# An aperiodic monotile Craig S. Kaplan, University of Waterloo 

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## An aperiodic monotile

 David Smith Joseph Samuel Myers Craig S. KaplanChaim Goodman-Strauss arxiv.org/abs/2303.10798

## Basic terminology

A tile is a closed topological disk.
Let $\mathcal{S}=\left\{S_{1}, \ldots, S_{n}\right\}$ be a finite set of tiles. A tiling from $\mathcal{S}$ is a countable set $\mathscr{T}=\left\{T_{1}, T_{2}, \ldots\right\}$ such that

1. Every $T_{i}$ is congruent to a member of $\mathcal{S}$;
2. The interiors of the $T_{i}$ are pairwise disjoint; and
3. The union of the $T_{i}$ is the whole plane

We say that $\mathcal{S}$ admits $\mathscr{T}$. If $\mathcal{S}$ consists of a single shape, the tiling is called monohedral.

## Periodicity

In the Euclidean plane, a tiling is periodic if its symmetry group includes at least two non-parallel translations, and non-periodic


Non-periodicity is common: many sets of shapes admit both periodic and nonperiodic tilings.




## Aperiodicity

A set of tiles is aperiodic if it admits tilings, but none that are periodic.
Aperiodicity is a property of a set of tiles, and not of a tiling! The tiles conspire to prevent periodicity.

Proving aperiodicity:

- Exhibit a non-periodic tiling
- Show that no tiling can be periodic


## Wang tiles

Wang [1961] conjectured that there are no aperiodic sets of (Wang) tiles.


Clearly, a sufficient condition for a set of plates to have a solution is that there exists a cyclic rectangle of the plates.

What appears to be a reasonable conjecture, which has resisted proof or disproof so far, is:
4.1.2 The fundamental conjecture: A finite set of plates is solvable (has at least one solution) if and only if there exists a cyclic rectangle of the plates; or, in other words, a finite set of plates is solvable if and only if it has at least one periodic solution.

## Aperiodic Wang tiles

Berger [1966] exhibited an aperiodic set of 20426 Wang tiles (and remarked that smaller sets were possible).

Berger brought the total down to 104; Knuth [1968] managed 92.


## Minimal aperiodic Wang tiles

Culik [1996] exhibited a set of aperiodic Wang tiles of size 13.


Jeandel and Rao [2021] found a set of size 11 and proved that this was minimal.


## Robinson tiles

Robinson [1971] gave an aperiodic set of six tiles.


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The red markings on the tiles must form a pattern of squares of unbounded sizes. Each size of square repeats periodically without overlap. Aperiodicity follows.

## Penrose tiles

Penrose [1974] gave two aperiodic sets of size two.

$P 2$, the "kite and dart"


Substitution rules (and the Extension Theorem) show that the kite and dart admit (non-periodic) tilings of the plane.


To show that every tiling by kites and darts is non-periodic, show that tiles can uniquely be composed into "supertiles".


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## The quest for an einstein

Since the 1970s, several other small aperiodic sets were discovered by Ammann, Goodman-Strauss, and others.

Does there exist an aperiodic set of size one, AKA an aperiodic monotile, AKA an "einstein"?

Grünbaum and Shephard [1987]: "Though the existence of such a tile may appear unlikely, one must remember that only a few years ago, the existence of aperiodic sets containing just two tiles seemed essentially impossible."

## The Socolar-Taylor tile

Socolar and Taylor [2011] presented an aperiodic hexagon!


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## The Socolar-Taylor tile



## The Socolar-Taylor tile

Variations of the Socolar-Taylor tile can express its matching conditions geometrically.


Disconnected tile
Connected 3D tile

## David Smith

...shape hobbyist


## November 2022



The "hat"

## November 2022

David emailed me out of the blue: "It has a Heesch number of at least three, if it's a non-tiler (I couldn't get it to tile periodically)."


## Measures of disorderliness

Let $T$ be a tile.

If $T$ admits periodic tilings, then the isohedral number of $T$ is the minimum number of transitivity classes in any of those tilings.
$\Rightarrow$ A rough measure of a tiler's disorderliness.
$\Rightarrow$ Joseph Myers [1996-present] has computed isohedral numbers of unmarked polyforms, finding a record of 10.

