

# Combinatorics of billiards

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# Plan

- 1 General method
  - Partition
  - Complexity
- 2 Billiards
  - Polygons
  - Dimension  $d$
  - First return map
- 3 Isometries
  - Definitions
  - Properties
  - Rotations
  - Relationship with the billiard
  - Problems
- 4 Dual billiard
  - Definitions

# Symbolic dynamics

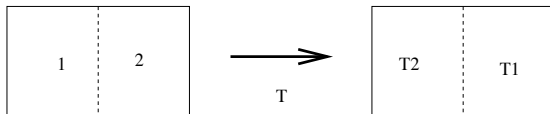
Consider a dynamical system  $(X, T)$ .

Assume there exists a partition  $(\mathcal{P}_i)_i$  of  $X$  in a finite number of cells.

The **coding** of an orbit  $(T^n m)_n$  is a sequence  $(v_n)$  defined as

$$v_n = i \iff T^n m \in \mathcal{P}_i.$$

$$\phi : m \mapsto (v_n)_{n \in \mathbb{N}}$$



Example of dynamical system with a partition

# Example

Two sequences:

$$\phi(m) = 12121212 \dots$$

$$\phi(p) = 21212121 \dots$$

1, 2 are called **letters**. The block  $v_i \dots v_{n-1+i}$  is called a finite word of length  $n$ .

For example 212121 is a **finite word** of length 6.

If the partition has some properties then there is a semi-conjugacy:

$$\begin{array}{ccc}
 X & \xrightarrow{T} & X \\
 \phi \downarrow & & \downarrow \phi \\
 \phi(X) & \xrightarrow{S} & \phi(X)
 \end{array}$$

where  $\phi(m) = (v_n)_n$  and  $S$  is the shift map.

We can study the system  $(\Sigma, S)$ , where  $\Sigma = \overline{\phi(X)}$ .

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- Polyhedral billiard.

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- Piecewise isometries.

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- Polyhedral billiard.
- Piecewise isometries.
- Dual billiard.

# Complexity

## Definition

If  $v$  is an infinite word, we define the COMPLEXITY function  $p(n, v)$  as the number of different words of length  $n$  inside  $v$ .

## Example

$v = abaaabbabbbaaa \dots$   $p(n, v) = 2^n$

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## Example

$v = abaaabbbbb \dots \quad p(n, v) \leq 6$

In fact we can compute two different complexities:

- The complexity of one word:  $p(n, v)$ .
- The complexity of the union of all the words:  $p(n)$ .

Let  $v$  be a word corresponding to the orbit of  $m$ , and  $\mathcal{L}_v$  its language. Consider the following language

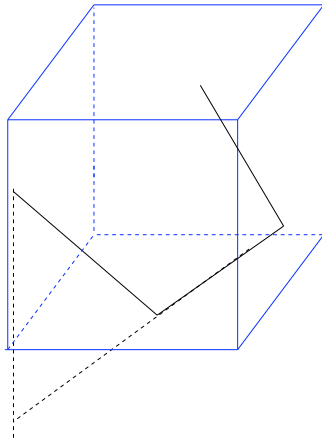
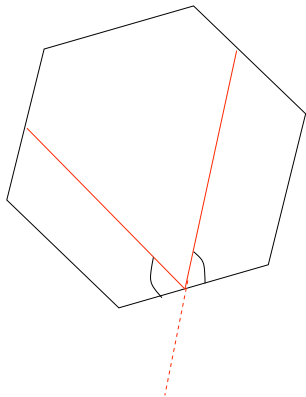
$$\mathcal{L} = \bigcup_m \mathcal{L}_v, \quad p(n) = \text{card} \mathcal{L}(n).$$

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# Trajectories



Reflections and billiard.

# Definition

Let  $P$  be a polyhedron of  $\mathbb{R}^d$ ,  $m \in \partial P$  and  $\omega \in \mathbb{R}\mathbb{P}^{d-1}$ .

The point moves along a straight line until it reaches the boundary of  $P$ .

