

Lorentzian 3-manifolds of constant curvature -

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A Lorentzian 3-manifold is one endowed with a field of symmetric 2-forms of signature (+, +, -).

Examples:

- * $\mathbb{R}^{2,1} \simeq (\mathbb{S}L_2 \mathbb{R}, \text{Kill})$ (Minkowski space): curvature 0.
- * $AdS := (\mathbb{P}SL_2 \mathbb{R}, \text{Kill})$ (Anti-de Sitter space): curvature < 0 .
- * $dS := \{\text{Planes in } \mathbb{H}^3\}$: constant positive curvature.

The first 2 have interesting quotients, e.g. by free groups.

MAIN QUESTION: Are $\mathbb{R}^{2,1}$ -quotients renormalized limits of collapsing AdS quotients? (Yes!)

Let $G := \mathbb{P}SL_2 \mathbb{R} = SO_{2,1}^\circ \mathbb{R} = AdS = \text{Isom}^\circ(\mathbb{H}^2)$
 $\mathcal{K} := \mathbb{S}L_2 \mathbb{R} = \mathbb{S}O_{2,1} \mathbb{R} = \mathbb{R}^{2+1} = \{\text{Killing fields on } \mathbb{H}^2\}$
 $\text{Isom}^\circ(AdS) \simeq G \times G : (\gamma, \gamma') \circ g = \gamma' g \gamma^{-1}$
 $\text{Isom}^\circ(\mathbb{R}^{2+1}) \simeq G \times \mathcal{K} : (\gamma, u) \circ x = Ad(\gamma)x + u$
 (More later, with pictures!)

Let T be a discrete group-

THM 1 Let $(j, \rho): \Gamma \rightarrow G \times G = \text{Isom}^\circ(\text{AdS})$

be a representation with j Fuchsian.

Suppose $\exists f: \mathbb{H}^2 \rightarrow \mathbb{H}^2$ such that:

- * f is (j, ρ) -equivariant: $f(j(\gamma) \cdot p) = \rho(\gamma) \cdot f(p)$;
- * f is C -Lipschitz with $C < 1$: $d(f(p), f(q)) \leq C d(p, q)$.

Then $(j, \rho)(\Gamma)$ acts properly on AdS,
with quotient a bundle of geodesic circles
over the hyperbolic surface $j(\Gamma) \backslash \mathbb{H}^2$.

[Example: $\rho = \{1\}$ \rightsquigarrow unit tangent bundle!]

MOREOVER, all proper quotients of AdS are virtually
of this form.

What happens as $\rho \rightarrow j \dots$?

THM 2 Let $(j, u): \Gamma \rightarrow G \times \mathfrak{g} = \text{Isom}^\circ(\mathbb{R}^{2+1})$

be a representation with j Fuchsian.

Suppose \exists vector field X on \mathbb{H}^2 such that:

