



RATED 16+

λ -calculus, linear approximations

Ohana trees, Taylor expansion and multi-type semantics for the λ -calculus

No variable gets left behind, or forgotten!

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1:00:44



λ I-theories and Ohana trees

Continuous and linear approximation for Ohana evaluation

A multi-type semantics characterising the theory \mathcal{O} of Ohana trees

Questions you may ask

λ I-THEORIES AND OHANA TREES

λ

A model of
the λ -calculus

$\llbracket - \rrbracket$

\rightsquigarrow

A λ -theory

$M =_{\mathcal{J}} N$ iff $\llbracket M \rrbracket = \llbracket N \rrbracket$

λ A model of
the λ -calculus $\llbracket - \rrbracket$ \rightsquigarrow A λ -theory $M =_{\mathcal{J}} N$ iff $\llbracket M \rrbracket = \llbracket N \rrbracket$ A **λ -theory** \mathcal{J} is a set of equalities between λ -terms such that

$$\underbrace{\frac{M =_{\beta} N}{M =_{\mathcal{J}} N}}_{\text{it contains } \beta\text{-conversion}} \quad \underbrace{\frac{\frac{P =_{\mathcal{J}} P'}{\lambda x.P =_{\mathcal{J}} \lambda x.P'} \quad \frac{P =_{\mathcal{J}} P' \quad Q =_{\mathcal{J}} Q'}{PQ =_{\mathcal{J}} P'Q'}}{\text{it is stable under contexts}}}$$

λ

A model of
the λ -calculus

\rightsquigarrow

A λ -theory

\Leftarrow

A notion of
evaluation tree

$M =_{\mathcal{B}} N$ iff $BT(M) = BT(N)$

$BT(-)$

λ A model of
the λ -calculus \rightsquigarrow A λ -theory \leftarrow A notion of
evaluation tree $M =_{\mathcal{B}} N$ iff $BT(M) = BT(N)$ $BT(-)$ The **Böhm tree** of a λ -term M is defined **coinductively** by

$$BT(M) := \begin{cases} \lambda x_1 \dots x_n. y & \text{if } M \rightarrow_h \lambda x_1 \dots x_n. y M_1 \dots M_k, \\ \begin{array}{c} BT(M_1) \quad \dots \quad BT(M_k) \\ \perp \end{array} & \text{otherwise.} \end{cases}$$

For example: $BT(I) := I$ $BT(\Omega) := \perp$ $BT(Y) := \lambda f. f(f(f(\dots)))$

FROM λ -THEORIES... TO λI -THEORIES

λ

A model of
the λ -calculus

\rightsquigarrow

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A notion of
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λI

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\Leftarrow

A notion of
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λI

The **λI -calculus** is the fragment of the λ -calculus **without erasure**. Formally,
 $\Lambda_I := \bigcup_{X \subseteq_f \mathcal{V}} \Lambda_I(X)$, where $\Lambda_I(X)$ is the set of λI -terms with free variables in X :

$$\frac{}{x \in \Lambda_I(\{x\})} \quad \frac{M \in \Lambda_I(X) \quad x \in X}{\lambda x.M \in \Lambda_I(X \setminus \{x\})} \quad \frac{M \in \Lambda_I(X) \quad N \in \Lambda_I(Y)}{MN \in \Lambda_I(X \cup Y)}$$

For example: $\lambda x.x \in \Lambda_I(\emptyset)$ $\lambda x.xy \in \Lambda_I(\{y\})$ $\lambda x.y \notin \Lambda_I$

FROM λ -THEORIES... TO λI -THEORIES

λ

A model of
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A notion of
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λI

???

λ

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???

A **λI -theory** \mathcal{T} is a set of equalities between λ -terms such that

$$\frac{M =_{\beta} N}{M =_{\mathcal{T}} N}$$

it contains β -conversion

$$\frac{P =_{\mathcal{T}} P' \quad x \in \text{fv}(P) \cap \text{fv}(P')}{\lambda x.P =_{\mathcal{T}} \lambda x.P'}$$

$$\frac{P =_{\mathcal{T}} P' \quad Q =_{\mathcal{T}} Q'}{PQ =_{\mathcal{T}} P'Q'}$$

$$\lambda x.P =_{\mathcal{T}} \lambda x.P'$$

$$PQ =_{\mathcal{T}} P'Q'$$

it is stable under λI -contexts

- Every λ -theory restricted to Λ_I is a λI -theory.
- The converse is false, e.g. the λI -theory generated by equating all λI -terms without β -nf.

