

# Revisiting the duality of computation

*An algebraic analysis of classical realizability models*

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# Classical Curry-Howard

$$\text{Classical logic} = \text{Intuitionistic logic} + A \vee \neg A$$

**1990:** Griffin discovered that call/cc can be typed by Peirce's law  
(well-known fact: Peirce's law  $\Rightarrow A \vee \neg A$ )

## **Classical Curry-Howard:**

$\lambda$ -calculus + call/cc

With side effects come new reasoning principles:

- quote instruction ~ dependent choice
  - monotonic memory ~ Cohen's forcing
  - ...

## The duality of computation

### **Curien-Herbelin (2000):**

## ABSTRACT

We present the  $\bar{\lambda}\mu\tilde{\mu}$ -calculus, a syntax for  $\lambda$ -calculus + control operators exhibiting symmetries such as program/context and call-by-name/call-by-value. This calculus is derived from implicational Gentzen's sequent calculus  $LK$ , a key classical logical system in proof theory. Under the Curry-Howard correspondence between proofs and programs, we can see  $LK$ , or more precisely a formulation called  $LK_{\mu\tilde{\mu}}$ , as a syntax-directed system of simple types for  $\bar{\lambda}\mu\tilde{\mu}$ -calculus.

## Krivine realizability as a tool

### Many applications:

- Specification problem

$t$  realizes  $\forall X. X \rightarrow X$  iff  $t \star u \cdot \pi \geq u \star \pi$

- Normalization proofs

If  $\vdash t : A$  then  $t$  normalizes.

- Soundness proofs

*My calculus doesn't allow any proof term of  $\perp$ .*

- Witness extraction

If  $\vdash t : \exists x^{\mathbb{N}}. f(x) = 0$  then we can use  $t$  to compute  $n$  s.t.  $f(n) = 0$ .

Krivine realizability as a model

## Krivine realizability:

Tarski

$$A \mapsto \{t : t \Vdash A\}$$

$$A \mapsto |A| \in \mathbb{B}$$

(intuition: programs that share a common computational behavior given by A)

(intuition: level of truthness)

## Great news

Classical realizability semantics gives surprisingly new models!

(in particular, provides us with a direct construction of  $M \models ZF_e + \neg CH + \neg AC$ )

# This talk

## Question #1

What is the algebraic structure of Krivine realizability models?

## Question #2

Are call-by-name and call-by-value inducing the same models?

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# Outline

## ① Krivine classical realizability

*Realizability models, AKS,  $\mathcal{K}OCA$*

## ② Implicative algebras

*Implicative struct.,  $\lambda_c$ -calculus, models & completeness properties*

(Bonus: 

## ③ Disjunctive algebras

*Disjunctive struct.,  $L^\otimes$  & connection with implicative struct.*

## ④ Conjunctive algebras

*Conjunctive struct.,  $L^\otimes$  & connection with disjunctive struct.*

# Krivine classical realizability

*(from an algebraic perspective)*

# Krivine realizability, a 3-steps recipe

- ① an operational semantics
- ② a logical language
- ③ formulas interpretation

# Krivine realizability, a 3-steps recipe

- ① an operational semantics (*a.k.a. the abstract Krivine machine*)

PUSH :	$(t)u \star \pi$	$\succ_1$	$t \star u \cdot \pi$
GRAB :	$\lambda x. t \star u \cdot \pi$	$\succ_1$	$t\{x := u\} \star \pi$
SAVE :	$cc \star t \cdot \pi$	$\succ_1$	$t \star k_\pi \cdot \pi$
RESTORE :	$k_\pi \star t \cdot \rho$	$\succ_1$	$t \star \pi$

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- ② a logical language (*a.k.a. a type system*)

**1<sup>st</sup>-order terms**       $e ::= x \mid f(e_1, \dots, e_k)$

**Formulas**       $A, B ::= X(e_1, \dots, e_k) \mid A \Rightarrow B \mid \forall x.A \mid \forall X.A$

- ③ formulas interpretation

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- ② a logical language (*a.k.a. a type system*)
- ③ formulas interpretation

- pole  $\perp\!\!\!\perp$ : processes, referee
- falsity value  $\|A\|$ : stacks, opponent to  $A$
- truth value  $|A|$  : terms, player of  $A$

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- pole 廿:

$t \star \pi > p_0 > \dots > p_n \in \perp\!\!\perp$ ?

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- pole  $\perp\!\!\!\perp$ :  $\perp\!\!\!\perp \subset \Lambda \star \Pi$  closed by anti-reduction
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- falsity value  $\|A\|$ : by structural induction
  - $\|A \Rightarrow B\| = \{t \cdot \pi : t \in |A| \wedge \pi \in \|B\|\}$
  - $\|\forall x.A\| = \bigcup_{n \in \mathbb{N}} \|A\{x := n\}\|$
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  - **truth** value  $|A|$ :  $|A| = \|A\|^{\perp\!\!\!\perp} = \{t \in \Lambda : \forall \pi \in \|A\|, t \star \pi \in \perp\!\!\!\perp\}$

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## Adequacy

Typed terms are realizers.

# Realizability models

Given the previous ingredients:

- ① a calculus
- ② its type system
- ③ an adequate interpretation of formula

one defines a model  $\mathcal{M}_{\perp\!\!\perp}$  by:

Realizability model

$$\mathcal{M}_{\perp\!\!\perp} \models A \quad \text{iff} \quad |A| \cap \mathbf{PL} \neq \emptyset$$

(where  $\mathbf{PL}$  is the set of *proof-like* terms)

In other words:

$A$  is satisfied  $\triangleq$  “*there exists a proof-like realizer of  $A$* ”

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# Streicher's Abstract Krivine Structures

Krivine's classical realizability from (...)  
Thomas Streicher [2013]

## Abstract Krivine Structures

An AKS is given by  $(\Lambda, \Pi, \text{app}, \text{push}, k_-, k, s, cc, PL, \perp\!\!\!\perp)$  where:

- ➊  $\Lambda$  and  $\Pi$  are non-empty sets *(terms and stacks)*
- ➋  $\text{app} : t, u \mapsto tu$  is from  $\Lambda \times \Lambda$  to  $\Lambda$  *(application)*
- ➌  $\text{push} : t, \pi \mapsto t \cdot \pi$  is from  $\Lambda \times \Pi$  to  $\Pi$  *(push)*
- ➍  $k_- : \pi \mapsto k_\pi$  is from  $\Pi$  to  $\Lambda$  *(continuation)*
- ➎  $k, s$  and  $cc$  are distinguished terms of  $\Lambda$ ;
- ➏  $\perp\!\!\!\perp \subseteq \Lambda \times \Pi$  is a relation s.t.: *(pole)*

$$\begin{aligned} t \star u \cdot \pi \in \perp\!\!\!\perp &\Rightarrow tu \star \pi \in \perp\!\!\!\perp \\ t \star \pi \in \perp\!\!\!\perp &\Rightarrow k \star t \cdot u \cdot \pi \in \perp\!\!\!\perp \\ tv(uv) \star \pi \in \perp\!\!\!\perp &\Rightarrow s \star t \cdot u \cdot v \cdot \pi \in \perp\!\!\!\perp \end{aligned}$$

$$\begin{aligned} t \star k_\pi \cdot \pi \in \perp\!\!\!\perp &\Rightarrow cc \star t \cdot \pi \in \perp\!\!\!\perp \\ t \star \pi \in \perp\!\!\!\perp &\Rightarrow k_\pi \star t \cdot \pi' \in \perp\!\!\!\perp \end{aligned}$$

- ➏  $PL \subseteq \Lambda$  contains  $k, s, cc$  is closed under app *(proof-like)*

Streicher's Abstract Krivine Structures

## Krivine's classical realisability from (...) *Thomas Streicher [2013]*

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- ①  $\Lambda$  and  $\Pi$  are non-empty sets (terms and stacks)
  - ⋮
  - ⑥  $\Downarrow \subseteq \Lambda \times \Pi$  is a relation s.t.: (pole)

$$t \star u \cdot \pi \in \mathbb{U} \Rightarrow tu \star \pi \in \mathbb{U}$$

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### Definitions:

- *False value*: subset  $X \subseteq \Pi$
  - *Orthogonality*:  $X^{\perp\perp} \triangleq \{t \in \Lambda : \forall \pi \in X, t \star \pi \in \perp\}$

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### **Definitions:**

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→ you know the rest!

# Ordered combinatory algebras

Ordered combinatory algebras and realizability  
Ferrer et al. [2017]

The Uruguayan approach (similar to PCA for Kleene realizability)

An OCA is given by  $(\mathcal{A}, \leq, \text{app}, \mathbf{k}, \mathbf{s})$  where:

- $(\mathcal{A}, \leq)$  is a poset
- $\mathbf{k}ab \leq a$
- $\text{app} : (a, b) \mapsto ab$  is monotonic
- $\mathbf{s}abc \leq ac(bc)$

If  $\mathcal{A}$  is an OCA, a *filter* over  $\mathcal{A}$  is a subset  $\Phi \subseteq \mathcal{A}$  s.t.:

- $\mathbf{k} \in \Phi$  and  $\mathbf{s} \in \Phi$
- $\Phi$  is closed under application

## Krivine Ordered Combinatory Algebra

A  $\kappa$ OCA is given by  $(\mathcal{A}, \leq, \text{app}, \text{imp}, \mathbf{k}, \mathbf{s}, \mathbf{e}, \mathbf{c}, \Phi)$  where:

- $(\mathcal{A}, \leq, \Phi)$  is a filtered OCA
- $\text{imp} : (a, b) \mapsto a \rightarrow b$  is monotonic from  $\mathcal{A}^{\text{op}} \times \mathcal{A} \rightarrow \mathcal{A}$
- $\mathbf{c} \leq ((a \rightarrow b) \rightarrow a) \rightarrow a$
- $a \leq b \rightarrow c \Rightarrow ab \leq c$  and  $ab \leq c \Rightarrow ea \leq b \rightarrow c$
- $e, c \in \Phi$