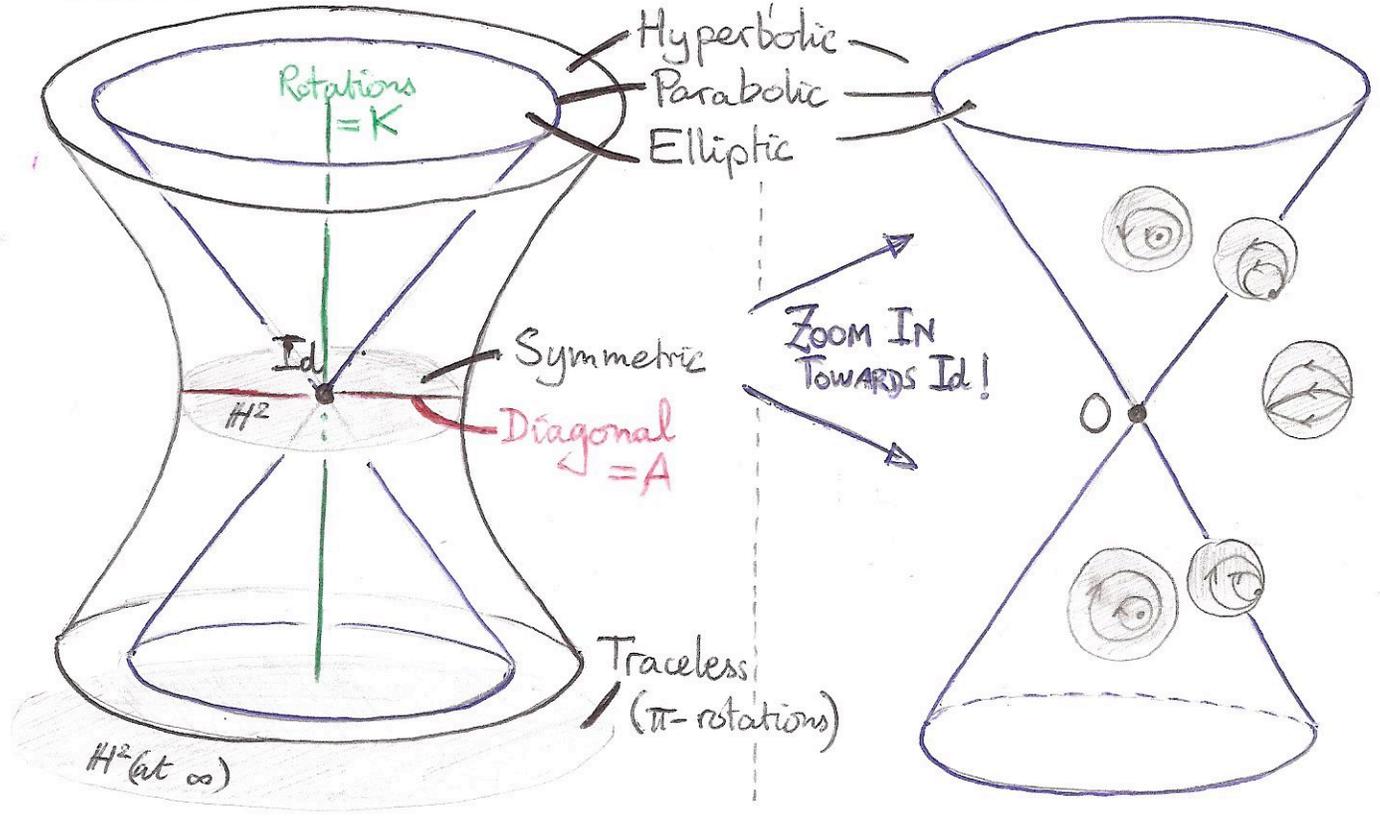
- * X is (j, u) -equivariant: $X(j(\gamma) \cdot p) = j(\gamma)_* X(p) + u(\gamma)(j(\gamma) \cdot p)$;
- * X is c -contracting
with $c < 0$: $\underbrace{\frac{d}{dt} \Big|_{t=0} d(\exp_p tX(p), \exp_q tX(q))}_{=: d'_X(p, q)} \leq c d(p, q)$.

Then $(j, u)(\Gamma)$ acts properly on \mathbb{R}^{2+1} , with quotient a bundle of
geodesic lines over the hyperbolic surface (with boundary) $j(\Gamma) \backslash \mathbb{H}^2$.

MOREOVER, all proper quotients of \mathbb{R}^{2+1} are virtually of this form,
provided their linear part j is convex-cocompact.

$= \text{Ison}^{\circ} \mathbb{H}^2$
 $\text{AdS} = \text{PSL}_2 \mathbb{R} \subset \mathbb{P}^3 \mathbb{R}$

Minkowski space:
 $\mathbb{R}^{2+1} \cong \{ \text{Killing fields on } \mathbb{H}^2 \}$



Conjugation fixes Id.

