## Conformal Bootstrap

(or at least the thing that I know by this name)
based on work with D. Mazáč and S. Pal
[arXiv: 2111.12716] and also J. Bonifacio
[WIP]

+ partially on work with J. Qiao \& S. Rychkov [arXiv: 2104.02090]

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Probability and Conformal Field Theory
Agay les Roches Rouges September 20, 2022

## Outline

1. Bootstrap point of view on CFTs
2. Numerical Bootstrap
3. New Crossing Equations
4. Solutions from CFTs and Hyperbolic Manifolds

## Conformal Bootstrap

## Part 1

## Conformal Field Theory



A solution to a rich set of self-consistency conditions

Numerics, exact functionals, etc...

Useful results

## Conformal Bootstrap

## Part 1

Part 2

Conformal Field Theory


A solution to a rich set of self-consistency conditions

Numerics, exact functionals, etc...

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Hyperbolic Manifolds
Conformal Field Theory


A solution to a rich set of self-consistency conditions

Numerics, exact functionals, etc...

Useful results

## Conformal Bootstrap

## Part 1

Part 2


## Bootstrap from Axiomatics

Setup: correlation functions of local operators on $\mathbb{R}^{d}, S^{d}$ or $\mathbb{R}^{d-1,1}, \mathbb{R} \times S^{d-1}$, all related by analytic continuation

Less established: boundaries, defects, other manifolds...

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- Wightman/OS Axioms
- Conformal Symmetry
- Asymptotic OPE
$\mathcal{O}_{1}(x) \mathcal{O}_{2}(0) \underset{x \rightarrow 0}{\sim} \sum_{i} c_{i}(x) \mathcal{O}_{i}(0)$


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- Wightman/OS Axioms
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- Asymptotic OPE

Convergent OPE in the vacuum state

$$
\mathcal{O}_{1}(x) \mathcal{O}_{2}(0) \underset{x \rightarrow 0}{\sim} \sum_{i} c_{i}(x) \mathscr{O}_{i}(0)
$$

$$
\mathcal{O}_{1}(x) \mathcal{O}_{2}(0)|0\rangle=\sum_{i} c_{i}(x) \mathcal{O}_{i}(0)|0\rangle
$$

## Bootstrap Axiomatics [Qiao, Rychkov, PḰ21]

- A set of primary local operators $\left\{\mathcal{O}_{i}(x)\right\}$ with $\Delta_{i} \in \mathbb{R}$ and $\rho_{i} \in \widehat{\mathrm{SO}(d)}$

$$
\begin{aligned}
\left(g \cdot \mathcal{O}_{i}\right)(x)= & \Omega_{g}(x)^{\Delta} \rho\left(R_{g}(x)\right) \mathcal{O}_{i}\left(g^{-1} x\right) \quad g \in \mathrm{SO}(1, d+1) \\
& \mathcal{O}_{i}(x), \partial_{\mu} \widehat{O}_{i}(x), \partial_{\mu} \partial_{\nu} \widehat{O}_{i}(x), \cdots
\end{aligned}
$$

- A collection of conformally-invariant correlation (Schwinger) functions

$$
\begin{gathered}
\left\langle\mathcal{O}_{i_{1}}\left(x_{1}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right)\right\rangle, \quad x_{i} \in \mathbb{R}^{d}, \quad x_{i} \neq x_{j} \\
\left\langle\mathcal{O}_{i_{1}}\left(x_{1}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right)\right\rangle=\left\langle\left(g \cdot \mathcal{O}_{i_{1}}\right)\left(x_{1}\right) \cdots\left(g \cdot \mathcal{O}_{i_{n}}\right)\left(x_{n}\right)\right\rangle \\
\quad g \in \mathrm{SO}(1, d+1)
\end{gathered}
$$

## Bootstrap Axiomatics

- Convergent OPE

$$
\left\langle\mathcal{O}_{i_{1}}\left(x_{1}\right) \mathcal{O}_{i_{2}}\left(x_{2}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right)\right\rangle=\sum_{k} f_{i_{1} i_{2}}^{k} c_{i_{1}, i_{2}, k}\left(x_{1}, x_{2}, \partial_{x_{2}}\right)\left\langle\mathcal{O}_{k}\left(x_{2}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right)\right\rangle
$$

