Classic Realizability (and how to get rid of it)

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"Classic" realizability?

Introduced by	y Kleene	in	1945 as	а	semantics	of	intuitionistic	logic.
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"To interpret every proposition as a set of programs (the realizers) witnessing the corresponding proposition."

- S. C. Kleene. On the interpretation of intuitionistic number theory. The Journal of Symbolic Logic, 10(4):109–124, 1945.
- G. Kreisel. Interpretation of analysis by means of constructive functionals of finite types. Constructivity in Mathematics, pp. 101–128, 1959.
- W.W. Tait. A realizability interpretation of the theory of species. Logic Colloquium, Lectures Notes in Mathematics, Vol. 453, pp 240–251, 1975.
- J.-Y. Girard. Interprétation fonctionnelle et élimination des coupures de l'arithmétique d'ordre supérieur, 1972.
- J.-L. Krivine. Lambda-Calculus, Types and Models, 1993.



Syntactic Results

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Proofs of Strong Normalization

The λ -calculus

$$M, N$$
 ::= $x \mid \lambda x.M \mid MN$
 $(\lambda x.M)N \rightarrow_{\beta} M[N/x]$

with simple types

 $A, B ::= \alpha \mid A \rightarrow B$

and inference rules

$$\frac{\Gamma, x : A \vdash x : A}{\Gamma, x : A \vdash X : A} (var) \qquad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x \cdot M : A \rightarrow B} (\rightarrow_{I})$$
$$\frac{\Gamma \vdash M : A \rightarrow B}{\Gamma \vdash MN : B} (\rightarrow_{E})$$

is strongly normalizing.

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Simple induction does not work

Setting

• $SN = \{M \in \Lambda \mid M \text{ is strongly normalizing}\},$

we want to prove

 $\Gamma \vdash M : A \implies M \in SN$

The problem is in the application case:

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B} \quad (\rightarrow_{\scriptscriptstyle E})$$

Indeed $M, N \in SN \Rightarrow MN \in SN$.

Solution: Associate with each type *A* a set $\llbracket A \rrbracket \subseteq$ SN of λ -terms of that type, and show that *M* : *A* implies $M \in \llbracket A \rrbracket$. Important, define:

 $\llbracket A \to B \rrbracket = \llbracket A \rrbracket \Rightarrow \llbracket B \rrbracket = \{ M \in \mathsf{SN} \mid \forall N \in \llbracket A \rrbracket, MN \in \llbracket B \rrbracket \}$

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Still, induction does not go through

The problem is now in the abstraction case:

 $\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x . M : A \rightarrow B} (\rightarrow_{I})$

Knowing $M \in \llbracket B \rrbracket$ is not enough to conclude $\lambda x.M \in \llbracket A \rightarrow B \rrbracket$.

It is sufficient to ensure that for *Q* strongly normalizing:

 $M[Q/x] \in \llbracket B \rrbracket \implies (\lambda x.M)Q \in \llbracket B \rrbracket$

This leads to the notion of saturated set.

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Saturated sets

Saturated sets

- $\mathcal{N} \subseteq$ SN is saturated if ($\forall x \in \text{Var}, Q, \vec{N} \in \text{SN}$):

 - $x\vec{N} \in \mathcal{N},$ $M[Q/x]\vec{N} \in \mathcal{N} \implies (\lambda x.M)Q\vec{N} \in \mathcal{N}$
- SAT = { $\mathcal{N} \subseteq \Lambda \mid \mathcal{N}$ is saturated}

Examples:

- $SN \in SAT$
- $\{M \in \Lambda \mid M \to_{\beta}^{*} x \vec{N}\} \in SAT$,

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- SAT = { $\mathcal{N} \subseteq \Lambda \mid \mathcal{N}$ is saturated}

Properties:

• $SN \in SAT$,

•
$$\mathcal{N} \in SAT \implies Var \subseteq \mathcal{N}$$

- $\mathcal{N}_1, \mathcal{N}_2 \in \text{SAT} \implies \mathcal{N}_1 \Rightarrow \mathcal{N}_2 \in \text{SAT},$
- For all types A, we have $\llbracket A \rrbracket \in SAT$.