Adjoint action fixes 0.

Lines in AdS are of 3 types:

- $L_{p,p'} := \{ g \in \text{Ison } \mathbb{H}^2 \mid g(p) = p' \}$ for $p, p' \in \mathbb{H}^2$ (timelike: e.g. K)
- $L_{l,l'} := \{ \text{---} g(l) = l' \}$ — $l, l' \subset \mathbb{H}^2$ oriented lines (spacelike: e.g. A)
- $L_{\eta,\eta'} := \{ \text{---} g(\eta) = \eta' \}$ — $\eta, \eta' \subset \mathbb{H}^2$ horospheres (lightlike).

Similarly in Minkowski space: given $v \in T_p \mathbb{H}^2$,

- $L_{p,v} := \{ X \text{ Killing field on } \mathbb{H}^2 \mid X(p) = v \}$ is a timelike line of \mathbb{R}^{2+1}
- etc.

Veeringness: configurations of timelike lines.

AdS

Left-veering: $d(p',q') < d(p,q)$

Intersecting: $d(p',q') = d(p,q)$

Right-veering: $d(p',q') > d(p,q)$

$\mathbb{R}^{2,1}$

Left-veering: $d'_{\{v,w\}}(p,q) < 0$

Intersecting: $d'_{\{v,w\}}(p,q) = 0$

Right-veering: $d'_{\{v,w\}}(p,q) > 0$

PROOF OF THM 1 (\Rightarrow)

Suppose $f: \mathbb{H}_j^2 \xrightarrow{(C<1)\text{-Lip.}} \mathbb{H}_\rho^2$.

Aim: prove that $(j,\rho)(\Gamma)$ acts properly on $G = \text{PSL}_2$.

- The timelike lines

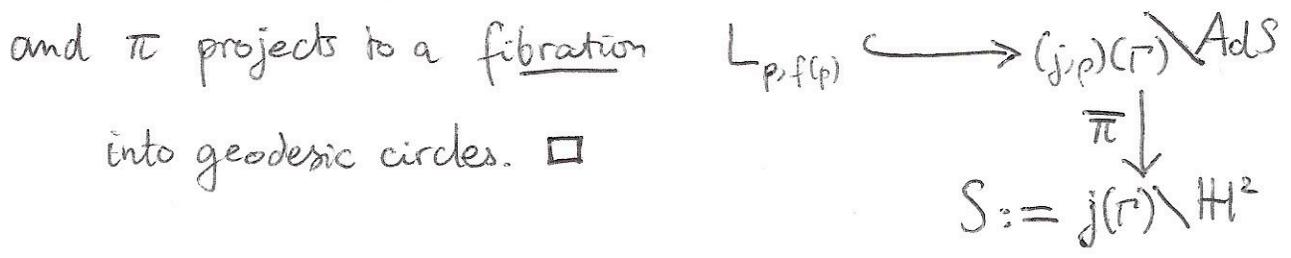
$$\{L_{p,f(p)}\}_{p \in \mathbb{H}^2} = \{g \in \text{AdS} \mid g(p) = f(p)\}_{p \in \mathbb{H}^2}$$

are pairwise left-veering (hence disjoint!)

since $d(f(p), f(q)) < d(p, q)$.

• Moreover, the $L_{p, f(p)}$ foliate AdS :
 $g \in L_{p, f(p)} \iff g(p) = f(p) \iff p \in \text{Fix}(g^{-1} \circ f)$
 holds for a unique $p \in \mathbb{H}^2$ since $g^{-1} \circ f$ is contracting.

• The map $AdS \xrightarrow{\pi} \mathbb{H}^2$ collapsing $L_{p, f(p)}$ to p
 is naturally equivariant for the (j, ρ) - and j -actions.
 Since $j(\Gamma)$ acts properly on the target \mathbb{H}^2 ,



NB: This circle bundle is oriented (past \rightarrow future),
 hence trivial if $\partial S \neq \emptyset$.
 If $\partial S = \emptyset$, its Euler class is that of ρ .

