# Spiral scattering of nonstationary sounds



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- musical instrument recognition
- query by example
- automated transcription

rely on the characterization of musical notes.

# NATURAL REGULARITY ALONG TIME

The physics of player-instrument interaction brings **regularity** in gestures:





# GOAL

The TFR reveals short-term regularity (~50 ms).

Goal: to build a meaningful decomposition such that musical notes are regular (~500 ms).





## **TEMPLATE-BASED METHODS**

- Hard constraints, e.g. Markovian.
  - see Kereliuk & Depalle (2008) on partial tracking.
  - useful for fine-grain audio effects...
    - ... but exposed to low-level detection errors.
- Loose constraints, e.g. Bayesian.
  - see Fuentes et al. (2013) on harmonic PLCA.
  - encompasses a broad range of meaningful priors...
     but timbral regularity remains challenging.

# **TEMPLATE-FREE APPROACHES**

- In fact, most tasks do not require rigid templates.
- We advocate a progressive decomposition instead.
- ConvNets perform a data-driven decomposition, but they need a large annotated training set.
- We design a nonlinear scattering transform with
  - regularity
  - time-frequency localization
  - sparsity

in mind.

1. Nonstationary source-filter model

2. Leveraging harmonicity

3. Applications to classification and reconstruction

### 1. Nonstationary source-filter model



## HARMONIC SOURCE

We define the harmonic source as a Dirac pulse train.



# FILTER

We define the filter as a regular spectral envelope.



# STATIONARY SOURCE-FILTER MODEL

The stationary source-filter model is x(t) = [e \* h](t) i.e.  $\hat{x}(\omega) = [\hat{e} \times \hat{h}](\omega)$ 



## DEFORMATIONS

Let  $\theta(t) \in C^3$  be a time warp function.  $\dot{\theta}(t) > 0$  is the fundamental frequency of  $e_{\theta}(t) = (e \circ \theta)(t)$ .

 $\dot{\nu}(t) > 0$  is the position of the formant (spectral peak)  $h_{\nu}(t) = (h \circ \nu)(t).$ 

The nonstationary source-filter model is defined as  $x_{\theta,\nu}(t) = [e_\theta * h_\nu](t).$ 

## EXAMPLE 1 : TROMBONE GLISSANDO



# EXAMPLE 2 : TROMBONE CRESCENDO



# A TIME-FREQUENCY PERSPECTIVE

We need a time-frequency representation that is 1. localized enough in time (a) to have  $\dot{\theta}(t)$  approximately constant, (b) to have  $\dot{\nu}(t)$  approximately constant,

2. localized enough in frequency (a) to distinguish the first peaks of  $\hat{e}(\omega)$ , (b) to have  $\hat{h}(\omega)$  approximately constant.

We will use a constant-Q filter bank of wavelets.

# ANALYTIC WAVELETS

Wavelets are oscillating, localized filters. By dilating a mother wavelet  $\psi(t)$ , we trade frequency resolution for time resolution.

 $\forall \lambda_1, \psi_{\lambda_1}(t) = \lambda_1 \psi(\lambda_1 t)$ 

Analyticity property:  $\forall \omega \leq 0, \hat{\psi}(\omega) = 0$ . Complex modulus improves regularity.

Wavelet spectrum is stable to deformations.

## WAVELET FILTER BANK

In base 2: 
$$\log \lambda_1 = j_1 + \frac{\chi_1}{Q}$$
 number of filters per octave

where the integer part  $j_1$  is the octave index and  $\chi_1 \in \{0 \dots (Q-1)\}$  is the chroma.



# WAVELET RIDGE THEOREM

by Delprat, Escudié, Guillemain, Kronland-Martinet, Torrésani, and Tchamitchian (1992).

Let  $f(t) = a(t) \cos \theta(t)$  and  $\psi_{\lambda_1}(t) = \lambda_1 g(\lambda_1 t) \exp(i\lambda_1 t)$ .

$$[f * \psi_{\lambda_1}](t)$$
  
=  $a(t) \exp(i(\theta(t) - \lambda_1 t)) \times \left(\hat{g}\left(1 - \frac{\dot{\theta}(t)}{\lambda_1}\right) + \varepsilon(t, \lambda_1)\right)$ 

The corrective term  $\varepsilon(t,\lambda_1)$  is small if

- amplitude modulation is slow:  $\|\dot{a}/a\|_{\infty}^{2}, \|\ddot{a}/a\|_{\infty} \ll \lambda_{1}^{2}$ ,
- frequency modulation is slow:  $\|\ddot{ heta}\|_{\infty} \ll \lambda_1^2$  , and
- $(t, \lambda_1)$  is near a ridge:  $\dot{\theta}(t) \approx \lambda_1$ .

