On the transport of finiteness structures

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mainly based on joint work with Christine Tasson:
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Sets and relations

Definition
The category $\text{Rel}$ of sets and relations has sets as objects and relations as morphisms: $f \in \text{Rel}(A, B) \iff f \subseteq A \times B$.
Relational composition is given by:

$$(\alpha, \gamma) \in g \circ f \iff \exists \beta, (\alpha, \beta) \in f \land (\beta, \gamma) \in g.$$

$\text{Rel}$ as a model of linear logic

- compact closed: $\otimes = \times$ and $f^\perp = t^f$;
- cartesian and cocartesian: $\sqcup$ is a biproduct;
- exponential structure: given by the comonad $! = \mathcal{M}_f$. 
The relational model of the λ-calculus

**Apply the co-Kliesli construction**

$\text{Rel}^!(A, B) = \text{Rel}(!A, B)$ with composition given by:

$$g \circ^! f = \left\{ \left( \sum_{i=1}^{n} \alpha_i, \gamma \right); \exists ([\beta_1, \ldots, \beta_n], \gamma) \in g \land \forall i, (\alpha_i, \beta_i) \in f \right\}$$
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\(\text{Rel}^!\) is cartesian closed with product \(\sqcup\).

Moreover it is cpo-enriched (for set inclusion).
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A key intuition
Apply the co-Kliesli construction

\[ \text{Rel}^!(A, B) = \text{Rel}(!A, B) \]

with composition given by:

\[ g \circ^! f = \left\{ \left( \sum_{i=1}^{n} \overline{\alpha}_i, \gamma \right) ; \exists ([\beta_1, \ldots, \beta_n], \gamma) \in g \land \forall i, (\overline{\alpha}_i, \beta_i) \in f \right\} \]

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\]

\text{Rel}^! is cartesian closed with product \( \sqcup \).

Moreover it is cpo-enriched (for set inclusion).

A key intuition

Morphisms in \text{Rel}^! are the support of power series.
Quantitative semantics

Idea (Girard, pre-LL)

Interpret a term $s$ as a linear combination: $\langle s \rangle = \sum_{\alpha \in [s]} \langle s \rangle \alpha \alpha$

so that application is given by:

$$\langle s t \rangle_{\beta} = \sum_{(\bar{\alpha}, \beta) \in [s]} \langle s \rangle_{(\bar{\alpha}, \beta)} \langle t \rangle_{\bar{\alpha}}$$

where $\langle t \rangle_{[\alpha_1, \ldots, \alpha_k]} = \langle t \rangle_{\alpha_1} \cdots \langle t \rangle_{\alpha_k}$. 
Quantitative semantics

Idea (Girard, pre-LL)

Interpret a term $s$ as a linear combination: $(s) = \sum_{\alpha \in [s]} (s)_\alpha \alpha$

so that application is given by:

$$(s \, t)_\beta = \sum_{(\overline{\alpha}, \beta) \in [s]} (s)_{(\overline{\alpha}, \beta)} \, (t)_{\overline{\alpha}}$$

where $(t)^{[\alpha_1, \ldots, \alpha_k]} = (t)_{\alpha_1} \cdots (t)_{\alpha_k}$.

We need some notion of convergence!
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We need some notion of convergence!

Such intuitions were at the core of the invention of linear logic.
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We need some notion of convergence!

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Finiteness spaces (Ehrhard, 2000’s)

In a typed setting, the sum is always finite.

Led to the introduction of the differential $\lambda$-calculus

(Ehrhard–Regnier, 2004): differentiation as a natural transformation $A \otimes !A \to !A$. 
Finiteness spaces

Short version
The category $\mathbf{Fin}$ of finiteness spaces is the tight orthogonality category (in the sense of Hyland–Schalk, 2003) obtained from $\mathbf{Rel}$ by setting:

$$a \perp_A a' \iff a \cap a' \in \mathcal{P}_f(A)$$
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More explicitly

- A finiteness space is a pair $(|A|, \mathcal{F}(A))$ s.t. $|A|$ is a set and $\mathcal{F}(A) = \mathcal{F}(A)^\perp \subseteq \mathcal{P}(|A|)$.
- A finitary relation $f \in \text{Fin}(A, B)$ is a relation $f \in \text{Rel}(|A|, |B|)$ s.t.:
  - $a \in \mathcal{F}(A)$ implies $f \cdot a \in \mathcal{F}(B)$;
  - $b' \in \mathcal{F}(B^\perp)$ implies $^tf \cdot b' \in \mathcal{F}(A^\perp)$. 
Finiteness spaces as a model of linear logic

**Short version**

All the constructions for multiplicative, additive and exponential structure work out as described by Hyland and Schalk.