PROOF OF THM 2 (\Rightarrow)

Identical! Just substitute $L_{p, f(p)} \rightsquigarrow L_{p, X(p)}$
 $= \{Y \in \text{Kill}(\mathbb{H}^2) \mid Y(p) = X(p)\}$

and "Contracting maps $g^{-1} \circ f$ fix a point"
 \rightsquigarrow "Inward-pointing vector fields $X_{\text{Contr.}} - Y_{\text{Kill}}$ have a zero".

NB: The surface $S = j(\Gamma) \backslash \mathbb{H}^2$ must have boundary [Goldman-Fried], hence the oriented line bundle $L_{p, X(p)} \hookrightarrow (j, u)(\Gamma) \backslash \mathbb{R}^{2+1}$ is trivial.
 \downarrow
 $j(\Gamma) \backslash \mathbb{H}^2 = S$

COR: these spacetimes $(j, u)(\Gamma) \backslash \mathbb{R}^{2+1}$ are topologically handlebodies $\cong S \times \mathbb{R}$ [TAMENESS: of Choi/Goldman]

PROOF OF THM 1 (\Leftarrow)

Up to switching $j \leftrightarrow \rho$, we shall assume $\rho(\alpha)$ has smaller hyperbolic translation length than $j(\alpha)$, for at least some $\alpha \in \Gamma$.

To complete the proof of THM 1, we only need to assume the infimal Lipschitz constant for equivariant maps $f: \mathbb{H}_j^2 \rightarrow \mathbb{H}_\rho^2$ is $C \geq 1$, and check that $(j, \rho)(\Gamma)$ acts non-properly on $G = \text{PSL}_2 \mathbb{R}$.

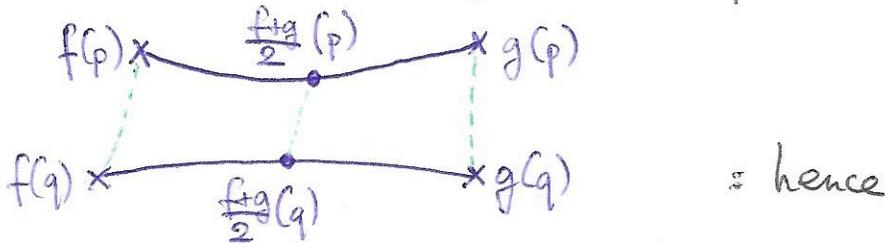
(i) Let $\mathcal{F}_C := \{f: \mathbb{H}_j^2 \xrightarrow{C\text{-Lip}} \mathbb{H}_\rho^2\} \longrightarrow \text{Cl}(\mathbb{H}^2)$
 $\emptyset \neq \Phi \text{ (Ascoli)} \quad f \longmapsto \Phi = \{p \in \mathbb{H}^2 \mid \forall \text{ nbhd } U \ni p, \text{ Lip}(f|_U) = C\}$

We call $\Phi(f)$ the stretch locus of f .

(ii) There exists $f_0 \in \mathcal{F}$ with smallest possible stretch locus:

