

# Combinatorics on words: Factor complexity

Anna FRID

Aix-Marseille Université, September 2020

## Definition

The (*factor*) *complexity*  $p_{\mathbf{u}}(n)$  of an infinite word  $\mathbf{u}$  is the number of its distinct factors of length  $n$ .

## Definition

The (*factor*) *complexity*  $p_{\mathbf{u}}(n)$  of an infinite word  $\mathbf{u}$  is the number of its distinct factors of length  $n$ .

01101001100101100110...

## Definition

The (*factor*) *complexity*  $p_{\mathbf{u}}(n)$  of an infinite word  $\mathbf{u}$  is the number of its distinct factors of length  $n$ .

01101001100101100110...

No factors 000, 111  $\implies p_{\mathbf{u}}(3) = 6$ .

## Definition

The (*factor*) *complexity*  $p_{\mathbf{u}}(n)$  of an infinite word  $\mathbf{u}$  is the number of its distinct factors of length  $n$ .

01101001100101100110...

No factors 000, 111  $\implies p_{\mathbf{u}}(3) = 6$ .

The factor complexity has almost nothing to do with the Kolmogorov complexity which is the “shortest possible description of the string”.

# Properties of factor complexity

Let  $\mathbf{u}$  be an infinite word over  $k$  letters.

# Properties of factor complexity

Let  $\mathbf{u}$  be an infinite word over  $k$  letters.

- $1 \leq p_{\mathbf{u}}(n) \leq k^n$ ;

# Properties of factor complexity

Let  $\mathbf{u}$  be an infinite word over  $k$  letters.

- $1 \leq p_{\mathbf{u}}(n) \leq k^n$ ;
- $p_{\mathbf{u}}(n+1) \geq p_{\mathbf{u}}(n)$ ;



# Properties of factor complexity

Let  $\mathbf{u}$  be an infinite word over  $k$  letters.

- $1 \leq p_{\mathbf{u}}(n) \leq k^n$ ;
- $p_{\mathbf{u}}(n+1) \geq p_{\mathbf{u}}(n)$ ;
- If  $p_{\mathbf{u}}(n+1) = p_{\mathbf{u}}(n)$ , then  $\mathbf{u}$  is ult. periodic.

# Morse-Hedlund theorem

Theorem (Morse and Hedlund, 1938)

*An infinite word  $\mathbf{u}$  either is ultimately periodic, and then its complexity is ultimately constant, or satisfies  $p_{\mathbf{u}}(n) \geq n + 1$ .*

# Morse-Hedlund theorem

Theorem (Morse and Hedlund, 1938)

*An infinite word  $\mathbf{u}$  either is ultimately periodic, and then its complexity is ultimately constant, or satisfies  $p_{\mathbf{u}}(n) \geq n + 1$ .*

A word  $\mathbf{u}$  of complexity  $p_{\mathbf{u}}(n) \geq n + 1$  is called *Sturmian*.

# Fibonacci word

## Example (Fibonacci morphism)

$$\varphi(0) = 01, \varphi(1) = 0$$

$$0 \rightarrow 01 \rightarrow 010 \rightarrow 01001 \rightarrow 01001010 \rightarrow 0100101001001 \rightarrow \dots$$

Its fixed point is the *Fibonacci word*

$$\varphi^\omega(0) = 0100101001001010010100100101001001 \dots$$

# Fibonacci word

## Example (Fibonacci morphism)

$$\varphi(0) = 01, \varphi(1) = 0$$

$$0 \rightarrow 01 \rightarrow 010 \rightarrow 01001 \rightarrow 01001010 \rightarrow 0100101001001 \rightarrow \dots$$

Its fixed point is the *Fibonacci word*

$$\varphi^\omega(0) = 0100101001001010010100100101001001 \dots$$

## Lemma

*The Fibonacci word is Sturmian.*

(The proof will follow.)

# Special factors

Consider the set of factors  $\text{Fac}_{\mathbf{u}}(n)$  of an infinite word  $\mathbf{u}$ .

For a factor  $w$  of  $\mathbf{u}$ , denote by  $L(w)$  ( $R(w)$ ) the set of symbols  $a$  such that  $aw$  ( $wa$ ) is also a factor of  $\mathbf{u}$ .

$$\#L(w) = l(w),$$

$$\#R(w) = r(w).$$

We say that  $w$  is a *left (right) special* factor of  $\mathbf{u}$  if  $l(w) \neq 1$  ( $r(w) \neq 1$ ).

## Special words

Denote by  $RS_{\mathbf{u}}(n)$  the set of all right special factors of  $\mathbf{u}$  of length  $n$ .

## Special words

Denote by  $RS_{\mathbf{u}}(n)$  the set of all right special factors of  $\mathbf{u}$  of length  $n$ .

