \mathbb{N}}$, $(A_j)_{j \in \mathbb{N}} = ((A_{j;i,i'})_{1 \leq i,i' \leq n})_{j \in \mathbb{N}}$, $(\Lambda_j)_{j \in \mathbb{N}}$ and $(u_j)_{j \in \mathbb{N}}$ satisfy (3.2) and (3.4), as well as (3.3), that is

$$
\begin{cases}
A_{j;i,i'} \underset{j \to +\infty}{\longrightarrow} A_{i,i'} \text{ uniformly in } \overline{\Omega} \text{ for all } 1 \leq i, i' \leq n, \ \sup_{j \in \mathbb{N}} \|A_j\|_{W^{1,\infty}(\Omega)} < +\infty, \\
A_j \geq \Lambda_j \mathrm{Id} \text{ in } \overline{\Omega} \text{ and } \|\Lambda_j^{-1}\|_{L^1(\Omega)} = \|\Lambda^{-1}\|_{L^1(\Omega)} \text{ for all } j \in \mathbb{N}, \\
0 < \operatorname*{ess\,inf}_{\Omega} \Lambda \leq \liminf_{j \to +\infty} \left(\min_{\overline{\Omega}} \Lambda_j \right) \leq \limsup_{j \to +\infty} \left(\max_{\overline{\Omega}} \Lambda_j \right) \leq \operatorname*{ess\,sup}_{\Omega} \Lambda.
\end{cases}
\tag{4.1}
$$

Due to (4.1), one can assume without loss of generality that

$$
\min_{\overline{\Omega}} \Lambda_j \geq \frac{\operatorname{ess\,inf}_{\Omega}\Lambda}{2} =: m_\Lambda > 0
\tag{4.2}
$$

for all $j \in \mathbb{N}$. Since the sequence $(u_j)_{j \in \mathbb{N}}$ converges to u in $W^{2,p}(\Omega)$ weak for all $1 \leq p < +\infty$ and in $C^{1,\alpha}(\overline{\Omega})$ for all $0 \leq \alpha < 1$, and since $u \in E_{1/2,N_u,\beta_u}(\Omega)$ for some parameters $N_u > 0$ and $\beta_u > 0$ depending on u (from (3.1) and the smoothness of u), one can assume without loss of generality that (3.5) holds for all $j \in \mathbb{N}$, that is

$$|\nabla u_j| \neq 0 \text{ on } \partial\Omega, \quad u_j > 0 \text{ in } \Omega \quad \text{and} \quad u_j \in E_{1/2,2N_u,\beta_u/2}(\Omega). \tag{4.3}$$

Furthermore, if Ω is not a ball (in which case $n \geq 2$) and if (1.26) holds, Lemma 4.1 implies that $u \in E_{1/2,N,\beta}(\Omega)$ for some positive constants $N > 0$ and $\beta > 0$ only depending on Ω, n, q, M and r. One can therefore assume, without loss of generality, that, in that case,

$$|\nabla u_j| \neq 0 \text{ on } \partial\Omega, \quad u_j > 0 \text{ in } \Omega \quad \text{and} \quad u_j \in E_{1/2,2N,\beta/2}(\Omega) \quad \text{for all } j \in \mathbb{N}. \tag{4.4}$$

Step 3: symmetrized coefficients and the inequalities $u_{j_k}^* \leq \widehat{\psi}_k$, $(1+\eta_u)u_{j_k}^* \leq \widehat{\psi}_k$ **and** $(1+\eta)u_{j_k}^* \leq \widehat{\psi}_k$ **in** Ω^*

Let now $k \in \mathbb{N}$ be fixed in this step and in the next two ones. For all $j \in \mathbb{N}$ and $x \in \Omega$, denote

$$\begin{aligned} B_j(x) &= -\operatorname{div}(A_j \nabla u_j)(x) - a(x, u(x), \nabla u(x))|\nabla u_j(x)|^q + b(x, u(x), \nabla u(x))\, u_j(x) - f(x, u(x), \nabla u(x)) - 2^{-k} \\ &= -H_j(x) - a(x, u(x), \nabla u(x))\, |\nabla u_j(x)|^q + b(x, u(x), \nabla u(x))\, u_j(x) - f(x, u(x), \nabla u(x)) - 2^{-k}. \end{aligned}$$

Due to (1.4), (3.2) and the fact that $u_j \to u$ in (at least) $C^1(\overline{\Omega})$ as $j \to +\infty$, it follows that $\limsup_{j \to +\infty} \left(\sup_{x \in \Omega} B_j(x) \right) \leq -2^{-k} < 0$. Therefore, there is an integer $j_k \geq k$ such that $B_{j_k}(x) \leq 0$ for all $x \in \Omega$, that is

$$\begin{aligned} -\operatorname{div}(A_{j_k} \nabla u_{j_k})(x) &- a(x, u(x), \nabla u(x))\, |\nabla u_{j_k}(x)|^q \\ &+ b(x, u(x), \nabla u(x))\, u_{j_k}(x) - f(x, u(x), \nabla u(x)) \quad \leq \quad 2^{-k} \; (\leq 1) \quad \text{for all } x \in \Omega. \end{aligned} \tag{4.5}$$

As in Step 3 of the proofs of Theorems 1.1 and 1.3, one can then apply the general results of Section 2 to the coefficients A_Ω, Λ_Ω, ψ, a_Ω, b_Ω and f_Ω as in (3.8), that is

$$\begin{cases} A_\Omega(x) = A_{j_k}(x), \; \Lambda_\Omega(x) = \Lambda_{j_k}(x), \; \psi(x) = u_{j_k}(x), \\ a_\Omega(x) = a(x, u(x), \nabla u(x)), \; b_\Omega(x) = b(x, u(x), \nabla u(x)), \\ f_\Omega(x) = f(x, u(x), \nabla u(x)) = f_u(x), \end{cases}$$

and to our given power $q \in (1, 2]$. Call $\rho_k : [0, \max_{\overline{\Omega}} u_{j_k}] \to [0, R]$, E_k, $\widehat{\Lambda}_k \in L^\infty_+(\Omega^*)$, $\widehat{\psi}_k \in W^{1,\infty}(\Omega^*) \cap H^1_0(\Omega^*)$, $\widehat{a}_k \in L^\infty(\Omega^*)$ and $\widehat{f}_k \in L^\infty(\Omega^*)$ the symmetrized quantities defined as in (2.2), (2.3), (2.5), (2.9), (2.10) and (2.11). In particular, the set E_k is given as (3.9) and $(0 <)\, a_1^k < \cdots < a_{m_k}^k = \max_{\overline{\Omega}} u_{j_k}$ denote the m_k critical values of the function u_{j_k} in $\overline{\Omega}$. All functions $\widehat{\Lambda}_k$, $\widehat{\psi}_k$, $\widehat{a}_k$ and $\widehat{f}_k$ are radially symmetric. It follows from (2.6), (2.13), (2.14) and (4.1) that

$$\begin{cases} 0 < \min_{\overline{\Omega}} \Lambda_{j_k} \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{\Lambda}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{\Lambda}_k \leq \max_{\overline{\Omega}} \Lambda_{j_k}, \\ \|\widehat{\Lambda}_k^{-1}\|_{L^1(\Omega^*)} = \|\Lambda_{j_k}^{-1}\|_{L^1(\Omega)} = \|\Lambda^{-1}\|_{L^1(\Omega)}, \\ \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \leq \min_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{a}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{a}_k \leq \cdots \\ \qquad \cdots \leq \left(\max_{\overline{\Omega}} a(\cdot, u(\cdot), \nabla u(\cdot))^+ \right) \times \left(\frac{\max_{\overline{\Omega}} \Lambda_{j_k}}{\min_{\overline{\Omega}} \Lambda_{j_k}} \right)^{q-1} \leq \left(\sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \right) \times \left(\frac{\max_{\overline{\Omega}} \Lambda_{j_k}}{\min_{\overline{\Omega}} \Lambda_{j_k}} \right)^{q-1}, \\ \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f \leq \min_{\overline{\Omega}} f_u \leq \operatorname{ess\,inf}_{\Omega^*} \widehat{f}_k \leq \operatorname{ess\,sup}_{\Omega^*} \widehat{f}_k \leq \max_{\overline{\Omega}} f_u \leq \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f, \quad \int_{\Omega^*} \widehat{f}_k = \int_\Omega f_u. \end{cases} \tag{4.6}$$

Proposition 2.1 then implies that (3.11) and (3.12) hold, that is

$$0 \le u_{j_k}^*(x) \le \widehat{\psi}_k(x) \quad \text{for all } x \in \overline{\Omega^*}. \tag{4.7}$$

Furthermore, if Ω is not a ball, it follows from (4.3) and Proposition 2.6 that

$$0 \le (1 + \eta_u) \, u_{j_k}^*(x) \le \widehat{\psi}_k(x) \quad \text{for all } x \in \overline{\Omega^*}. \tag{4.8}$$

where $\eta_u > 0$ only depends on Ω, n, N_u and β_u, that is on Ω, n and u. If Ω is not a ball and the assumption (1.26) of Theorem 1.7 is made, it follows from (4.4) and Proposition 2.6 that

$$0 \le (1 + \eta) \, u_{j_k}^*(x) \le \widehat{\psi}_k(x) \quad \text{for all } x \in \overline{\Omega^*} \tag{4.9}$$

where $\eta > 0$ only depends on Ω, n, N and β, that is on Ω, n and M.