Moreover, all this structure is preserved by the forgetful functor $|−| : \text{Fin} \rightarrow \text{Rel}$. 
**Finiteness spaces as a model of linear logic**

**Short version**
All the constructions for multiplicative, additive and exponential structure work out as described by Hyland and Schalk.

Moreover, all this structure is preserved by the forgetful functor $\|−\| : \text{Fin} \to \text{Rel}$.

**In other words**
The relational interpretation of linear logic (or typed $\lambda$-calculus) is always finitary.
Finiteness spaces as a model of linear logic

Short version
All the constructions for multiplicative, additive and exponential structure work out as described by Hyland and Schalk.

Moreover, all this structure is preserved by the forgetful functor \( |−| : \text{Fin} \to \text{Rel} \).

In other words
The relational interpretation of linear logic (or typed \( \lambda \)-calculus) is always finitary.

But...
One must prove that these constructions do provide the necessary structure “by hand”.

For instance, the associativity of \( \otimes \) follows from the fact that
\[
\{a \times b; \ a \in \mathcal{F}(A), b \in \mathcal{F}(B)\}^{\bot\bot} = \{c \subseteq |A \otimes B|; \ c_1 \in \mathcal{F}(A), c_2 \in \mathcal{F}(B)\}.
\]
Theorem (Transport [Tasson–V. 2011])

Let $f$ be a relation from $A$ to $|\mathcal{B}|$ such that

$$f \cdot \alpha \in \mathcal{F}(\mathcal{B}) \text{ for all } \alpha \in A.$$  

Then

$$\mathcal{F} = \{ a \subseteq A; \ f \cdot a \in \mathcal{F}(\mathcal{B}) \}$$

is a finiteness structure on $A$. 
Transporting a finiteness structure

Theorem (Transport [Tasson–V. 2011])

Let \( f \) be a relation from \( A \) to \( |B| \) such that

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f \cdot \alpha \in \mathcal{F}(B) \quad \text{for all} \quad \alpha \in A.
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Then

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is a finiteness structure on \( A \).

Remark

This means \( f \) maps finite subsets to finitary subsets, which is necessary for \( \mathcal{F} \) to contain all finite subsets of \( A \).
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More precisely:

$$\mathcal{F} = \{f \setminus b; \ b \in \mathcal{F}(\mathcal{B}) \}^\perp\!\!\perp.$$
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Then

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is a finiteness structure on $A$.

More precisely:

$$\mathcal{F} = \{ f \upharpoonright b; b \in \mathcal{F}(B) \}^{\perp\perp}.$$ 

Definition

$$f \upharpoonright b = \bigcup \{ a \subseteq A; f \cdot a \subseteq b \}$$
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Theorem (Transport [Tasson–V. 2011])

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$$f \cdot \alpha \in \mathcal{F}(B) \text{ for all } \alpha \in A.$$ 

Then

$$\mathcal{F} = \{a \subseteq A; \ f \cdot a \in \mathcal{F}(B)\}$$ 

is a finiteness structure on $A$.

More precisely:

$$\mathcal{F} = \{f \downarrow b; \ b \in \mathcal{F}(B)\}_{\perp\perp}.$$ 

Example

Consider the set $\mathcal{M}_f(|B|)$ and the support relation $\sigma$. 
Then $\sigma \cdot \overline{b} = supp(\overline{b})$, $f \downarrow b = b^! = \mathcal{M}_f(b)$ and

$$\mathcal{F}(!B) = \{b^!; \ b \in \mathcal{F}(B)\}_{\perp\perp} = \{\overline{b} \subseteq |!B|; \ supp(\overline{b}) \in \mathcal{F}(B)\}.$$
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Theorem (Transport [Tasson–V. 2011])

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f \cdot \alpha \in \mathcal{F}(B) \quad \text{for all } \alpha \in A.
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Then

\[
\mathcal{F} = \{ a \subseteq A; \ f \cdot a \in \mathcal{F}(B) \}
\]

is a finiteness structure on \( A \).

More precisely:

\[
\mathcal{F} = \{ f \backslash b; \ b \in \mathcal{F}(B) \}^{-\perp}.
\]

Sketch of proof.

Take \( a \in \{ f \backslash b; \ b \in \mathcal{F}(B) \}^{-\perp} \) and \( b' \in \mathcal{F}(B^\perp) \), and find (using AC) \( a' \subseteq_f A \) s.t.

\[
f \cdot a \cap b' \subseteq f \cdot a'.
\]

(Very similar to the characterization of \(!A\) in Ehrhard’s paper.)
Transporting a finiteness structure

Theorem (Transport [Tasson–V. 2011])

Let \( f_i \) be a relation from \( A \) to \( |B_i| \) such that

\[
f_i \cdot \alpha \in \mathcal{F}(B_i) \quad \text{for all } \alpha \in A.
\]

Then

\[
\mathcal{F} = \{ a \subseteq A; \ f_i \cdot a \in \mathcal{F}(B_i), \ \forall i \in I \}
\]

is a finiteness structure on \( A \).