On the face: orthogonal reflection of the line over the plane of the face.

$$T : X \longrightarrow \partial P \times \mathbb{R}\mathbb{P}^{d-1}.$$

$$T : (m, \omega) \mapsto (m', \omega').$$

If a trajectory hits an edge, it stops.

# Coding

## Definition

We associate one letter to each face of the polyhedron. In the case of the cube we give the same letters to the parallel faces.

## Definition

The complexity of the word  $v$  generated by the orbit of  $(m, \omega)$  is denoted by  $p(n, \omega)$ .

## Definition

Let  $P$  be a polyhedron in  $\mathbb{R}^d$ , and  $s_i$  the linear reflections over the faces of  $P$ . We denote by  $G(P)$  the group generated by all the reflections  $s_i$ :  $G(P) \subset O(d)$ .

The polyhedron is called **rational** if  $G(P)$  is finite.

Consider the orbit of one point  $(m, \omega)$ . Then the different directions are included inside  $G\omega$ , where  $\omega \in \mathbb{R}^3$ .

$$(T^n(m, \omega))_n \subset \partial P * G\omega.$$

Polygons	$p(n, \omega)$	$p(n)$
rational	$an + b$	$n^3$
Irrational	$n^{1+\varepsilon}$	??

$$\lim \frac{\log p(n)}{n} = 0.$$

## Theorem (Boshernitzan-Masur 1986)

*In any polygon we have*

$$\int_{S^1} \overline{p(n, \omega)} d\omega = Cn.$$

## Corollary

*For any  $\varepsilon > 0$  and almost every  $\omega$  we have*

$$\overline{p(n, \omega)} = O(n^{1+\varepsilon}).$$

Paper of Gutkin-Rams 2007

## Theorem (Arnoux-Mauduit-Shiokawa-Tamura; Baryshnikov; B 94-95-03)

*For the cubic billiard, under some hypothesis on  $\omega$ :*

- *In  $\mathbb{R}^3$ ,  $p(n, \omega) = n^2 + n + 1$ .*
- *In  $\mathbb{R}^{d+1}$ ,  $p(n, \omega) \sim n^d$ .*

## Remark

*For the square we obtain*

$$p(n, \omega) = n + 1.$$

## Theorem (B 07)

Consider a cube of  $\mathbb{R}^{d+1}$ , then we have:

- Fix  $n, d \in \mathbb{N}$ , then the map  $\omega \mapsto p(n, d, \omega)$  is constant on the set of  $B$  directions.
- Moreover if we denote it by  $p(n, d)$  we have

$$p(n+2, d) - 2p(n+1, d) + p(n, d) = d(d-1)p(n, d-2).$$

•

$$p(n, d, \omega) = \sum_{i=0}^{\min(n,d)} \frac{n!d!}{(n-i)!(d-i)!i!} \quad \forall n, d \in \mathbb{N}.$$



## Theorem (B 07)

*In any convex polyhedron the billiard map  $T$  fulfills:*

$$h_{\text{top}}(T) = 0.$$

## Theorem (B-Hubert 07)

*Consider the cube of  $\mathbb{R}^{d+1}$ , then there exists  $a, b > 0$  such that*

$$a \leq \frac{p(n)}{n^{3d}} \leq b.$$

	Square	Cube
$p(n, \omega)$	$n + 1$	$p(n, \omega) \sim n^d$
$p(n)$	$\sim n^3$	$p(n) \approx n^{3d}$

	Polygons	Polyhedrons
$p(n, \omega)$	$an + b$	$n^2 ?$
$p(n)$	$\approx n^3$	$n^6 ?$
Entropy	$h_{top} = 0$	$h_{top} = 0$

Let  $P$  be a rational polyhedron.

The billiard flow acts on  $P * \mathbb{RP}^2$ .