- Reflection positivity

$$
\begin{gathered}
\left\langle\mathcal{O}_{i_{1}}\left(x_{1}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right) \mathcal{O}_{i_{1}}\left(x_{1}^{R}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}^{R}\right)\right\rangle \geq 0 \\
\int d^{n \times d} x d^{n \times d} y f(x) f^{*}\left(y^{R}\right)\left\langle\mathcal{O}_{i_{1}}\left(x_{1}\right) \cdots \mathcal{O}_{i_{n}}\left(x_{n}\right) \mathcal{O}_{i_{1}}\left(y_{1}\right) \cdots \mathcal{O}_{i_{n}}\left(y_{n}\right)\right\rangle \geq 0
\end{gathered}
$$


is often imposed only on 2-point functions

$$
\int d^{d} x d^{d} y f(x) f^{*}\left(y^{R}\right)\left\langle\mathscr{O}_{i}(x) \mathscr{O}_{i}\left(y^{R}\right)\right\rangle \geq 0
$$

## Bootstrap Axiomatics

General idea: if "CFT data" $\left\{\left(\Delta_{k}, \rho_{k}\right), f_{i j}^{k}\right\}$ leads to associative OPE then it should define a QFT (i.e. O-S axioms should follow etc...)

Theorem: if "CFT data" $\left\{\left(\Delta_{k}, \rho_{k}\right), f_{i j}^{k}\right\}$ leads to associative OPE then O-S and Wightman axioms are satisfied by $n$-point functions with $n \leq 4$. [Qiao, Rychkov, PK'21]

Higher-point functions are more subtle (e.g. precise form of the OPE convergence statement).

## Bootstrap Axiomatics

- Reflection positivity can be used to construct the Hilbert space $\mathscr{H}$

- The conformal group in $\mathbb{R}^{d}$ is $\mathrm{SO}(d+1,1)$
- Due to $x^{R}$ in the positivity condition, $\widetilde{\mathrm{SO}}(d, 2)$ is represented unitarily

$$
\mathscr{H}=\bigoplus_{i} R\left[\mathcal{O}_{i}\right]=\operatorname{Span}\{
$$

- The OPE is the partial wave expansion for $\widetilde{\mathrm{SO}}(d, 2)$


## Crossing equations



## Crossing equations

$$
\begin{aligned}
& \left\langle\phi\left(x_{1}\right) \phi\left(x_{2}\right) \phi\left(x_{3}\right) \phi\left(x_{4}\right)\right\rangle=\left\langle\phi\left(x_{1}\right) \phi\left(x_{2}\right) \phi\left(x_{3}\right) \phi\left(x_{4}\right)\right\rangle \\
& \widetilde{\mathrm{SO}}(d, 2) \\
& \sum_{k} f_{k}^{2} G_{\Delta_{k}, \rho_{k}}(z, \bar{z})=\sum_{k} f_{k}^{2} G_{\Delta_{k} \rho_{k}}\left(1-z, 1-\bar{z}=\frac{x_{12}^{2} x_{34}^{2}}{x_{13}^{2} x_{24}^{2}}\right. \\
& (1-z)(1-\bar{z})=\frac{x_{23}^{2} x_{14}^{2}}{x_{13}^{2} x_{24}^{2}}
\end{aligned}
$$

## Crossing equations

$\left\langle\phi\left(x_{1}\right) \phi\left(x_{2}\right) \phi\left(x_{3}\right) \phi\left(x_{4}\right)\right\rangle=\left\langle\phi\left(x_{1}\right) \phi\left(x_{2}\right) \phi\left(x_{3}\right) \phi\left(x_{4}\right)\right\rangle$
$\widetilde{\mathrm{SO}}(d, 2)$ symmetry

$$
z \bar{z}=\frac{x_{12}^{2} x_{34}^{2}}{x_{13}^{2} x_{24}^{2}}
$$

$\downarrow$

$$
(1-z)(1-\bar{z})=\frac{x_{22}^{2} x_{14}^{2}}{x_{13}^{2} x_{24}^{2}}
$$

$$
\sum_{k} f_{k}^{2} G_{\Delta_{k} \rho_{k}}(z, \bar{z})=\sum_{k} f_{k}^{2} G_{\Delta_{k}, \rho_{k}}(1-z, 1-\bar{z})
$$




## Crossing equations

Example: $d=1 \quad G_{\Delta}(z)=z^{\Delta-2 \Delta_{\phi_{2}}} F_{1}(\Delta, \Delta, 2 \Delta, z)$

$$
\sum_{k} f_{k}^{2} G_{\Delta_{k}}(z)=\sum_{k} f_{k}^{2} G_{\Delta_{k}}(1-z)
$$



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$$
\sum_{k} f_{k}^{2} G_{\Delta_{k}}(z)=\sum_{k} f_{k}^{2} G_{\Delta_{k}}(1-z)
$$



$$
\begin{aligned}
& \text { Taylor expansion at } z=\frac{1}{2} \\
& \sum_{k} f_{k}^{2} \vec{F}_{\Delta_{k}}=0
\end{aligned}
$$