More precisely:

\[
\mathcal{F} = \{ \bigcap_{i \in I} f \setminus b_i; \ b_i \in \mathcal{F}(B_i), \ \forall i \in I \}^{\perp \perp}.
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Let $f_i$ be a relation from $A$ to $|B_i|$ such that

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$$\mathcal{F} = \{a \subseteq A; f_i \cdot a \in \mathcal{F}(B_i), \forall i \in I\}$$

is a finiteness structure on $A$.

More precisely:

$$\mathcal{F} = \left\{ \bigcap_{i \in I} f \setminus b_i; b_i \in \mathcal{F}(B_i), \forall i \in I \right\} \perp \perp.$$

Example

Consider the set $|A| \times |B|$ and the projection relations. Then

$$\{a \times b; a \in \mathcal{F}(A), b \in \mathcal{F}(B)\} \perp \perp = \{c \subseteq |A \otimes B|; c_1 \in \mathcal{F}(A), c_2 \in \mathcal{F}(B)\}. $$
Transport is functorial

**Theorem (Transport functors [Tasson–V. 2011])**

Assume $T : \text{Rel} \to \text{Rel}$ is a functor on relations, and $\phi : T \Rightarrow 1_{\text{Rel}}$ is an almost-functional lax natural transformation.

Then the following defines a functor $\mathcal{T} : \text{Fin} \to \text{Fin}$ with web $T$:

- for all $A \in \text{Fin}$, $|\mathcal{T}A| = T|A|$ and $\mathcal{F}(\mathcal{T}A)$ is transported from $\mathcal{F}(A)$ along $\phi_{|A|}$;
- for all $f \in \text{Fin}(A, B)$, $\mathcal{T}f = Tf$. 
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Then the following defines a functor $T : \text{Fin} \to \text{Fin}$ with web $T$:

- for all $A \in \text{Fin}$, $|TA| = T|A|$ and $\mathcal{F}(TA)$ is transported from $\mathcal{F}(A)$ along $\phi|A|$;
- for all $f \in \text{Fin}(A, B)$, $Tf = Tf$.

Definition

$\phi : T \Rightarrow U$ is lax natural if $\phi_B \circ Tf \subseteq Uf \circ \phi_A$

Example

The support relation $\sigma : M_f \Rightarrow 1_{\text{Rel}}$. 
Transport is functorial

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Assume $T : \textbf{Rel} \to \textbf{Rel}$ is a functor on relations, and

$\phi : T \Rightarrow 1_{\text{Rel}}$ is an almost-functional lax natural transformation.

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- for all $A \in \textbf{Fin}$, $|TA| = T |A|$ and $\mathcal{F}(TA)$ is transported from $\mathcal{F}(A)$ along $\phi|_{A}$;
- for all $f \in \textbf{Fin}(A, B)$, $Tf = Tf$.

**Definition**

$f : A \to B$ is almost-functional if $\alpha \cdot \in \mathcal{Y}_{f}(B)$ for all $\alpha \in A$.

In other words: $f$ preserves finite sets.

**Remark**

This ensures the transport theorem always applies.
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- for all $A \in \text{Fin}$, $|\mathcal{T}A| = T|A|$ and $\mathcal{F}(\mathcal{T}A)$ is transported from $\mathcal{F}(A)$ along $\phi_{|A|}$;
- for all $f \in \text{Fin}(A, B)$, $\mathcal{T}f = Tf$.

**Remark**

Preservation of identities and composition is trivially deduced from that of $T$. 
Transport is functorial

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Assume $T : \text{Rel} \to \text{Rel}$ is a functor on relations, and
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- for all $A \in \text{Fin}$, $|TA| = T|A|$ and $\mathcal{F}(TA)$ is transported from $\mathcal{F}(A)$ along $\phi|A|$;
- for all $f \in \text{Fin}(A, B)$, $Tf = Tf$.

Sketch of proof.
It only remains to prove $Tf \in \text{Fin}(TA, TB)$, i.e.:

- $\bar{a} \in \mathcal{F}(TA)$ implies $Tf \cdot \bar{a} \in \mathcal{F}(TB)$: by lax naturality;
- $\bar{b} \in \mathcal{F}(TB)^\perp$ implies $^t(Tf) \cdot \bar{b} \in \mathcal{F}(TA)^\perp$. 

\[\Box\]
Transport is functorial

Theorem (Transport functors [Tasson–V. 2011])

Assume $T : \text{Rel} \rightarrow \text{Rel}$ is a functor on relations, and $\phi : T \Rightarrow 1_{\text{Rel}}$ is an almost-functional lax natural transformation.