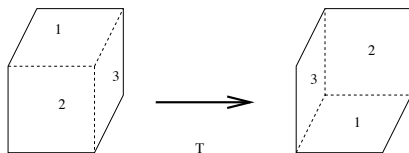
Consider the first return map of the billiard flow on a transverse set  $I * \{\omega\}$  where  $I$  is a rectangle:

### Lemma

*It is a piecewise isometry defined on the compact set  $I$ .*

# Cube

For the cube and a direction  $\omega$ , the return map  $T_I$  is a ROTATION on the torus  $\mathbb{T}^2$ .



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# Isometries

## Definition

Consider a finite number of hyperplanes  $H_i$  in  $\mathbb{R}^d$ .

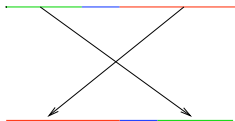
$$X = \mathbb{R}^d \setminus \bigcup H_i.$$

The map  $T$  is defined on the connected components of  $X$  as an isometry of  $\mathbb{R}^d$ .

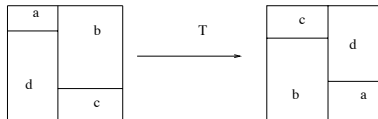
## Example

Interval exchanges:

- Piecewise isometry in dimension one, with translations.
- defined on a compact set,
- bijective



## Interval exchange



## Polygon exchange

### Theorem (Buzzi 2001)

*Every piecewise isometry has zero topological entropy.*

### Theorem (Bressaud-Poggiaspalla 06)

*Classification of piecewise isometries defined on a triangle.*



# Interval exchanges

For interval exchanges, there are a lot of results:

- Boshernitzan, Masur: ergodic properties of the subshift.
- Rauzy induction: first return map.
- Marmi-Moussa-Yoccoz: Cohomological equation.
- Ferenczi-Zamboni: characterization of the language.

## Remark

*For an interval exchange we have  $p(n, v) \leq an$ .*

- A two interval exchange is called a rotation.
- It corresponds to the map  $x \mapsto x + \alpha \pmod{1}$ .
- In the coding, the first interval has length  $1 - \alpha$ .
- $p(n, v) = n + 1$ .

# Rotations

## Theorem (Morse-Hedlund 1940.)

*Let  $v$  be an infinite word, assume there exists  $n$  such that  $p(n, v) \leq n$ . Then  $v$  is an ultimately periodic word.*

A word  $v$  such that  $p(n, v) = n + 1$  for all integer  $n$ , is called a Sturmian word.

## Theorem (Coven-Hedlund 1973)

*Let  $v$  be a sturmian word, then there exists  $m, \alpha$  in  $\mathbb{R}$  such that for the rotation of angle  $\alpha$  the orbit of  $m$  fulfills:*

$$\phi(m) = v.$$

# Global complexity

Consider the set of all rotations. **Computation** of  $p(n)$ .

Proof of:

- Tarannikov, Lipatov: (1982).
- Mignosi: (1991).
- Berstel-Pocchiola.
- Cassaigne-Hubert-Troubetzkoy.

## Theorem

For all integer  $n$ :

$$p(n) = \sum_{i=0}^n \phi(i)(n+1-i) \sim Cn^3,$$

where  $\phi$  is the Euler function.

# Dictionnary

Sturmian word.

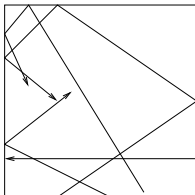


Rotation on the torus  $\mathbb{T}^1$ .



Coding of a billiard trajectory inside the square.

The complexity  $p(n)$  represents the number of different billiard words of length  $n$ .



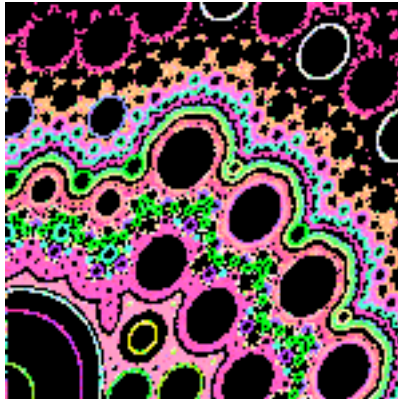
For another polygon there is no equivalence with the interval exchange words.