Lastly, remember that the functions u_{j_k} satisfy (4.3) for some positive constants N_u and β_u independent of k, that the functions Λ_{j_k} satisfy (4.2), that $\min_{\overline{\Omega}} b_{\Omega} \ge \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b =: m_b > 0$ from (1.13), that $\|a_{\Omega}^+\|_{L^\infty(\Omega)} \le \|a\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$ and that $\|f_{\Omega}^+\|_{L^\infty(\Omega)} \le \|f\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$. Therefore, it follows from (4.5) and Proposition 2.4 with $\kappa = 1$ that there exists a constant $\widehat{\delta} > 0$, which depends on Ω, n, m_b, m_Λ, $\|a\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$, $\|f\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$, N_u and β_u, but which does not depend on k, such that, for all $x \in E_k \cap \Omega^*$, there exists a point $y \in \Sigma_{\rho_k^{-1}(|x|)}$ satisfying

$$- \operatorname{div}\big(\widehat{\Lambda}_k \nabla \widehat{\psi}_k\big)(x) - \widehat{a}_k(x)|\nabla \widehat{\psi}_k(x)|^q + \widehat{\delta} \, \widehat{\psi}_k(x) - \widehat{f}_k(x)$$
$$\le - \operatorname{div}(A_{j_k} \nabla u_{j_k})(y) - a(y, u(y), \nabla u(y)) \, |\nabla u_{j_k}(y)|^q + b(y, u(y), \nabla u(y)) u_{j_k}(y) - f(y, u(y), \nabla u(y)) \le 2^{-k}.$$

In other words,

$$- \operatorname{div}\big(\widehat{\Lambda}_k \nabla \widehat{\psi}_k\big)(x) - \widehat{a}_k(x) \, |\nabla \widehat{\psi}_k(x)|^q + \widehat{\delta} \, \widehat{\psi}_k(x) \le g_k(x) \quad \text{for all } x \in E_k \cap \Omega^*, \tag{4.10}$$

where g_k is defined as in (3.16), that is

$$g_k(x) = \widehat{f}_k(x) + 2^{-k} \quad \text{for all } x \in E_k. \tag{4.11}$$

The constant $\widehat{\delta} > 0$ will be that of the conclusion of Theorem 1.5.

Step 4: the functions $\widehat{\psi}_k$ are $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ weak subsolutions of (4.10)

We know that, for every $k \in \mathbb{N}$, the function $\widehat{\psi}_k$ is in $W^{1,\infty}(\Omega^*) \cap H_0^1(\Omega^*)$, and that the inequality (4.10) holds in $E_k \cap \Omega^*$, whence almost everywhere in Ω^*. We now claim that $\widehat{\psi}_k$ is a weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ subsolution of (4.10), in the sense that

$$\int_{\Omega^*} \widehat{\Lambda}_k \nabla \widehat{\psi}_k \cdot \nabla \varphi - \int_{\Omega^*} \widehat{a}_k |\nabla \widehat{\psi}_k|^q \, \varphi + \int_{\Omega^*} \widehat{\delta} \, \widehat{\psi}_k \, \varphi - \int_{\Omega^*} g_k \, \varphi \le 0 \tag{4.12}$$

for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that $\varphi \ge 0$ a.e. in Ω^*.

The proof of this claim follows exactly the same scheme as that of Lemma 3.2 given in Section 3.2. Indeed, one first notices that, given any test function $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ with $\varphi \ge 0$ a.e. in Ω^*, there is a sequence $(\varphi_m)_{m \in \mathbb{N}}$ of nonnegative $C_c^1(\Omega^*)$ functions such that $\varphi_m \to \varphi$ as $m \to +\infty$ in $H_0^1(\Omega^*)$ (but the convergence does not hold in $L^\infty(\Omega^*)$ in general). Next, since $\widehat{\Lambda}_k \in L_+^\infty(\Omega^*)$, $\widehat{\psi}_k \in W^{1,\infty}(\Omega^*)$, $\widehat{a}_k \in L^\infty(\Omega^*)$ and $g_k \in L^\infty(\Omega^*)$, it follows that the left-hand side of (4.12) is equal to the limit as $m \to +\infty$ of the same quantities with φ_m instead of φ. It is therefore sufficient to show (4.12) when the test function φ belongs to $C_c^1(\Omega^*)$ and is nonnegative. Finally, one can repeat the proof of Lemma 3.2 and one just needs to replace the quantities $\widehat{a}_k \, e_r \cdot \nabla \widehat{\psi}_k$ and $-g_k$ by, respectively, $-\widehat{a}_k |\nabla \widehat{\psi}_k|^q$ ($\in L^\infty(\Omega^*)$) and $\widehat{\delta} \, \widehat{\psi}_k - g_k$ ($\in L^\infty(\Omega^*)$), without any other modification in the proof.

As a conclusion, the claim (4.12) holds.

Step 5: the inequalities $u_{j_k}^* \le v_k$, $(1 + \eta_u)u_{j_k}^* \le v_k$ and $(1 + \eta)u_{j_k}^* \le v_k$ in Ω^*

For every $k \in \mathbb{N}$, let v_k be the unique weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of

$$\begin{cases} -\operatorname{div}\left(\widehat{\Lambda}_k \nabla v_k\right) - \widehat{a}_k |\nabla v_k|^q + \widehat{\delta}\, v_k &=& g_k & \text{in } \Omega^*, \\ v_k &=& 0 & \text{on } \partial\Omega^*, \end{cases} \tag{4.13}$$

in the sense that $\int_{\Omega^*} \widehat{\Lambda}_k \nabla v_k \cdot \nabla\varphi - \int_{\Omega^*} \widehat{a}_k |\nabla v_k|^q \varphi + \int_{\Omega^*} \widehat{\delta}\, v_k\, \varphi = \int_{\Omega^*} g_k\, \varphi$ for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$. As recalled in Section 1 after the proof of Corollary 1.6, the existence and uniqueness of $v_k \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ is guaranteed by Théorème 2.1 and the following comments of [15] and by Theorem 2.1 of [10], since $\widehat{\Lambda}_k \in L_+^\infty(\Omega^*)$, $\widehat{a}_k \in L^\infty(\Omega^*)$, $g_k \in L^\infty(\Omega^*)$, $\widehat{\delta} > 0$ and $q \in (1, 2]$. Furthermore, the function v_k is radially symmetric in Ω^* by the uniqueness, since all coefficients of (4.13) are so, and, without loss of generality, v_k is continuous in $\overline{\Omega^*}$ from the local continuity (Corollary 4.23 of [36]), the radial symmetry and the fact that $v_k \in H_0^1(\Omega^*)$. Lastly, it follows from (4.12) and Theorem 2.1 of [10] that $\widehat{\psi}_k \le v_k$ a.e. in Ω^* (actually, the inequality can be assumed to hold everywhere in $\overline{\Omega^*}$ since both functions $\widehat{\psi}_k$ and v_k can be assumed to be continuous in $\overline{\Omega^*}$ without loss of generality). One concludes from (4.7) that

$$0 \le u_{j_k}^* \le v_k \quad \text{a.e. in } \Omega^*. \tag{4.14}$$

If Ω is not a ball, inequality (4.8) therefore yields

$$0 \le (1 + \eta_u)\, u_{j_k}^*(x) \le v_k \quad \text{a.e. in } \Omega^*. \tag{4.15}$$

Furthermore, if Ω is not a ball and the assumption (1.26) of Theorem 1.7 is made, it follows from (4.9) that

$$0 \le (1 + \eta)\, u_{j_k}^*(x) \le v_k \quad \text{a.e. in } \Omega^*. \tag{4.16}$$

Step 6: the limiting inequalities $u^* \le v$, $(1 + \eta_u)u^* \le v$ and $(1 + \eta)u^* \le v$ in Ω^*

As in the beginning of Step 6 of the proofs of Theorems 1.1 and 1.3, one can assume that, up to extraction of a subsequence,

$$u_{j_k}^*(x) \to u^*(x) \text{ a.e. in } \Omega^* \text{ as } k \to +\infty. \tag{4.17}$$

On the other hand, the sequences $(\widehat{\Lambda}_k)_{k \in \mathbb{N}}$, $(\widehat{\Lambda}_k^{-1})_{k \in \mathbb{N}}$, $(\widehat{a}_k)_{k \in \mathbb{N}}$ and $(g_k)_{k \in \mathbb{N}}$ are bounded in $L^\infty(\Omega^*)$ from (4.1), (4.6) and (4.11). Furthermore, $\widehat{\delta}$ is positive. It follows then from Theorem 2.1 of [17] and Theorem 1 of [18] (see also Theorem 3.1 of [46]) that the sequence $(v_k)_{k \in \mathbb{N}}$ is bounded in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ and relatively compact in $H_0^1(\Omega^*)$. Therefore, there exists a radially symmetric function $v \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that, up to extraction of a subsequence,

$$v_k \to v \text{ in } H_0^1(\Omega^*) \text{ strong and a.e. in } \Omega^* \text{ as } k \to +\infty. \tag{4.18}$$

Hence, together with (4.14) and (4.17), one infers (3.24) with v instead of v_∞, that is

$$0 \le u^* \le v \quad \text{a.e. in } \Omega^*. \tag{4.19}$$

If Ω is not a ball, inequality (4.15) yields

$$0 \le (1 + \eta_u)\, u^*(x) \le v \quad \text{a.e. in } \Omega^*. \tag{4.20}$$

Furthermore, if Ω is not a ball and the assumption (1.26) of Theorem 1.7 is made, it follows from (4.16) that

$$0 \le (1 + \eta)\, u^*(x) \le v \quad \text{a.e. in } \Omega^*. \tag{4.21}$$

The function v will be that of the conclusion of Theorem 1.5. One shall identify in the following steps the equation (1.16) satisfied by v and one also gets the inequality (1.18) involving the solution v_ε of (1.19).