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- $\mathbf{e}, \mathbf{c} \in \Phi$

# Connecting the dots

## From AKS to $\kappa$ OCA

If  $(\Lambda, \Pi, \text{app}, \text{push}, k_-, \mathbf{k}, \mathbf{s}, \mathbf{cc}, \mathbf{PL}, \perp\!\!\!\perp)$  is an AKS, then  $(\mathcal{P}_{\perp\!\!\!\perp}(\Pi), \leq, \text{app}', \text{imp}', \{\mathbf{k}\}^{\perp\!\!\!\perp}, \{\mathbf{s}\}^{\perp\!\!\!\perp}, \{\mathbf{cc}\}^{\perp\!\!\!\perp}, \{\mathbf{e}\}^{\perp\!\!\!\perp}, \Phi)$  is a  $\kappa$ OCA, with:

- $X \leq Y \triangleq X \supseteq Y;$
- $X \rightarrow Y \triangleq \{t \cdot \pi \in \Pi : t \in X^{\perp\!\!\!\perp} \wedge \pi \in Y\}^{\perp\!\!\!\perp\perp\!\!\!\perp};$
- $\Phi \triangleq \{X \in \mathcal{P}_{\perp\!\!\!\perp} : \exists t \in \mathbf{PL}. t \perp\!\!\!\perp X\}$

## From $\kappa$ OCA to AKS

If  $(\mathcal{A}, \leq, \text{app}_{\mathcal{A}}, \text{imp}_{\mathcal{A}}, \mathbf{k}, \mathbf{s}, \mathbf{c}, \mathbf{e}, \Phi)$  is a  $\kappa$ OCA, then  $(\mathcal{A}, \mathcal{A}, \text{app}, \text{push}, k_-, \kappa, s, c, \mathbf{PL}, \perp\!\!\!\perp)$  is an AKS where:

- $t \perp\!\!\!\perp \pi \triangleq t \leq \pi;$
- $\text{app}(t, u) \triangleq \text{app}_{\mathcal{A}}(t, u) = tu;$
- $\text{push}(t, \pi) \triangleq t \rightarrow \pi;$
- $k_{\pi} \triangleq \pi \rightarrow \perp;$
- $\mathbf{PL} \triangleq \Phi;$

## Connecting the dots

From AKS to  $\kappa$ OCA

If  $(\Lambda, \Pi, \text{app}, \text{push}, k_-, k, s, cc, PL, \perp)$  is an AKS, then

$(\mathcal{P}_{\perp\perp}(\Pi), \leq, \text{app}', \text{imp}', \{\mathbf{k}\}^{\perp\perp}, \{\mathbf{s}\}^{\perp\perp}, \{\mathbf{cc}\}^{\perp\perp}, \{\mathbf{e}\}^{\perp\perp}, \Phi)$  is a  $\mathcal{K}$ OCA, with:

- $X \leq Y \triangleq X \supseteq Y$ ;
  - $X \rightarrow Y \triangleq \{t \cdot \pi \in \Pi : t \in X^{\perp\perp} \wedge \pi \in Y\}^{\perp\perp}$ ;
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From  $\kappa$ OCA to AKS

If  $(\mathcal{A}, \leq, \text{app}_{\mathcal{A}}, \text{imp}_{\mathcal{A}}, k, s, c, e, \Phi)$  is a  $\kappa$ OCA,

then  $(\mathcal{A}, \mathcal{A}, \text{app}, \text{push}, k_-, k, s, c, \mathbf{PL}, \sqcup)$  is an AKS where:

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# Connecting the dots

## From AKS to $\mathcal{K}\text{OCA}$

If  $(\Lambda, \Pi, \text{app}, \text{push}, k_-, k, s, cc, \mathbf{PL}, \perp\!\!\!\perp)$  is an AKS, then

$(\mathcal{P}_{\perp\!\!\!\perp}(\Pi), \leq, \text{app}', \text{imp}', \{k\}^{\perp\!\!\!\perp}, \{s\}^{\perp\!\!\!\perp}, \{cc\}^{\perp\!\!\!\perp}, \{e\}^{\perp\!\!\!\perp}, \Phi)$  is a  $\mathcal{K}\text{OCA}$ , with:

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## From $\mathcal{K}\text{OCA}$ to AKS

If  $(\mathcal{A}, \leq, \text{app}_{\mathcal{A}}, \text{imp}_{\mathcal{A}}, k, s, c, e, \Phi)$  is a  $\mathcal{K}\text{OCA}$ ,

then  $(\mathcal{A}, \mathcal{A}, \text{app}, \text{push}, k_-, k, s, c, \mathbf{PL}, \perp\!\!\!\perp)$  is an AKS where:

- $\underline{t \perp\!\!\!\perp \pi \triangleq t \leq \pi};$       •  $k_{\pi} \triangleq \pi \rightarrow \perp;$
- $\text{app}(t, u) \triangleq \text{app}_{\mathcal{A}}(t, u) = tu;$
- $\text{push}(t, \pi) \triangleq t \rightarrow \pi;$       •  $\underline{\mathbf{PL} \triangleq \Phi};$

# Observations

- Everything lays in the order:

$$t \perp\!\!\! \perp A \triangleq t \leq A$$

(AKS to  $\kappa$ OCA)

- In particular,  $t \perp\!\!\! \perp A \rightarrow B \triangleq t \leq A \rightarrow B$  implies that  $tA \leq B$  but the converse implication requires e.
- Closure required when defining the AKS:

$$\mathcal{P}_{\perp\!\!\! \perp}(X) \triangleq \{Y \subset X : Y = Y^{\perp\!\!\! \perp\!\!\! \perp}\}$$

- From a filtered OCA, one can define a tripos

$$\mathcal{T} : \left\{ \begin{array}{ccc} \mathbf{Set}^{op} & \rightarrow & \mathbf{HA} \\ X & \mapsto & \mathcal{A}^X \end{array} \right.$$

endowed with the following *entailment* relation:

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- From a filtered OCA, one can define a tripos

$$\mathcal{T} : \left\{ \begin{array}{ccc} \mathbf{Set}^{op} & \rightarrow & \mathbf{HA} \\ X & \mapsto & \mathcal{R}^X \end{array} \right.$$

endowed with the following *entailment* relation:

## Observations

- Everything lays in the order:

$$t \perp\!\!\!\perp A \triangleq t \leq A \quad (\text{AKS to } \kappa_{\text{OCA}})$$

- In particular,  $t \perp\!\!\!\perp A \rightarrow B \triangleq t \leq A \rightarrow B$  implies that  $tA \leq B$  but the converse implication requires **e**.
  - **Closure** required when defining the AKS:

$$\mathcal{P}_{\parallel}(X) \triangleq \{Y \subset X : Y = Y^{\perp\perp}\}$$

- From a filtered OCA, one can define a tripos

$$\mathcal{T} : \left\{ \begin{array}{ccc} \mathbf{Set}^{op} & \rightarrow & \mathbf{HA} \\ X & \mapsto & \mathcal{A}^X \end{array} \right.$$

endowed with the following *entailment* relation:

$$\varphi \vdash \psi \triangleq |\varphi \rightarrow \psi| \cap \mathbf{PL} \neq \emptyset$$

# Implicative algebras

# Underlying lattice structures

## Subtyping relation:

$$\frac{\Gamma \vdash p : T \quad T <: U}{\Gamma \vdash p : U} \text{ (SUB)}$$

$$\frac{U_1 <: T_1 \quad T_2 <: U_2}{T_1 \rightarrow T_2 <: U_1 \rightarrow U_2} \text{ (S-ARR)}$$

## Classical realizability:

if  $A <: B$ , for any pole, if  $t \Vdash A$  then  $t \Vdash B$ .

In terms of truth values:

**Subtyping**

$$A \leq_{\perp\!\!\! \perp} B \triangleq \|B\| \subseteq \|A\|$$

Induces a structure of complete lattice, where  $\bigwedge = \bigcup$ , as in:

$$\|\forall x.A\|_\rho \triangleq \bigcup_{n \in \mathbb{N}} \|A\{x := n\}\| = \bigwedge \{\|A\{x := n\}\| : n \in \mathbb{N}\}$$

Realizability:

$$\forall = \bigwedge$$

$$\wedge = \times$$

$$\exists = \bigvee$$

$$\vee = +$$

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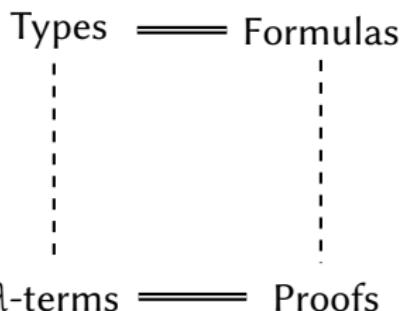
## Boolean algebras:

quantifiers and connectives both interpreted by meets and joins:

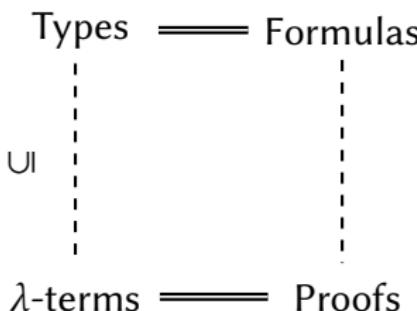
$$\|\forall x.A\| = \|A(0) \wedge A(1) \wedge \dots \wedge A(n) \wedge \dots\| = \bigwedge_{n \in \mathbb{N}} \|A(n)\|$$

**Forcing:**       $\forall = \wedge = \lambda$        $\exists = \vee = \Upsilon$

## Curry-Howard, one step further



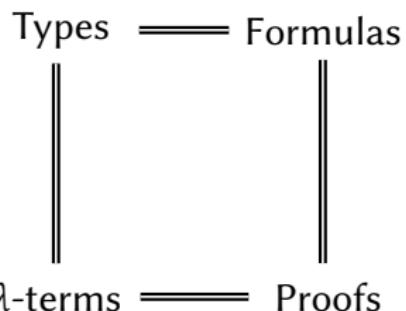
# Curry-Howard, one step further



In particular,  $a \preceq b$  reads:

- $a$  is a *subtype* of  $b$
- $a$  is a *realizer* of  $b$
- the realizer  $a$  is *more defined* than  $b$

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## Implicative Structures

Implicative algebras: a new (...)  
Alexandre Miquel [2018]

## **Definition:**

Complete meet-semilattice  $(\mathcal{A}, \preccurlyeq, \rightarrow)$  s.t.:

- if  $a_0 \preccurlyeq a$  and  $b \preccurlyeq b_0$  then  $(a \rightarrow b) \preccurlyeq (a_0 \rightarrow b_0)$  (Variance)
  - $\bigwedge_{b \in B} (a \rightarrow b) = a \rightarrow \bigwedge_{b \in B} b$  (Distributivity)

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## Examples:

- complete Heyting/Boolean algebras

If  $\mathcal{H}$  is complete,  $a \mapsto b = \bigvee \{x \in \mathcal{H} : a \wedge x \preccurlyeq b\}$ .