$$\Phi(f_0) = \bigcap_{f \in \mathcal{F}} \Phi(f) =: \Phi(j, \rho)$$

Key idea: \mathcal{F} is convex for geodesic interpolation

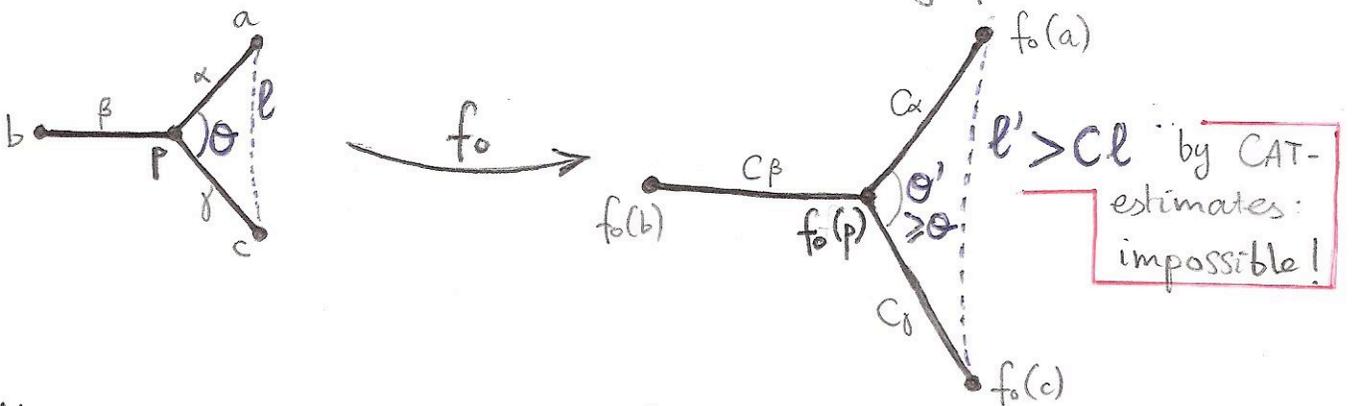


$$\left\{ \begin{aligned} \text{Lip}\left(\frac{f+g}{2}\right) &\leq \frac{\text{Lip}(f) + \text{Lip}(g)}{2} \end{aligned} \right.$$

$$\left\{ \begin{aligned} \Phi\left(\frac{f+g}{2}\right) &\subset \Phi(f) \cap \Phi(g) \end{aligned} \right. \quad \text{by convexity of } d: \mathbb{H}^2 \times \mathbb{H}^2 \rightarrow \mathbb{R}.$$

Thus, $f_0 = \sum_{i \geq 1} 2^{-i} f_i$ for $(f_i)_{i \geq 1}$ dense in \mathcal{F} will do!

(iii) If $C > 1$, then the optimal stretch locus $\Phi(j, \rho)$ cannot have branching points:



Hence, $\Phi(j, \rho)$ contains a (unique) germ of line through any of its points

i.e. $\Phi(j, \rho)$ is a geodesic lamination Λ . [Q Thurston]

If $C=1$, one similarly finds:

$\Phi(j,p)$ is a geodesic lamination, together with some complementary components isometrically preserved by any 1-Lipschitz $f: \mathbb{H}_j^2 \rightarrow \mathbb{H}_p^2$ in \mathcal{F} .

(iv) If $C=1$, then $f_0: \mathbb{H}_j^2 \rightarrow \mathbb{H}_p^2$ preserves some line $l \subset \mathbb{H}^2$ isometrically.

Picking orbit points $j(\gamma_n) \cdot p$ near l , we find

$$d(p, j(\gamma_n) \cdot p) = d(f(p), \rho(\gamma_n) \cdot f(p)) + \mathcal{O}(1)$$

hence $\rho(\gamma_n) K j(\gamma_n)^{-1} \cap K \neq \emptyset$

for some $K \subset \text{Isom}(\mathbb{H}^2)$ compact,
independent of n :

i.e. $(j,p)(\Gamma)$ acts non-properly on $G = \text{Isom} \mathbb{H}^2$.

(v) If $C > 1$, by following a (C -stretched) leaf of the lamination Λ , we find

$\beta \in \Gamma$ such that $\rho(\beta)$ has greater translation length than $j(\beta)$.

But $\alpha \in \Gamma$ has the opposite property!