Then the first differences

$$d_{\mathbf{u}}(n) = p_{\mathbf{u}}(n+1) - p_{\mathbf{u}}(n) = \sum_{w \in \text{Fac}_{\mathbf{u}}(n)} (r(w) - 1) = \sum_{w \in RS_{\mathbf{u}}(n)} (r(w) - 1).$$



# Bispecial words

A word is *bispecial* if it is left and right special. The set of bispecial words  $B_{\mathbf{u}}(n)$ .

# Bispecial words

A word is *bispecial* if it is left and right special. The set of bispecial words  $B_{\mathbf{u}}(n)$ .

*Bispeciality degree:*

$$b(v) = \#\{(a, b) \mid a, b \in \Sigma, avb \in F\} - l(v) - r(v) + 1$$

## Bispecial words

A word is *bispecial* if it is left and right special. The set of bispecial words  $B_{\mathbf{u}}(n)$ .

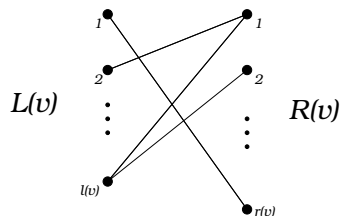
*Bispeciality degree:*

$$b(v) = \#\{(a, b) \mid a, b \in \Sigma, avb \in F\} - l(v) - r(v) + 1$$

### Second differences

$$s_{\mathbf{u}}(n) = p_{\mathbf{u}}(n+2) - 2p_{\mathbf{u}}(n+1) + p_{\mathbf{u}}(n) = \sum_{v \in \text{Fac}_{\mathbf{u}}(n)} b(v) = \sum_{v \in B_{\mathbf{u}}(n)} b(v).$$

# Bispeciality graph



$$b(v) = \#edges - l(v) - r(v) + 1$$

Cassaigne, 1994

## Fibonacci word is Sturmian

$$\varphi(0) = 01, \varphi(1) = 0$$

$$\mathbf{f} = \varphi^\omega(0) = 0\ 1\ 0\ 01\ 010\ 01001\ 01001010\ 0100101001001\ \dots$$

- It is not periodic since

$$\frac{|\varphi^n(0)|_0}{|\varphi^n(0)|} = \frac{F_{n+1}}{F_{n+2}} \rightarrow \frac{1}{\theta},$$

where  $\theta = \frac{1+\sqrt{5}}{2}$  is the golden mean.

# Fibonacci word is Sturmian

- $p_f(1) = 2 \quad (0, 1)$

$$p_f(2) = 3 \quad (00, 01, 10)$$

Suppose  $n$  is the shortest s.t.  $d_f(n+1) > 1$ .

## Fibonacci word is Sturmian

- $p_f(1) = 2 \quad (0, 1)$

$$p_f(2) = 3 \quad (00, 01, 10)$$

Suppose  $n$  is the shortest s.t.  $d_f(n+1) > 1$ .

So, Sturmian words exist and the Fibonacci word is one of them.

# Complexity of automatic words

## Lemma

Let  $\mathbf{u}$  be a  $k$ -automatic word. Then for every  $n$  we have

$$p_{\mathbf{u}}(kn + 1) \leq kp_{\mathbf{u}}(n + 1).$$



# Complexity of automatic words

## Lemma

Let  $\mathbf{u}$  be a  $k$ -automatic word. Then for every  $n$  we have

$$p_{\mathbf{u}}(kn + 1) \leq kp_{\mathbf{u}}(n + 1).$$

## Corollary

The complexity of a  $k$ -automatic word grows at most linearly.

## Complexity of morphic words

- The complexity of a fixed point of a morphism can grow as  $O(n^2)$ ,  $O(n \log n)$ ,  $O(n \log \log n)$ ,  $O(n)$  or  $O(1)$  [Pansiot 1984].

# Complexity of morphic words

- The complexity of a fixed point of a morphism can grow as  $O(n^2)$ ,  $O(n \log n)$ ,  $O(n \log \log n)$ ,  $O(n)$  or  $O(1)$  [Pansiot 1984].
- The complexity of a morphic word  $\psi(\varphi^\omega(a))$  grows as  $O(n^{1+1/k})$  for some  $k$  or at most as  $O(n \log n)$  [Devyatov, 2008, preprint].

## Further directions

- Characterizations of words of low complexity;

## Further directions

- Characterizations of words of low complexity;
- Constructing word with given complexity growth;

## Further directions

- Characterizations of words of low complexity;
- Constructing word with given complexity growth;
- Complexity of given words;

## Further directions

- Characterizations of words of low complexity;
- Constructing word with given complexity growth;
- Complexity of given words;
- Complexity of languages (e. g. square-free words);

## Further directions

- Characterizations of words of low complexity;
- Constructing word with given complexity growth;
- Complexity of given words;
- Complexity of languages (e. g. square-free words);
- General properties of the complexity function;



## Further directions

- Characterizations of words of low complexity;
- Constructing word with given complexity growth;
- Complexity of given words;
- Complexity of languages (e. g. square-free words);
- General properties of the complexity function;
- Modifications of the definition.