FROM λ -THEORIES... TO λI -THEORIES

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A model of
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A λI -theory

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???

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???

Böhm trees still generate a λI -theory \mathcal{B} ... but behave poorly wrt. Λ_I :

M is a λI -term \Rightarrow $\text{BT}(M)$ is an “infinitary λI -term”

Indeed, abstracted variables may be:

- **left behind** an unsolvable subterm: $\text{BT}(\lambda xy.x(\Omega y)) = \lambda xy.x\perp$.
- **forgotten** along infinite computations:
if $Mxf \rightarrow_{\beta} f(Mxf)$, then $\text{BT}(M) = \lambda xf.f(f(f(\dots)))$.

INTRODUCING OHANA TREES

The **Ohana tree** of a λ I-term M is defined coinductively by

$$\text{OT}(M) := \begin{cases} \begin{array}{c} \lambda x_1 \dots x_n. y \\ \swarrow \quad \searrow \\ \text{fv}(M_1) \quad \text{fv}(M_k) \\ \swarrow \quad \searrow \\ \text{OT}(M_1) \quad \dots \quad \text{OT}(M_k) \end{array} & \text{if } M \rightarrow_h \lambda x_1 \dots x_n. y M_1 \dots M_k, \\ \perp_{\text{fv}(M)} & \text{otherwise.} \end{cases}$$

NO VARIABLE LEFT BEHIND!

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Whereas Böhm trees equate $\lambda x. x(\Omega y)(\Omega z)$ and $\lambda x. x(\Omega z)(\Omega y)$:

$$\text{BT}(\lambda x. x(\Omega x)(\Omega y)) = \lambda x. x \perp \perp = \text{BT}(\lambda x. x(\Omega y)(\Omega z))$$

Ohana trees do separate them:

$$\text{OT}(\lambda x. x(\Omega x)(\Omega y)) = \lambda x. x \perp_{\{x\}} \perp_{\{y\}} \neq \lambda x. x \perp_{\{y\}} \perp_{\{z\}} = \text{BT}(\lambda x. x(\Omega y)(\Omega z))$$

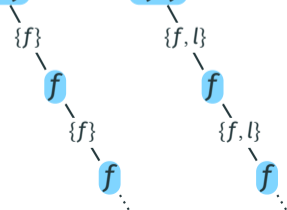
NO VARIABLE FORGOTTEN!

$$\text{OT}(M) := \begin{cases} \begin{array}{c} \lambda x_1 \dots x_n. y \\ \swarrow \quad \searrow \\ \text{fv}(M_1) \quad \text{fv}(M_k) \\ \swarrow \quad \searrow \\ \text{OT}(M_1) \quad \dots \quad \text{OT}(M_k) \\ \perp_{\text{fv}(M)} \end{array} & \text{if } M \rightarrow_h \lambda x_1 \dots x_n. y M_1 \dots M_k, \\ \perp_{\text{fv}(M)} & \text{otherwise.} \end{cases}$$

Klop's **Bible fixed-point combinator** is $\boxplus_l := \lambda e. \text{BYBel } e =_{\beta} \lambda e. e(\text{BYBel } e)$.

Whereas Böhm trees equate Y and \boxplus_l : $\text{BT}(Y) = \lambda f. f(f(\dots)) = \text{BT}(\boxplus_l)$,

Ohana trees do separate them: $\text{OT}(Y) = \lambda f. f \neq \lambda f. f = \text{OT}(\boxplus_l)$.



The equational theory \mathcal{O} is defined by saying that for all $M, N \in \Lambda_I$, $M =_{\mathcal{O}} N$ whenever $OT(M) = OT(N)$.

We want to prove the following

Theorem

\mathcal{O} is a λI -theory.

**CONTINUOUS AND LINEAR
APPROXIMATION FOR OHANA
EVALUATION**

THE CONTINUOUS APPROXIMATION: IT WORKS AS USUAL

- Add constant \perp to the syntax.
 \perp is “an undefined term”.

THE CONTINUOUS APPROXIMATION: IT WORKS AS USUAL

- Add constants \perp_X (for $X \subseteq_f \mathcal{V}$) to the syntax.
 \perp_X is “an undefined term whose set of free variables is X ”.