## Numerical Bootstrap

[Rattazzi,Rychkov,Tonni,Vichi’08]

$$
\sum_{K} f_{k} \vec{F}_{L_{4}}=0
$$

$$
f_{k}^{2} \geq 0
$$

(Reflection positivity)

## Numerical Bootstrap

[Rattazzi,Rychkov,Tonni,Vichi'08]

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$$
\sum_{k} f_{k}^{2} \vec{F}_{\Delta_{k}}=0 \quad f_{k}^{2} \geq 0
$$

(Reflection positivity)


## Numerical bootstrap

- In general try to find $\vec{\alpha}$ such that $\vec{\alpha} \cdot \vec{F}_{\Delta} \geq 0$ for all $\Delta$ in a trial spectrum - a linear program.
- Several correlation functions can be studied at the same time using semidefinite programming $\left(\vec{\alpha} \cdot \vec{F}_{\Delta} \geq 0\right)$

$$
\begin{aligned}
& \langle\phi \phi \phi \phi\rangle \longrightarrow\langle\phi \phi \phi \phi\rangle\langle\phi \phi \epsilon \epsilon\rangle\langle\epsilon \epsilon \epsilon \epsilon\rangle \\
& f_{k}^{2}=f_{\phi \phi k}^{2} \longrightarrow f_{\phi \phi k} f_{\epsilon \epsilon k}, f_{\phi \phi k}^{2}, f_{\epsilon \epsilon k}^{2}, f_{\phi \in k}^{2} \\
& f_{\phi \phi k}^{2} \geq 0 \longrightarrow\left\{\begin{array}{c}
\left(\begin{array}{cc}
f_{\phi \phi k}^{2} & f_{\phi \phi k} f_{\epsilon \epsilon k} \\
f_{\phi \phi k} f_{\epsilon \epsilon k} & f_{\epsilon \epsilon k}^{2} \\
f_{\phi \epsilon k}^{2} \geq 0
\end{array}\right) \geq 0
\end{array}\right.
\end{aligned}
$$

- We now have efficient and general algorithms for semidefinite programming (SDPB) and conformal blocks (blocks_3d, ...)
- Mostly numerical, but some exact $\vec{\alpha}$ are known


## Numerical bootstrap

3d Ising
$\langle\sigma \sigma \sigma \sigma\rangle$
$\langle\sigma \sigma \epsilon \epsilon\rangle$
$\langle\epsilon \epsilon \epsilon \epsilon\rangle$

## Numerical bootstrap

3d Ising
$\langle\sigma \sigma \sigma \sigma\rangle$
$\langle\sigma \sigma \epsilon \epsilon\rangle$
$\langle\epsilon \epsilon \epsilon \epsilon\rangle$
$\Delta_{\epsilon} \quad$ [Kos,Poland,Simmons-Duffin,Vichi'16]


## Numerical bootstrap

3d Ising
$\langle\sigma \sigma \sigma \sigma\rangle$
$\langle\sigma \sigma \epsilon \epsilon\rangle$
$\langle\epsilon \epsilon \epsilon \epsilon\rangle$
$\Delta_{\epsilon} \quad[K o s, P o l a n d, S i m m o n s-D u f f i n, V i c h i ' 16] ~$

[Erramilli, Iliesiu, Liu, Poland, Simmons-Duffin, PK]
3d Gross-Neveu-Yukawa $(\partial \sigma)^{2}+\sigma^{4}+\psi_{a} \partial \psi_{a}+\sigma \psi_{a} \psi_{a}$
$\langle\sigma \sigma \sigma \sigma\rangle \quad\langle\psi \psi \psi \psi\rangle$
$\langle\sigma \sigma \epsilon \epsilon\rangle \quad\langle\psi \psi \sigma \sigma\rangle$
$\langle\epsilon \epsilon \epsilon \epsilon\rangle \quad\langle\psi \psi \epsilon \epsilon\rangle$
$\langle\psi \psi \sigma \epsilon\rangle$


## Part 2: Euclidean positivity

Reflection positivity

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$\widetilde{\mathrm{SO}}(2, d)$ unitary action on $\mathscr{H}$


OPE from irreps

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OPE from irreps


Useful results

## Part 2: Euclidean positivity

Euclidean positivity
Reflection positivity (probability $\geq 0$ )

$\widetilde{\mathrm{SO}}(2, d)$ unitary action on $\mathscr{H}$


OPE from irreps


Useful results

$\mathrm{SO}(1, d+1)$ unitary action on $\mathscr{H}_{E}$


OPE from irreps


Useful results?