Step 7: a limiting equation satisfied by v in Ω^*

Let us now pass to the limit in the coefficients $\widehat{\Lambda}_k$, $\widehat{a}_k$ and g_k of (4.13). From (4.1), (4.6) and (4.11), there exist some radially symmetric functions $\widehat{\Lambda} \in L_+^\infty(\Omega^*)$, $\widehat{a} \in L^\infty(\Omega^*)$ and $\widehat{f} \in L^\infty(\Omega^*)$ such that, up to extraction of some subsequence,

$$\widehat{\Lambda}_k^{-1} \rightharpoonup \widehat{\Lambda}^{-1}, \quad \widehat{\Lambda}_k^{-q}\widehat{a}_k \rightharpoonup \widehat{\Lambda}^{-q}\widehat{a} \quad \text{and} \quad g_k \rightharpoonup \widehat{f} \quad \text{in } L^\infty(\Omega^*) \text{ weak-* as } k \to +\infty, \tag{4.22}$$

whence

$$0 < \operatorname*{ess\,inf}_{\Omega} \Lambda \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{\Lambda} \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{\Lambda} \leq \operatorname*{ess\,sup}_{\Omega} \Lambda \quad \text{and} \quad \|\widehat{\Lambda}^{-1}\|_{L^1(\Omega^*)} = \|\Lambda^{-1}\|_{L^1(\Omega)}. \tag{4.23}$$

Furthermore,

$$\begin{cases} \displaystyle \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{f} \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{f} \leq \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} f, \\[2mm] \displaystyle \int_{\Omega^*} \widehat{f} = \lim_{k \to +\infty} \int_{\Omega^*} g_k = \lim_{k \to +\infty} \int_{\Omega^*} \widehat{f}_k = \int_{\Omega} f_u. \end{cases} \tag{4.24}$$

In (4.22), the function $\widehat{a} = \widehat{\Lambda}^q\, \widehat{\Lambda}^{-q}\,\widehat{a}$ is defined as $\widehat{\Lambda}^q$ times the $L^\infty(\Omega^*)$ weak-* limit of the functions $\widehat{\Lambda}_k^{-q}\widehat{a}_k$.

The functions $\widehat{\Lambda}$, $\widehat{a}$ and $\widehat{f}$ will be those of the conclusion of Theorem 1.5. Notice first that, from (4.23) and (4.24), the functions $\widehat{\Lambda}$ and $\widehat{f}$ fulfill (1.14). Let us now establish in this paragraph the bounds (1.14) for the function $\widehat{a}$. It follows from (4.6) that

$$\begin{cases} \displaystyle 0 \leq \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \leq \operatorname*{ess\,inf}_{\Omega^*} \widehat{a}_k \leq \operatorname*{ess\,sup}_{\Omega^*} \widehat{a}_k \leq \Big(\sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \Big) \times \Big(\frac{\max_{\overline{\Omega}} \Lambda_{j_k}}{\min_{\overline{\Omega}} \Lambda_{j_k}} \Big)^{q-1}, \\[4mm] \displaystyle \operatorname*{ess\,sup}_{\Omega^*} \big(\widehat{\Lambda}_k^{-q+1}\widehat{a}_k\big) \leq \Big(\frac{1}{\min_{\overline{\Omega}} \Lambda_{j_k}} \Big)^{q-1} \times \Big(\sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \Big) \times \Big(\frac{\max_{\overline{\Omega}} \Lambda_{j_k}}{\min_{\overline{\Omega}} \Lambda_{j_k}} \Big)^{q-1}. \end{cases}$$

Therefore,

$$\limsup_{k \to +\infty} \Big(\operatorname*{ess\,sup}_{\Omega^*} \big(\widehat{\Lambda}_k^{-q+1}\widehat{a}_k\big) \Big) \leq \frac{\big(\operatorname*{ess\,sup}_{\Omega}\Lambda\big)^{q-1}}{\big(\operatorname*{ess\,inf}_{\Omega}\Lambda\big)^{2(q-1)}} \times \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+$$

from (4.1). Since, by (4.22),

$$\widehat{\Lambda}^q\widehat{\Lambda}_k^{-1} \rightharpoonup \widehat{\Lambda}^{q-1} \text{ and } \big(\widehat{\Lambda}^q\widehat{\Lambda}_k^{-1}\big) \times \big(\widehat{\Lambda}_k^{-q+1}\widehat{a}_k\big) = \widehat{\Lambda}^q \times \big(\widehat{\Lambda}_k^{-q}\widehat{a}_k\big) \rightharpoonup \widehat{\Lambda}^q \times \big(\widehat{\Lambda}^{-q}\widehat{a}\big) = \widehat{a} \text{ in } L^\infty(\Omega^*) \text{ weak-* } \tag{4.25}$$

as $k \to +\infty$, one gets that

$$\widehat{a} \leq \widehat{\Lambda}^{q-1} \times \frac{\big(\operatorname*{ess\,sup}_{\Omega}\Lambda\big)^{q-1}}{\big(\operatorname*{ess\,inf}_{\Omega}\Lambda\big)^{2(q-1)}} \times \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \leq \Big(\frac{\operatorname*{ess\,sup}_{\Omega}\Lambda}{\operatorname*{ess\,inf}_{\Omega}\Lambda} \Big)^{2(q-1)} \times \sup_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \quad \text{a.e. in } \Omega^*,$$

where the last inequality follows from (4.23). On the other hand, it follows again from (4.6) that

$$\operatorname*{ess\,inf}_{\Omega^*} \big(\widehat{\Lambda}_k^{-q+1}\widehat{a}_k\big) \geq \Big(\frac{1}{\max_{\overline{\Omega}} \Lambda_{j_k}} \Big)^{q-1} \times \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+,$$

whence

$$\liminf_{k \to +\infty} \Big(\operatorname*{ess\,inf}_{\Omega^*} \big(\widehat{\Lambda}_k^{-q+1}\widehat{a}_k\big) \Big) \geq \Big(\frac{1}{\operatorname*{ess\,sup}_{\Omega}\Lambda} \Big)^{q-1} \times \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+$$

from (4.1). Using again (4.23) and (4.25), one gets that

$$\widehat{a} \geq \widehat{\Lambda}^{q-1} \times \Big(\frac{1}{\operatorname*{ess\,sup}_{\Omega}\Lambda} \Big)^{q-1} \times \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \geq \Big(\frac{\operatorname*{ess\,inf}_{\Omega}\Lambda}{\operatorname*{ess\,sup}_{\Omega}\Lambda} \Big)^{q-1} \times \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} a^+ \geq 0 \quad \text{a.e. in } \Omega^*.$$

39

Finally, the radially symmetric function $\widehat{a} \in L^\infty(\Omega^*)$ satisfies the properties (1.14) of the statement of Theorem 1.5.

The main goal of this step is to show that v is a weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of the limiting equation

$$\begin{cases} -\operatorname{div}(\widehat{\Lambda}\nabla v) - \widehat{a}\,|\nabla v|^q + \widehat{\delta}\,v &= \widehat{f} \quad \text{in } \Omega^*, \\ v &= 0 \quad \text{on } \partial\Omega^* \end{cases} \tag{4.26}$$

obtained by passing formally to the limit as $k \to +\infty$ in (4.13), in the sense that $\int_{\Omega^*} \widehat{\Lambda}\nabla v \cdot \nabla\varphi - \int_{\Omega^*} \widehat{a}\,|\nabla v|^q\,\varphi + \int_{\Omega^*} \widehat{\delta}\,v\,\varphi - \int_{\Omega^*} \widehat{f}\,\varphi = 0$ for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ (notice that all integrals in the above formula converge since v and φ belong to $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$, $q \in (1,2]$ and $\widehat{\Lambda}$, $\widehat{a}$ and $\widehat{f}$ are in $L^\infty(\Omega^*)$).

The proof of (4.26) will actually be a consequence of the following lemma.

Lemma 4.2. *Let $(\delta_k)_{k\in\mathbb{N}}$ be a sequence of positive real numbers, let $(\lambda_k)_{k\in\mathbb{N}}$ be a sequence of radially symmetric $L_+^\infty(\Omega^*)$ functions and let $(\alpha_k)_{k\in\mathbb{N}}$ and $(\gamma_k)_{k\in\mathbb{N}}$ be two sequences of radially symmetric $L^\infty(\Omega^*)$ functions. Assume that the sequences $(\lambda_k)_{k\in\mathbb{N}}$, $(\lambda_k^{-1})_{k\in\mathbb{N}}$, $(\alpha_k)_{k\in\mathbb{N}}$ and $(\gamma_k)_{k\in\mathbb{N}}$ are bounded in $L^\infty(\Omega^*)$ and that there are $\delta_\infty \in (0,+\infty)$ and some functions $\lambda_\infty \in L_+^\infty(\Omega^*)$, $\alpha_\infty \in L^\infty(\Omega^*)$ and $\gamma_\infty \in L^\infty(\Omega^*)$ such that $\delta_k \to \delta_\infty$ as $k \to +\infty$ and*

$$\lambda_k^{-1} \rightharpoonup \lambda_\infty^{-1}, \quad \lambda_k^{-q}\alpha_k \rightharpoonup \lambda_\infty^{-q}\alpha_\infty \quad \text{and} \quad \gamma_k \rightharpoonup \gamma_\infty \quad \text{in } L^\infty(\Omega^*) \text{ weak-}* \text{ as } k \to +\infty. \tag{4.27}$$

Let $(V_k)_{k\in\mathbb{N}}$ be the sequence of weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solutions of

$$\begin{cases} -\operatorname{div}(\lambda_k \nabla V_k) - \alpha_k|\nabla V_k|^q + \delta_k\,V_k &= \gamma_k \quad \text{in } \Omega^*, \\ V_k &= 0 \quad \text{on } \partial\Omega^*. \end{cases} \tag{4.28}$$