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The proof

Define:

$$\llbracket \alpha \rrbracket = \mathsf{SN} \qquad \llbracket \mathsf{A} \to \mathsf{B} \rrbracket = \llbracket \mathsf{A} \rrbracket \Rightarrow \llbracket \mathsf{B} \rrbracket$$

Theorem (Adequacy for Λ^{ST})

lf

 $x_1: A_1, \ldots, x_n: A_n \vdash M: B$

then $\forall N_1 \in \llbracket A_1 \rrbracket, \ldots, N_n \in \llbracket A_n \rrbracket$

 $M[N_1/x_1,\ldots,N_n/x_n] \in \llbracket B \rrbracket$

In particular, $M = M[x_1/x_1, \dots, x_n/x_n] \in \llbracket B \rrbracket \subseteq SN$

Corollary

The simply typed λ -calculus enjoys SN.

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System F - Second Order λ-Calculus

Types2: $A, B ::= \cdots | \forall \alpha. A$

Inference Rules:

$$\frac{\Gamma \vdash M : A \quad \alpha \notin \Gamma}{\Gamma \vdash M : \forall \alpha. A} \quad (\forall_I) \qquad \frac{\Gamma \vdash M : \forall \alpha. A}{\Gamma \vdash M : A[B/\alpha]} \quad (\forall_E)$$

What can we do more?

For instance, now we can type $\Delta = \lambda x.xx$:

$$\frac{\mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}:\beta \to \alpha \quad \mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}:\beta}{\frac{\mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}\mathbf{x}:\alpha}{\mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}\mathbf{x}:\forall \alpha.\alpha}}$$
$$\frac{\frac{\mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}\mathbf{x}:\alpha}{\mathbf{x}:\forall \alpha.\alpha \vdash \mathbf{x}\mathbf{x}:\forall \alpha.\alpha}}{\vdash \lambda \mathbf{x}.\mathbf{x}\mathbf{x}:(\forall \alpha.\alpha) \to (\forall \alpha.\alpha)}$$

Pretty scary, because $\Delta \Delta = \Omega$ (the paradigmatic unsolvable).

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System F — Reducibility Candidates

Given a collection $\{N_i\}_{i \in \mathcal{I}}$ of saturated sets, we have:

$$\bigcap_{i\in\mathcal{I}}\mathcal{N}_i\in SAT$$

Definition

A SAT-valuation is any function ρ :Type Variables \rightarrow SAT.

• $\llbracket \alpha \rrbracket_{\rho} = \rho(\alpha),$

•
$$\llbracket A \to B \rrbracket_{\rho} = \llbracket A \rrbracket_{\rho} \Rightarrow \llbracket B \rrbracket_{\rho},$$

•
$$\llbracket \forall \alpha. A \rrbracket_{\rho} = \bigcap_{\mathcal{N} \in \text{SAT}} \llbracket A \rrbracket_{\rho[\mathcal{N}/\alpha]}$$

For every $A \in Types2$ and SAT-valuation ρ , we have $\llbracket A \rrbracket_{\rho} \in SAT$.

Theorem (Adequacy)

If
$$x_1 : A_1, ..., x_n : A_n \vdash M : B$$
 then $\forall N_1 \in [\![A_1]\!]_o, ..., N_n \in [\![A_n]\!]_o$ then

$$M[N_1/x_1,\ldots,N_n/x_n] \in \llbracket B \rrbracket_{\rho}$$

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For every $A \in Types2$ and SAT-valuation ρ , we have $\llbracket A \rrbracket_{\rho} \in SAT$.

Corollary

System F is strongly normalizing.

Corollary

Consistency of 2nd-order Peano arithmetic.

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Advantage — A versatile approach

Saturated sets can be used to show, e.g.:

- Simply Typed λ -calculus: SN,
- System F (2nd order): SN,
- System F3 (3rd order): SN,
- System Fn (order n): SN,
- System $F\omega$ (limit): SN,
- Intersection types (without ω): SN,
- Intersection types (with ω): Head Normalization,
- etc.