THE CONTINUOUS APPROXIMATION: IT WORKS AS USUAL

- Add constants \perp_X (for $X \subseteq_f \mathcal{V}$) to the syntax.
 \perp_X is “an undefined term whose set of free variables is X ”.
- Define a **(head) approximation ordering** by

$$\frac{}{\perp \sqsubseteq M} \quad \frac{\forall i, \quad M_i \sqsubseteq N_i}{\lambda \vec{x}.y M_1 \cdots M_k \sqsubseteq \lambda \vec{x}.y N_1 \cdots N_k}$$

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- Define **(head) approximants**:

$$\mathcal{A} \ni A, B, \dots \quad := \quad \perp \quad | \quad \lambda x_1 \dots x_n. yA_1 \dots A_k$$

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and obtain the approximants of a λ I-term:

$$\text{App}_m(M) := \{A \in \mathcal{A} \mid \exists M \rightarrow_\beta N, A \sqsubseteq N\}.$$

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- **Continuous approximation theorem:** $\text{OT}(M) = \bigsqcup \text{App}_m(M)$

What you may usually call (multi)linear approximation:

Take a function:

$$f(x)$$

to a formal sum of n -linear approximations:

$$\sum_{n \in \mathbf{N}} \frac{1}{n!} \times \frac{\partial f(t)}{\partial t^n} \cdot x^n$$

via an operation of **Taylor expansion**.

What you will now call (multi)linear approximation:

Take a λ -term:

$$x \mid \lambda x.M \mid MN$$

to a formal sum of “multilinear λ -terms” (aka **resource λ -terms**):

$$x \mid \lambda x.s \mid s[t_1, \dots, t_n]$$

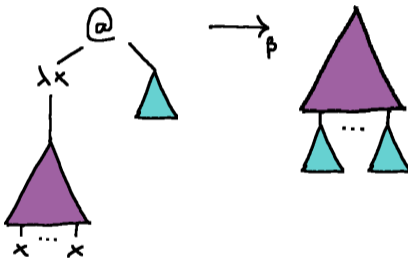
via an operation of **Taylor expansion**.

[Ehrhard & Regnier '08]

THE LINEAR APPROXIMATION (ORIGINAL ED.)

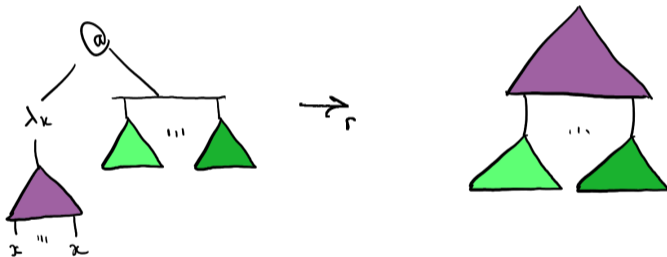
We are able to simulate β -reduction of λ -terms:

$$(\lambda x.M)N \rightarrow_{\beta} M[N/x]$$



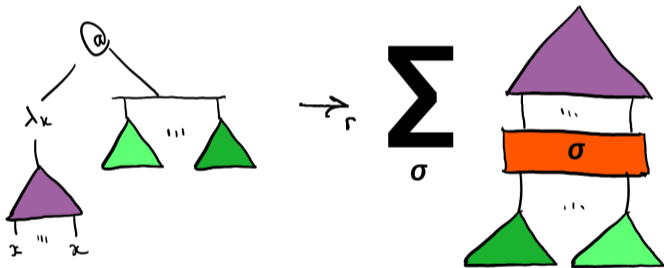
THE LINEAR APPROXIMATION (ORIGINAL ED.)

We are able to simulate β -reduction of λ -terms...
using multilinear β -reduction of resource λ -terms:



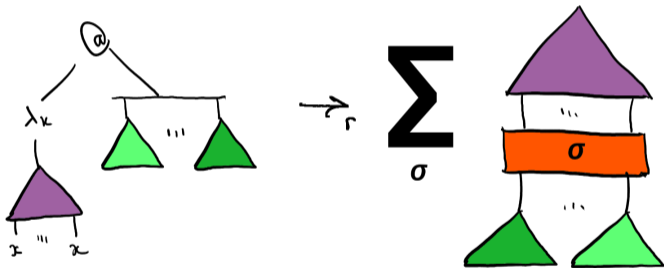
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THE LINEAR APPROXIMATION (ORIGINAL ED.)