## Euclidean positivity

$$
\langle\mathcal{O}\rangle=\int_{\Phi} d \mu(\phi) \mathcal{O}(\phi) \quad d \mu(\phi) \approx D \phi e^{-S[\phi]} \geq 0
$$

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If $\left.\left.\langle | \mathcal{O}\right|^{2}\right\rangle$ makes sense then

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$$

Direct analogue of O-S reconstruction:

$$
\left.\mathscr{H}_{E}=\left\{\mathcal{O} \mid\left.\langle | \mathcal{O}\right|^{2}\right\rangle \text { makes sense }\right\}=L^{2}(\Phi, d \mu)
$$

## Euclidean positivity

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\langle\mathcal{O}\rangle=\int_{\Phi} d \mu(\phi) \mathcal{O}(\phi)
$$

$$
d \mu(\phi) \approx D \phi e^{-S[\phi]} \geq 0
$$

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$$

$\mathrm{SO}(1, \mathrm{~d}+1)$ invariance of $d \mu(\phi)=>$ unitary action on $L^{2}(\Phi, d \mu)$

## Examples

Conformal Field Theories: $\Phi=$ \{distributions $\}$

- GFF
- 2d Ising [Camia,Garban,Newman'12]
- 3d Ising?
- Statistical models without RP?


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Conformal Field Theories: $\Phi=$ \{distributions $\}$

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- 3d Ising?
- Statistical models without RP?

Hyperbolic $(d+1)$-manifolds: $\Phi=\Gamma \backslash G$

- $G=\mathrm{SO}(1, \mathrm{~d}+1)$
- $\Gamma \simeq \pi_{1}(M)$
- $M=\Gamma \backslash G / \mathrm{SO}(d+1)=\Gamma \backslash \mathbb{-}^{d+1}$


## Hyperbolic manifolds

Consider $d+1=2$ and compact $M$

$$
G=\operatorname{SL}(2, \mathbb{R})
$$

$$
L^{2}(\Gamma \backslash G) \simeq \mathbb{C} \oplus \sum_{n}\left(D_{n} \oplus \bar{D}_{n}\right) \oplus \bigoplus_{i} P_{\lambda_{i}}
$$

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$G=\operatorname{SL}(2, \mathbb{R})$

$$
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$$

Principal series representations $P_{\lambda}, \lambda \geq \frac{1}{4}$

$$
\begin{gathered}
P_{\lambda}=L^{2}\left(S^{1}\right) \\
(g f)(\theta)=\Omega_{g}(\theta)^{1-\Delta} f\left(g^{-1} \theta\right) \quad \lambda=\Delta(1-\Delta)
\end{gathered}
$$

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$$
\begin{equation*}
L^{2}(\Gamma \backslash G) \simeq \mathbb{C} \oplus \sum_{n}\left(D_{n} \oplus \bar{D}_{n}\right) \oplus \bigoplus_{i} P_{\lambda_{i}} \tag{SL}
\end{equation*}
$$

Principal series representations $P_{\lambda}, \lambda \geq \frac{1}{4}$

$$
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P_{\lambda}=L^{2}\left(S^{1}\right) \\
(g f)(\theta)=\Omega_{g}(\theta)^{1-\Delta} f\left(g^{-1} \theta\right) \quad \lambda=\Delta(1-\Delta)
\end{gathered}
$$

Define $\mathbb{O}_{i}: C^{\infty}\left(S^{1}\right) \rightarrow\left(L^{2}(\Gamma \backslash G)\right)^{\infty}$ by

$$
\mathbb{O}_{i}(f)=f \in P_{\lambda_{i}}^{\infty}
$$

## Correlation functions

In fact,

$$
\begin{gathered}
\mathbb{O}_{i}(f) \in\left(L^{2}(\Gamma \backslash G)\right)^{\infty}=C^{\infty}(\Gamma \backslash G) \\
{[\text { Borel, Wallach] }}
\end{gathered}
$$

