# Minimizers and one question about de Bruijn graphs

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#### Minimizers: definition

Consider alphabet A, integers L > k > 0, and a linear order on A<sup>k</sup>. For s ∈ A<sup>L</sup>, the minimizer of s is the smallest substring of s of length k





• Order can be specified by a hash function  $h: \Sigma^k \to \mathbb{N}$ 

## References

- Credits:
  - Schleimer et al. Winnowing: local algorithms for document fingerprinting, SIGMOD Int Conf on Management of Data, 2003
  - Roberts et al. Reducing storage requirements for biological sequence comparison, Bioinformatics, 2004
- Applications:
  - *L*-mer processing:
    - clustering similar L-mers (locality-sensitive hashing)
    - L-mer counting [KMC 2015, MSPKmerCounter 2015]
    - metagenomic classification [Kraken 2014]
  - sampling k-mers in a genomic sequence to be used as seeds for similarity search
    - read mapping/alignment and assembly [minimap, miniasm 2016, 2018, MashMap 2018], mapping to variation graphs [V-MAP 2019]
    - genome assembly [BCALM 2016 ...]
  - stringology tasks: sparse suffix array [SamSAMi 2015]
  - ... and more

## Sampling

- General goal: sample positions such that
  - consecutive positions cannot be too far away from each other (each *L*-window contains a position)
  - identical *L*-windows have the same relative sampled positions
  - positions are distributed as sparsely as possible along the string

#### Density of minimizers

- We are interested in sparsely distributed minimizers
   good:
   bad:
- w = L k + 1: window of starting positions
- *density* of minimizers : expected density on
   i.i.d. random sequence (n → ∞)
- Marçais et al. 17] Given k, w, the density of minimizers equals the density of minimizers on any de Bruijn sequence of order w + k



#### Which order to choose?

- Schleimer et al. 03, Roberts et al. 04] Assuming that every k-mer from among w + 1 consecutive k-mers has equal chance to be minimal, the density of minimizers is 2/(w + 1)
- Iexicographical order performs worse than that
- [Orenstein et al. 17] Expected density of minimizers for m = w can be made below 1.8/(w + 1)
- [Schleimer et al. 03] Lower bound: 1.5/(w + 1)

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- [Zheng et al. 20] There is a forward LSS with density O(log w /w)

Lexicographically smallest rotation LSS

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```
001000100
010001001
1
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Question: what is the density produced by this LSS?

#### Lexicographically smallest rotation: experiment



Density of selected positions by lexicographic smallest rotation scheme on binary alphabet

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#### Questions

- What is the asymptotic density produced by the smallest rotation scheme? Is it  $O(\frac{1}{w})$ ?
- What about other (better?) schemes?
- What about forward schemes? Is  $O(\frac{\log w}{w})$  the tight bound? Can we resolve the constant factor?

## de Bruijn graph framework

The number of conjugacy classes is

$$C(w) = \frac{1}{w} \sum_{d|w} \phi(\frac{w}{d}) 2^d = \frac{2^w}{w} (1 + o(1))$$

where  $\phi$  is Euler's totient function

[Mykkelveit 72] There exists an unavoidable subset S ⊆ A<sup>w</sup> with |S| = C(w)



(cf also [Champarnaud *et al.* 04])
Equivalently, the *decycling number* of a de Bruijn graph is C(w)

• We need more than breaking all cycles

•

#### Thanks!