Hence we can find $\gamma_n = \beta^{b_n} \alpha^{a_n}$ such that

$$d(p, j(\gamma_n) \cdot p) = d(f(p), \rho(\gamma_n) \cdot f(p)) + \mathcal{O}(1) \text{ as before:}$$

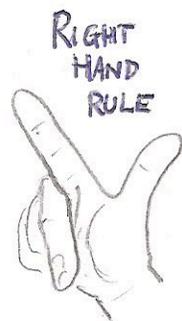
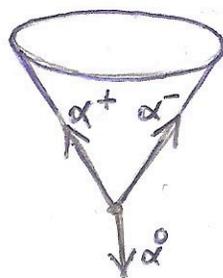
thus $(j,p)(\Gamma)$ acts non-properly on G . \square

PROOF OF THM 2 (\Leftarrow)

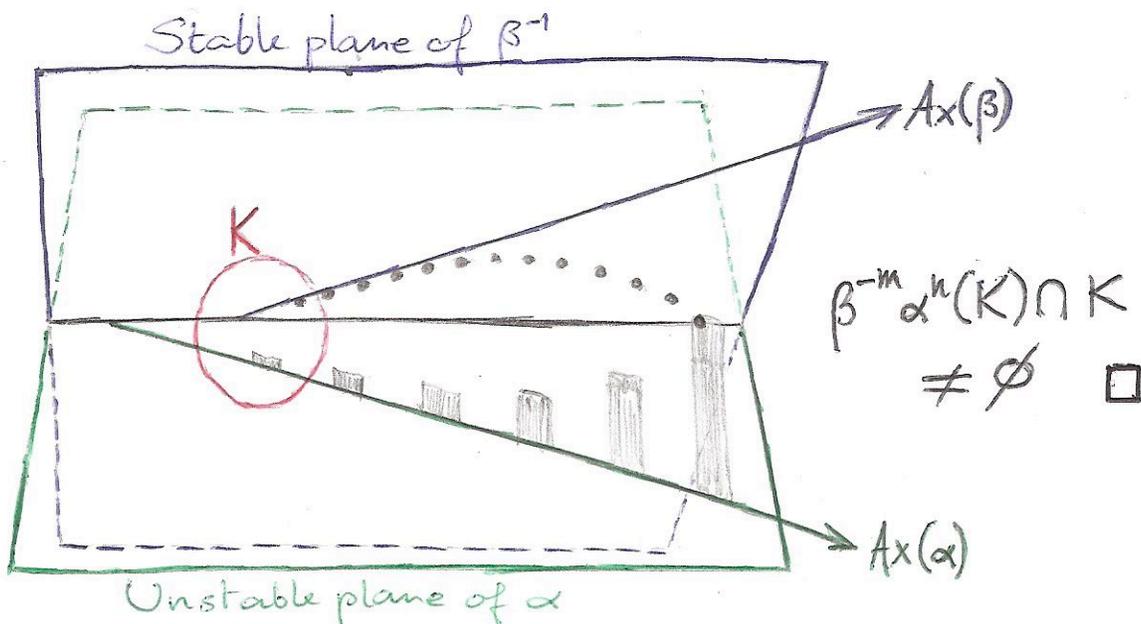
- Similar:
- optimal $c \in \mathbb{R}$ and contracting vector field X on \mathbb{H}^2 s.t. $\frac{d'_X(p,q)}{d(p,q)} \leq c$;
 - If $c \geq 0$ then $\frac{d'_X(\cdot, \cdot)}{d(\cdot, \cdot)} = c$ along some laminations;
 - The last step \heartsuit is then known as "Margulis's opposite sign lemma":

LEM

If α, β are affine isometries of \mathbb{R}^{2+1} (eigenvalues $e^a, 1, e^{-a}$ and $e^b, 1, e^{-b}$) whose translations along the neutral axes have opposite sign, then $\langle \alpha, \beta \rangle$ acts non-properly on \mathbb{R}^{2+1} .



PROOF:



GEOMETRIC TRANSITION

Is there more than an analogy between THM 1 & THM 2?