- Ordered Combinatory Algebras

Complete lattice  $\mathcal{P}(\mathcal{A})$  equipped with  $A \mapsto B \triangleq \{r \in \mathcal{A} : \forall a \in A. ra \in B\}$ .

- Abstract Krivine Structures

Complete lattice  $\mathcal{P}(\Pi)$ , equipped with:

$$a \preccurlyeq b \triangleq a \supseteq b \quad a \mapsto b \triangleq a^{\perp\perp} \cdot b = \{t \cdot \pi : t \in a^{\perp\perp}, \pi \in b\}$$

# Interpretation of $\lambda$ -terms

## Application:

$$a @ b \triangleq \lambda \{c \in \mathcal{A} : a \preccurlyeq b \rightarrow c\}$$

## Abstraction:

$$\lambda f \triangleq \lambda_{a \in \mathcal{A}}(a \rightarrow f(a))$$

## Properties

- ➊ If  $t \rightarrow_\beta u$ , then  $t^\mathcal{A} \preccurlyeq u^\mathcal{A}$ . ( $\beta$ -reduction)
- ➋ If  $t \rightarrow_\eta u$ , then  $u^\mathcal{A} \preccurlyeq t^\mathcal{A}$ . ( $\eta$ -expansion)
- ➌  $a @ b \preccurlyeq c \Leftrightarrow a \preccurlyeq b \mapsto c$  (Adjunction)

## Interpretation of $\lambda$ -terms

### **Application:**

$$a @ b \triangleq \lambda \{c \in \mathcal{A} : a \leqslant b \rightarrow c\}$$

## **Abstraction:**

$$\lambda f \triangleq \bigwedge_{a \in A} (a \rightarrow f(a))$$

## Properties

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  - ② If  $t \rightarrow_{\eta} u$ , then  $u^{\mathcal{A}} \preccurlyeq t^{\mathcal{A}}$ . ( $\eta$ -expansion)
  - ③  $a @ b \preccurlyeq c \iff a \preccurlyeq b \mapsto c$  (Adjunction)

# Interpretation of formulas

Formulas with parameters:

$$A, B ::= a \mid X \mid A \Rightarrow B \mid \forall X. A \quad (a \in \mathcal{A})$$

Embedding of closed formulas with parameters:

$$\begin{aligned} a^{\mathcal{A}} &\triangleq a & (\text{if } a \in \mathcal{A}) \\ (A \Rightarrow B)^{\mathcal{A}} &\triangleq A^{\mathcal{A}} \rightarrow B^{\mathcal{A}} \\ (\forall X. A)^{\mathcal{A}} &\triangleq \lambda_{a \in \mathcal{A}}(A\{X := a\})^{\mathcal{A}} \end{aligned}$$

Adequacy:  $\text{If } \vdash t : A \text{ then } t^{\mathcal{A}} \preceq A^{\mathcal{A}}$

In particular:

$$\begin{aligned} k^{\mathcal{A}} &= \lambda_{a,b \in \mathcal{A}}(a \rightarrow b \rightarrow a) \\ s^{\mathcal{A}} &= \lambda_{a,b,c \in \mathcal{A}}((a \rightarrow b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c) \\ cc &\triangleq \lambda_{a,b \in \mathcal{A}}(((a \rightarrow b) \rightarrow a) \rightarrow a) \end{aligned}$$

## Interpretation of formulas

### Formulas with parameters:

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## Embedding of closed formulas with parameters:

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In particular:

$$\begin{aligned}\mathbf{K}^{\mathcal{A}} &= \lambda_{a,b \in \mathcal{A}}(a \rightarrow b \rightarrow a) \\ \mathbf{S}^{\mathcal{A}} &= \lambda_{a,b,c \in \mathcal{A}}((a \rightarrow b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c) \\ \mathbf{CC} &\triangleq \lambda_{a,b \in \mathcal{A}}(((a \rightarrow b) \rightarrow a) \rightarrow a)\end{aligned}$$

# Implicative algebras

## Separator $\mathcal{S}$ :

- ①  $\kappa^{\mathcal{A}} \in \mathcal{S}, s^{\mathcal{A}} \in \mathcal{S}, (\mathbf{cc} \in \mathcal{S})$  *(Combinators)*
- ② If  $a \in \mathcal{S}$  and  $a \preceq b$ , then  $b \in \mathcal{S}$ . *(Upwards closure)*
- ③ If  $(a \rightarrow b) \in \mathcal{S}$  and  $a \in \mathcal{S}$ , then  $b \in \mathcal{S}$ . *(Modus ponens)*

## Implicative algebras:

$(\mathcal{A}, \preceq, \rightarrow)$  + separator  $\mathcal{S}$

## Examples:

- Complete Boolean algebras
- Abstract Krivine structures

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## Implicative algebras:

$$(\mathcal{A}, \preccurlyeq, \rightarrow) + \text{separator } \mathcal{S}$$

## Examples:

- ➊ Complete Boolean algebras

For all  $\lambda$ -term  $t$ ,  $t^{\mathcal{B}} = \top$  and  $a @ b = a \wedge b$ . Thus,  $\top$  or any filter define separators.

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- ➋ Abstract Krivine structures

The set  $\mathcal{S} = \{a \in \mathcal{P}(\Pi) : a^{\perp\perp} \cap \mathbf{PL} \neq \emptyset\}$  is a separator.

# Internal logic

**Entailment:**

$$a \vdash_S b \triangleq a \rightarrow b \in \mathcal{S}$$

## Properties

- ①  $\vdash_S$  is a preorder
- ② if  $a \preceq b$  then  $a \vdash_S b$  (Subtyping)
- ③ if  $a \vdash_S b$  and  $a \in \mathcal{S}$  then  $b \in \mathcal{S}$  (Closure under  $\vdash_S$ )

## Adjunction

$$a \vdash_S b \rightarrow c \quad \text{if and only if} \quad a \times b \vdash_S c$$

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## Quantifiers:

$$\bigwedge_{i \in I} a_i \triangleq \bigwedge_{i \in I} a_i \quad \exists_{i \in I} a_i \triangleq \bigwedge_{c \in A} (\bigwedge_{i \in I} (a_i \rightarrow c) \rightarrow c)$$

## Semantic rules:

$$\frac{\Gamma \vdash t : a_i \quad \text{for all } i \in I}{\Gamma \vdash t : \bigvee_{i \in I} a_i}$$

$$\frac{\Gamma \vdash t : \bigvee_{i \in I} a_i \quad i_0 \in I}{\Gamma \vdash t : a_{i_0}}$$

$$\frac{\Gamma \vdash t : a_{i_0} \quad i_0 \in I}{\Gamma \vdash \lambda x. xt : \bigvee_{i \in I} a_i}$$

$$\frac{\Gamma \vdash t : \exists_{i \in I} a_i \quad \Gamma, x : a_i \vdash u : c \quad (\text{for all } i \in I)}{\Gamma \vdash t(\lambda x. u) : c}$$

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## Connectives:

$$a \times b \triangleq \bigwedge_{c \in \mathcal{A}} ((a \rightarrow b \rightarrow c) \rightarrow c)$$

$$a + b \triangleq \bigwedge_{c \in \mathcal{A}} ((a \rightarrow c) \rightarrow (b \rightarrow c) \rightarrow c)$$

## Semantic rules:

$$\frac{\Gamma \vdash t : a \quad \Gamma \vdash u : b}{\Gamma \vdash \lambda z. ztu : a \times b}$$

$$\frac{\Gamma \vdash t : a + b \quad \Gamma, x : a \vdash u : c \quad \Gamma, y : b \vdash v : c}{\Gamma \vdash t(\lambda x. u)(\lambda y. v) : c}$$

$$\frac{\Gamma \vdash t : a \times b}{\Gamma \vdash t\pi_1 : a}$$

$$\frac{\Gamma \vdash t : a \times b}{\Gamma \vdash t\pi_2 : b}$$

$$\frac{\Gamma \vdash t : a}{\Gamma \vdash \lambda lr. lt : a + b}$$

$$\frac{\Gamma \vdash t : b}{\Gamma \vdash \lambda lr. rt : a + b}$$

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**Entailment:**

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# Advertisement

Up to this point, everything you saw has been formalized in Coq.