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Disadvantage — An impredicative approach

nice, nice, BUT...

What does actually decrease?

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Some open problems

"Are there combinatorial proofs of such results?"

Gödel's koan (TLCA list, Problem 26)

Is there a 'natural' assignment $\# : \Lambda^{ST} \to \text{Ordinals}$, satisfying

 $M \rightarrow_{\beta} N \implies \#M > \#N$?

Lévy's koan

Is there a (less natural?) assignment for System F?

About Fω

Is every λ -term typable in F ω , already typeable in F3?

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Semantic Results

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Denotational Semantics

The first model of λ -calculus — Scott's \mathcal{D}_{∞} (filter model). Intersection types:

$$\boldsymbol{A}, \boldsymbol{B} ::= \boldsymbol{0} \mid \boldsymbol{\omega} \mid \boldsymbol{A} \rightarrow \boldsymbol{B} \mid \boldsymbol{A} \land \boldsymbol{B}$$

Inference Rules:

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash M : B}{\Gamma \vdash M : A \land B} (\land) \qquad \frac{\Gamma \vdash M : A \land A \leq B}{\Gamma \vdash M : B} (\land)$$

where \leq is a subtyping relation satisfying moreover:

 $\omega \rightarrow \mathbf{0} \leq \mathbf{0} \qquad \mathbf{0} \leq \omega \rightarrow \mathbf{0}$

The interpretation of a λ -term M

$$\llbracket M \rrbracket = \{ A \mid \exists \Gamma . \Gamma \vdash M : A \}$$

is a filter w.r.t. \leq .

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Syntactic Approximants

• The set \mathcal{A} of finite approximants is generated by:

$$P, Q ::= \lambda x_1 \dots x_n \cdot y P_1 \cdots P_k \mid \bot$$

where $\perp \sqsubseteq M$ for all λ -terms M.

• Given a λ -term *M*, define the set of its approximants as:

$$\mathcal{A}(M) = \{ P \mid M \rightarrow^*_{\beta} N \land P \sqsubseteq N \}$$

• The Böhm tree of *M* is given by taking:

$$BT(M) = \bigvee_{P \in \mathcal{A}(M)} P$$

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Approximation Theorem

Theorem (Approximation Theorem)

$$\Gamma \vdash M : A \iff \exists P \in \mathcal{A}(M) . \Gamma \vdash P : A$$

Also here the problem is in the application:

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

 $P \in \mathcal{A}(M)$ and $Q \in \mathcal{A}(N) \Rightarrow PQ \in \mathcal{A}(PQ)$.

Corollary 1 If $\llbracket M \rrbracket \neq \{\omega\}$ then M solvable. 2 If $\mathcal{D}_{\infty} \models M = N$ then BT(M) = BT(N). 3 If $\mathcal{D}_{\infty} \models M = N$ then M, N are observationally indistinguishable.

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Approximation Theorem via Realizability

Realizability interpretation

Lemma

 $\llbracket A \rrbracket_{\Gamma} \subseteq \mathcal{A}_{\Gamma;A}.$

Proposition

$$x_1: A_1, \ldots, x_n: A_n \vdash M: B \implies \forall N_i \in \llbracket A_i \rrbracket_{\Gamma_i}, M[\vec{N}/\vec{x}] \in \llbracket B \rrbracket_{\Gamma_1 \land \cdots \land \Gamma_n}$$

Corollary

The Approximation Theorem.

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How to get rid of Realizability?

Nota Bene. The purpose is to get rid of impredicativity.

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An Answer: Using Linear Logic

Scott's model à la sauce de Ehrhard.