Then there is a radially symmetric function $V_\infty \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that

$$V_k \to V_\infty \quad \text{in } H_0^1(\Omega^*) \text{ strong as } k \to +\infty, \tag{4.29}$$

where V_∞ denotes the unique weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of

$$\begin{cases} -\operatorname{div}(\lambda_\infty \nabla V_\infty) - \alpha_\infty\,|\nabla V_\infty|^q + \delta_\infty\,V_\infty &= \gamma_\infty \quad \text{in } \Omega^*, \\ V_\infty &= 0 \quad \text{on } \partial\Omega^*, \end{cases} \tag{4.30}$$

in the sense that

$$I^\varphi := \int_{\Omega^*} \lambda_\infty \nabla V_\infty \cdot \nabla\varphi - \int_{\Omega^*} \alpha_\infty\,|\nabla V_\infty|^q\,\varphi + \int_{\Omega^*} \delta_\infty V_\infty\,\varphi - \int_{\Omega^*} \gamma_\infty\,\varphi = 0 \text{ for all } \varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*). \tag{4.31}$$

In order to finish the proof of Theorem 1.5, the proof of Lemma 4.2 is postponed in Section 4.2. Notice that, together with (4.19), Lemma 4.2 already provides the first part of the conclusion of Theorem 1.5, that is (1.15) with v satisfying (1.16) and (1.17). It only remains to show the comparison (1.18) of u^* with the solution v_ε of the equation (1.19) involving a function $\widehat{f}_\varepsilon$ having the same distribution function as the function f_u defined in (1.8). To do so, we follow the same scheme as in last steps of the proofs of Theorems 1.1 and 1.3 in Section 3.1. Namely, having in hand that $0 \leq u^* \leq v$ (or the inequalities $0 \leq (1+\eta_u)u^* \leq v$ and $0 \leq (1+\eta)u^* \leq v$) a.e. in Ω^* where v is the weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of equation (4.26), we shall approximate v by the solutions of some approximating equations where $\widehat{f}$ in (4.26) is replaced by some right-hand sides having the same distribution function as the function f_u.

Step 8: approximation of v by some functions w_k in Ω^*

Let $(\widehat{f}_k)_{k\in\mathbb{N}}$ be the sequence of radially symmetric functions defined in Step 3, and remember that the sequence $(\widehat{f}_k)_{k\in\mathbb{N}}$ is bounded in $L^\infty(\Omega^*)$ from (4.6). Since $\widehat{\Lambda} \in L^\infty_+(\Omega^*)$, $\widehat{a} \in L^\infty(\Omega^*)$ and $\widehat{\delta} > 0$, it follows from [10, 15] that, for each $k \in \mathbb{N}$, there is a unique weak $H^1_0(\Omega^*) \cap L^\infty(\Omega^*)$ solution w_k of

$$\begin{cases} -\operatorname{div}\big(\widehat{\Lambda}\nabla w_k\big) - \widehat{a}\,|\nabla w_k|^q + \widehat{\delta}\,w_k &=\ \widehat{f}_k \quad \text{in } \Omega^*, \\ w_k &=\ 0 \quad \text{on } \partial\Omega^*, \end{cases} \tag{4.32}$$

in the sense that $\int_{\Omega^*} \widehat{\Lambda}\,\nabla w_k \cdot \nabla\varphi - \int_{\Omega^*} \widehat{a}\,|\nabla w_k|^q\,\varphi + \int_{\Omega^*} \widehat{\delta}\,w_k\,\varphi - \int_{\Omega^*} \widehat{f}_k\,\varphi = 0$ for all $\varphi \in H^1_0(\Omega^*)\cap L^\infty(\Omega^*)$. Furthermore, the functions w_k are all radially symmetric by uniqueness and since all coefficients $\widehat{\Lambda}$, $\widehat{a}$ and $\widehat{f}_k$ are radially symmetric. Lastly, as in Step 6 above, the sequence $(w_k)_{k\in\mathbb{N}}$ is bounded in $H^1_0(\Omega^*)\cap L^\infty(\Omega^*)$ and relatively compact in $H^1_0(\Omega^*)$, from [17, 18]. There exists then a function $w_\infty \in H^1_0(\Omega^*)\cap L^\infty(\Omega^*)$ such that, up to extraction of a subsequence, $w_k \to w_\infty$ in $H^1_0(\Omega^*)$ strong as $k \to +\infty$. Since $\widehat{f}_k = g_k - 2^{-k} \rightharpoonup \widehat{f}$ in $L^\infty(\Omega^*)$ weak-* as $k \to +\infty$ from (4.11) and (4.22), it follows from Lemma 4.2 applied with $\delta_k = \delta_\infty = \widehat{\delta}$, $\lambda_k = \lambda_\infty = \widehat{\Lambda}$, $\alpha_k = \alpha_\infty = \widehat{a}$, $\gamma_k = \widehat{f}_k$, $\gamma_\infty = \widehat{f}$, $w_k = V_k$, that w_∞ is the unique weak $H^1_0(\Omega^*)\cap L^\infty(\Omega^*)$ solution of the limiting equation (4.26), that is $w_\infty = v$ by uniqueness. By uniqueness of the limit, the whole sequence $(w_k)_{k\in\mathbb{N}}$ converges to v, that is

$$w_k \to v \text{ in } H^1_0(\Omega^*) \text{ strong as } k \to +\infty. \tag{4.33}$$

Step 9: approximation of the function w_K for K large by some functions z_l in Ω^*

Let $\varepsilon > 0$ be an arbitrary positive real number. From (4.33) and Sobolev embeddings, there is an integer $K \in \mathbb{N}$ large enough such that

$$\|w_K - v\|_{L^{2^*}(\Omega^*)} \le \frac{\varepsilon}{2}, \tag{4.34}$$

where w_K is the unique weak $H^1_0(\Omega^*) \cap L^\infty(\Omega^*)$ solution of (4.32) with $k = K$ and where the Sobolev exponant 2^* is defined as in Theorem 1.5.

Now, as in Step 9 of the proofs of Theorems 1.1 and 1.3, there is a sequence $(h_l)_{l\in\mathbb{N}}$ of $L^\infty(\Omega^*)$ radially symmetric functions such that $h_l \rightharpoonup \widehat{f}_K$ as $l \to +\infty$ in $L^\infty(\Omega^*)$ weak-* and $\mu_{h_l} = \mu_{f_u}$ for all $l \in \mathbb{N}$. As in the previous step of the proof of the present Theorems 1.5 and 1.7, for every $l \in \mathbb{N}$, there is a unique weak $H^1_0(\Omega^*) \cap L^\infty(\Omega^*)$, and radially symmetric, solution z_l of

$$\begin{cases} -\operatorname{div}\big(\widehat{\Lambda}\nabla z_l\big) - \widehat{a}\,|\nabla z_l|^q + \widehat{\delta}\,z_l &=\ h_l \quad \text{in } \Omega^*, \\ z_l &=\ 0 \quad \text{on } \partial\Omega^* \end{cases} \tag{4.35}$$

and, from Lemma 4.2 again, the functions z_l converge to w_K in $H^1_0(\Omega^*)$ strong as $l \to +\infty$. In particular, there is $L \in \mathbb{N}$ large enough such that $\|z_L - w_K\|_{L^{2^*}(\Omega^*)} \le \varepsilon/2$, whence

$$\|z_L - v\|_{L^{2^*}(\Omega^*)} \le \varepsilon \tag{4.36}$$

from (4.34).

Step 10: conclusion of the proofs of Theorems 1.5 and 1.7

Call $v_\varepsilon = z_L$ and $\widehat{f}_\varepsilon = h_L$. The function $\widehat{f}_\varepsilon$ is radially symmetric and has the same distribution function as the function f_u. Furthermore, v_ε solves (1.19). Lastly, it follows from (4.19) and (4.36) that

$$\|(u^* - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \le \|(u^* - v)^+ + (v - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \le \|(u^* - v)^+\|_{L^{2^*}(\Omega^*)} + \|(v - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \le 0 + \varepsilon = \varepsilon.$$

This is the desired conclusion (1.18). Under the assumptions of Theorem 1.7, one obtains similarly that $\|((1 + \eta_u)u^* - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \leq \varepsilon$ when Ω is not a ball, and $\|((1 + \eta)u^* - v_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \leq \varepsilon$ when Ω is not a ball and (1.26) is assumed. The proofs of Theorems 1.5 and 1.7 are thereby complete. $\qquad\square$

Remark 4.3. In Theorems 1.1 and 1.3 with linear growth $q = 1$, some further comparison results similar to those of Theorems 1.5 and 1.7 can be obtained when

$$m_b = \inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0.$$

Let us briefly explain how to get these further comparisons. In Step 3 of the proof of Theorems 1.1 and 1.3, it follows as in Step 3 of the proof of Theorems 1.5 and 1.7 that there exists $\widehat{\delta} > 0$ depending on Ω, n, m_b, m_Λ, $\|a\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$, $\|f\|_{L^\infty(\Omega \times \mathbb{R} \times \mathbb{R}^n)}$, N_u and β_u such that (3.15) still holds with the additional term $\widehat{\delta}\,\widehat{\psi}_k(x)$ in the left-hand side. Let then $\widetilde{v}_k$, $\widetilde{v}_\infty$, $\widetilde{w}_k$ and $\widetilde{z}_l$ be the solutions of equations similar to (3.18), (3.30), (3.31) and (3.35) with additional left-hand sides $\widehat{\delta}\,\widetilde{v}_k$, $\widehat{\delta}\,\widetilde{v}_\infty$, $\widehat{\delta}\,\widetilde{w}_k$ and $\widehat{\delta}\,\widetilde{z}_l$, respectively (the coefficients $\widehat{\Lambda}_k$, $\widehat{\Lambda}$, $\widehat{a}_k$, $\widehat{a}$, $\widehat{f}_k$, $\widehat{f}$, g_k and h_l being unchanged). Since $\widehat{\psi}_k$ is still a weak subsolution for the new equation satisfied by $\widetilde{v}_k$ and since the maximum principle still holds, it follows that $\widehat{\psi}_k \leq \widetilde{v}_k$ a.e. in Ω^*, whence $0 < u_{j_k} \leq \widehat{\psi}_k \leq \widetilde{v}_k$ a.e. in Ω^*. The positive function $\widetilde{v}_k$ is then a strict weak subsolution of the equation (3.18) satisfied by the function v_k used in the proof, whence $\widetilde{v}_k \leq v_k$ a.e. in Ω^* and $\widetilde{v}_k \neq v_k$ in $H_0^1(\Omega^*)$. The arguments up to Step 9 of the proof of Theorems 1.1 and 1.3 hold similarly for the new functions $\widetilde{v}_k$, $\widetilde{v}_\infty$, $\widetilde{w}_k$ and $\widetilde{z}_l$. In particular, one gets $u^* \leq \widetilde{v}_\infty$ a.e. in Ω^*. As in Step 11, from the maximum principle again, one can also say that

$$0 < u^* \leq \widetilde{v}_\infty \leq \widetilde{v} \leq v^\flat \quad \text{a.e. in } \Omega^*$$