Then the following defines a functor $\mathcal{T} : \text{Fin} \rightarrow \text{Fin}$ with web $T$:

- for all $A \in \text{Fin}$, $|T A| = T |A|$ and $\mathcal{F}(T A)$ is transported from $\mathcal{F}(A)$ along $\phi|A|$;
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Sketch of proof.

It only remains to prove $T f \in \text{Fin}(T A, T B)$, i.e.:

- $\bar{a} \in \mathcal{F}(T A)$ implies $T f \cdot \bar{a} \in \mathcal{F}(T B)$: by lax naturality;
- $\bar{b} \in \mathcal{F}(T B)^\perp$ implies $t(T f) \cdot \bar{b} \in \mathcal{F}(T A)^\perp$: ???
Transport is functorial

Theorem (Transport functors [Tasson–V. 2011])

Assume $T : \text{Rel} \to \text{Rel}$ is a functor on relations, and $\phi : T \Rightarrow 1_{\text{Rel}}$ is an almost-functional lax natural transformation.

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- for all $A \in \text{Fin}$, $|\mathcal{T}A| = T|A|$ and $\mathcal{T}(\mathcal{T}A)$ is transported from $\mathcal{T}(A)$ along $\phi|A|$;
- for all $f \in \text{Fin}(A, B)$, $\mathcal{T}f = Tf$.

Counter-example The functor $-\infty$ of streams, equipped with the obvious support relation, does not preserve finitary relations!

E.g. the total endorelation is finitary on $2$, but not on $2^{\infty}$. 
Transport is functorial (when it contains finite data)

Theorem (Transport functors [Tasson–V. 2011])

Assume $T : \text{Rel} \to \text{Rel}$ is a symmetric functor on relations, and $\phi : T \Rightarrow 1_{\text{Rel}}$ is an almost-functional lax natural transformation. Assume moreover that there exists a shape relation on $(T, \phi)$. Then the following defines a functor $T : \text{Fin} \to \text{Fin}$ with web $T$:

$\triangleright$ for all $A \in \text{Fin}$, $|TA| = T|A|$ and $\mathcal{F}(TA)$ is transported from $\mathcal{F}(A)$ along $\phi_{|A|}$;

$\triangleright$ for all $f \in \text{Fin}(A, B)$, $Tf = Tf$.

Definition

A shape relation on $(T, \phi)$ is an almost-functional lax natural transformation $\mu$ from $T$ to a constant functor $Z$ such that:

for all $\bar{a} \subseteq TA$, $\bar{a}$ is finite as soon as $\phi_A \cdot \bar{a}$ and $\mu_A \cdot \bar{a}$ are.

$T$ is symmetric if $T^t f = ^t T f$. 
What is transport good for?

Constructing finiteness spaces
e.g., the finiteness space of binary trees with nodes in $|\mathcal{A}|$ and leaves in $|\mathcal{B}|$, with finitess structure given by bounded height, finitary $\mathcal{A}$-support and finitary $\mathcal{B}$-support.
What is transport good for?

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\ldots functorially

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Characterize the least fixpoints of a large class of functors among which those for algebraic datatypes.
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Provided a finitary semantics of typed recursion [Tasson–V., 2011]
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Characterize the least fixpoints of a large class of functors among which those for algebraic datatypes.
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Higher order linear logic?
Transport of other structures

Coherence spaces

Let $f$ be a relation from $A$ to $|B|$ such that $f \cdot \alpha \in \mathcal{C}(B)$ for all $\alpha \in A$. Then

$$\mathcal{C} = \{a \subseteq A; \ f \cdot a \in \mathcal{C}(B)\}$$

is a coherence on $A$.

More precisely: $\mathcal{C} = \{f \ | b; \ b \in \mathcal{C}(B)\}^{\perp \perp}$. 
Coherence spaces

Let $f$ be a relation from $A$ to $|B|$ such that $f \cdot \alpha \in \mathcal{C}(B)$ for all $\alpha \in A$. Then

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Very easy.
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Let $f$ be a relation from $A$ to $|B|$ such that $f \cdot \alpha \in \mathcal{C}(B)$ for all $\alpha \in A$. Then

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More precisely: $\mathcal{C} = \{f \backslash b; \ b \in \mathcal{C}(B)\}^{\perp\perp}$. Very easy.

Totality spaces

Fail ???
Transport and orthogonality

They play complementary roles:

- orthogonality provides the generic structure and axioms;
- transport provides a simple characterization and allows to prove the axioms.
Transport and orthogonality

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▶ orthogonality provides the generic structure and axioms;
▶ transport provides a simple characterization and allows to prove the axioms.

Towards a more general notion of transport?

▶ on top of orthogonality;
▶ restricted to webbed models (\textit{Rel}) or in an enriched setting.
Fin