# Advertisement

## Implicative Structures:

Complete meet-semilattice  $(\mathcal{A}, \preceq, \rightarrow)$  s.t.:

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- $\lambda_{b \in B} (a \rightarrow b) = a \rightarrow \lambda_{b \in B} b$  (Distributivity)

```
Class ImplicativeStructure `{CL:CompleteLattice} := {  
    ↪ : X → X → X;  
    arrow_mon_l : ∀ a a' b, a ≲ a' → a' ↪ b ≲ a ↪ b;  
    arrow_mon_r : ∀ a b b', b ≲ b' → a ↪ b ≲ a ↪ b';  
    arrow_meet : ∀ a B, λ_{b ∈ B} (a ↪ b) = a ↪ λ_{b ∈ B} b  
}.
```

# Advertisement

## Application:

$$a @ b \triangleq \lambda \{c \in \mathcal{A} : a \preceq b \rightarrow c\}$$

**Definition** app a b :=  $\lambda (\text{fun } c \Rightarrow a \preceq b \rightarrow c)$ .

## Abstraction:

$$\lambda f \triangleq \lambda_{a \in \mathcal{A}} (a \rightarrow f(a))$$

**Definition** abs f :=  $\lambda (\text{fun } x \Rightarrow \exists a, x = a \rightarrow f a)$ .

# Advertisement

## Adequacy:

$$\vdash t : T \Rightarrow t^{\mathcal{A}} = x \Rightarrow T^{\mathcal{A}} = a \Rightarrow x \preccurlyeq a$$

Theorem adequacy\_empty:

$\forall t T x a, \text{fv\_typ } T = \{\} \rightarrow \text{typing\_trm empty } t T \rightarrow$   
 $\text{translated } t x \rightarrow \text{translated\_typ } T a \rightarrow x \preccurlyeq a.$

# Advertisement

Try it!

<https://gitlab.com/emiquey/ImplicativeAlgebras/>

# A incredibly nice framework

## Adjunction

$$a \vdash_{\mathcal{S}} b \mapsto c \quad \text{if and only if} \quad a \times b \vdash_{\mathcal{S}} c.$$

*Proof.* ( $\Rightarrow$ ) Assume that  $t := a \mapsto b \mapsto c \in \mathcal{S}$ . We shall find  $u \in \mathcal{S}$  s.t.:

$$u \preccurlyeq a \times b \mapsto c$$

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# A incredibly nice framework

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*Proof.* ( $\Rightarrow$ ) Assume that  $t := a \mapsto b \mapsto c \in S$ . Let us prove that:

$$\begin{aligned} & (\lambda xy.yx) @ t \preccurlyeq (\lambda_{d \in \mathcal{A}}(a \mapsto b \mapsto d) \mapsto d) \mapsto c \\ & \Leftarrow \lambda y.y(a \mapsto b \mapsto c) \preccurlyeq (\lambda_{d \in \mathcal{A}}(a \mapsto b \mapsto d) \mapsto d) \mapsto c \quad (\beta\text{-reduction}) \\ & \Leftrightarrow (\lambda y.y(a \mapsto b \mapsto c)) @ (\lambda_{d \in \mathcal{A}}(a \mapsto b \mapsto d) \mapsto d) \preccurlyeq c \quad (\text{adjunction}) \\ & \Leftarrow (\lambda_{d \in \mathcal{A}}(a \mapsto b \mapsto d) \mapsto d) @ (a \mapsto b \mapsto c) \preccurlyeq c \quad (\beta\text{-reduction}) \\ & \Leftrightarrow (\lambda_{d \in \mathcal{A}}(a \mapsto b \mapsto d) \mapsto d) \preccurlyeq (a \mapsto b \mapsto c) \mapsto c \quad (\text{adjunction}) \\ & \Leftarrow (a \mapsto b \mapsto c) \mapsto c \preccurlyeq (a \mapsto b \mapsto c) \mapsto c \quad (\text{meet def.}) \end{aligned}$$

□

# A incredibly nice framework

## Adjunction

$$a \vdash_{\mathcal{S}} b \mapsto c \quad \text{if and only if} \quad a \times b \vdash_{\mathcal{S}} c.$$

*Proof. ( $\Rightarrow$ ) Assume that  $t := a \mapsto b \mapsto c \in \mathcal{S}$ . It suffices to prove that:*

$$\lambda xy.yx \preccurlyeq (a \mapsto b \mapsto c) \mapsto (a \times b) \mapsto c$$

*( $\Leftarrow$ ) Assume that  $(a \times b) \mapsto c \in \mathcal{S}$ . It suffices to prove that:*

$$\lambda fab.f(\lambda z.zab) \preccurlyeq ((a \times b) \mapsto c) \mapsto (a \mapsto b \mapsto c)$$

# A incredibly nice framework

## Adjunction

$$a \vdash_S b \mapsto c \quad \text{if and only if} \quad a \times b \vdash_S c.$$

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Within Coq:

Proof. intros a b c; split;intro H.

- ... . realizer ( $\lambda + \lambda + [\$0] \$1$ ).

- ... . realizer ( $\lambda + \lambda + \lambda + [\$2] (\lambda + ([[\$0] \$2] \$1))$ ).

Qed. 

# Implicative tripos

## Adjunction

$$a \vdash_{\mathcal{S}} b \rightarrow c \quad \text{if and only if} \quad a \times b \vdash_{\mathcal{S}} c$$

( $\dashv \vdash (\mathcal{A}/\mathcal{S}, \vdash_{\mathcal{S}}, \times, +, \rightarrow)$  is a Heyting algebra)

## Tripos:

$$\mathcal{T} : \left\{ \begin{array}{ccc} \mathbf{Set}^{op} & \rightarrow & \mathbf{HA} \\ I & \mapsto & \mathcal{A}'/\mathcal{S}[I] \end{array} \right.$$

## Collapse criteria

The following are equivalent:

- ➊  $\mathcal{T}$  is isomorphic to a forcing tripos
- ➋  $\mathcal{S} \subseteq \mathcal{A}$  is a principal filter of  $\mathcal{A}$ .
- ➌  $\mathcal{S} \subseteq \mathcal{A}$  is finitely generated and  $\perp \in \mathcal{S}$ .

# Implicative tripos

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- ③  $\mathcal{S} \subseteq \mathcal{A}$  is finitely generated and  $\pitchfork \in \mathcal{S}$ .

# Completeness of implicative triposes

## Theorem [Miquel 18]

Each **Set**-based tripos is (isomorphic to) an implicative tripos.

The proof is based on several observations:

- *generic predicate*: there exists  $\Sigma$  and  $\text{tr} \in \mathcal{T}(\Sigma)$  s.t.

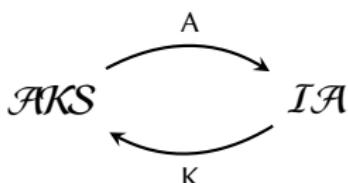
$$\llbracket - \rrbracket_X : \begin{cases} \Sigma^X & \rightarrow \mathcal{T}(X) \\ \sigma & \mapsto \mathcal{T}(\sigma)(\text{tr}) \end{cases} \quad \text{is surjective}$$

↳ each predicate on  $X$  has a **code** in  $\Sigma^X$

- we can define codes  $\dot{\wedge}, \dot{\vee}, \dot{\Rightarrow}$  for connectives  
 $\dot{\forall}, \dot{\exists}$  for quantifiers
- this *almost* endows  $\Sigma$  with a structure of complete HA
- it “leads” to an implicative algebra  
↳ the corresponding tripos is **isomorphic** to the original one

# Categorifying a bit more

We have:



## Questions:

- ① Can we define categories for  $\mathcal{IA}$  /  $\mathcal{AKS}$ ?
- ② Does this diagram have a categorical meaning?

# The category of Implicative Algebras

Assume two IAs  $\mathcal{A}$  and  $\mathcal{B}$

The category of Implicative Algebras and Realizability  
*W. Ferrer, O. Malherbe [2018]*

## Applicative morphism

$f : \mathcal{A} \rightarrow \mathcal{B}$  with  $r \in \mathcal{S}_{\mathcal{B}}$  such that:

- ①  $f(\mathcal{S}_{\mathcal{A}}) \subseteq \mathcal{S}_{\mathcal{B}}$
- ② If  $a \vdash a'$ , then  $r \preccurlyeq f(a \rightarrow a') \rightarrow f(a) \rightarrow f(a')$   $(\forall a, a' \in \mathcal{A})$
- ③  $f(\bigwedge P) = \bigwedge \{f(x) : x \in P\}$   $(\forall P \subseteq \mathcal{A})$

## Computationally dense morphism

$f : \mathcal{A} \rightarrow \mathcal{B}$  applicative with  $h : \mathcal{S}_{\mathcal{B}} \rightarrow \mathcal{S}_{\mathcal{A}}$  monotonic,  $t \in \mathcal{S}_{\mathcal{B}}$  s.t.:

$$t \preccurlyeq f(h(b)) \rightarrow b \quad (\forall b \in \mathcal{S}_{\mathcal{B}})$$

## Proposition

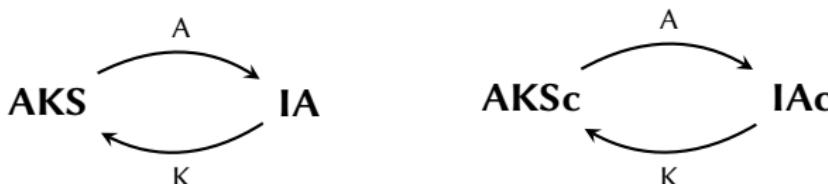
The two notions give rise to categories **IA** / **IAc**.

# The category of Implicative Algebras

The category of Implicative Algebras and Realizability  
W. Ferrer, O. Malherbe [2018]

## Good news:

- The two notions also give rise to categories **AKS** / **AKSc**.
- The maps  $A : \mathcal{AKS} \rightarrow \mathcal{IA}$  and  $K : \mathcal{IA} \rightarrow \mathcal{AKS}$  extend to functors:



## Theorem

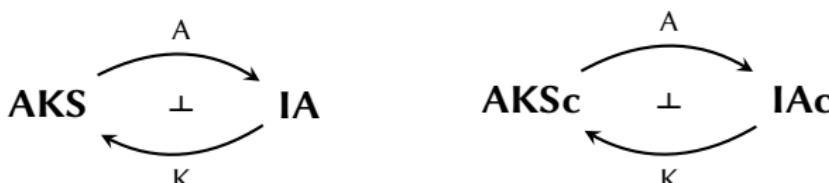
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These functors form an adjoint pair.