(together with some quantitatively improved inequalities when Ω is not a ball), where $\widetilde{v}$ is the unique weak $H_0^1(\Omega^*)$ solution of

$$-\mathrm{div}(\widehat{\Lambda}\nabla\widetilde{v}) - \widehat{a}\,|\nabla\widetilde{v}| + \widehat{\delta}\,\widetilde{v} = \widehat{f}$$

and $v^\flat \in H_0^1(\Omega^*)$ satisfies the same equation without $\widehat{\delta}$. Moreover, since $-\mathrm{div}(\widehat{\Lambda}\nabla(v^\flat - \widetilde{v})) + \widehat{a}\,|\nabla(v^\flat - \widetilde{v})| \geq \widehat{\delta}\,\widetilde{v} \geq \widehat{\delta}\,u^*$ in the weak sense, it follows that $v^\flat - \widetilde{v} \geq \phi$ a.e. in Ω^*, where the nonnegative function ϕ is the unique $H_0^1(\Omega^*)$ solution of $-\mathrm{div}(\widehat{\Lambda}\nabla\phi) + \widehat{a}\,|\nabla\phi| = \widehat{\delta}\,u^*$ (the function ϕ depends on u^* and $\widehat{\delta}$ and thus on some bounds on the coefficients). Now, if $\widetilde{z}$ denotes the solution of (3.37) with left-hand side $\widehat{\delta}\,\widetilde{z}$, we do not know how to compare $\widetilde{z}_L$ and $\widetilde{z}$ (one cannot immediately repeat the arguments used in Lemma 3.4 since integrating the one-dimensional equation satisfied by the radial profile obtained from $\widetilde{z}_L$ is not possible in general). However, one can still argue as in Step 10 of the proof of Theorems 1.5 and 1.7 to get the following result: for any $\varepsilon > 0$, there is a solution $\widetilde{v}_\varepsilon$ of (1.19) and (1.20) with $q = 1$, such that $\|(u^* - \widetilde{v}_\varepsilon)^+\|_{L^{2^*}(\Omega^*)} \leq \varepsilon$, and $\widetilde{v}_\varepsilon \leq v_\varepsilon^\flat$ a.e. in Ω^* where $v_\varepsilon^\flat$ obeys the same equation as $\widetilde{v}_\varepsilon$ without $\widehat{\delta}$. Quantitatively improved inequalities with $(1 + \eta_u)u^*$ and $(1 + \eta)u^*$ instead of u^* also hold when Ω is not a ball and assumption (1.11) is made. Under the assumptions of Theorems 1.1, and assuming furthermore that $\inf_{\Omega \times \mathbb{R} \times \mathbb{R}^n} b > 0$, obtaining a *pointwise* comparison between u^* and the solution $v \in H_0^1(\Omega^*)$ of (1.7) with $\widehat{H}(x, p) = -\widehat{a}(x)|p| + \widehat{\delta}s - f_u^*(x)$, as well as an improved inequality in the context of Theorem 1.3, is an open question.

In Theorems 1.5 and 1.7 with superlinear growth $1 < q \leq 2$, let us now drop the assumption (1.13) (that is, b is only assumed to be nonnegative). If we follow the above proof, the zero order term $\widehat{\delta}\,\widehat{\psi}_k$ is not present in (4.10) anymore and the solutions $v_k \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ of (4.13) without $\widehat{\delta}$ may not exist or be unique. However, if we assume the existence for this new problem in Ω^*, as well as for problems (4.26), (4.28), (4.30), (4.32) and (4.35) with $\widehat{\delta} = 0$, and if we also assume the validity of comparison principles (which lead to uniqueness and thus radial symmetry) together with uniform bounds in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ and compactness in $H_0^1(\Omega^*)$ for sequences of solutions of these new equations, then the proof remains unchanged and the same conclusions hold. Lastly, we mention that comparing u^* with the solution of an equation of

the type (1.7) with $\widehat{H}(x, p) = -\widehat{a}(x) |p|^q - f_u^*(x)$ is still open since getting a comparison result similar to Lemma 3.4 is not clear in the nonlinear case $(1 < q \leq 2)$.

4.2 Proofs of Lemmas 4.1 and 4.2

This section is devoted to the proofs of the technical lemmas 4.1 and 4.2 which were stated and used in Steps 1, 7, 8 and 9 of the proofs of Theorems 1.5 and 1.7.

Proof of Lemma 4.1. The proof follows the same lines as the one of Lemma 3.1.

Step 1: a uniform bound on $\|u\|_{L^\infty(\Omega)}$. By the conditions on q and r contained in assumption (1.26) and Theorem 5.5 of [34], it follows that $u \in W(\Omega)$, and in particular u is qualitatively bounded. Furthermore, $u \in H_0^1(\Omega)$ and (1.1), (1.4) and (1.26) show that $-\text{div}(A\nabla u) + M^{-1}u \leq M \left(|\nabla u|^q + 1\right)$ in the weak $H_0^1(\Omega) \cap L^\infty(\Omega)$ sense. Thus, Theorem 3.1 in [46] ensures that

$$\|u\|_{L^\infty(\Omega)} \leq C_1 \tag{4.37}$$

where $C_1 = M^2$.

Step 2: a uniform bound on $\|u\|_{H_0^1(\Omega)}$. Arguing as in the proof of Lemma 3.1, one has

$$M^{-1} \int_\Omega |\nabla u(x)|^2 \, dx \leq \int_\Omega -H(x, u(x), \nabla u(x)) u(x) dx.$$

Since $|H(x, u(x), \nabla u(x))| \leq M \left(1 + |u(x)|^r + |\nabla u(x)|^q\right) \leq M(1 + C_1^r) + M |\nabla u(x)|^q$, using the fact that $q < 1 + 2/n \leq 2$ (recall that $n \geq 2$), one derives

$$M^{-1} \int_\Omega |\nabla u|^2 \leq M \, C_1 \int_\Omega \left(1 + C_1^r + |\nabla u|^q\right),$$

whence $\|u\|_{H_0^1(\Omega)} \leq C_2$, where $C_2 > 0$ only depends on Ω, q, M and r.

Step 3: a uniform estimate of $\|u\|_{W^{2,p}(\Omega)}$. Since $q < 2$, the proof of Theorem 5.5 of [34] and the quantitative assumption (1.26) show that, for all $1 \leq p < \infty$,

$$\|u\|_{W^{2,p}(\Omega)} \leq K_p, \tag{4.38}$$

where $K_p > 0$ only depends on Ω, n, q, M, r and p. In particular, $\|u\|_{C^{1,1/2}(\overline{\Omega})} \leq C_3$, where $C_3 > 0$ only depends on Ω, n, q, M and r.

Step 4: conclusion. What remains to be proved is the existence of a positive constant β, depending only on Ω, n, q, M and r such that $u(x) \geq \beta \, d(x, \partial\Omega)$ for all $x \in \Omega$. As in the proof of Lemma 3.1, one argues by contradiction, assuming that this conclusion does not hold. Then there are a sequence $(A_m)_{m \in \mathbb{N}}$ of $W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$ matrix fields, a sequence $(\Lambda_m)_{m \in \mathbb{N}}$ of $L_+^\infty(\Omega)$ functions and four sequences $(H_m)_{m \in \mathbb{N}}$, $(a_m)_{m \in \mathbb{N}}$, $(b_m)_{m \in \mathbb{N}}$, $(f_m)_{m \in \mathbb{N}}$ of continuous functions in $\overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n$, satisfying (1.3), (1.4) and (1.26) with the same parameter $M > 0$, as well as a sequence $(u_m)_{m \in \mathbb{N}}$ of $W(\Omega)$ solutions of (1.1) satisfying (3.1), and a sequence $(x_m)_{m \in \mathbb{N}}$ of points in Ω such that (3.45) holds. Observe first that the sequence $(H_m(\cdot, u_m(\cdot), \nabla u_m(\cdot)))_{m \in \mathbb{N}}$ is bounded in $L^\infty(\Omega)$. Indeed, by (1.26), for all $x \in \Omega$,

$$|H_m(x, u_m(x), \nabla u_m(x))| \leq M \left(1 + |u_m(x)|^r + |\nabla u_m(x)|^q\right),$$

and u_m and ∇u_m are uniformly bounded in $L^\infty(\Omega)$ by (4.38). As a consequence, there are a symmetric matrix field $A_\infty \in W^{1,\infty}(\Omega, \mathcal{S}_n(\mathbb{R}))$, a function $u_\infty \in W(\Omega) \cap H_0^1(\Omega)$, a point $x_\infty \in \overline{\Omega}$ and two functions H_∞, $H^0 \in L^\infty(\Omega)$ satisfying (3.46), (3.47) and (3.48) as in the proof of Lemma 3.1. For every $m \in \mathbb{N}$ and $x \in \Omega$, one has, by (1.26),

$$H_m(x, u_m(x), \nabla u_m(x)) \leq M\left((u_m(x))^r + |\nabla u_m(x)|^q\right) + H_m(x, 0, 0) \leq C_4\left(u_m(x) + |\nabla u_m(x)|\right) + H_m(x, 0, 0),$$

43

from (1.26), (4.38) and the nonnegativity of u_m. Here, $C_4 > 0$ only depends on Ω, n, q, M and r. Finally, one concludes as for the proof of Lemma 3.1. $\qquad\square$

Proof of Lemma 4.2. We first remember that the existence and uniqueness of the weak solutions $V_k \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ of (4.28) is guaranteed by [10, 15]. By uniqueness of V_k and radial symmetry of all coefficients, the functions V_k are all radially symmetric. Furthermore, from the boundedness assumptions made in Lemma 4.2 and from [17, 18], the sequence $(V_k)_{k\in\mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$ and in $L^\infty(\Omega^*)$, and it is relatively compact in $H_0^1(\Omega^*)$. Therefore, there exists a radially symmetric function $V_\infty \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that the limit (4.29) holds, at least for a subsequence.