Tensor Types:

$$egin{array}{rcl} m{A},m{B} & ::= & \star \mid \mu \multimap m{A} \ \mu,
u & ::= & \mathsf{1} \mid \mu \otimes m{A} \end{array}$$

The tensor product is associative, commutative, has 1 as neutral element $A \otimes 1 = A$, it is **not** idempotent $A \otimes A \neq A$. Context $\Gamma = x_1 : \mu_1, \dots, x_n : \mu_n$.

$$\frac{1}{x:A \vdash x:A} (ax) \quad \frac{\Gamma, x:\mu \vdash M:\mu \multimap B}{\Gamma \vdash M:\mu \multimap B} (\multimap_{I}) \quad \frac{\Gamma \vdash M:A \land A \simeq B}{\Gamma \vdash M:B} (eq)$$
$$\frac{\Gamma \vdash M: (A_{1} \otimes \cdots \otimes A_{n}) \multimap B \land \Delta_{i} \vdash N:A_{i}}{\Gamma \otimes (\otimes_{i}\Delta_{i}) \vdash MN:B} (\multimap_{E})$$

where \simeq is generated by $1 \rightarrow \star \simeq \star$. Types are otherwise unordered! Interpretation of a λ -term: $\llbracket M \rrbracket = \{ (\Gamma, A) \mid \Gamma \vdash M : A \}$

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Resource Sensitiveness

A program $M : (A_1 \otimes A_2 \otimes A_3) \to B$ is able to produce a result of type B by consuming 3 resources of type A_1, A_2, A_3 during its evaluation.

Example. For all A, B, we have a proof $\pi :=$

$$\frac{x: A \multimap B \quad x: A}{x: (A \multimap B) \otimes A \vdash xx: B}$$
$$\vdash \lambda x.xx: ((A \multimap B) \otimes A) \multimap B$$

For $M : A \otimes (A \multimap B)$ we have $(\lambda x.xx)M : B$ and $(\lambda x.xx)M \rightarrow_{\beta} MM$.

$$\frac{\overbrace{\vdash \lambda x.xx:((A^{A} \multimap A^{A}) \otimes A^{A}) \multimap A^{A}}{\vdash (\lambda x.xx) |: A \multimap A} \vdash |: A^{A} \multimap A^{A} \vdash |: A^{A}}$$

The contractum has a simpler proof:

$$\frac{\vdash \mathsf{I}: A^{\mathsf{A}} \multimap A^{\mathsf{A}} \vdash \mathsf{I}: A^{\mathsf{A}}}{\vdash \mathsf{II}: A \multimap A}$$

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Qualitative Properties

Assume

$M \rightarrow_{\beta} N$

The tensor type systems satisfy the standard properties:

- **O** Subject Reduction. If $\Gamma \vdash M : A$ then $\Gamma \vdash N : A$.
- **2** Subject Expansion. If $\Gamma \vdash N : A$ then $\Gamma \vdash M : A$.

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Quantitative Properties

Consider

$$(\lambda x.M)N \rightarrow_{\beta} M[N/x]$$

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but also more refined properties:

If π is a proof of

$$\Gamma \vdash (\lambda x.M)N : A$$

the there exists a proof π' of

$$\Gamma \vdash M[N/x] : A$$

such that $|\pi| < |\pi'|$, where $|\pi| := \#$ rules (\multimap_E) in π .

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Quantitative Results

This property is preserved under "head" context (oh, joy!):

$$\lambda \vec{x}. (\lambda y. P) Q \vec{N} \rightarrow_h \lambda \vec{x}. P[y/Q] \vec{N}$$

• \forall proof π of $\Gamma \vdash \lambda \vec{x}.(\lambda y.P)Q\vec{N}: A$ **2** \exists proof π' of $\Gamma \vdash \lambda \vec{x} \cdot P[Q/y] \vec{N} : A$

Corollary - The model is sensible

 $\llbracket M \rrbracket \neq \emptyset \iff M$ has a head normal form

Proof. (\Leftarrow) Easy. (\Rightarrow) Assume $\Gamma \vdash M : A$, then:

Impossible to have an infinite chain, the head reduction must terminate. < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

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Classic Realizability (and how to get rid of it)

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Unfortunately...

This does not work in general:

$$\lambda \mathbf{x}.\mathbf{x}\Omega \rightarrow_{\beta} \lambda \mathbf{x}.\mathbf{x}\Omega$$

and this term is typeable:

$$\frac{x:\omega\multimap A\vdash x:\omega\multimap A}{x:\omega\multimap A\vdash x\Omega:A}$$
$$\vdash \lambda x.x\Omega:(\omega\multimap A)\multimap A$$

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Deep in the Desperation Pit...