# The end?

## Implicative structures:

- simple algebraic structures
- adequate embedding of types and terms

## Implicative algebras:

- encompass usual approaches to realizability
- generalize Boolean algebras and forcing
- complete w.r.t. Set-based triposes

*Does the quest of algebraic foundations  
for classical realizability stop here?*

## Questions:

- logic =  $\forall, \rightarrow ?$
- call-by-name  $\lambda_c$ -calculus ?

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# Disjunctive algebras

*(fast-tracked)*

# Decomposing the arrow

## Logic:

$$A \rightarrow B \triangleq \neg A \vee B$$

Different axiomatic:

$$S1 : (A \vee A) \rightarrow A$$

$$S2 : A \rightarrow (A \vee B)$$

$$S3 : (A \vee B) \rightarrow (B \vee A)$$

$$S4 : (A \rightarrow B) \rightarrow ((C \vee A) \rightarrow (C \vee B))$$

## $\lambda$ -calculus:

$$\lambda x.t \triangleq \tilde{\mu}([x], \beta). \langle t \parallel \beta \rangle : \neg A \wp B$$

- $L^\wp$  fragment of Munch-Maccagnoni's system L
- embedding of the *call-by-name*  $\lambda$ -calculus

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  - embedding of the *call-by-name*  $\lambda$ -calculus

# Focusing on disjunction: $L^{\wp}$

## Syntax:

Focalisation and Classical Realisability  
*Guillaume Munch-Maccagnoni [2009]*

### Contexts

$$e ::= \alpha \mid (e_1, e_2) \mid [t] \mid \mu x.c$$

### Terms

$$t ::= x \mid \mu(\alpha_1, \alpha_2).c \mid \mu[x].c \mid \mu\alpha.c$$

### Commands

$$c ::= \langle t \parallel e \rangle$$

### Types

$$A, B ::= X \mid A \wp B \mid \neg A \mid \forall X.A$$

## Type system:

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : A \vdash \Delta}{\langle t \parallel e \rangle : \Gamma \vdash \Delta} (\text{CUT})$$

$$\frac{\Gamma \mid e_1 : A \vdash \Delta \quad \Gamma \mid e_2 : B \vdash \Delta}{\Gamma \mid (e_1, e_2) : A \wp B \vdash \Delta} (\wp \vdash)$$

$$\frac{c : \Gamma \vdash \Delta, \alpha_1 : A, \alpha_2 : B}{\Gamma \vdash \mu(\alpha_1, \alpha_2).c : A \wp B \mid \Delta} (\vdash \wp)$$

$$\frac{\Gamma \vdash t : A \mid \Delta}{\Gamma \mid [t] : \neg A \vdash \Delta} (\neg \vdash)$$

$$\frac{c : \Gamma, x : A \vdash \Delta}{\Gamma \vdash \mu[x].c : \neg A \mid \Delta} (\vdash \neg)$$

# Disjunctive structures

Complete meet-semilattice  $(\mathcal{A}, \preccurlyeq, \wp, \neg)$ :

- ①  $\neg$  is anti-monotonic
- ②  $\wp$  is monotonic
- ③  $\lambda_{b \in B}(a \wp b) = a \wp (\lambda_{b \in B} b)$  and  $\lambda_{b \in B}(b \wp a) = (\lambda_{b \in B} b) \wp a$
- ④  $\neg \lambda_{a \in A} a = \bigvee_{a \in A} \neg a$

Examples:

- complete Boolean algebras
- classical realizability in  $L^\wp$

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$(\mathcal{A}, \preccurlyeq, \rightarrow)$  with  $a \rightarrow b \triangleq \neg a \wp b$  is an implicative structure

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- complete Boolean algebras

$$a \wp b \triangleq a \vee b$$

$$\neg a \triangleq \neg a$$

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## Examples:

- complete Boolean algebras
- classical realizability in  $L^\wp$

- |   |                                 |
|---|---------------------------------|
| • $\mathcal{A} \triangleq \mathcal{P}(\Pi)$   | • $a \wp b \triangleq (a, b)$   |
| • $a \preccurlyeq b \triangleq a \supseteq b$ | • $\neg a \triangleq [a^\perp]$ |

## Induced implication

$(\mathcal{A}, \preccurlyeq, \wp)$  with  $a \rightarrowtail b \triangleq \neg a \wp b$  is an implicative structure

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# Interpreting $L^{\wp}$

$\perp \triangleq ?$

Intuition:

$$t \Vdash A \text{ if } t^{\mathcal{A}} \preceq A^{\mathcal{A}} \quad \text{and} \quad t \Vdash \pi \text{ if } t^{\mathcal{A}} \preceq \pi^{\mathcal{A}}$$

Terms:

- $\mu^-.c \triangleq \lambda_{a \in \mathcal{A}}\{a : c(a) \in \perp\}$
- $\mu^0.c \triangleq \lambda_{a,b \in \mathcal{A}}\{a \wp b : c(a,b) \in \perp\}$
- $\mu^\perp.c \triangleq \lambda_{a \in \mathcal{A}}\{\neg a : c(a) \in \perp\}$

Contexts:

- $(a, b) \triangleq a \wp b$
- $[a] \triangleq \neg a$
- $\mu^+.c \triangleq \forall_{a \in \mathcal{A}}\{a : c(a) \in \perp\}$

Properties:

# Interpreting $\mathcal{L}^{\wp}$

$$\perp \triangleq \{(t, e) : t \preccurlyeq e\}$$

## Terms:

- $\mu^- . c \triangleq \lambda_{a \in \mathcal{A}} \{a : c(a) \in \perp\}$
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## Properties:

If  $c_1 \rightarrow_{\beta} c_2$  then  $c_1^{\mathcal{A}} \sqsubseteq c_2^{\mathcal{A}}$ . *(\beta\text{-reduction})*

## Adequacy

- 1 for any term  $t$ , if  $\Gamma \vdash t : A \mid \Delta$ , then  $(t[\sigma])^{\mathcal{A}} \preccurlyeq A[\sigma]^{\mathcal{A}}$ ;
- 2 for any context  $e$ , if  $\Gamma \mid e : A \vdash \Delta$ , then  $(e[\sigma])^{\mathcal{A}} \succcurlyeq A[\sigma]^{\mathcal{A}}$ ;
- 3 for any command  $c$ , if  $c : (\Gamma \vdash \Delta)$ , then  $(c[\sigma])^{\mathcal{A}} \in \perp$ .

# Interpreting $L^{\wp}$

$$\perp \triangleq \{(t, e) : t \preceq e\}$$

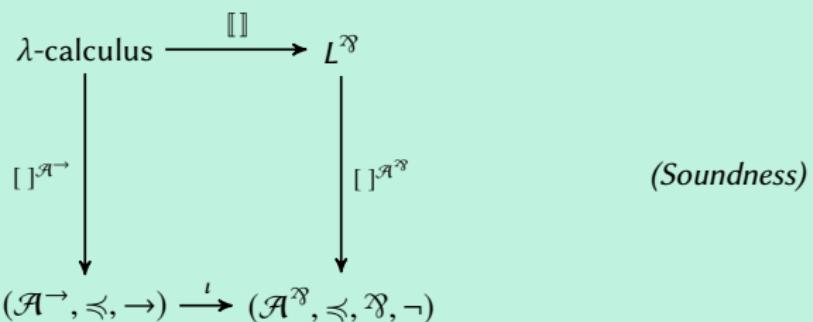
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## Properties:



# Disjunctive algebras

Russel's axioms:

$$s_1^{\wp} \triangleq \lambda_{a \in \mathcal{A}} [(a \wp a) \mapsto a]$$

$$s_2^{\wp} \triangleq \lambda_{a, b \in \mathcal{A}} [a \mapsto (a \wp b)]$$

$$s_3^{\wp} \triangleq \lambda_{a, b \in \mathcal{A}} [(a \wp b) \mapsto (b \wp a)]$$

$$s_4^{\wp} \triangleq \lambda_{a, b, c \in \mathcal{A}} [(a \mapsto b) \mapsto (c \wp a) \mapsto (c \wp b)]$$

$$s_5^{\wp} \triangleq \lambda_{a, b, c \in \mathcal{A}} [(a \wp (b \wp c)) \mapsto ((a \wp b) \wp c)]$$

Separator  $\mathcal{S}$ :

- ① If  $a \in \mathcal{S}$  and  $a \preccurlyeq b$  then  $b \in \mathcal{S}$  (upward closure)
- ②  $s_1, s_2, s_3, s_4$  and  $s_5$  are in  $\mathcal{S}$  (combinators)
- ③ If  $a \stackrel{\wp}{\mapsto} b \in \mathcal{S}$  and  $a \in \mathcal{S}$  then  $b \in \mathcal{S}$  (modus ponens)

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## Examples:

- Complete Boolean algebras

All combinators verify  $(s_i)^{\wp} = \top$ , thus  $\top$  or any filter define separators.

- realizability models in  $L^{\wp}$

# Disjunctive algebras

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- 3 If  $a \stackrel{\wp}{\mapsto} b \in \mathcal{S}$  and  $a \in \mathcal{S}$  then  $b \in \mathcal{S}$  (modus ponens)

## Examples:

- Complete Boolean algebras
- realizability models in  $L^{!\wp}$

The set of realized falsity values is again a separator.

# Internal logic

Recall:

$$a \vdash_S b \triangleq a \xrightarrow{\mathcal{S}} b \in S$$

**Sum type:**

- $a \wp b \vdash_S a + b$
- $a + b \vdash_S a \wp b$

**Negation:**

- $\neg a \vdash_S a \xrightarrow{\mathcal{S}} \perp$
- $a \xrightarrow{\mathcal{S}} \perp \vdash_S \neg a$
- $a \vdash_S \neg \neg a$
- $\neg \neg a \vdash_S a$

In an implicative structure, we don't have in general:

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**Combinators:**

$$\kappa, s, cc \in S$$

**Theorem**

$S$  is an implicative separator

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In an implicative structure, we don't have in general:

$$\bigwedge_{b \in B} (a + b) \stackrel{?}{=} a + \left( \bigwedge_{b \in B} b \right) \quad \bigwedge_{b \in B} (b + a) \stackrel{?}{=} \left( \bigwedge_{b \in B} b \right) + a \quad \left( \bigwedge_{a \in A} a \right) \rightarrow \perp \stackrel{?}{=} \bigvee_{a \in A} (a \rightarrow \perp)$$

↗ Implicative algebras are more general !