## FACTORIZATION IN THE SCALOGRAM

For Q between 12 and 24, we have  $|x_{\theta,\nu} * \psi_{\lambda_1}| = \widehat{\psi}_{\lambda_1}(k\dot{\theta}(t)) \times \hat{h}\left(\frac{\lambda_1}{\dot{\nu}(t)}\right)$ where k is such that  $\lambda_1 \approx k \theta(t)$ . In log-frequency and after logarithmic compression:  $\mathbf{U}_{\mathbf{1}} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}(t, \log \lambda_1) \stackrel{\text{def.}}{=} \log |x_{\boldsymbol{\theta}, \boldsymbol{\nu}} \ast \psi_{\lambda_1}|$  $= \mathbf{U}_{\mathbf{1}} \mathbf{e} (\log \lambda_1 - \log \dot{\mathbf{\theta}}(t)) + \mathbf{U}_{\mathbf{1}} \mathbf{h} (\log \lambda_1 - \log \dot{\mathbf{\nu}}(t))$ translated source translated filter

## 2. Leveraging harmonicity



Fig. from Shepard (1964).

## HARMONICITY PROPERTY

The harmonic comb is self-similar:  $\hat{e}(\omega) = \hat{e}(2^{j}\omega)$  for all  $\omega > 1$  and  $j \in \mathbb{N}$ .

Regularity across octaves for a given chroma:



# SPECTRAL SMOOTHNESS PROPERTY

The spectral envelope is regular across semitones:



Regularity along chromas within an octave:



## PARTIAL DERIVATIVE ALONG TIME

By linearity and chain rule formula:

$$\frac{\partial \mathbf{U}_{\mathbf{1}} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\partial t}(t, \log \lambda_{1}) = \frac{\ddot{\boldsymbol{\theta}}(t)}{\dot{\boldsymbol{\theta}}(t)} \frac{\mathrm{d} \mathbf{U}_{\mathbf{1}} \boldsymbol{e}}{\mathrm{d}(\log \lambda_{1})} (\log \lambda_{1} - \log \dot{\boldsymbol{\theta}}(t)) + \frac{\ddot{\boldsymbol{\nu}}(t)}{\dot{\boldsymbol{\nu}}(t)} \frac{\mathrm{d} \mathbf{U}_{\mathbf{1}} \boldsymbol{h}}{\mathrm{d}(\log \lambda_{1})} (\log \lambda_{1} - \log \dot{\boldsymbol{\nu}}(t)).$$

# PARTIAL DERIVATIVE ALONG CHROMAS

By linearity:

$$\frac{\partial \mathbf{U}_{1} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\partial (\log \lambda_{1})} (t, \log \lambda_{1}) = \frac{\mathrm{d} \mathbf{U}_{1} \boldsymbol{e}}{\mathrm{d} (\log \lambda_{1})} (\log \lambda_{1} - \log \dot{\boldsymbol{\theta}}(t)) + \frac{\mathrm{d} \mathbf{U}_{1} h}{\mathrm{d} (\log \lambda_{1})} (\log \lambda_{1} - \log \dot{\boldsymbol{\nu}}(t)).$$

neglected because of **spectral smoothness** 

# PARTIAL DERIVATIVE ACROSS OCTAVES

By linearity:

$$\frac{\Delta \mathbf{U}_{1} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\Delta j_{1}} (t, \log \lambda_{1}) = \underbrace{\frac{\Delta \mathbf{U}_{1} \boldsymbol{e}}{\Delta j_{1}} (\log \lambda_{1} - \log \dot{\boldsymbol{\theta}}(t))}_{\Delta j_{1}}$$

neglected because of harmonicity

$$+\frac{\Delta \mathbf{U_1}h}{\Delta j_1}(\log \lambda_1 - \log \dot{\boldsymbol{\nu}}(t)).$$

# **OPTICAL FLOW EQUATION**

 $U_1x$  behaves like an object in rigid motion, hence an optical flow equation in t,  $\log \lambda_1$ , and  $j_1$ .

$$\frac{\partial \mathbf{U}_{\mathbf{1}} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\partial t} (t, \log \lambda_{1}) = \frac{\ddot{\boldsymbol{\theta}}(t)}{\dot{\boldsymbol{\theta}}(t)} \frac{\partial \mathbf{U}_{\mathbf{1}} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\partial (\log \lambda_{1})} (t, \log \lambda_{1}) + \frac{\ddot{\boldsymbol{\nu}}(t)}{\dot{\boldsymbol{\nu}}(t)} \frac{\Delta \mathbf{U}_{\mathbf{1}} x_{\boldsymbol{\theta}, \boldsymbol{\nu}}}{\Delta j_{1}} (t, \log \lambda_{1}).$$

 $- \int Motion in (\log \lambda_1, j_1)$  is best expressed on a **spiral**: the chroma is angular, the octave is radial.