The counterexample generalizes:

$$\lambda x. x(c_{100} \mathsf{I}) \rightarrow_{\beta} \lambda x. x(\mathsf{I} \cdots \mathsf{I}) 100 \text{ times}$$

these terms are typeable in the same way:

$$\frac{x: \omega \multimap A \vdash x: \omega \multimap A}{x: \omega \multimap A \vdash x(c_{100}\mathsf{I}): A}$$
$$\vdash \lambda x. x(c_{100}\mathsf{I}): (\omega \multimap A) \multimap A$$

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Deep in the Desperation Pit...

The counterexample generalizes:

 $\lambda x.x(c_{100}I) \rightarrow_{\beta} \lambda x.x(I\cdots I)$ 100 times

these terms are typeable in the same way:

$$\frac{x:\omega \multimap A \vdash x:\omega \multimap A}{x:\omega \multimap A \vdash x(I \cdots I):A}$$
$$\vdash \lambda x.x(I \cdots I):(\omega \multimap A) \multimap A$$

and we cannot do better.

Nothing has decreased

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I only want to construct an approximant of that type!



Given a type derivation for *M*:

$$\Gamma \vdash \lambda x. x \underline{\Omega} : (\omega \multimap A) \multimap A$$

we can substitue the contracted redex with \perp , and obtain a term M'

$$\frac{x:\omega \multimap A \vdash x:\omega \multimap A}{x:\omega \multimap A \vdash x\Omega:A}$$
$$\vdash \lambda x.x \bot : (\omega \multimap A) \multimap A$$

typeable with π' , morally the "same" derivation π .

We get:

- $|\pi| = |\pi'|$,
- $\# \beta$ -redexes in $M < \# \beta$ -redexes in M'

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Approximation Theorem — Quantitative Proof

Approximation Theorem

$$\Gamma \vdash M : A \iff \exists P \in \mathcal{A}(M) . \Gamma \vdash P : \bot$$

Proof.

(\Leftarrow) Easy. (\Rightarrow) Start from a derivation π of

 $\Gamma \vdash M : A$

Proceed by induction on the pair ($|\pi|, \#\beta$ -redexes). At every step $M = C[(\lambda x.N)Q] \rightarrow_{\beta} C[N[Q/x]]$, we get:

- Either \exists a derivation of C[N[Q/x]] having smaller "weight",
- or ∃ a derivation of *M* having the same "weight", that also works for *M*′ = *C*[⊥] (having less redexes than *M*).

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This cannot go on forever! At the end, we get the approximant P.
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Where does the magic come from?

Well,



A magician never reveals his tricks (Magician's code)

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Where does the magic come from?

Well,



A magician never reveals his tricks (Magician's code)

From the hat of Differential Linear Logic!

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Qualitative methods

Continuous semantics

- $\llbracket M \rrbracket = \text{cont. function } A \to B$
- *[[M]*] = ∨_{A∈App(M)} *[[A]* Appr. Thm.

Böhm's approximants

 $\lambda \vec{x}. y A_1 \cdots A_n$ (1)

(finite tree)

Böhm trees

$$\operatorname{BT}(M) = \bigcup_{P \in \mathcal{A}(M)} P$$

Quantitative methods

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Qualitative methods

Continuous semantics

- $\llbracket M \rrbracket = \text{cont. function } A \to B$
- *[[M]*] = ∨_{A∈App(M)} *[[A]*] Appr. Thm.