No general result on piecewise isometry in dimension two:

Problems:

- Classification of polygons exchanges.
- Minimality.
- Size of rational polyhedrons.
- Periodic islands.



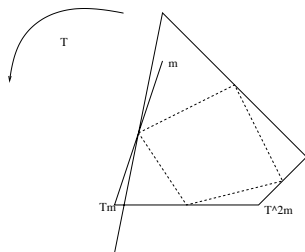


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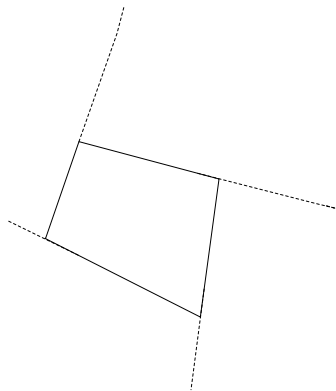
# Dual billiard

Consider a convex polygon in  $\mathbb{R}^2$ . Fix one orientation.



The billiard map is defined in  $\mathbb{R}^2 \setminus P$  by reflection through the vertices of  $P$ .

There is a natural coding with the following regions:



**Question** Compute  $p(n)$ , and describe the symbolic dynamics.

A polygon is rational if there exists a lattice which contains  $P$ .

### Theorem (Gutkin-Simanyi 92)

*For a rational polygon every orbit is periodic.*

*For a quasi-rational polygon every orbit is bounded.*

Every regular polygon is a quasi-rational polygon.

### Theorem (Tabachnikov 95)

*For the regular pentagon we have:*

- *almost all point has a periodic orbit.*
- *There exists some points with non periodic orbit.*
- *The set of non periodic point is a fractal set.*
- *Computation of Hausdorff dimension.*

## Theorem (Gutkin-Tabachnikov 06)

*If  $P$  is rational then  $an^2 \leq p(n) \leq bn^2$ .*

*If  $P$  is a quasi-rational polygon with  $k$  vertices, then*

$$an \leq p(n) \leq bn^{k+1}.$$

## Theorem (B-Cassaigne 08)

*Computation of  $p(n)$  for*

- *Triangle, square, regular hexagon, regular octagon.*
- *Regular pentagon.*

$$p(n) \sim Cn^2.$$

## Remark

*If  $h$  is an affine map, then  $h(P)$  has the same properties than  $P$ .*

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$$v = abaababaabaababa \dots$$

# Bispecial words

Let  $\mathcal{L}(n)$  the set of words of length  $n$  in a language. For  $v \in \mathcal{L}(n)$  let

$$s(n) = p(n+1) - p(n).$$

$$m_l(v) = \text{card}\{a \in \Sigma, \quad av \in \mathcal{L}(n+1)\}.$$

$$m_r(v) = \text{card}\{b \in \Sigma, \quad vb \in \mathcal{L}(n+1)\}.$$

$$m_b(v) = \text{card}\{(a, b) \in \Sigma^2, \quad avb \in \mathcal{L}(n+2)\}.$$

$$b(n) = \sum_{v \in \mathcal{L}(n)} (m_b(v) - m_r(v) - m_l(v) + 1).$$

## Definition

A word  $v$  is:

- right special if  $m_r(v) \geq 2$ ,
- left special if  $m_l(v) \geq 2$ ,
- bispecial if it is right and left special.

We have

## Lemma (Cassaigne 97)

*For all integer  $n$  we have*

$$s(n+1) - s(n) = b(n).$$

# Example

The left special words are

- $a$
- $ab$
- $aba$
- $abaa$
- Prefix of  $v$ .

One left special word for every length:

$$s(n) = 1.$$

$$p(n, v) = n + 1.$$

## Example

The right special words are

- $a$
- $ba$
- $aba$
- $aaba$
- Mirror image of prefix of  $v$ .

The bispecial words are:

- $a$
- $aba$
- $abaaba$
- Palindromic prefixes.

For all bispecial word  $i(v) = 3 - 2 - 2 + 1 = 0$ .



The fibonacci word corresponds to a billiard trajectory inside the square starting from 0 and with slope  $\phi - 1$ .