# Recap

## Disjunctive structures:

- induced by classical realizability
- allow to adequately embed  $L^\wp$
- are implicative structures

## Disjunctive algebras:

- are intrinsically classical
- are implicative algebras
- do not necessarily collapse to a forcing situation

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Implicative algebras are more general.

# Conjunctive algebras

*(entering call-by-value)*

## Conjunctive algebras

## Logic:

$$A \rightarrow B \quad \triangleq \quad \neg(A \wedge \neg B)$$

### $\lambda$ -calculus:

$$\lambda x.t \triangleq [\mu(x,[\alpha]).\langle t \parallel \alpha \rangle] : \neg(A \otimes \neg B)$$

$\nrightarrow L^\otimes$  fragment

→ call-by-value  $\lambda$ -calculus

### **Same process:**

- ① Conjunctive structures ( $\mathcal{A}, \preccurlyeq, \otimes, \neg$ )
  - ② Adequate embedding of  $L^\otimes$
  - ③ Conjunctive algebras

# Conjunctive structures

Complete meet-semilattice  $(\mathcal{A}, \preceq, \otimes, \neg)$ :

- ➊  $\neg$  is anti-monotonic
- ➋  $\otimes$  is monotonic
- ➌  $\bigvee_{b \in B} (a \otimes b) = a \otimes (\bigvee_{b \in B} b)$  and  $\bigvee_{b \in B} (b \otimes a) = (\bigvee_{b \in B} b) \otimes a$
- ➍  $\neg \bigvee_{a \in A} a = \bigwedge_{a \in A} \neg a$

Examples:

- complete Boolean algebras
- classical realizability in  $L^\otimes$

Duality

- ➊  $(\mathcal{A}, \preceq, \otimes, \neg)$  conjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \otimes, \neg)$  disjunctive str.
- ➋  $(\mathcal{A}, \preceq, \wp, \neg)$  disjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \wp, \neg)$  conjunctive str.

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- ④  $\neg \bigvee_{a \in A} a = \bigwedge_{a \in A} \neg a$

**Examples:**

- complete Boolean algebras

$$a \otimes b \triangleq a \wedge b$$

$$\neg a \triangleq \neg a$$

- classical realizability in  $L^\otimes$

Duality

- ①  $(\mathcal{A}, \preccurlyeq, \otimes, \neg)$  conjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \otimes, \neg)$  disjunctive str.
- ②  $(\mathcal{A}, \preccurlyeq, \wp, \neg)$  disjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \wp, \neg)$  conjunctive str.

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- ④  $\neg \bigvee_{a \in A} a = \bigwedge_{a \in A} \neg a$

**Examples:**

- complete Boolean algebras
- classical realizability in  $L^\otimes$

- |   |                                   |
|---|-----------------------------------|
| • $\mathcal{A} \triangleq \mathcal{P}(\mathcal{V})$ | • $a \otimes b \triangleq (a, b)$ |
| • $a \preceq b \triangleq a \subseteq b$            | • $\neg a \triangleq [a]^\perp$   |

Duality

- ①  $(\mathcal{A}, \preceq, \otimes, \neg)$  conjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succ, \otimes, \neg)$  disjunctive str.

②  $(\mathcal{A}, \succ, \otimes, \neg)$  disjunctive str.  $\Rightarrow$   $(\mathcal{A}, \preceq, \otimes, \neg)$  conjunctive str.

# Conjunctive structures

Complete meet-semilattice  $(\mathcal{A}, \preccurlyeq, \otimes, \neg)$ :

- ①  $\neg$  is anti-monotonic
- ②  $\otimes$  is monotonic
- ③  $\bigvee_{b \in B} (a \otimes b) = a \otimes (\bigvee_{b \in B} b)$  and  $\bigvee_{b \in B} (b \otimes a) = (\bigvee_{b \in B} b) \otimes a$
- ④  $\neg \bigvee_{a \in A} a = \bigwedge_{a \in A} \neg a$

## Examples:

- complete Boolean algebras
- classical realizability in  $L^\otimes$

## Duality

- ①  $(\mathcal{A}, \preccurlyeq, \otimes, \neg)$  conjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \otimes, \neg)$  disjunctive str.
- ②  $(\mathcal{A}, \preccurlyeq, \wp, \neg)$  disjunctive str.  $\Rightarrow$   $(\mathcal{A}, \succcurlyeq, \wp, \neg)$  conjunctive str.

# Conjunctive algebras ?

Russel's axioms:

$$\begin{aligned}
 s_1^\otimes &\triangleq \lambda_{a \in \mathcal{A}} [a \mapsto (a \otimes a)] \\
 s_2^\otimes &\triangleq \lambda_{a,b \in \mathcal{A}} [(a \otimes b) \mapsto a] \\
 s_3^\otimes &\triangleq \lambda_{a,b \in \mathcal{A}} [(a \otimes b) \mapsto (b \otimes a)] \\
 s_4^\otimes &\triangleq \lambda_{a,b,c \in \mathcal{A}} [(a \mapsto b) \mapsto (c \otimes a) \mapsto (c \otimes b)] \\
 s_5^\otimes &\triangleq \lambda_{a,b,c \in \mathcal{A}} [(a \otimes (b \otimes c)) \mapsto ((a \otimes b) \otimes c)]
 \end{aligned}$$

**Separator  $\mathcal{S}$ :**

- |  |   |
|--|---|
| (1) If $a \in \mathcal{S}$ and $a \preccurlyeq b$ then $b \in \mathcal{S}$<br>(2) $s_1^\otimes, s_2^\otimes, s_3^\otimes, s_4^\otimes$ and $s_5^\otimes$ are in $\mathcal{S}$<br>(3) If $a \mapsto b \in \mathcal{S}$ and $a \in \mathcal{S}$ then $b \in \mathcal{S}$ | (upward closure)<br>(combinators)<br>(modus ponens) |
|--|---|

Reversed disjunctive algebra

If  $(\mathcal{A}, \preccurlyeq, \wp, \neg, \mathcal{S})$  is a disjunctive algebra, then  $(\mathcal{A}, \succcurlyeq, \wp, \neg, \neg^{-1}(\mathcal{S}))$  is a conjunctive algebra.

# Conjunctive algebras ?

Russel's axioms:

$$\begin{aligned}s_1^\otimes &\triangleq \lambda_{a \in \mathcal{A}} [a \mapsto (a \otimes a)] \\ s_2^\otimes &\triangleq \lambda_{a,b \in \mathcal{A}} [(a \otimes b) \mapsto a] \\ s_3^\otimes &\triangleq \lambda_{a,b \in \mathcal{A}} [(a \otimes b) \mapsto (b \otimes a)] \\ s_4^\otimes &\triangleq \lambda_{a,b,c \in \mathcal{A}} [(a \mapsto b) \mapsto (c \otimes a) \mapsto (c \otimes b)] \\ s_5^\otimes &\triangleq \lambda_{a,b,c \in \mathcal{A}} [(a \otimes (b \otimes c)) \mapsto ((a \otimes b) \otimes c))]\end{aligned}$$

**Separator  $\mathcal{S}$ :**

- (1) If  $a \in \mathcal{S}$  and  $a \preccurlyeq b$  then  $b \in \mathcal{S}$  (upward closure)
- (2)  $s_1^\otimes, s_2^\otimes, s_3^\otimes, s_4^\otimes$  and  $s_5^\otimes$  are in  $\mathcal{S}$  (combinators)
- (3) If  $a \mapsto b \in \mathcal{S}$  and  $a \in \mathcal{S}$  then  $b \in \mathcal{S}$  (modus ponens)

Reversed disjunctive algebra

If  $(\mathcal{A}, \preccurlyeq, \wp, \neg, \mathcal{S})$  is a disjunctive algebra, then  $(\mathcal{A}, \succcurlyeq, \wp, \neg, \neg^{-1}(\mathcal{S}))$  is a conjunctive algebra.

# Conjunctive algebras X

## Reversed disjunctive algebra

If  $(\mathcal{A}, \preccurlyeq, \wp, \neg, \mathcal{S})$  is a disjunctive algebra, then  $(\mathcal{A}, \succcurlyeq, \wp, \neg, \neg^{-1}(\mathcal{S}))$  is a conjunctive algebra.

C'était trop beau pour être vrai

The converse seems impossible to prove...