# Shepard-Risset Helix



### **ROTATING MOTION IN THE SPIRAL** A chirp has a rotating motion in the spiral.



### **RADIAL MOTION IN THE SPIRAL** A formantic change has a radial motion in the spiral.



# Two degrees of freedom



Musical transients are not regular in time-frequency... ... but in **time-chroma-octave**.





### 3. Spiral scattering



# SPIRAL WAVELETS

Accepted at GRETSI 2015 with Stéphane Mallat: *Transformée de scattering en spirale temps-chroma-octave*.

 $\Psi_{(\alpha,\beta,\gamma)}(t) = \psi_{\alpha}(t) \times \psi_{\beta}(\log \lambda_1) \times \psi_{\gamma}(\lfloor \log \lambda_1 \rfloor)$ 



# FREQUENCIES VS. QUEFRENCIES

 $\Psi_{(\alpha,\beta,\gamma)}(t) = \psi_{\alpha}(t) \times \psi_{\beta}(\log \lambda_1) \times \psi_{\gamma}(\lfloor \log \lambda_1 \rfloor).$ 

- $\alpha$  is a modulation frequency along time, in Hertz.  $\alpha^{-1}$  is typically between 1 ms and 100 ms.
- $\beta$  is a « quefrency » along chromas, in cycles per octaves.  $|\beta^{-1}|$  is typically between 1 semitone and 1 octave.
- $\gamma$  is a quefrency across octaves, in cycles per octaves.  $|\gamma^{-1}|$  is typically between 1 and 4 octaves.

We define the multiindex frequency variable  $\lambda_2 = (\alpha, \beta, \gamma)$ . By convention,  $\log \lambda_2 = (\log \alpha, \log \beta, \operatorname{sign} \beta, \log \gamma, \operatorname{sign} \gamma)$ .

# Scattering cascade

Wavelet filter banks *scatter* the energy from  $U_m$  to  $U_{m+1}$ . Complex modulus improves regularity and phase invariance.

$$\mathbf{U}_{1}x(t, \log \lambda_{1}) = |x \stackrel{t}{*} \psi_{\lambda_{1}}|(t)$$
$$\mathbf{U}_{2}x(t, \log \lambda_{1}, \log \lambda_{2}) = |\mathbf{U}_{1}x \circledast \Psi_{\lambda_{2}}|(t, \log \lambda_{1})$$
$$\mathbf{U}_{2}x(t, \log \lambda_{1}, \log \lambda_{2}) = |\mathbf{U}_{1}x \circledast \Psi_{\lambda_{2}}|(t, \log \lambda_{1})$$
$$\mathbf{U}_{2}x(t, \log \lambda_{1}, \log \lambda_{2}) = |\mathbf{U}_{1}x \circledast \Psi_{\lambda_{2}}|(t, \log \lambda_{1})$$

A lowpass filter  $\phi(t)$  enforces the amount of translation invariance that is required by the classification task.

$$\mathbf{S_1} x(t, \log \lambda_1) = \mathbf{U_1} x \overset{t}{*} \phi$$
$$\mathbf{S_2} x(t, \log \lambda_1) = \mathbf{U_2} x \overset{t}{*} \phi$$

# Source-filter properties

- Vanishing moment property: Convolving a wavelet with a linear function yields almost zero.
- Harmonicity and spectral smoothness rewrite as

$$\left| \mathbf{U}_{\mathbf{1}} e_{\theta} \overset{j_{1}}{*} \psi_{\gamma} \right| = 0 \text{ and } \left| \mathbf{U}_{\mathbf{1}} h_{\nu} \overset{\chi_{1}}{*} \psi_{\beta} \right| \approx 0.$$

• The spiral scattering transform boils down to

$$\begin{aligned} \mathbf{U}_{1} x_{\theta,\nu} & \overset{t,\chi_{1},j_{1}}{\circledast} \Psi_{\lambda_{2}} \\ &= \left[ \left( \mathbf{U}_{1} e_{\theta} \overset{\chi_{1}}{\ast} \psi_{\beta} \right) \times \left( \mathbf{U}_{1} h_{\nu} \overset{j_{1}}{\ast} \psi_{\gamma} \right) \right] \overset{t}{\ast} \psi_{\alpha} \end{aligned}$$