The goal is to show that the function V_∞ solves the limiting equation (4.30) in the weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ sense. Notice that, once this is done, then by uniqueness of the $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of this limiting equation (4.30), it follows immediately that the whole sequence $(V_k)_{k\in\mathbb{N}}$ converges to V_∞ in the sense of (4.29).

In order to show (4.30), as for the proof of Lemma 3.3, the strategy is to work with the one-dimensional equations satisfied by the functions V_k, to derive additional bounds and to pass to the limit in a certain sense. Comparing to Lemma 3.3, the additional difficulty will be to pass to the limit in the terms $\alpha_k |\nabla V_k|^q$, for which only L^1 bounds are available (in particular, estimates similar to (3.58) with $-\alpha_k|\nabla V_k|^q$ instead of $\hat{a}_k e_r \cdot \nabla v_k$ may not be true). For the proof of (4.30), we consider separately the cases where the dimension n is such that $n \geq 2$, and the case $n = 1$.

First case: $n \geq 2$. Call

$$
\begin{cases}
\widetilde{\lambda}_k(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \lambda_k \, d\theta_r, & \widetilde{\lambda}_\infty(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \lambda_\infty \, d\theta_r, \\[3mm]
\widetilde{\alpha}_k(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \alpha_k \, d\theta_r, & \widetilde{\alpha}_\infty(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \alpha_\infty \, d\theta_r, \\[3mm]
\widetilde{\gamma}_k(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \gamma_k \, d\theta_r, & \widetilde{\gamma}_\infty(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \gamma_\infty \, d\theta_r, \\[3mm]
\widetilde{V}_k(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} V_k \, d\theta_r, & \widetilde{V}_\infty(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} V_\infty \, d\theta_r, \\[3mm]
\widetilde{W}_k(r) = \dfrac{1}{n\alpha_n r^{n-1}} \displaystyle\int_{\partial B_r} \lambda_k \, \nabla V_k \cdot e_r \, d\theta_r. &
\end{cases}
\tag{4.39}
$$

From Fubini's theorem, these quantities can be defined for almost every $r \in (0, R)$. The functions $\widetilde{\lambda}_k$ and $\widetilde{\lambda}_\infty$ are in $L^\infty_+(0, R)$ and the functions $\widetilde{\alpha}_k$, $\widetilde{\alpha}_\infty$, $\widetilde{\gamma}_k$ and $\widetilde{\gamma}_\infty$ are in $L^\infty(0, R)$. Furthermore, it follows from (4.27) that

$$
\widetilde{\lambda}_k^{-1} \rightharpoonup \widetilde{\lambda}_\infty^{-1}, \quad \widetilde{\lambda}_k^{-q}\widetilde{\alpha}_k \rightharpoonup \widetilde{\lambda}_\infty^{-q}\widetilde{\alpha}_\infty \quad \text{and} \quad \widetilde{\gamma}_k \rightharpoonup \widetilde{\gamma}_\infty \quad \text{in } L^\infty(r_0, R) \text{ weak-* as } k \to +\infty
\tag{4.40}
$$

for every $r_0 \in (0, R)$. On the other hand, the functions $\widetilde{V}_k$ and $\widetilde{V}_\infty$ belong to the space $L^\infty(0, R) \cap H_{loc}^1((0, R])$, the sequence $(\widetilde{V}_k)_{k\in\mathbb{N}}$ is bounded in $L^\infty(0, R)$ and in $H^1(r_0, R)$ for every $r_0 \in (0, R)$, and

$$
\widetilde{V}_k \to \widetilde{V}_\infty \quad \text{in } H^1(r_0, R) \text{ strong as } k \to +\infty
\tag{4.41}
$$

for every $r_0 \in (0, R)$, from (4.29). Lastly, the sequence $(\widetilde{W}_k)_{k\in\mathbb{N}}$ is bounded in $L^2(r_0, R)$ for every $r_0 \in (0, R)$ and it is straightforward to check that

$$
\widetilde{W}_k = \widetilde{\lambda}_k \, \widetilde{V}_k' \quad \text{a.e. in } (0, R)
\tag{4.42}
$$

for every $k \in \mathbb{N}$.

By testing (4.28) against radially symmetric functions $\varphi \in C_c^1(\Omega^*)$ which vanish in a neighborhood of 0, it follows that, for every $k \in \mathbb{N}$, the function $\widetilde{W}_k$ is in $W^{1,1}(r_0, R)$ for every $r_0 \in (0, R)$, and that

$$-\widetilde{W}_k'(r) = \frac{n-1}{r} \widetilde{\lambda}_k(r) \widetilde{V}_k'(r) + \widetilde{\alpha}_k(r) |\widetilde{V}_k'(r)|^q - \delta_k \widetilde{V}_k(r) + \widetilde{\gamma}_k(r) \quad \text{a.e. in } (0, R).$$

Therefore, the sequence $(\widetilde{W}_k')_{k \in \mathbb{N}}$ is bounded in $L^1(r_0, R)$ for every $r_0 \in (0, R)$, whence $(\widetilde{W}_k)_{k \in \mathbb{N}}$ is bounded in $W^{1,1}(r_0, R)$ for every $r_0 \in (0, R)$. As a consequence, there is a function $\widetilde{W}_\infty \in L_{loc}^\infty((0, R])$ such that, up to extraction of a subsequence,

$$\begin{cases} \widetilde{W}_k \underset{k \to +\infty}{\rightharpoonup} \widetilde{W}_\infty \text{ in } L^\infty(r_0, R) \text{ weak-*}, \\ \widetilde{W}_k \underset{k \to +\infty}{\to} \widetilde{W}_\infty \text{ in } L^p(r_0, R) \text{ strong for every } 1 \le p < +\infty, \end{cases} \quad \text{for every } r_0 \in (0, R). \quad (4.43)$$

Together with (4.40) and (4.42), one gets that $\widetilde{V}_k' = \widetilde{\lambda}_k^{-1} \widetilde{W}_k \rightharpoonup \widetilde{\lambda}_\infty^{-1} \widetilde{W}_\infty$ as $k \to +\infty$ in, say, $L^2(r_0, R)$ weak, for every $r_0 \in (0, R)$. Remembering (4.41), one concludes that

$$\widetilde{W}_\infty = \widetilde{\lambda}_\infty \widetilde{V}_\infty' \quad \text{a.e. in } (0, R). \quad (4.44)$$

We shall now show that V_∞ is a weak $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ solution of (4.30), that is $I^\varphi = 0$ for every $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$, where I^φ is defined in (4.31). Let us fix such a function φ. Since $n \ge 2$, there is a sequence $(\phi_m)_{m \in \mathbb{N}}$ of $C_c^1(\Omega^*)$ functions such that, for every $m \in \mathbb{N}$,

$$0 \le \phi_m \le 1 \text{ in } \Omega^*, \ \phi_m = 1 \text{ in } B_{2^{-m-2}R}, \ \phi_m = 0 \text{ in } \Omega^* \backslash B_{2^{-m-1}R}$$

and the sequence $(\phi_m)_{m \in \mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$. Call $\varphi_m = \varphi(1 - \phi_m)$. The functions φ_m are all in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ and they are such that $\varphi_m = 0$ a.e. in $B_{2^{-m-2}R}$ and $\varphi_m - \varphi = -\varphi \phi_m = 0$ a.e. in $\Omega^* \backslash B_{2^{-m-1}R}$. One has

$$\begin{aligned} I^{\varphi_m} - I^\varphi &= -\int_{B_{2^{-m-1}R}} \lambda_\infty \nabla V_\infty \cdot \nabla(\varphi \phi_m) + \int_{B_{2^{-m-1}R}} \alpha_\infty |\nabla V_\infty|^q \varphi \phi_m \\ &\quad - \int_{B_{2^{-m-1}R}} \delta_\infty V_\infty \varphi \phi_m + \int_{B_{2^{-m-1}R}} \gamma_\infty \varphi \phi_m \end{aligned} \quad (4.45)$$

for every $m \in \mathbb{N}$. The last three integrals converge to 0 as $m \to +\infty$ from Lebesgue's dominated convergence theorem, since $\alpha_\infty \in L^\infty(\Omega^*)$, $V_\infty \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ and $\varphi \in L^\infty(\Omega^*) (\cap H_0^1(\Omega^*))$ and since the sequence $(\phi_m)_{m \in \mathbb{N}}$ is bounded in $L^\infty(\Omega^*)$. The first integral of (4.45) also converges to 0 as $m \to +\infty$ by Cauchy-Schwarz inequality and Lebesgue's dominated convergence theorem, since $\lambda_\infty |\nabla V_\infty|$ is in $L^2(\Omega^*)$, φ is in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ and the sequence $(\phi_m)_{m \in \mathbb{N}}$ is bounded in $H_0^1(\Omega^*)$. Finally, $I^{\varphi_m} - I^\varphi \to 0$ as $m \to +\infty$. So, in order to show that $I^\varphi = 0$ for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$, it is sufficient to show it for all $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that $\varphi = 0$ almost everywhere in a ball with positive radius centered at the origin.