We are able to simulate β -reduction of λ -terms...
using multilinear β -reduction of resource λ -terms:



And in the usual setting we obtain the celebrated

Commutation theorem: $\text{nf}(\mathcal{J}(M)) = \mathcal{J}(\text{BT}(M))$.

[Ehrhard & Regnier '06]

In our setting, the resource λ -calculus is “fibered” over finite sets of variables:

$$\frac{}{x \in \Delta_I(\{x\})} \qquad \frac{s \in \Delta_I(X) \quad x \in X}{\lambda x. s \in \Delta_I(X - x)}$$

$$\frac{s \in \Delta_I(X)}{s[]_Y \in \Delta_I(X \cup Y)} \qquad \frac{s \in \Delta_I(X) \quad t_1, \dots, t_{n+1} \in \Delta_I(Y)}{s[t_1, \dots, t_{n+1}] \in \Delta_I(X \cup Y)}$$

As usual we define (fibered) sums $\mathbf{s}, \mathbf{t} \in \mathbf{N}[\Delta_I(X)] \dots$

... and we extend the syntax by linearity, e.g.

$$(s + \mathbf{s})\bar{t} := s\bar{t} + \mathbf{s}\bar{t} \qquad \mathbf{0}_X \bar{t} := \mathbf{0}_{X \cup \text{fv}(\bar{t})}.$$

(For Taylor expansion nerds) here's what changes in the multilinear substitution:

$$x \langle \bar{u}/x \rangle := \begin{cases} u & \text{if } \bar{u} = [u] \\ \mathbf{0}_{\text{fv}(\bar{u})} & \text{otherwise} \end{cases} \quad y \langle \bar{u}/x \rangle := \begin{cases} y & \text{if } \bar{u} = []_{\text{fv}(\bar{u})} \\ \mathbf{0}_{\{y\}} & \text{otherwise} \end{cases}$$

$$[]_X \langle \bar{u}/x \rangle := \begin{cases} []_{X\{\text{fv}(\bar{u})/x\}} & \text{if } \bar{u} = []_{\text{fv}(\bar{u})} \\ \mathbf{0}_{X\{\text{fv}(\bar{u})/x\}} & \text{otherwise} \end{cases}$$

Resource reduction \rightarrow_r is generated as usual by

$$(\lambda x.s)\bar{t} \rightarrow_r s \langle \bar{t}/x \rangle$$

and lifting to contexts and to finite sums in $\mathbf{N}[\Delta_1(X)]$.

Theorem (sanity check)

\rightarrow_r is confluent and strongly normalising.

Usually,

$$\mathcal{T}(x) := \{x\}$$

$$\mathcal{T}(\lambda x.M) := \{\lambda x.s \mid s \in \mathcal{T}(M)\}$$

$$\mathcal{T}(MN) := \{s[t_1, \dots, t_n] \mid s \in \mathcal{T}(M), t_i \in \mathcal{T}(N)\}.$$

Now the Taylor expansion is also fibered:

$$\mathcal{T}_m(M) := (\text{fv}(M), \mathcal{T}_m^\bullet(M))$$

where $\mathcal{T}_m^\bullet(M)$ is the set of all λ -resource approximants of M .

In particular $\mathcal{T}_m(\Omega x) = (\{x\}, \emptyset)$: Taylor expansion distinguishes Ωx and Ωy ...

We extend the construction to Ohana trees:

$$\mathcal{T}_m(\text{OT}(M)) := \bigcup_{A \in \text{App}_m(M)} \mathcal{T}_m(A) \quad \text{with} \quad \begin{cases} \mathcal{T}_m(\perp_X) := (X, \emptyset) \\ \mathcal{T}_m^!(\perp_X) := \{1_X\}. \end{cases}$$

and we finally obtain a

Commutation theorem

$$\text{nf}(\mathcal{T}_m(M)) = \mathcal{T}_m(\text{OT}(M)).$$

Theorem

\mathcal{O} is a λ I-theory.