Technically, we are missing the adjunction:

$$a \preccurlyeq b \mapsto^\otimes c \Leftrightarrow a @^\otimes b \preccurlyeq c$$

Indeed we have:

$$\bigwedge (a \mapsto^\otimes b) \stackrel{?}{=} a \mapsto^\otimes \bigwedge b \qquad \qquad \bigvee (a \mapsto^\otimes b) = (\bigvee a) \mapsto^\otimes b$$

## Intuitions:

- conjunctive  $\rightsquigarrow$  positive  $\rightsquigarrow$  joins  $\bigvee$ , yet axioms with  $\forall/\bigwedge$
- values are not closed by application (MP flawed)

# Inspecting $L^\otimes$ deduction system

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : A \vdash \Delta}{\langle t \parallel e \rangle : \Gamma \vdash \Delta} \text{ (CUT)}$$

$$\frac{(\alpha : A) \in \Delta}{\Gamma \mid \alpha : A \vdash \Delta} \text{ (ax $\vdash$ )}$$

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A \mid \Delta} \text{ (} $\vdash$ ax $)$$$

$$\frac{c : \Gamma \vdash \Delta, x : A}{\Gamma \mid \mu x. c : A \vdash \Delta} \text{ (} $\mu$  $\vdash$ )$$

$$\frac{c : \Gamma, \alpha : A \vdash \Delta}{\Gamma \vdash \mu \alpha. c : A \mid \Delta} \text{ (} $\vdash$  $\mu$  $)$$$

$$\frac{c : (\Gamma, x : A, x' : B \vdash \Delta)}{\Gamma \mid \mu(x, x'). c : A \otimes B \vdash \Delta} \text{ (} $\otimes$  $\vdash$ )$$

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \vdash u : B \mid \Delta}{\Gamma \vdash (t, u) : A \otimes B \mid \Delta} \text{ (} $\vdash$  $\otimes$  $)$$$

$$\frac{c : (\Gamma, x : A \vdash \Delta)}{\Gamma \mid \mu[\alpha]. c : \neg A \vdash \Delta} \text{ (} $\neg$  $\vdash$ )$$

$$\frac{\Gamma \mid e : A \vdash \Delta}{\Gamma \vdash [e] : \neg A \vdash \Delta} \text{ (} $\vdash$  $\neg$  $)$$$

# Inspecting $L^\otimes$ deduction system

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma, A \vdash \Delta}{\Gamma \vdash \Delta} \text{ (Cut)}$$

$$\frac{A \in \Delta}{\Gamma, A \vdash \Delta} \text{ (ax- $\vdash$ )}$$

$$\frac{A \in \Gamma}{\Gamma \vdash A, \Delta} \text{ ( $\vdash$ -ax)}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \otimes B \vdash \Delta} \text{ ( $\otimes$ - $\vdash$ )}$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma \vdash B, \Delta}{\Gamma \vdash A \otimes B, \Delta} \text{ ( $\vdash$ - $\otimes$ )}$$

$$\frac{\Gamma \vdash A, \Delta}{\Gamma, \neg A \vdash \Delta} \text{ ( $\neg$ - $\vdash$ )}$$

$$\frac{\Gamma, A \vdash \Delta}{\Gamma \vdash \neg A, \Delta} \text{ ( $\vdash$ - $\neg$ )}$$

# Inspecting $L^\otimes$ deduction system

## One-sided sequents, inlining cuts and contexts

$$\overline{\Gamma, A \vdash A}$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \otimes B}$$

$$\frac{\Gamma, A, B \vdash C \quad \Gamma, A, B \vdash \neg C}{\Gamma \vdash \neg(A \otimes B)}$$

$$\frac{\Gamma, A \vdash C \quad \Gamma, A \vdash \neg C}{\Gamma \vdash \neg A}$$

# Inspecting $L^\otimes$ deduction system

## One-sided sequents, inlining cuts and contexts

$$\overline{\Gamma, A \vdash A}$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \otimes B}$$

$$\boxed{\frac{\Gamma, A, B \vdash C \quad \Gamma, A, B \vdash \neg C}{\Gamma \vdash \neg(A \otimes B)}}$$

$$\boxed{\frac{\Gamma, A \vdash C \quad \Gamma, A \vdash \neg C}{\Gamma \vdash \neg A}}$$

⇒ *this is a calculus of contradiction*

# Conjunctive algebras

Axioms through contradictions:

$$\begin{aligned}s_1^\otimes &\triangleq \bigwedge_{a \in \mathcal{A}} \neg [\neg(a \otimes a) \otimes a] \\s_2^\otimes &\triangleq \bigwedge_{a, b \in \mathcal{A}} \neg [\neg a \otimes (a \otimes b)] \\s_3^\otimes &\triangleq \bigwedge_{a, b \in \mathcal{A}} \neg [\neg(a \otimes b) \otimes (b \otimes a)] \\s_4^\otimes &\triangleq \bigwedge_{a, b, c \in \mathcal{A}} \neg [\neg(\neg a \otimes b) \otimes (\neg(c \otimes a) \otimes (c \otimes b))] \\s_5^\otimes &\triangleq \bigwedge_{a, b, c \in \mathcal{A}} \neg [\neg(a \otimes (b \otimes c)) \otimes ((a \otimes b) \otimes c)]\end{aligned}$$

**Separator  $\mathcal{S}$ :**

- ① If  $a \in \mathcal{S}$  and  $a \preceq b$  then  $b \in \mathcal{S}$ . *(upward closure)*
- ②  $s_1^\otimes, s_2^\otimes, s_3^\otimes, s_4^\otimes$  and  $s_5^\otimes$  are in  $\mathcal{S}$ . *(combinators)*
- ③ If  $\neg(a \otimes b) \in \mathcal{S}$  and  $a \in \mathcal{S}$  then  $\neg b \in \mathcal{S}$ . *(deduction)*
- ④ If  $a \in \mathcal{S}$  and  $b \in \mathcal{S}$  then  $a \otimes b \in \mathcal{S}$ . *(pairs)*

**Classical:** If  $\neg\neg a \in \mathcal{S}$  then  $a \in \mathcal{S}$ .

# Conjunctive algebras

$$\begin{aligned}
 s_1^\otimes &\triangleq \lambda_{a \in \mathcal{A}} \neg [\neg(a \otimes a) \otimes a] \\
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**Classical:** If  $\neg\neg a \in \mathcal{S}$  then  $a \in \mathcal{S}$ .

**Examples:**

- Complete Boolean algebras
- realizability models in  $L^\otimes$

# Conjunctive algebras

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 s_1^\otimes &\triangleq \lambda_{a \in \mathcal{A}} \neg [\neg(a \otimes a) \otimes a] \\
 s_2^\otimes &\triangleq \lambda_{a, b \in \mathcal{A}} \neg [\neg a \otimes (a \otimes b)] \\
 s_3^\otimes &\triangleq \lambda_{a, b \in \mathcal{A}} \neg [\neg(a \otimes b) \otimes (b \otimes a)] \\
 s_4^\otimes &\triangleq \lambda_{a, b, c \in \mathcal{A}} \neg [\neg(\neg a \otimes b) \otimes (\neg(c \otimes a) \otimes (c \otimes b))] \\
 s_5^\otimes &\triangleq \lambda_{a, b, c \in \mathcal{A}} \neg [\neg(a \otimes (b \otimes c)) \otimes ((a \otimes b) \otimes c)]
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**Classical:** If  $\neg\neg a \in \mathcal{S}$  then  $a \in \mathcal{S}$ .

**Examples:**

- Complete Boolean algebras
- realizability models in  $L^\otimes$

# Internal logic

## Remark:

- in general, we only have:  $\frac{a \vdash_S b \quad a \in S}{\neg\neg b \in S}$
- $a \vdash_S b$  can be composed:

$$a \vdash_S b \quad \text{and} \quad b \vdash_S c \quad \text{implies} \quad a \vdash_S c$$

## Negation:

- |   |                           |
|---|---------------------------|
| • $\neg a \vdash_S a \xrightarrow{\circledast} \perp$ | • $a \vdash_S \neg\neg a$ |
| • $a \xrightarrow{\circledast} \perp \vdash_S \neg a$ | • $\neg\neg a \vdash_S a$ |

## Heyting Algebra

$$a \times b \triangleq a \otimes b \text{ and } a + b \triangleq \neg(\neg a \otimes \neg b)$$

- |                           |                      |   |
|---------------------------|----------------------|---|
| 1 $a \times b \vdash_S a$ | 2 $a \vdash_S a + b$ | 3 $a \vdash_S b \xrightarrow{\circledast} c \quad \text{iff} \quad a \times b \vdash_S c$ |
| 2 $a \times b \vdash_S b$ | 1 $b \vdash_S a + b$ |   |

# Internal logic

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## Negation:

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li><math>\neg a \vdash_S a \xrightarrow{\otimes} \perp</math></li> <li><math>a \xrightarrow{\otimes} \perp \vdash_S \neg a</math></li> </ul> | <ul style="list-style-type: none"> <li><math>a \vdash_S \neg\neg a</math></li> <li><math>\neg\neg a \vdash_S a</math></li> </ul> |
|--|--|

## Heyting Algebra

$$a \times b \triangleq a \otimes b \text{ and } a + b \triangleq \neg(\neg a \otimes \neg b)$$

- |  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>1 <math>a \times b \vdash_S a</math></li> <li>2 <math>a \times b \vdash_S b</math></li> </ul> | <ul style="list-style-type: none"> <li>3 <math>a \vdash_S a + b</math></li> <li>4 <math>b \vdash_S a + b</math></li> </ul> | <ul style="list-style-type: none"> <li>5 <math>a \vdash_S b \xrightarrow{\otimes} c \quad \text{iff}</math></li> <li>6 <math>a \times b \vdash_S c</math></li> </ul> |
|--|--|--|

# Internal logic

## Remark:

- in general, we only have:  $\frac{a \vdash_S b \quad a \in S}{\neg\neg b \in S}$
- $a \vdash_S b$  can be composed:

$$a \vdash_S b \quad \text{and} \quad b \vdash_S c \quad \text{implies} \quad a \vdash_S c$$

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- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li><math>\neg a \vdash_S a \xrightarrow{\otimes} \perp</math></li> <li><math>a \xrightarrow{\otimes} \perp \vdash_S \neg a</math></li> </ul> | <ul style="list-style-type: none"> <li><math>a \vdash_S \neg\neg a</math></li> <li><math>\neg\neg a \vdash_S a</math></li> </ul> |
|--|--|

## Heyting Algebra

$$a \times b \triangleq a \otimes b \text{ and } a + b \triangleq \neg(\neg a \otimes \neg b)$$

- |  |  |   |
|--|--|---|
| <b>1</b> $a \times b \vdash_S a$<br><b>2</b> $a \times b \vdash_S b$ | <b>3</b> $a \vdash_S a + b$<br><b>4</b> $b \vdash_S a + b$ | <b>5</b> $a \vdash_S b \xrightarrow{\otimes} c \quad \text{iff}$<br>$a \times b \vdash_S c$ |
|--|--|---|

# $\lambda$ -calculus

## $\lambda$ -calculus

$$\lambda f \triangleq \bigwedge_{a \in \mathcal{A}} (a \mapsto f(a)) \quad ab \triangleq \bigwedge \{\neg\neg c : a \preccurlyeq b \mapsto c\}$$

## Properties

- If  $a \in \mathcal{S}$  and  $b \in \mathcal{S}$  then  $ab \in \mathcal{S}$ .
- $(\lambda f)a \preccurlyeq \neg\neg f(a)$

## Combinators

1  $s \in \mathcal{S}$

2  $k \in \mathcal{S}$

## $\lambda$ -calculus

If  $\mathcal{S}$  is classical and  $t$  is a closed  $\lambda$ -term, then  $t^{\mathcal{A}} \in \mathcal{S}$ .