Böhm's	approximants	

 $\lambda \vec{x}. y A_1 \cdots A_n$ (*

(finite tree)

Böhm trees

$$\mathrm{BT}(M) = \bigcup_{P \in \mathcal{A}(M)} P$$

Quantitative methods

Relational Semantics

•
$$\llbracket M \rrbracket$$
 = relation $\subseteq \mathcal{M}_f(A) \times B$

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Back to Syntax

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Resource approximants:

$$t ::= x | \lambda x.t | t b$$

$$b ::= [t_1, \dots, t_n] \quad \text{where } n \ge 0$$

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Resource approximants:

Terms

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Resource approximants:

$$t$$
 ::= $x \mid \lambda x.t \mid t b$
 b ::= $[t_1, \dots, t_n]$ where $n \ge 0$

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Resource approximants:

$$t ::= x | \lambda x.t | t b$$

$$b ::= [t_1, \dots, t_n] \quad \text{where } n \ge 0$$

 $(\lambda xy.t)[\mathbf{S}_{11},\mathbf{S}_{12},\mathbf{S}_{13}][\mathbf{S}_{21}]$



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Resource approximants:

$$t ::= x | \lambda x.t | t b$$

$$b ::= [t_1, \dots, t_n] \quad \text{where } n \ge 0$$



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Resource approximants:

$$t ::= x | \lambda x.t | t b$$

$$b ::= [t_1, \dots, t_n] \quad \text{where } n \ge 0$$

Reduction:

If the number of occurrences of x in t equals k

$$(\lambda x.t)[s_1,\ldots,s_k] \rightarrow_{\beta} \sum_{p \in \mathfrak{S}_k} t\left\{s_{p(1)}/x_1,\ldots,s_{p(k)}/x_k\right\}$$

Otherwise:

$$(\lambda x.t)[s_1,\ldots,s_k] \quad \rightarrow_{\beta} \emptyset$$

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Resource approximants:

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Otherwise:

$$(\lambda x.t)[s_1,\ldots,s_k] \quad \rightarrow_{\beta} \emptyset$$

Linear & Confluent & Strongly Normalizable

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Taylor Expansion

 $\mathcal{T}: \lambda$ -terms \rightarrow (infinite) series of resource approximants

$$MN \mapsto \sum_{k=0}^{\infty} \frac{1}{k!} M[\underbrace{N, \ldots, N}_{k \text{ times}}]$$

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Taylor Expansion

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$$\mathcal{T} : \lambda \text{-terms} \rightarrow (\text{infinite}) \text{ series of resource approximants}$$

$$MN \mapsto \sum_{k=0}^{\infty} \frac{1}{k!} M[\underbrace{N, \dots, N}_{k \text{ times}}]$$
Definition (Taylor expansion)
$$\mathcal{T}(x) = x \qquad \mathcal{T}(\lambda x.M) = \sum_{t \in \mathcal{T}(M)} \lambda x.t$$

$$\mathcal{T}(MN) = \sum_{k \in \mathbb{N}, t \in \mathcal{T}(M), s_1, \dots, s_k \in \mathcal{T}(N)} t[s_1, \dots, s_k]$$

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Taylor Expansion

$$\mathcal{T} : \lambda \text{-terms} \rightarrow (\text{infinite}) \text{ series of resource approximants}$$

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$$\mathcal{T}(MN) = \sum_{k \in \mathbb{N}, t \in \mathcal{T}(M), s_1, \dots, s_k \in \mathcal{T}(N)} t[s_1, \dots, s_k]$$

Notice that $t \in \mathcal{T}(M)$ probably goes to \emptyset .

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Dynamics of Taylor Expansion

Thanks to SN,

$$\mathsf{NF}_{\beta}\mathcal{T}(M) = \bigcup \{ nf(t) \mid t \in \mathcal{T}(M) \}$$

always exists (it can be empty).

Thanks to the Commutation Theorem we have

 $BT(M) = BT(N) \iff NF_{\beta}(\mathcal{T}(M)) = NF_{\beta}(\mathcal{T}(N))$

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A proof of context closure via Taylor Expansion

So we can (re)prove properties of Böhm trees:

 $BT(M) = BT(N) \implies \forall C[] \cdot BT(C[M]) = BT(C[N])$

by structural induction on C[].