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If $T$ does not admit tilings, then the Heesch number of $T$ is the maximum number of rings of copies of $T$ that can surround it.
$\Rightarrow$ A rough measure of a non-tiler's disorderliness.
$\Rightarrow$ In my work [2022] I computed Heesch numbers of unmarked polyforms, finding examples up to 4. Current record is 6 [Bašić 2021]


David asked whether my Heesch number software could work with kites (or drafters). Thanks to recent joint work with Ava Pun, it could.





## Metatiles

We define four metatiles by observation of recurring patterns in computergenerated patches.

$P$


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$H$


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## Forcing non-periodicity

To complete a proof of aperiodicity, we must show that no tiling by the hat can be periodic.

Past aperiodic sets were generally engineered with matching conditions that facilitate a Berger-style proof of forced non-periodicity. The hat was found "in the wild".

With all the complexity baked into a single shape, a case-based analysis seems daunting.

Happily, the metatiles can help. So can Chaim and Joseph, who joined David and Craig in January!

## Forcing non-periodicity

Use the metatiles as an intermediate step to manage complexity.
Step 1: Prove that in any tiling by hats, the tiles are forced to cluster into metatiles.

Step 2: Prove that the matching conditions on the metatiles force them to assemble into larger, combinatorially equivalent copies of themselves.

## Surroundable 2-patches

An $n$-patch is a patch of tiles consisting of a tile surrounded by $n$ rings of copies.


0 -patch
1-patch


2-patch

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2-patch

A surroundable 2-patch is a 2-patch that lies in the interior of any 3-patch.

## Surroundable 2-patches



We use software to enumerate the 188 surroundable 2-patches of hats, which loosely simulate neighbourhoods of real tilings.

## Validity of clustering



With software we can check that for every surroundable 2-patch,

1. The interior hats can be assigned deterministic identities within metatiles; and
2. Implied metatile boundaries between interior tiles obey prescribed matching conditions.

Thus any hat tiling has a legal decomposition into metatiles.

## Assembly of supertiles



Build a big tree of clusters of metatiles, prove that the only legal results are supertiles with combinatorially equivalent matching conditions.
$D$








## A second einstein!

In December, David had found a second unusual shape (the "turtle").


Joseph: "Tile B is also aperiodic. In fact, we have an infinite family of aperiodic 13-gon tiles, determined by a parameter that can be any positive real except maybe 1..."

Joseph: "I also now have an outline that *might* work for showing nonexistence of a (strongly) periodic tiling based on the coupling between two polyiamond tilings..."


## The continuum

Hat edges come in two lengths in $\sqrt{3}$ proportion. Every edge has a parallel partner. So we can adjust the two lengths independently to produce a continuum of tile shapes denoted $\operatorname{Tile}(a, b)$ for $a, b \geq 0$.


Tile $(0,1)$


Tile $(1, \sqrt{3})$


Tile (1, 1)


Tile $(\sqrt{3}, 1)$


Tile (1, 0)

The tiles Tile( 0,1 ) (the "chevron"), Tile( 1,1 ), and Tile( 1,0 ) (the "comet") admit periodic tilings. All others are aperiodic monotiles with combinatorially equivalent tilings.


Suppose that a tiling by hats were periodic.


The affine transformation $g$ mapping the lattice of translations of the chevron tiling to that of the comet tiling cannot be a similarity: it must scale areas by $2 / 3$, which would scale lengths by an impossible amount.


But by taking into account the distribution of tile orientations in all three tilings, we show that $g$ must be a similarity, a contradiction.

Therefore, the original tiling by hats could not have been periodic.


## Passe-Science \#53 on YouTube



La première tuile apériodique de l'histoire! The Hat - Passe-science \#53

## Conclusion

The hat is an aperiodic monotile with an unusual origin story.
We provide a "standard" combinatorial proof of aperiodicity, and a new indirect geometric proof.

Related problems for future work:

- Are there "simpler" aperiodic monotiles?
- Is there a chiral aperiodic monotile?
- Is there a 3D aperiodic monotile?
- Are there bounds on isohedral numbers or Heesch numbers?
- Is the tiling problem undecidable for a single tile in the plane?
- Is the periodic tiling problem undecidable for a single tile in the plane?


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## Thank you!

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