# SPIRAL WAVELET RIDGES

• Applying the wavelet ridge theorem three times yields:

$$\begin{aligned} \mathbf{U}_{\mathbf{1}} x_{\theta,\nu} & \stackrel{t,\chi_{1},j_{1}}{\circledast} \Psi_{\lambda_{2}} \\ &= \left| \mathbf{U}_{\mathbf{1}} e_{\theta} \stackrel{\chi_{1}}{\ast} \psi_{\beta} \right| \left| \mathbf{U}_{\mathbf{1}} h_{\nu} \stackrel{j_{1}}{\ast} \psi_{\gamma} \right| \left| \hat{\psi}_{\alpha} \left( -\frac{\ddot{\theta}(t)}{\dot{\theta}(t)} \beta - \frac{\ddot{\nu}(t)}{\dot{\nu}(t)} \gamma \right) \end{aligned}$$

• Ridges are on a plane whose Cartesian equation is

$$\alpha + \frac{\ddot{\theta}(t)}{\dot{\theta}(t)}\beta + \frac{\ddot{\nu}(t)}{\dot{\nu}(t)}\gamma = 0.$$

- The same holds for averaged coefficients  ${f S_2} x_{{m heta},{m 
u}}$  over T if

$$\frac{\ddot{\theta}(t)}{\ddot{\theta}(t)} - \frac{\ddot{\theta}(t)}{\dot{\theta}(t)} \bigg| \ll T^{-1} \text{ and } \left| \frac{\dddot{\nu}(t)}{\dddot{\nu}(t)} - \frac{\dddot{\nu}(t)}{\dot{\nu}(t)} \right| \ll T^{-1}.$$

# SPATIAL LOCALIZATION OF RIDGES

Below:  $U_1 x$  of the word « lion ».



Opposite:  $\mathbf{U_2} x$  slices for

- $\alpha^{-1} = 120 \text{ ms}$
- $|\beta^{-1}| = \pm 1$  octave
- $|\gamma^{-1}| = \pm 1$  octave









# $(\alpha, \beta, \gamma)$ localization of Ridges

- Top:  $\mathbf{U_1} x$  of a trombone note.
- (a) **attack** part with upwards glissando
- (b) **release** part with downwards glissando.



- Bottom:  $\mathbf{U_2}x$  slices for
- $\alpha^{-1} = 46 \text{ ms}$
- fixed t and  $\log \lambda_1$ .

# PHONEME CLASSIFICATION

Submitted to MLSP 2015 with Joakim Andén and Stéphane Mallat: Joint Time-frequency Scattering for Audio Classification.

Phoneme error rate on the TIMIT dataset [Fisher et al. 1986].

MFCC and SVM	18,3 %
MFCC and GMM commitee [Chang & Glass, 2007]	16.7 %
$\alpha$ scattering and SVM	17,3 %
$(\alpha, \beta)$ scattering and SVM	15,8 %
$(\alpha, \beta, \gamma)$ scattering	coming next

# **INVARIANT RECONSTRUCTION**

Problem:

« find a translation-invariant representation that allows the most plausible signal reconstruction ».

Given a target  $\mathbf{S}^{\infty} = (\mathbf{S}_{1}^{\infty}, \mathbf{S}_{2}^{\infty})$ , the gradient descent  $\Delta \mathbf{U}_{1}x = \Delta \mathbf{S}_{1}x \overset{t}{*} \overline{\phi}$  $+ \Re \left[ \sum_{\lambda_{2}} \left( \frac{\mathbf{U}_{1}x \circledast \Psi_{\lambda_{2}}}{|\mathbf{U}_{1}x \circledast \Psi_{\lambda_{2}}|} \times \Delta \mathbf{U}_{2}x \right) \circledast \overline{\Psi}_{\lambda_{2}} \right].$ 

converges to a local minimum of the loss function

$$E(x) = \|\mathbf{S}_1 x - \mathbf{S}_1^{\infty}\|_2 + \|\mathbf{S}_2 x - \mathbf{S}_2^{\infty}\|_2.$$

### original



#### first-order only



#### McDermott & Simoncelli



#### time scattering



#### time-frequency scattering



#### spiral scattering



# CONCLUSIONS

- Natural sounds are nonstationary, but physically regular.
- In the pitch spiral, source-filter transients become translations.
- Spiral scattering yields source-filter velocities without detection.
- Encouraging results in classification and invariant reconstruction.



Experiments can be reproduced at: <u>www.github.com/lostanlen/</u>

