Synchronizing Times for k-sets in Automata

Natalie Behague

University of Victoria

Joint work with Robert Johnson, QMUL

Natalie Behague Synchronizing Times for *k*-sets in Automata

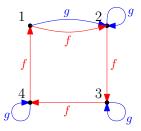
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What Are Synchronizing Automata?

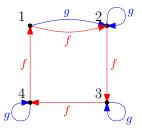
An automaton is a set of states [*n*] and a set of mappings from the set of states to itself.



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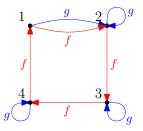
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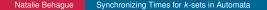


A word is a sequence of mappings. A reset word sends every state to the same state. e.g. gffgfffg for this automaton

A synchronizing automaton is one that has a reset word.

Černý's Conjecture

A synchronizing automaton has a reset word of length $\leq (n-1)^2$.

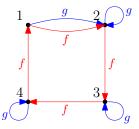


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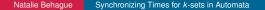
If true would be best possible.



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By considering pairs can find reset word of length $\leq (n-1)\binom{n}{2}$.



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Theorem [Frankl–Pin 83]

S a set of *k* states of a sync. automaton. Exists word *w* of length $\leq \binom{n-k+2}{2}$ such that |w(S)| < k.

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Corollary

Exists a reset word of length $\leq (n^3 - n)/6$.

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Best known: $\leq 0.1654n^3 + o(n^3)$ [Shitov 19].

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Conjecture holds if:

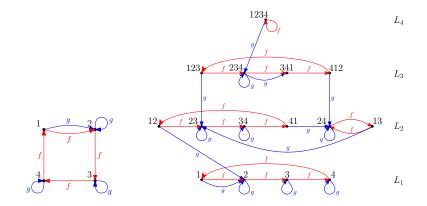
- automaton is orientable [Eppstein 90]
- the underlying digraph is Eulerian [Kari 03]
- one transition function is a cyclic permutation of all the states [Dubuc 98]

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The Power Automaton



 $\exists \rightarrow$

 The *k*-set rendezvous time rdv(k, n) is the length of the shortest path from layer L_k to layer L_1 .

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Lower bounds

Standard example gives $rdv(k, n) \ge (k - 2)n + 1$.

Gonze and Jungers found example giving $rdv(3, n) \ge n + 3$.

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Upper bounds

Applying Frankl–Pin directly gives

$$\operatorname{rdv}(k,n) \leq 1 + \sum_{i=2}^{k-1} \binom{n-i+2}{2} = (k-2)\frac{n^2}{2} + O(n).$$

Gonze and Jungers showed $rdv(3, n) \le 0.1545n^2$.

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Theorem 1 [B., Johnson '22]

For fixed k and n sufficiently large

$$\operatorname{rdv}(k,n) < \left\lfloor \frac{k-1}{2} \right\rfloor \frac{n^2}{2}$$

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Theorem 2 [B., Johnson '22]

$$\begin{aligned} \mathsf{rdv}(3,n) &\leq \frac{3-\sqrt{5}}{4}n^2 + \frac{3}{2}n &\cong 0.191n^2 + O(n) \\ & \mathsf{rdv}(4,n) &\leq 0.459n^2 + O(n) \\ & \mathsf{rdv}(5,n) &\leq 0.798n^2 + O(n) \end{aligned}$$

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Thm: For fixed *k* and *n* large, $\operatorname{rdv}(k, n) < \lfloor \frac{k-1}{2} \rfloor \frac{n^2}{2}$

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Example: k=6 L_n to L_{n-3} : at most 1 + 3 + 6 steps L_3 to L_1 : at most $\binom{n-1}{2} + \binom{n}{2}$ steps

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In general,

$$\sum_{i=1}^{\lfloor \frac{k}{2} \rfloor} \binom{i+1}{2} + \sum_{i=1}^{\lceil \frac{k}{2} \rceil - 1} \binom{n-i+1}{2}.$$

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Theorem:
$$rdv(3, n) \le \frac{3-\sqrt{5}}{4}n^2 + \frac{3}{2}n$$

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Claim 1

Exists word sending some triple to a singleton of length $\leq n + \binom{r+2}{2}$

Claim 2

Exists word sending some triple to a singleton of length $\leq n + \frac{(n-r)n}{2}$

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Exists word sending some triple to a singleton of length $\leq n + \binom{r+2}{2}$ where $r = \min_{w \text{ length } \leq n} rank(w)$



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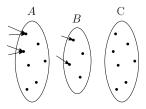
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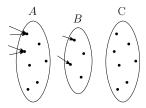
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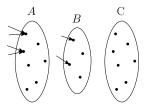
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n = 2|A| + |B| = |A| + |B| + |C|

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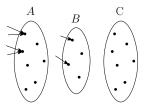
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n = 2|A| + |B| = |A| + |B| + |C|, so |A| = n - r. Apply Frankl-Pin to A.

Claim 2

Exists word sending some triple to a singleton of length $\leq n + \frac{(n-r)n}{2}$ where $r = \min_{w \text{ length } \leq n} rank(w)$



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Assume strongly connected.

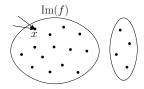
A good pair can be reached from a triple in $\leq n$ steps.

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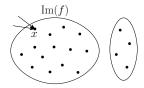


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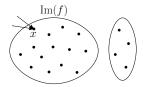
There are $\geq \frac{(r-1)n}{2}$ good pairs.

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There are $\geq \frac{(r-1)n}{2}$ good pairs. So there are $\leq \frac{(n-r)n}{2}$ bad pairs.

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Exists word sending some triple to a singleton of length

$$\leq \min\left\{n+\binom{r+2}{2},n+\frac{(n-r)n}{2}\right\}$$

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Worst case is when these are equal which is when $r \approx \frac{-1+\sqrt{5}}{2}n$. Doing the calculations we get

$$\mathsf{rdv}(3,n) \leq \frac{3-\sqrt{5}}{4}n^2 + \frac{3}{2}n$$

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Conjecture

There exists some constant *c* such that $rdv(3, n) \leq cn$.

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What about the worst triple?

Question

Is there some constant c < 1 such that for any triple there is a word from that triple to a singleton of length $\leq cn^2 + O(n)$?

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A 'yes' would give an improvement on the Frankl–Pin bound for Černý's conjecture.

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Non-synchronizing Automata

Theorem 3 [B., Johnson 20+]

If $k \ge 3$ and *n* suff. large there exists a (non-sync.) automaton where the shortest path from L_k to L_1 is of length $\ge \frac{4}{3} \left(\frac{n}{4k}\right)^{k-1}$.

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Construction for k = 3

