Self-induced systems

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Joint work with Nic Ormes, Samuel Petite

A possible motivation for this talk

Question of Christian Mauduit (Ferenczi, 2006) :

What can be said of the following substitution on $A = \mathbb{Z}$?

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▶ Drunken man substitution : $n \mapsto (n-1)(n+1)$

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But this is not the original motivation

We will come back to these substitutions later

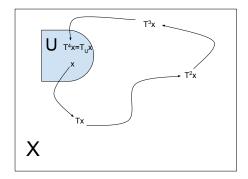
The question of this talk

What are the self-induced systems ?

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Induced map

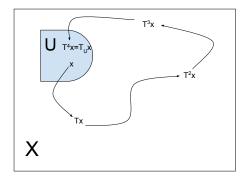
 $T: X \to X, \ U \subset X$



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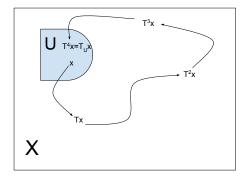


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 $T_U: U \to U$

Induced map

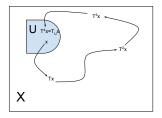
 $T:X \to X, \ U \subset X$



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 $T_U: U
ightarrow U$ (U, T_U) : induced system

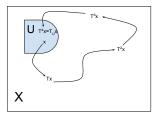
Dynamical system : (X, T)



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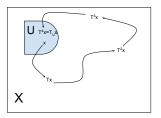
► X: compact metric space



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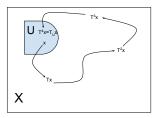
- ► X: compact metric space
- ► *T*: homeomorphism



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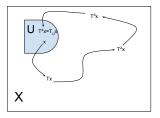
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- \blacktriangleright $U \subset X$



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Dynamical system : (X, T)

- X: compact metric space
- ► T: homeomorphism
- \blacktriangleright $U \subset X$



(Moving) definition : (X, T) is **self-induced** if (X, T) if there exists $U \subsetneq X$ (???) such that (X, T) is isomorphic (???) to (U, T_U) (???).

How to ensure T_U , and (U, T_U) , are well defined?

Poincaré recurrence theorem : *T_U* defined μ-almost everywhere (for some fixed *T*-invariant measure μ with μ(U) > 0)

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Poincaré recurrence theorem : *T_U* defined μ-almost everywhere (for some fixed *T*-invariant measure μ with μ(U) > 0)

- (X, T) minimal and U open.
- or any way to have (U, T_U) well defined

Theorem. (Mossé 1992) Let (X, S) be a subshift generated by the primitive substitution $\tau : A^* \to A^*$. Then,

$$\tau: X \to X$$

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is one-to-one.

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Corollary. Minimal substitution subshifts (X, S) are self-induced:

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Proof: $U = \tau(X)$ is a clopen set.

$$au: 0\mapsto 01, 1\mapsto 0, \ au(x)=x\in\{0,1\}^{\mathbb{Z}}, \ S$$
 the shift, $X=\overline{\{S^nx|n\in\mathbb{Z}\}}$

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 $x = \tau(x) = 01 \ 0 \ 01 \ 01 \ 0 \ 01 \ 0 \ 01 \ \cdots$ $\mathcal{D}_0(x) = 0 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ \cdots$

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Observation: The subshift generated by D₀(x) is isomorphic to ([0], S_[0]).

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 $\tau(X) = [0]$ $x = \tau(x) = 01 \quad 0 \quad 01 \quad 01 \quad 0 \quad 01 \quad 0 \quad 01 \quad \cdots$ $\mathcal{D}_0(x) = 0 \quad 1 \quad 0 \quad 0 \quad 1 \quad 0 \quad 1 \quad 0 \quad \cdots$

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• (X, S) is isomorphic to $([0], S_{[0]})$ and is self-induced.

$$\sigma: 0\mapsto 01, 1\mapsto 10, \ au(x)=x\in\{0,1\}^{\mathbb{Z}},$$
 $Y=\overline{\{S^nx|n\in\mathbb{Z}\}}$

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Observation: The subshift generated by D₀(x) is isomorphic to ([0], S_[0]).

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- Observation: The subshift generated by D₀(x) is isomorphic to ([0], S_[0]).
- (Y, S) is not isomorphic to $([0], S_{[0]})$ but is self-induced.

 (Holton-Zamboni 1999) A minimal subshift is substitutive if, and only if, it has (up to isomorphism) finitely many induced systems on cylinder sets

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- Observation : A minimal Cantor system is periodic if, and only if, it has (up to isomorphism) finitely many induced systems on clopen set

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- Let (X, S) be a minimal substitution subshift. For all clopen set U ⊂ X there is a clopen set V ⊂ U such that (X, S) is topologically conjugate to (V, S_V).