Let then φ be a fixed function in $H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that $\varphi = 0$ almost everywhere in B_{r_0} for some $r_0 \in (0, R)$, and let us show that $I^\varphi = 0$. It follows from Fubini's theorem and the definitions (4.39) that

$$I^\varphi = \int_{r_0}^R \left(\widetilde{\lambda}_\infty(r) \widetilde{V}_\infty'(r) \Phi(r) - \widetilde{\alpha}_\infty(r) |\widetilde{V}_\infty'(r)|^q \phi(r) + \delta_\infty \widetilde{V}_\infty(r) \phi(r) - \widetilde{\gamma}_\infty(r) \phi(r) \right) dr, \quad (4.46)$$

45

where the functions ϕ and Φ are defined as in (3.60) and are in $L^2(r_0, R)$. Furthermore, $\phi \in L^\infty(r_0, R)$. Since $\widetilde{\lambda}_k \widetilde{V}_k' = \widetilde{W}_k \rightharpoonup \widetilde{W}_\infty = \widetilde{\lambda}_\infty \widetilde{V}_\infty'$ as $k \to +\infty$ in $L^\infty(r_0, R)$ weak-* from (4.42), (4.43) and (4.44), one infers that

$$\int_{r_0}^R \widetilde{\lambda}_k(r) \, \widetilde{V}_k'(r) \, \Phi(r) \, dr \to \int_{r_0}^R \widetilde{\lambda}_\infty(r) \, \widetilde{V}_\infty'(r) \, \Phi(r) \, dr \quad \text{as } k \to +\infty. \tag{4.47}$$

Furthermore,

$$\widetilde{\alpha}_k \, |\widetilde{V}_k'|^q = \left(\widetilde{\lambda}_k^{-q} \, \widetilde{\alpha}_k \right) \times \left(\widetilde{\lambda}_k^q \, |\widetilde{V}_k'|^q \right) \tag{4.48}$$

and

$$\widetilde{\lambda}_k^{-q} \, \widetilde{\alpha}_k \underset{k \to +\infty}{\rightharpoonup} \widetilde{\lambda}_\infty^{-q} \, \widetilde{\alpha}_\infty \quad \text{in } L^\infty(r_0, R) \text{ weak-*} \tag{4.49}$$

from (4.40). On the other hand,

$$\widetilde{\lambda}_k^q \, |\widetilde{V}_k'|^q = |\widetilde{W}_k|^q \underset{k \to +\infty}{\to} |\widetilde{W}_\infty|^q = \widetilde{\lambda}_\infty^q \, |\widetilde{V}_\infty'|^q \quad \text{in, say, } L^1(r_0, R) \text{ strong,} \tag{4.50}$$

from (4.43) and (4.44): indeed, since the sequence $(W_k)_{k \in \mathbb{N}}$ is bounded in $L^\infty(r_0, R)$ from (4.43), it follows that, for every $\varepsilon > 0$, there is a constant $C_\varepsilon > 0$ such that

$$\left| |\widetilde{W}_k(r)|^q - |\widetilde{W}_\infty(r)|^q \right| \le C_\varepsilon \left| \widetilde{W}_k(r) - \widetilde{W}_\infty(r) \right| + \varepsilon \text{ for every } k \in \mathbb{N} \text{ and a.e. } r \in (r_0, R),$$

and the $L^1(r_0, R)$ convergence of $|\widetilde{W}_k|^q$ to $|\widetilde{W}_\infty|^q$ follows then from the $L^1(r_0, R)$ convergence of $\widetilde{W}_k$ to $\widetilde{W}_\infty$ by (4.43). Putting together (4.48), (4.49) and (4.50) leads to $\widetilde{\alpha}_k \, |\widetilde{V}_k'|^q \rightharpoonup \left(\widetilde{\lambda}_\infty^{-q} \, \widetilde{\alpha}_\infty \right) \times \left(\widetilde{\lambda}_\infty^q \, |\widetilde{V}_\infty'|^q \right) = \widetilde{\alpha}_\infty \, |\widetilde{V}_\infty'|^q$ in $L^1(r_0, R)$ weak as $k \to +\infty$, whence

$$\int_{r_0}^R \widetilde{\alpha}_k(r) \, |\widetilde{V}_k'(r)|^q \, \phi(r) \, dr \to \int_{r_0}^R \widetilde{\alpha}_\infty(r) \, |\widetilde{V}_\infty'(r)|^q \, \phi(r) \, dr \quad \text{as } k \to +\infty \tag{4.51}$$

since $\phi \in L^\infty(r_0, R)$. Similarly, it follows from (4.40), (4.41) and the convergence of δ_k to δ_∞, that

$$\int_{r_0}^R \left(\delta_k \, \widetilde{V}_k(r) \, \phi(r) - \widetilde{\gamma}_k(r) \, \phi(r) \right) dr \underset{k \to +\infty}{\to} \int_{r_0}^R \left(\delta_\infty \, \widetilde{V}_\infty(r) \, \phi(r) - \widetilde{\gamma}_\infty(r) \, \phi(r) \right) dr. \tag{4.52}$$

Finally, it follows from (4.46), (4.47), (4.51) and (4.52) that

$$\underbrace{\int_{r_0}^R \left(\widetilde{\lambda}_k(r) \, \widetilde{V}_k'(r) \, \Phi(r) - \widetilde{\alpha}_k(r) \, |\widetilde{V}_k'(r)|^q \, \phi(r) + \delta_k \, \widetilde{V}_k(r) \, \phi(r) - \widetilde{\gamma}_k(r) \, \phi(r) \right) dr}_{=:I_k^\varphi} \to I^\varphi$$

as $k \to +\infty$. But it follows from Fubini's theorem, the definitions (4.39) and the fact that $\varphi = 0$ in B_{r_0}, that

$$I_k^\varphi = \int_{\Omega^*} \lambda_k \nabla V_k \cdot \nabla \varphi - \int_{\Omega^*} \alpha_k \, |\nabla V_k|^q \, \varphi + \int_{\Omega^*} \delta_k \, V_k \, \varphi - \int_{\Omega^*} \gamma_k \, \varphi$$

for every $k \in \mathbb{N}$. Hence, $I_k^\varphi = 0$ for every $k \in \mathbb{N}$, owing to the definition of V_k in (4.28). As a conclusion, $I^\varphi = 0$ for every $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ such that $\varphi = 0$ almost everywhere in a neighborhood of 0. As already emphasized, this implies that $I^\varphi = 0$ for every $\varphi \in H_0^1(\Omega^*) \cap L^\infty(\Omega^*)$ in this case $n \ge 2$.

Second case: $n = 1$. In this case, we work directly with the functions λ_k, λ_∞, α_k, α_∞, γ_k, γ_∞, V_k and V_∞ defined in $\Omega^* = (-R, R)$ and satisfying (4.27) and (4.29). Call $W_k = \lambda_k V_k'$. The sequence $(W_k)_{k \in \mathbb{N}}$ is bounded in $L^2(-R, R)$. Furthermore, it follows from (4.28) that each function W_k is in $W^{1,1}(-R, R)$ and

46

that $-W_k'(r) = \alpha_k(r)\,|V_k'(r)|^q - \delta_k\,V_k(r) + \gamma_k(r)$ a.e. in $(-R, R)$. Hence, the sequence $(W_k)_{k\in\mathbb{N}}$ is bounded in $W^{1,1}(-R, R)$ and there exists a function $W_\infty \in L^\infty(-R, R)$ such that

$$
\begin{cases}
W_k \rightharpoonup W_\infty \text{ as } k \to +\infty \text{ in } L^\infty(-R, R) \text{ weak-*,} \\
W_k \to W_\infty \text{ as } k \to +\infty \text{ in } L^p(-R, R) \text{ strong for every } 1 \le p < +\infty.
\end{cases}
$$

One then infers as in the case $n \ge 2$ that $W_\infty = \lambda_\infty\,V_\infty'$ a.e. in $(-R, R)$. The same arguments as the ones used in the last part of the proof in the case $n \ge 2$ then imply that, for every $\varphi \in H_0^1(-R, R)\,(\subset L^\infty(-R, R))$,

$$
0 = \int_{-R}^{R} \lambda_k(r)\,V_k'(r)\,\varphi'(r)\,dr - \int_{-R}^{R} \alpha_k(r)\,|V_k'(r)|^q\,\varphi(r)\,dr + \int_{-R}^{R} \delta_k\,V_k(r)\,\varphi(r)\,dr - \int_{-R}^{R} \gamma_k(r)\,\varphi(r)\,dr
$$

$$
\underset{k\to+\infty}{\to} \int_{-R}^{R} \lambda_\infty(r)V_\infty'(r)\varphi'(r)dr - \int_{-R}^{R} \alpha_\infty(r)\,|V_\infty'(r)|^q\varphi(r)dr + \int_{-R}^{R} \delta_\infty\,V_\infty(r)\varphi(r)dr - \int_{-R}^{R} \gamma_\infty(r)\varphi(r)dr.
$$

As a consequence, the limiting integral is equal to 0 for every $\varphi \in H_0^1(-R, R)\,(\subset L^\infty(-R, R))$, which means that V_∞ is the weak solution of (4.30).

The proof of Lemma 4.2 is thereby complete in both cases $n \ge 2$ and $n = 1$. $\qquad\square$

References

[1] B. ABDELLAOUI, A. DALL'AGLIO and I. PERAL, Some remarks on elliptic problems with critical growth in the gradient, *J. Diff. Equations* **222** (2006), 21-62.

[2] A. ALVINO and G. TROMBETTI, Sulle migliori costanti di maggiorazione per una classe di equazioni ellittiche degeneri, *Ricerche Mat.* **27** (1978), 413-428.

[3] A. ALVINO and G. TROMBETTI, Equazioni ellittiche con termini di ordine inferiore e riordinamenti, *Atti Accad. Naz. Lincei Rend. Cl. Sci. Fis. Mat. Natur.* **66** (1979), 1-7.