Therefore the correlators are well-defined as distributions
$\left\langle\mathbb{O}_{i_{1}}\left(f_{1}\right) \mathbb{O}_{i_{2}}\left(f_{2}\right) \mathbb{O}_{i_{3}}\left(f_{3}\right) \mathbb{O}_{i_{4}}\left(f_{4}\right)\right\rangle \equiv \int_{\Gamma \backslash G} d \mu(g) \mathbb{O}_{i_{1}}\left(f_{1}\right) \mathbb{O}_{i_{2}}\left(f_{2}\right) \mathbb{O}_{i_{3}}\left(f_{3}\right) \mathbb{O}_{i_{4}}\left(f_{4}\right)$
Decomposing into irreps gives the OPE

$$
\begin{gathered}
\mathbb{O}_{i_{1}}\left(f_{1}\right) \mathbb{O}_{i_{2}}\left(f_{2}\right)=\sum_{k} \mathbb{O}_{k}\left(\tau_{i_{1}, i_{2} ; k}\left(f_{1}, f_{2}\right)\right)+\cdots \\
\tau_{i, j ; k}: P_{\lambda_{i}}^{\infty} \times P_{\lambda_{j}}^{\infty} \rightarrow P_{\lambda_{k}}^{\infty}
\end{gathered}
$$

## Discrete series

Principal series story generalizes to $d+1 \geq 2$ and to complementary series

In even $d+1$ need to consider discrete series. For $d+1=2$

$$
\begin{array}{ccc}
\mathcal{O}_{n}(z) \in D_{n}^{\infty} & \overline{\mathcal{O}}_{n}(w) \in \bar{D}_{n}^{\infty} \\
|z|<1 & & |w|>1
\end{array}
$$

The correlators are holomorphic functions (boundary values are distributions)

$$
\left\langle\mathcal{O}_{n_{1}}\left(z_{1}\right) \mathcal{O}_{n_{2}}\left(z_{2}\right) \overline{\mathcal{O}}_{n_{3}}\left(z_{3}\right) \overline{\mathcal{O}}_{n_{4}}\left(z_{4}\right)\right\rangle
$$

## Crossing equation

$$
\left\langle\mathcal{O}_{n}\left(z_{1}\right) \mathcal{O}_{n}\left(z_{2}\right) \overline{\mathcal{O}}_{n}\left(z_{3}\right) \overline{\mathcal{O}}_{n}\left(z_{4}\right)\right\rangle=\left\langle\mathcal{O}_{n}\left(z_{1}\right) \mathcal{O}_{n}\left(z_{2}\right) \overline{\mathcal{O}}_{n}\left(z_{3}\right) \overline{\mathcal{O}}_{n}\left(z_{4}\right)\right\rangle
$$

$\mathrm{SO}(1,2)$ symmetry

$$
\begin{aligned}
& \downarrow \\
& \sum_{p}\left|f_{p}\right|^{2} G_{p}(z)=\sum_{i} c_{i}^{2} H_{\Delta_{i}}(z) \quad z=\frac{z_{12} z_{34}}{z_{13} z_{24}}
\end{aligned}
$$

## Crossing equation

$$
\begin{gathered}
\left\langle\mathbb{O}_{k}\left(h_{m_{1}}\right) \mathbb{O}_{k}\left(h_{m_{2}}\right) \mathbb{O}_{k}\left(h_{m_{3}}\right) \mathbb{O}_{k}\left(h_{m_{4}}\right)\right\rangle=\left\langle\mathbb{O}_{k}\left(h_{m_{1}}\right) \mathbb{O}_{k}\left(h_{m_{2}}\right) \mathbb{O}_{k}\left(h_{m_{3}}\right) \mathbb{O}_{k}\left(h_{m_{4}}\right)\right\rangle \\
\quad h_{m}(\theta)=e^{i m \theta}
\end{gathered}
$$

$\mathrm{SO}(1,2)$ symmetry


$$
\sum_{k} p_{k}^{2} G_{m_{1} m_{2} m_{3} m_{4}}\left(\Delta_{k}\right)=0
$$

## Numerical bootstrap

$$
\begin{gathered}
\sum_{p}\left|f_{p}\right|^{2} G_{p}(z)=\sum_{i} c_{i}^{2} H_{\Delta_{i}}(z) \\
\sum_{k} p_{k}^{2} G_{m_{1} m_{2} m_{3} m_{4}}\left(\Delta_{k}\right)=0
\end{gathered}
$$

$$
p_{k}^{2}, c_{k}^{2},\left|f_{k}\right|^{2} \geq 0
$$

+ extensions to mixed correlators

Same numerics*
Dalimil's talk
*in fact can be truncated to exact polynomials in $\Delta$ => fully rigorous numerics

## Application to CFTs?

- We checked the sum rules for the fundamental fields in GFF and 2d Ising
- Reflection-positive CFTs necessarily have continuous spectrum in $L^{2}(\Phi, \mu)$.
- It seems reasonable to conjecture that sum rules also apply to 3d Ising and other CFTs
- Are there CFTs which are not RP for which these sum rules hold?
- Are there CFTs with discrete spectra in $L^{2}(\Phi, \mu)$ ?


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## Fin