# $\lambda$ -calculus

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## Properties

- If  $a \in \mathcal{S}$  and  $b \in \mathcal{S}$  then  $ab \in \mathcal{S}$ .
- $(\lambda f)a \preccurlyeq \neg\neg f(a)$

## Combinators

①  $s \in \mathcal{S}$

②  $\kappa \in \mathcal{S}$

## $\lambda$ -calculus

If  $\mathcal{S}$  is classical and  $t$  is a closed  $\lambda$ -term, then  $t^{\mathcal{A}} \in \mathcal{S}$ .

# Conjunctive tripos

Great news:

## Theorem

If  $(\mathcal{A}, \preccurlyeq, \rightarrow, \mathcal{S})$  is a classical conjunctive algebra, the functor:

$$\mathcal{T} : I \mapsto \mathcal{A}^I / \mathcal{S}[I] \quad \mathcal{T}(f) : \begin{cases} \mathcal{A}^I / \mathcal{S}[I] & \rightarrow \mathcal{A}^J / \mathcal{S}[J] \\ [(a_i)_{i \in I}] & \mapsto [(a_{f(j)})_{j \in J}] \end{cases}$$

(where  $f : J \rightarrow I$ ) defines a tripos.

# Duality, the come-back

## Structures

- ❶  $(\mathcal{A}, \preccurlyeq, \otimes, \neg)$  conjunctive str.  $\Rightarrow (\mathcal{A}, \succcurlyeq, \otimes, \neg)$  disjunctive str.
- ❷  $(\mathcal{A}, \preccurlyeq, \wp, \neg)$  disjunctive str.  $\Rightarrow (\mathcal{A}, \succcurlyeq, \wp, \neg)$  conjunctive str.

## Algebras

- ❶ If  $(\mathcal{A}^\wp, \mathcal{S}^\wp)$  is a  $\wp$ -algebra, then  $\neg^{-1}(\mathcal{S}^\wp) = \{a : \neg a \in \mathcal{S}^\wp\}$  is a valid separator for the dual  $\otimes$ -structure.
- ❷ If  $(\mathcal{A}^\otimes, \mathcal{S}^\otimes)$  is a  $\otimes$ -algebra, then  $\neg^{-1}(\mathcal{S}^\otimes) = \{a : \neg a \in \mathcal{S}^\otimes\}$  is a valid separator for the dual  $\wp$ -structure.

## Triposes

Let  $(\mathcal{A}, \mathcal{S})$  be a  $\wp$ -algebra and  $(\bar{\mathcal{A}}, \bar{\mathcal{S}})$  its dual  $\otimes$ -algebra. The family:

$$\phi_I : \begin{cases} \bar{\mathcal{A}}/\bar{\mathcal{S}}[I] & \rightarrow \mathcal{A}/\mathcal{S}[I] \\ [a_i] & \mapsto [\neg a_i] \end{cases}$$

defines a tripos isomorphism.

# Duality, the come-back

## Structures

- ①  $(\mathcal{A}, \preccurlyeq, \otimes, \neg)$  conjunctive str.  $\Rightarrow (\mathcal{A}, \succcurlyeq, \otimes, \neg)$  disjunctive str.
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## Triposes

Let  $(\mathcal{A}, \mathcal{S})$  be a  $\wp$ -algebra and  $(\bar{\mathcal{A}}, \bar{\mathcal{S}})$  its dual  $\otimes$ -algebra. The family:

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- ② If  $(\mathcal{A}^\otimes, \mathcal{S}^\otimes)$  is a  $\otimes$ -algebra, then  $\neg^{-1}(\mathcal{S}^\otimes) = \{a : \neg a \in \mathcal{S}^\otimes\}$  is a valid separator for the dual  $\wp$ -structure.

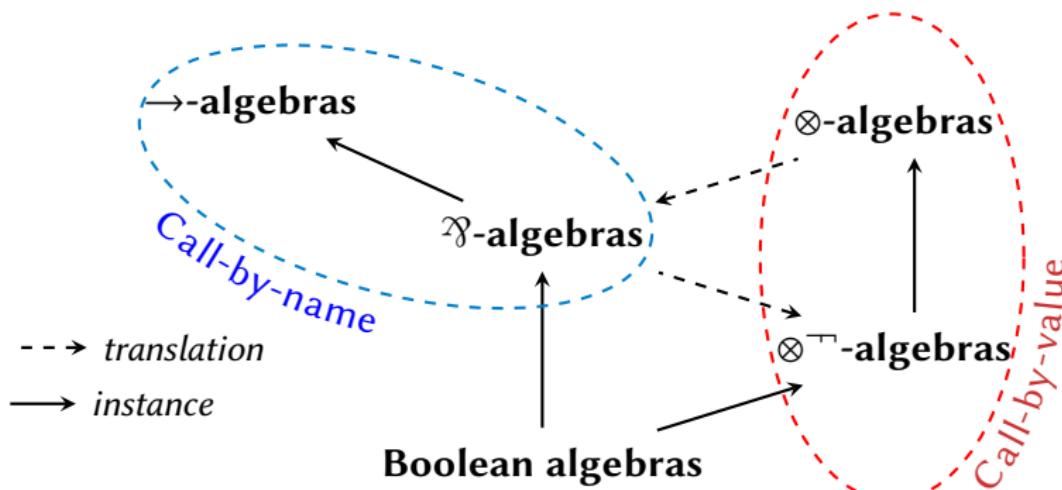
## Triposes

Let  $(\mathcal{A}, \mathcal{S})$  be a  $\wp$ -algebra and  $(\bar{\mathcal{A}}, \bar{\mathcal{S}})$  its dual  $\otimes$ -algebra. The family:

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defines a tripos isomorphism.

# Final picture



## Fun fact

Oliva & Streicher (2008)

Krivine = Kleene  $\circ$  Friedman

**Definition 8.1** (Definition of the negative translation). The formula  $A^\perp$  is defined by induction on  $A$  by the equations

$$\begin{array}{ll} (X(e_1, \dots, e_k))^\perp \equiv X(e_1, \dots, e_k) & (\text{null}(e))^\perp \equiv \text{null}(\text{neg}(e)) \\ (A \Rightarrow B)^\perp \equiv A^\perp \wedge B^\perp & (\forall x A)^\perp \equiv \exists x A^\perp \\ (\{e\} \Rightarrow B)^\perp \equiv \text{nat}(e) \wedge B^\perp & (\forall X A)^\perp \equiv \exists X A^\perp \end{array}$$

(using the unary function ‘neg’ defined in section 2.1), whereas the formula  $A^{\neg\neg}$  is defined as  $A^{\neg\neg} \equiv \neg RA^\perp \equiv A^\perp \Rightarrow R$ .

# Fun fact

Oliva & Streicher (2008)

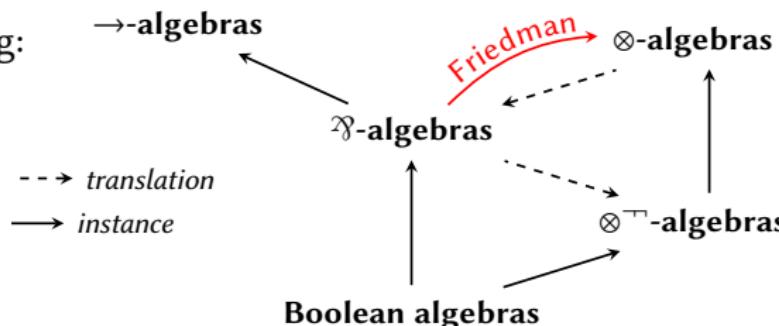
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**Definition 8.1** (Definition of the negative translation). The formula  $A^\perp$  is defined by induction on  $A$  by the equations

$$\begin{array}{ll} (X(e_1, \dots, e_k))^\perp \equiv X(e_1, \dots, e_k) & (\text{null}(e))^\perp \equiv \text{null}(\text{neg}(e)) \\ (A \Rightarrow B)^\perp \equiv A^{\neg\neg} \wedge B^\perp & (\forall x A)^\perp \equiv \exists x A^\perp \\ (\{e\} \Rightarrow B)^\perp \equiv \text{nat}(e) \wedge B^\perp & (\forall X A)^\perp \equiv \exists X A^\perp \end{array}$$

(using the unary function ‘neg’ defined in section 2.1), whereas the formula  $A^{\neg\neg}$  is defined as  $A^{\neg\neg} \equiv \neg_R A^\perp \equiv A^\perp \Rightarrow R$ .

In our setting:



# Conclusion

What we have:

- **Disjunctive algebras**, particular cases of implicative ones
- **Conjunctive algebras**, harder to handle
- **Duality** between conjunctive and disjunctive algebras

What is left:

- ➊ By-value implicative algebras:
  - Does it exist?
  - Relation to (by-name) implicative algebras?
  - Tripos equivalence ?
- ➋ Combination of disjunctive and conjunctive algebras:
  - Would it collapse to a forcing situation?
  - Any chance to get call-by-push-value algebras?
- ➌ Algebraic counterpart of strategy/side-effects ? (lazy? memory? )
- ➍ What about toposes? (remark from Van Oosten/Zou's paper)

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