Proof sktch. We use the fact that:

$$\begin{aligned}M =_{\mathcal{O}} N &\Leftrightarrow \text{OT}(M) = \text{OT}(N) \\ &\Leftrightarrow \mathcal{J}_m(\text{OT}(M)) = \mathcal{J}_m(\text{OT}(N)) \\ &\Leftrightarrow \text{nf}(\mathcal{J}_m(M)) = \text{nf}(\mathcal{J}_m(N)).\end{aligned}$$

- \mathcal{O} contains $=_{\beta}$.
- Compatibility with abstraction: if $M =_{\mathcal{O}} N$ and $x \in \text{fv}(M) \cap \text{fv}(N)$ then

$$\text{nf}(\mathcal{J}_m(\lambda x.M)) = \lambda x.\text{nf}(\mathcal{J}_m(M)) = \lambda x.\text{nf}(\mathcal{J}_m(N)) = \text{nf}(\mathcal{J}_m(\lambda x.N)).$$

- Compatibility with application: same...



**A MULTI-TYPE SEMANTICS
CHARACTERISING THE THEORY \mathcal{O} OF
OHANA TREES**

WHAT HAPPENED SO FAR...

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$\text{OT}(-)$

WHAT HAPPENED SO FAR... AND WHAT'S GOING ON

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$\text{OT}(-)$

Is there a λI -model whose theory is \mathcal{O} ?

Well, we don't even know what a λI -model is...

... but we can try to keep applying the usual recipe:

- turn our linear approximation into a multi-type system,
- build the corresponding relational model.

Types:

$$\begin{array}{lll}
 ?\mathbf{T} \ni \sigma, \tau, \dots & := & \mathbf{0}_X \mid \alpha & X \subseteq_f \mathcal{V} \\
 \mathbf{T} \ni \alpha, \beta, \dots & := & * \mid \bar{\alpha} \multimap \beta & * \in \mathcal{A} \\
 !\mathbf{T} \ni \bar{\alpha}, \bar{\beta}, \dots & := & []_X \mid [\alpha_0, \dots, \alpha_n] & X \subseteq_f \mathcal{V}, n \in \mathbf{N}
 \end{array}$$

Contexts:

- Γ maps (all) variables to multisets of types (it's the usual one),
- Δ maps (only) the free variables of the typed term to sets of variables (intuitively, x that will be substituted with N is mapped to $\text{fv}(N)$).

Judgements:

$$\Gamma ; \Delta \vdash M : \sigma \qquad \Gamma ; \Delta \vdash^! M : \bar{\alpha}$$

$$\frac{\text{dom}(\Delta) = \text{fv}(M)}{; \Delta \vdash M : \mathbf{0}_{\text{uim}(\Delta)}} \quad (\mathbf{0})$$

$$\frac{}{x : [\alpha] ; x : X \vdash x : \alpha} \quad (\text{ax}) \qquad \frac{\Gamma_0 ; \Delta_0 \vdash M : \bar{\alpha} \multimap \beta \quad \Gamma_1 ; \Delta_1 \vdash^! N : \bar{\alpha} \quad \Delta_0 \subset \Delta_1}{\Gamma_0 + \Gamma_1 ; \Delta_0 \vee \Delta_1 \vdash MN : \beta} \quad (@)$$

$$\frac{\Gamma, x : [] ; \Delta, x : X \vdash M : \beta}{\Gamma ; \Delta \vdash \lambda x.M : []_X \multimap \beta} \quad (\lambda^0) \qquad \frac{\Gamma, x : [\alpha_0, \dots, \alpha_n] ; \Delta, x : X \vdash M : \beta}{\Gamma ; \Delta \vdash \lambda x.M : [\alpha_0, \dots, \alpha_n] \multimap \beta} \quad (\lambda^+)$$

$$\frac{\text{dom}(\Delta) = \text{fv}(M)}{; \Delta \vdash^! M : []_{\text{uim}(\Delta)}} \quad (!^0) \qquad \frac{\Gamma_0 ; \Delta \vdash M : \alpha_0 \quad \dots \quad \Gamma_n ; \Delta \vdash M : \alpha_n}{\Gamma_0 + \dots + \Gamma_n ; \Delta \vdash^! M : [\alpha_0, \dots, \alpha_n]} \quad (!^+)$$

The typing system enjoys **subject reduction** and **subject expansion**. Good news!