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- Let (X, S) be a minimal substitution subshift. For all clopen set U ⊂ X there is a clopen set V ⊂ U such that (X, S) is topologically conjugate to (V, S_V).
- This property is equivalent to the self-induction property on clopen sets.

Theorem. (Ornstein-Rudolph-Weiss 1982) Let (\mathbb{T}, R_{α}) and (\mathbb{T}, R_{β}) be two **non periodic rotations**. There exists a Lebesgue set U, with Leb(U) > 0, such that $(U, R_{\alpha,U})$ is (measure theoretically) isomorphic to (\mathbb{T}, R_{β}) .

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Corollary. Rotations on the torus are self-induced (measure theoretically).

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Corollary. Sturmian subshifts are self-induced (measure theoretically).

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Corollary. Sturmian subshifts are self-induced (measure theoretically). But, Sturmian subshifts are self-induced <u>on clopen sets</u> if, and only if, ... (can you expect?) the "slope" is quadratic.

 $(\mathbb{Z}_p, z \mapsto z+1)$ is self-induced (on a clopen set):



 $(\mathbb{Z}_p, z \mapsto z+1)$ is **self-induced** (on a clopen set): $U = p\mathbb{Z}_p$



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Not all odometers are self-induced (on a clopen set).

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Let $(p_n)_n$ be a sequence of integers such that p_n divides p_{n+1}

$$\mathbb{Z}_{(p_n)} = \left\{ (x_n) \in \prod_{n=1}^{\infty} \mathbb{Z}/p_n \mathbb{Z} : x_n \equiv x_{n+1} \mod p_n \right\}.$$

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 $(\mathbb{Z}_p, z \mapsto z+1)$ is self-induced (on a clopen set): $U = p\mathbb{Z}_p$ Not all odometers are self-induced (on a clopen set).

Let $(p_n)_n$ be a sequence of integers such that p_n divides p_{n+1}

$$\mathbb{Z}_{(p_n)} = \left\{ (x_n) \in \prod_{n=1}^{\infty} \mathbb{Z}/p_n \mathbb{Z} : x_n \equiv x_{n+1} \mod p_n \right\}.$$

Exercise. The odometer $(\mathbb{Z}_{(p_n)}, z \mapsto z+1)$ is self-induced (on a clopen set) if, and only if,

$$\lim_{n} \max\{k | q^k \text{ divides } p^n\} = +\infty.$$

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Example. If (p_n) is the sequence of prime numbers then $(\mathbb{Z}_{(p_n)}, z \mapsto z+1)$ is not self-induced (on a clopen set)

Some examples: the full shift

Exercise. (Example of G. Vigny) The **full shift** is (topologically) **self-induced** (on a closed set).

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Proof:
$$U = \{(x_n)_{n \in \mathbb{Z}} | x_{2n} x_{2n+1} \in \{00, 11\}\}$$
 (closed set)

Observation: Abramov formula

 $(X, T, \mu), \mu(U) > 0$



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$$h(U, T_U, \mu_U) = \frac{h(X, T, \mu)}{\mu(U)}$$

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$$(X, T, \mu), \mu(U) > 0$$

$$h(U, T_U, \mu_U) = \frac{h(X, T, \mu)}{\mu(U)}$$

Consequence: if (U, T_U, μ_U) and (X, T, μ) are isomorphic then

 $h(X, T, \mu) \in \{0, +\infty\}$

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Theorem (DPO-2018). Every self-induced (on a clopen set) **expansive** minimal Cantor system is conjugate to a substitution subshift.

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Theorem (DPO-2018). Every self-induced (on a clopen set) **expansive** minimal Cantor system is conjugate to a substitution subshift.

Proof: Use codings with return words (derived sequences) and substitutions on the words of length n.

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Because (U, S_U) is isomorphic to the subshift generated by the derived sequence of x with respect to U

What about non-expansive and non-equicontinuous systems?

Expansiveness and equicontinuity

 $T: X \to X$ on a compact metric space (X, d) is • expansive if :

$$\exists \delta > 0, \ \forall x, y \in X, x \neq y, \exists n \in \mathbb{Z}, d(T^n x, T^n y) > \delta.$$

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equicontinuous if :

 $\forall \epsilon > 0, \ \exists \delta > 0, \ (d(x, y) \le \delta \Longrightarrow d(T^n x, T^n y) < \epsilon \ \forall n).$

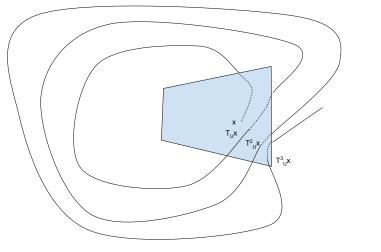
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Definition. Let (X, T) be a minimal Cantor system. We say that a nonempty closed set C is a **Poincaré section** for (X, T) if the induced map $T_C : C \to C$ is a well-defined homeomorphism.