Proof. Assume $NF_{\beta}(\mathcal{T}(M)) = NF_{\beta}(\mathcal{T}(N))$. Consider $C[] = C_1[]C_2[]$. Take $t \in NF_{\beta}\mathcal{T}(C_1[M]C_2[M])$. Then $\exists t' \in \mathcal{T}(C_1[M]C_2[M])$ such that

 $t' = c_1[b_1](c_2[b_2]) \twoheadrightarrow t + T$

with $c_1[] \in \mathcal{T}(C_1[]), c_2[] \in \mathcal{T}(C_2[])$ and $b_i \in \mathcal{M}_f(\mathcal{T}(M))$. By confluence

 $t' \twoheadrightarrow c_1[nf(b_1)](c_2[nf(b_2)]) \twoheadrightarrow t + T \neq \emptyset$

Therefore $\exists b'_1, b'_2 \in \mathcal{T}(N)$ s.t. $c_1[b'_1](c_2[b'_2])$ generates t.

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A proof of context closure via Taylor Expansion

So we can (re)prove properties of Böhm trees:

 $BT(M) = BT(N) \implies \forall C[] \cdot BT(C[M]) = BT(C[N])$

by structural induction on C[].

Proof. Assume $NF_{\beta}(\mathcal{T}(M)) = NF_{\beta}(\mathcal{T}(N))$. Consider $C[] = C_1[]C_2[]$. Take $t \in NF_{\beta}\mathcal{T}(C_1[M]C_2[M])$. Then $\exists t' \in \mathcal{T}(C_1[M]C_2[M])$ such that

 $t' = c_1[b_1](c_2[b_2]) \twoheadrightarrow t + T$

with $c_1[] \in \mathcal{T}(C_1[]), c_2[] \in \mathcal{T}(C_2[])$ and $b_i \in \mathcal{M}_f(\mathcal{T}(M))$. By confluence

 $t' \twoheadrightarrow c_1[nf(b_1)](c_2[nf(b_2)]) \twoheadrightarrow t + T \neq \emptyset$

Therefore $\exists b'_1, b'_2 \in \mathcal{T}(N)$ s.t. $c_1[b'_1](c_2[b'_2])$ generates t. \Box

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Old Results with Simpler Proofs



Together with Davide Barbarossa, we had some fun:

Genericity Lemma

If $C[\Omega]$ has a β -normal form then $\forall N \in \Lambda$. $C[N] =_{\beta} C[\Omega]$.

Scott's continuity

For all $P \in \mathcal{A}(C[M])$, there exists $Q \in \mathcal{A}(M)$ such that $P \leq BT(C[Q])$.

Berry's stability

Let $C[-_1, \ldots, -_n]$. For all $i \in \mathcal{I}$, take $\emptyset \neq \mathcal{X}_i \subseteq \Lambda$ and $M_i \in \Lambda$. Assume, for all $i \in \mathcal{I}$, that $\mathcal{X}_i \uparrow$ and $\mathcal{A}(M_i) = \mathcal{X}_i$ then

$$\mathcal{A}(C[M_1,\ldots,M_n]) = \inf\{C[N_1,\ldots,N_n] \mid \forall i \in \mathcal{I} : N_i \in \mathcal{X}_i\}$$

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Classic Realizability (and how to get rid of it)

The Perpendicular Lines Lemma

Let $n \ge 0$, $\mathcal{I} = \{1, \ldots, n\}$, $C[-_1, \ldots, -_n]$ be a *n*-context, $(M_{ij})_{(i,j)\in \mathcal{I}\times \mathcal{I}}$ and $(N_i)_{i\in \mathcal{I}}$ be sequences of λ -terms. Assume that

$$\forall Z \in \Lambda \begin{cases} C[Z, M_{12}, \dots, M_{1n}] = N_1 \\ C[M_{21}, Z, \dots, M_{2n}] = N_2 \\ \vdots \\ C[M_{n1}, \dots, M_{n(n-1)}, Z] = N_n \end{cases}$$

then $\forall Z_1, \ldots, Z_n \in \Lambda$, $C[Z_1, \ldots, Z_n] = N_1 = \cdots = N_n$.

Proof. Claim + confluence + strong normalization.

Claim. For all $c \in \mathcal{T}(C[\xi_1, \ldots, \xi_n])$, if $c \not\twoheadrightarrow_r 0$ then c cannot contain any hole.

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Taylor Expansion, and how to get rid of other proof-techniques

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