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In addition, if one defines

$$\llbracket M \rrbracket := \{(\Gamma, \Delta, \sigma) \mid \Gamma ; \Delta \vdash M : \sigma\}$$

we obtain the following

Theorem

For all $M, N \in \Lambda_I$, $\llbracket M \rrbracket = \llbracket N \rrbracket$ iff. $M =_{\mathcal{O}} N$.

WHAT ABOUT A λI -MODEL?

It is not completely obvious how to extract a λI -model from this typing system.

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Neither is it obvious what a λI -model is!

- There's a proposition [Jacobs '93] with two instances (strict cpo's and some relational model with non-empty multisets), but it doesn't seem to be general enough for a model with theory \mathcal{O} to exist.

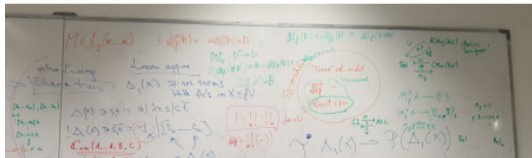
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- It should be something more general than a λ -model, our candidate: a cartesian closed multicategory with contractions.

But don't ask me more, all the notes we have look like this:



QUESTIONS YOU MAY ASK

OHANA TREES FOR THE FULL λ -CALCULUS?

By the way, the Ohana tree of a λ -term M can be defined coinductively by

$$\text{OT}(M) := \begin{cases} \begin{array}{c} \lambda x_1 \dots x_n. y \\ \swarrow \quad \searrow \\ \text{pfv}(M_1) \quad \text{pfv}(M_k) \\ \swarrow \quad \searrow \quad \dots \quad \swarrow \quad \searrow \\ \text{OT}(M_1) \quad \dots \quad \text{OT}(M_k) \end{array} & \text{if } M \rightarrow_h \lambda x_1 \dots x_n. y M_1 \dots M_k, \\ \perp_{\text{pfv}(M)} & \text{otherwise.} \end{cases}$$

where

$$\text{pfv}(M) := \{x \in \mathcal{V} \mid \forall M \rightarrow_\beta N, x \in \text{fv}(N)\}.$$

But Ohana tree equality does **not** induce a λ -theory. ☹

May Ohana trees induce an interesting observational equivalence?

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THE DOUBLE FIXED-POINT COMBINATOR CONJECTURE

Sometimes in λ -calculus we want to **separate** two λ -terms M and N , *i.e.* prove that they are not $\beta(\eta)$ -equivalent.

An example (open) problem.

- Take $\delta := \lambda yx.x(yx)$. Then: [Böhm, van der Mey]
 - Y is a fpc $\iff \delta Y =_{\beta} Y$ (hence is a fpc too)
 - Y is a fpc $\implies Y\delta$ is a fpc
- Starting with $Y_0 :=$ your preferred fpc, define $Y_{n+1} := Y_n\delta$.
For $Y_0 :=$ Curry's fpc, all the terms of the sequence are β -distinct. [Klop '07]
And in general? It's an open question.
- More generally, **is there a fpc Y s.t. $Y =_{\beta} Y\delta$?** [Statman '93]
Conjecture: such a “double fixed-point combinator” doesn't exist.

ATTACKING A SEPARATION PROBLEM

Standard ideas:

- Find a separating context (as in Böhm's theorem),
i.e. $C[\]$ such that $C[M] \rightarrow^* x$ and $C[N] \rightarrow^* y$.
- (...)
- Find a separating λ -theory (or λ -model),
i.e. $=_{\mathcal{J}}$ such that $M \neq_{\mathcal{J}} N$ can be proved.

As for the double fpc problem:

- The Ohana theory doesn't help...
- ... but suggest some ideas! One may design evaluation trees such that in

$$Y\delta f =_{\beta} \delta(Y\delta)f =_{\beta} f(Y\delta f) =_{\beta} \dots$$

something like the subterm $Y\delta f$ is remembered at infinity.

WHY SHOULD WE CARE?

- **It's fun.** (Right?)
- Since the λ -calculus is an (equationally) conservative extension of the λI -calculus, **λI -theories allow to β -separate λ -terms** (in the λ -calculus).
- **Long-term goal: to infinity and beyond.**

Our formalism accounts for free variables pushed to infinity.

It is a first step: what about pushing whole subterms to infinity?

- This suggests working with **transfinite terms** and investigate the associated rewriting.
- It is not clear what evaluation trees and semantics may look like in such a jungle. (But we have some preliminary ideas.)

Thanks for your attention!