Note: \mathbb{R}^{2+1} , AdS $\subset \mathbb{P}^3 \mathbb{R}$

THM 3 Let $(j_t)_{t \geq 0}$ be Fuchsian, convex-cocompact

representations $\Gamma \rightarrow G = \text{PSL}_2 \mathbb{R}$, with

$\frac{d}{dt}|_{t=0} (j_t) = u$ and $(j_0, u)(\Gamma)$ acting properly on \mathbb{R}^{2+1} .

Then $(j_0, j_t)(\Gamma)$ acts properly on AdS for small enough t .

Moreover, the quotients converge, i.e. \exists developing maps

$$D_t : \tilde{S} \times S^1 \longrightarrow \mathbb{P}^3 \mathbb{R} \quad (S := j_0(\Gamma) \backslash \mathbb{H}^2)$$

$(\Gamma, (j_0, j_t))$ -equivariant

$t \rightarrow 0$ \downarrow converging (after restriction) to

$$D_0 : \tilde{S} \times (S^1 \setminus \{*\}) \longrightarrow \mathbb{R}^3 \subset \mathbb{P}^3 \mathbb{R}$$

$= \tilde{S} \times \mathbb{R} \quad (\Gamma, (j_0, u))$ -equivariant

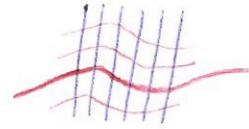
PROOF What is the issue?

⊛ Make sure the AdS fibrations converge to \mathbb{R}^{2+1} fibrations,

i.e. find $\left[\begin{array}{l} f_t : \mathbb{H}_{j_0}^2 \rightarrow \mathbb{H}_{j_t}^2 \\ \text{Lip}(f_t) < 1 \end{array} \right.$ with $\frac{d}{dt}|_{t=0} (f_t)$ a contracting vector field on \mathbb{H}^2 .

("Exchange Lip and lim")

⊗⊗ Promote the converging fibrations
 to converging product structures (the developing maps!)
 i.e. find converging sections



$$\sigma_t : \mathbb{H}^2 \longrightarrow G$$

$$p \longmapsto (\text{Some isometry taking } p \text{ to } f_t(p))$$

For ⊗:

Intuitively, f_t should be some "flow" on \mathbb{H}^2 directed by the contracting, (g,u) -equivariant vector field X .

How about $f_t(p) = \exp_p(tX(p))$?

Problem 1: forward flow destroys contractingness!



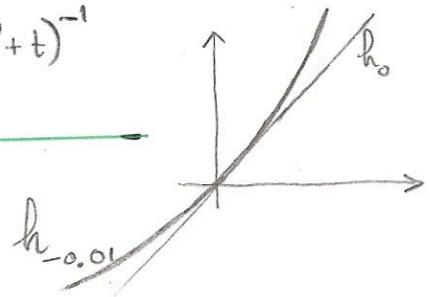
BUT backward flow is well behaved:

if $\text{lip}(X) := \sup_{p \neq q} d'_X(p,q)/d(p,q)$

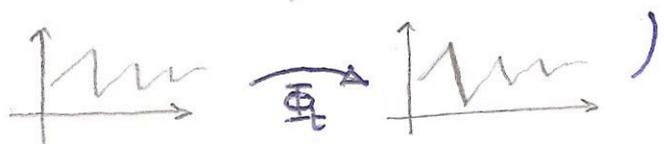
and $\Phi_t :=$ geodesic flow on $T\mathbb{H}^2$, then ($t < 0$)

CLAIM $\forall \xi, \eta \in T\mathbb{H}^2, \text{lip}\{\Phi_t(\xi), \Phi_t(\eta)\} \leq h_t(\text{lip}\{\xi, \eta\})$