[4] A. ALVINO and G. TROMBETTI, A lower bound for the first eigenvalue of an elliptic operator, *J. Math. Anal. Appl.* **94** (1983), 328-337.

[5] A. ALVINO and G. TROMBETTI, Isoperimetric inequalities connected with torsion problem and capacity, *Boll. Union Mat. Ital. B* **4** (1985), 773-787.

[6] A. ALVINO, G. TROMBETTI and P.-L. LIONS, Comparison results for elliptic and parabolic equations via Schwarz symmetrization, *Ann. Inst. H. Poincaré, Analyse Non Linéaire* **7** (1990), 37-65.

[7] A. ALVINO, G. TROMBETTI, P.-L. LIONS and S. MATARASSO, Comparison results for solutions of elliptic problems via symmetrization, *Ann. Inst. H. Poincaré, Analyse Non Linéaire* **16** (1999), 167-188.

[8] C. BANDLE and M. MARCUS, Comparison theorems for a class of nonlinear Dirichlet problems, *J. Diff. Equations* **26** (1977), 321-334.

[9] G. BARLES, A.-P. BLANC, C. GEORGELIN and M. KOBYLANSKI, Remarks on the maximum principle for nonlinear elliptic PDEs with quadratic growth conditions, *Ann. Scuola Norm. Sup. Cl. Sci. (4)* **28** (1999), 381-404.

[10] G. BARLES and F. MURAT, Uniqueness and the maximum principle for quasilinear elliptic equations with quadratic growth conditions, *Arch. Ration. Mech. Anal.* **133** (1995), 77-101.

[11] G. Barles and A. Porretta, Uniqueness for unbounded solutions to stationary viscous Hamilton-Jacobi equations, *Ann. Scuola Norm. Sup. Pisa Cl. Sci. (5)* **5** (2006), 107-136.

[12] A. Bensoussan, L. Boccardo and F. Murat, On a non linear partial differential equation having natural growth terms and unbounded solution, *Ann. Inst. H. Poincaré, Analyse Non Linéaire* **5** (1988), 347-364.

[13] M.F. Betta, A. Mercaldo, F. Murat and M.M. Porzio, Uniqueness results for nonlinear elliptic equations with a lower order term, *Nonlinear Anal.* **63** (2005), 153-170.

[14] L. Boccardo, T. Gallouët and F. Murat, Unicité de la solution de certaines équations elliptiques non linéaires, *C. R. Acad. Sci. Paris Ser. I* **315** (1992), 1159-1164.

[15] L. Boccardo, F. Murat and J.-P. Puel, Résultats d'exitence pour certains problèmes elliptiques quasilinéaires, *Ann. Scuola Norm. Sup. Pisa Cl. Sci. Ser. 4* **11** (1984), 213-235.

[16] L. Boccardo, F. Murat and J.-P. Puel, Existence of bounded solutions for non linear elliptic unilateral problems, *Ann. Mat. Pura Appl.* **152** (1988), 183-196.

[17] L. Boccardo, F. Murat and J.-P. Puel, Quelques propriétés des opérateurs elliptiques quasi-linéaires, *C. R. Acad. Sci. Paris Sér. I* **307** (1988), 749-752.

[18] L. Boccardo, F. Murat and J.-P. Puel, L^∞ estimate for some nonlinear elliptic partial differential equations and application to an existence result, *SIAM J. Math. Anal.* **23** (1992), 326-333.

[19] M. Bramanti, Simmetrizzazione di Schwarz di funzioni e applicazioni a problemi variazionali ed equazioni a derivate parziali, *http://www1.mate.polimi.it/~bramanti/pubblica/simmetrizzazioni2004.pdf.*

[20] A. Burchard, A short course on rearrangement inequalities, *http://www.math.utoronto.ca/almut/rearrange.pdf.*

[21] A. Cianchi, Symmetrization in anisotropic elliptic problems, *Comm. Part. Diff. Equations* **32** (2007), 693-717.

[22] C. Conca, R. Mahadevan and L. Sanz, An extremal eigenvalue problem for a two-phase conductor in a ball, *Apll. Math. Optim.* **60** (2009), 173-184.

[23] M.G. Crandall and L. Tartar, Some relations between nonexpansive and order preserving mappings, *Proc. Amer. Math. Soc.* **78** (1980), 385-390.

[24] T. Del Vecchio, Strongly nonlinear problems with gradient dependent lower order nonlinearity, *Nonlinear Anal.* **11** (1987), 5-15.

[25] J. Droniou and T. Gallouët, A uniqueness result for quasilinear elliptic equations with measures as data, *Rend. Mat. Appl.* **21** (2001), 57-86.

[26] V. Ferone and B. Messano, Comparison results for nonlinear elliptic equations with lower-order terms, *Math. Nachr.* **252** (2003), 43-50.

[27] V. Ferone and F. Murat, Nonlinear problems having natural growth in the gradient: an existence result when the source terms are small, *Nonlinear Anal.* **42** (2000), 1309-1326.

[28] V. Ferone and M.R. Posteraro, On a class of quasilinear elliptic equations with quadratic growth in the gradient, *Nonlinear Anal.* **20** (1993), 703-711.

[29] V. Ferone, M.R. Posteraro and J.-M. Rakotoson, L^∞-estimates for nonlinear elliptic problems with p-growth in the gradient, *J. Ineq. Appl.* **3** (1999), 109-125.

[30] D. Gilbarg and N.S. Trudinger, *Elliptic Partial Differential Equations of Second Order*, Springer Verlag, Berlin, 1983.

[31] N. Grenon, Existence and comparison results for quasilinear elliptic equations with critical growth in the gradient, *J. Diff. Equations* **171** (2001), 1-23.

[32] N. Grenon, F. Murat and A. Porretta, Existence and a priori estimates for elliptic problems with subquadratic gradient dependent terms, *C. R. Acad. Sci. Paris Ser. I* **342** (2006), 23-28.

[33] F. Hamel, N. Nadirashvili and E. Russ, Rearrangement inequalities and applications to isoperimetric problems for eigenvalues, *Annals Math.* **174** (2011), 647-755.

[34] F. Hamel and E. Russ, Comparison results for semilinear elliptic equations using a new symmetrization method, *http://arxiv.org/abs/1401.1726.*

[35] H. A. Hamid and M.-F. Bidaut-Véron, Correlation between two quasilinear elliptic problems with a source term involving the function or its gradient, *C. R. Acad. Sci. Paris Sér. I Math.* **346** (2008), 1251-1256.

[36] Q. Han and F. Lin, *Elliptic Partial Differential Equations*, Courant Inst. Math. Sci., Amer. Math. Soc., 2011.

[37] G. Iyer, A. Novikov, L. Ryzhik and A. Zlatoš, Exit times of diffusions with incompressible drift, *SIAM J. Math. Anal.* **42** (2010), 2484-2498.

[38] L. Jeanjean and B. Sirakov, Existence and multiplicity for elliptic problems with quadratic growth in the gradient, *Comm. Part. Diff. Equations* **38** (2013), 244-264.

[39] B. Kawohl, *Rearrangements and Convexity of Level Sets in Partial Differential Equations*, Lect. Notes Math. 1150, Springer-Verlag, 1985.

[40] C. Maderna, C.D. Pagani and S. Salsa, Quasilinear elliptic equations with quadratic growth in the gradient, *J. Diff. Equations* **97** (1992), 54-70.

[41] C. Maderna and S. Salsa, Dirichlet problem for elliptic equations with nonlinear first order terms: a comparison result, *Ann. Mat. Pura Appl.* **148** (1987), 277-288.

[42] B. Messano, Symmetrization results for classes of nonlinear elliptic equations with q-growth in the gradient, *Nonlinear Anal.* **64** (2006), 2688-2703.

[43] J. Mossino and R. Temam, Directional derivative of the increasing rearrangement mapping and application to a queer differential equation in plasma physics, *Duke Math. J.* **48** (1981), 475-495.

[44] M. Pašić, Isoperimetric inequalities in quasilinear equations of Leray-Lions type, *J. Math. Pures Appl.* **75** (1996), 343-366.

[45] G. Pólya and G. Szegö, *Isoperimetric Inequalities in Mathematical Physics*, Annals of Mathematics Studies **27**, Princeton Univ. Press, Princeton, 1951.

[46] A. Porretta, *Elliptic Equations with First-order Terms*, Lecture Notes, CIMPA School Alexandria, 2009 (http://www.cimpa-icpam.org/anciensite/NotesCours/PDF/2009/Alexandrie_Porretta.pdf).

[47] B. Sirakov, Solvability of uniformly elliptic fully nonlinear PDE, *Arch. Ration. Mech. Anal.* **195** (2010), 579-607.

[48] J. Souček and V. Souček, Morse-Sard theorem for real-analytic functions, *Comment. Math. Univ. Carolinae* **13** (1972), 45-51.

[49] G. Talenti, Elliptic equations and rearrangements, *Ann. Scuola Norm. Pisa Cl. Sci. (4)* **3** (1976), 697-718.

[50] G. Talenti, Nonlinear elliptic equations, rearrangements of functions and Orlicz spaces, *Ann. Mat. Pura Appl.* **120** (1979), 159-184.

[51] G. TALENTI, Linear elliptic P.D.E.'s: level sets, rearrangements and a priori estimates of solutions, *Boll. Union Mat. Ital. B (6)* **4** (1985), 917-949.

[52] Y. TIAN and F. LI, Comparison results for nonlinear degenerate Dirichlet and Neumann problems with general growth in the gradient, *J. Math. Anal. Appl.* **378** (2011), 749-763.

[53] G. TROMBETTI and J. L. VAZQUEZ, A symmetrization result for elliptic equations with lower-order terms, *Ann. Fac. Sci. Toulouse* **7** (1985), 137-150.