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Some answers: all ergodic systems are self-induced

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Theorem. (Dahl-Molberg 2009) Let (X, T) and (Y, R) be minimal Cantor systems. Then, there exists a Poincaré section *C* in (Y, R) such that (C, R_C) is topologically conjugate to (X, T). Proof: easy with Bratteli diagrams

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Bratteli diagrams

This book is the first self-commined exposition of the fractuating link betreen dynamical systems and illumerising groups. The attributes explore the trick interplay between topological properties of dynamical systems and the algebraic structures associated with them, with an emphasis on symbolic systems, particularly substatiation systems. It is economicated for anybody with an interest in topological and symbolic dynamics, automata flowary or combinatories on words.

Intended to serve as an introduction for graduate students and other newcontext to the field as well as a reference for evaluation of the studas detailed exposition with full proofs of the major results of the subpert. A weating to examples and corresters, with solutions, serve to build imitation, while the many open problems collected at the end provide jumping-off points for future research.

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Dimension Groups and Dynamical Systems

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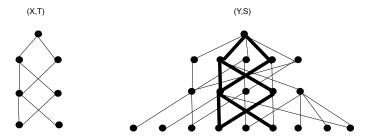
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The idea for Dahl-Molberg theorem



Corollary. Minimal Cantor systems are self-induced (on Poincaré section). (Even those with finite positive entropy)

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Corollary. "Ergodic systems are self-induced"

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Corollary. "Ergodic systems are self-induced" Proof: Vershik representation of ergodic systems

Main result

Theorem. Let (X, T) be a minimal Cantor system. It is a self-induced (on a clopen set) if, and only if, (X, T) is conjugate to a recognizable, primitive, aperiodic, generalized substitution subshift.

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• $\sigma: K^* \to K^*$ where K is a compact metric space (alphabet)

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• $\pi_j \circ \sigma$ is continuous on the set $\{a \in K : |\sigma(a)| \ge j\}$.

Proof of the main result

Proof: Set A = X, define $\sigma : A \to A^+$ by

$$\sigma(x) = \varphi(x)T(\varphi(x))T^{2}(\varphi(x))\cdots T^{r_{U}(\varphi(x))-1}(\varphi(x)),$$

where $r_U(\varphi(x))$ is the return time of $\varphi(x)$ to $U = \varphi(X)$ where φ is the conjugacy. \Box

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Question : Does there exist something else than "classical substitutions"?

► *K*: alphabet : compact metric space

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- ▶ $\sigma: K^* \to K^*$ generalized substitution

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- σ is recognizable if for every z ∈ X, there is a unique set of integers n_k : k ∈ ℤ and a unique x ∈ X such that σ(x_k) = z[n_k, n_{k+1} − 1] for all k ∈ ℤ.

Infini-Bonacci substitution

$$A = \{1, \dots\} \cup \{\infty\}$$

$$n\mapsto 1(n+1),\infty\mapsto 1\infty$$

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- Take primitive substitutions $\sigma_i \colon \mathcal{A}_i^* \to \mathcal{A}_i^*$,
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- $\mathcal{A} = \varprojlim (\mathcal{A}_i, \phi_i)_i$: the set $(a_i)_i$ with $a_i = \phi_i(a_{i+1})$
- $\blacktriangleright \ \sigma: \mathcal{A}^* \to \mathcal{A}^*: \ \sigma((a_i)_i)_j = ((\sigma_i(a_i))_j)_i.$
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$$A_i = \{0, 1, ..., i\}$$
 and

 $\sigma_i : 0 \mapsto 01, \ i \mapsto i0, \text{ and } a \mapsto (a+1)0, \text{ for } a \neq 0, i,$ $\phi_{i-1} : i \mapsto i-1, \text{ and } a \mapsto a, \text{ for } a \neq i.$

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The system it generates is not expansive nor equicontinuous

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Example of generalized substitutions

Let (X, S) be a subshift with $X \subset \{0, 1\}^{\mathbb{Z}}$, and let $z \in X$.

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$$\sigma(x) = \begin{cases} S(x)z & \text{if } x_0 = 0, \\ S(x)zz & \text{if } x_0 = 1, \end{cases}$$

or more concisely,

 $\sigma(x)\mapsto S(x)zz^{x_0}.$

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- (K_0, S_0) is a Toeplitz with $(\mathbb{Z}_2, +1)$ as a factor
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▶ X orbit closure of some well-chosen $z \in \mathbf{K}$

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- ▶ X orbit closure of some well-chosen $z \in \mathbf{K}$
- (X, T) is self-induced of the clopen set $\varphi(X)$.

THANK YOU FOR YOUR ATTENTION

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