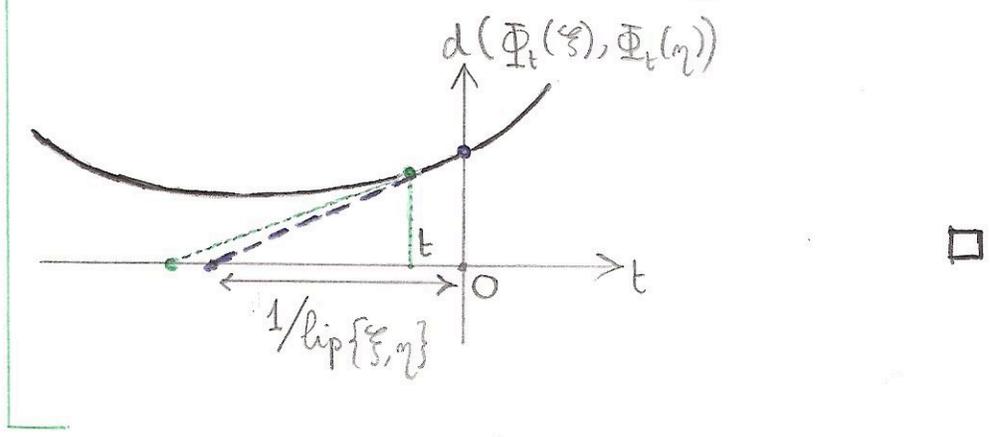
where $h_t(x) = \frac{x}{1+tx} = (x^{-1} + t)^{-1}$



(In dimension 1, Φ_t applies $\begin{pmatrix} 1 & t \\ & 1 \end{pmatrix}$ to the graph of any vector field on \mathbb{R} :



Proof of the Claim: Convexity of distance function.



Hence $X' := \Phi_{-0.01}(X)$ is almost as contracting, but much more regular than X .

We may flow forward again:

$$\begin{matrix} p \mapsto \exp_p(t X'(p)) \\ \mathbb{H}^2 \rightarrow \mathbb{H}^2 \end{matrix} \text{ is } (<1)\text{-Lipschitz for } t \leq 0.01.$$

Problem 2:

Flow preserves invariance $X(j(y) \cdot p) = j(y)_* X(p)$,
not equivariance $X(j(y) \cdot p) = j(y)_* X(p) + u(y)(j(y) \cdot p)$.

Solution: decompose

$$X = \frac{d}{dt} \Big|_{t=0} (\tilde{f}_t) + Y$$

\uparrow contracting (j_0, u) -equivariant poss. non-smooth
 \uparrow smooth (j_0, j_t) -equivariant poss. non-contracting
 \uparrow j_0 -invariant correction (poss. non-smooth)

and let only Y flow $(\hat{f}_t : \mathbb{H}_{j_0}^2 \rightarrow \mathbb{H}_{j_t}^2, p \mapsto \exp_p tY(p))$.

Then pick $f_t := \tilde{f}_t \circ \hat{f}_t : \mathbb{H}_{j_0}^2 \rightarrow \mathbb{H}_{j_t}^2$ □

For $\otimes \otimes$:

To promote the fibrations $(L_{p, f_t(p)})_{p \in \mathbb{H}^2}$ of AdS,

$$\text{given by } f_t = \tilde{f}_t \circ \hat{f}_t : \mathbb{H}_{j_0}^2 \rightarrow \mathbb{H}_{j_t}^2$$

$$p \mapsto f_t(p),$$

$$\text{to } \underline{\text{sections}} \quad p \mapsto g_t(p) \in L_{p, f_t(p)},$$

(an isometry of \mathbb{H}^2 taking p to $f_t(p)$)

any equivariant construction will do.

For example, pick

$$g_t(p) := \underbrace{\left(\text{Osculating isometry of } \tilde{f}_t \text{ near } \hat{f}_t(p) \right)}_{\text{equivariant motion}} \circ \underbrace{\left(\text{Loxodromy along } \exp_p RY(p) \text{ of length } \|Y(p)\| \right)}_{\text{invariant motion}} \quad \square$$