

# Hats, CAPs, and Spectres

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Bielefeld

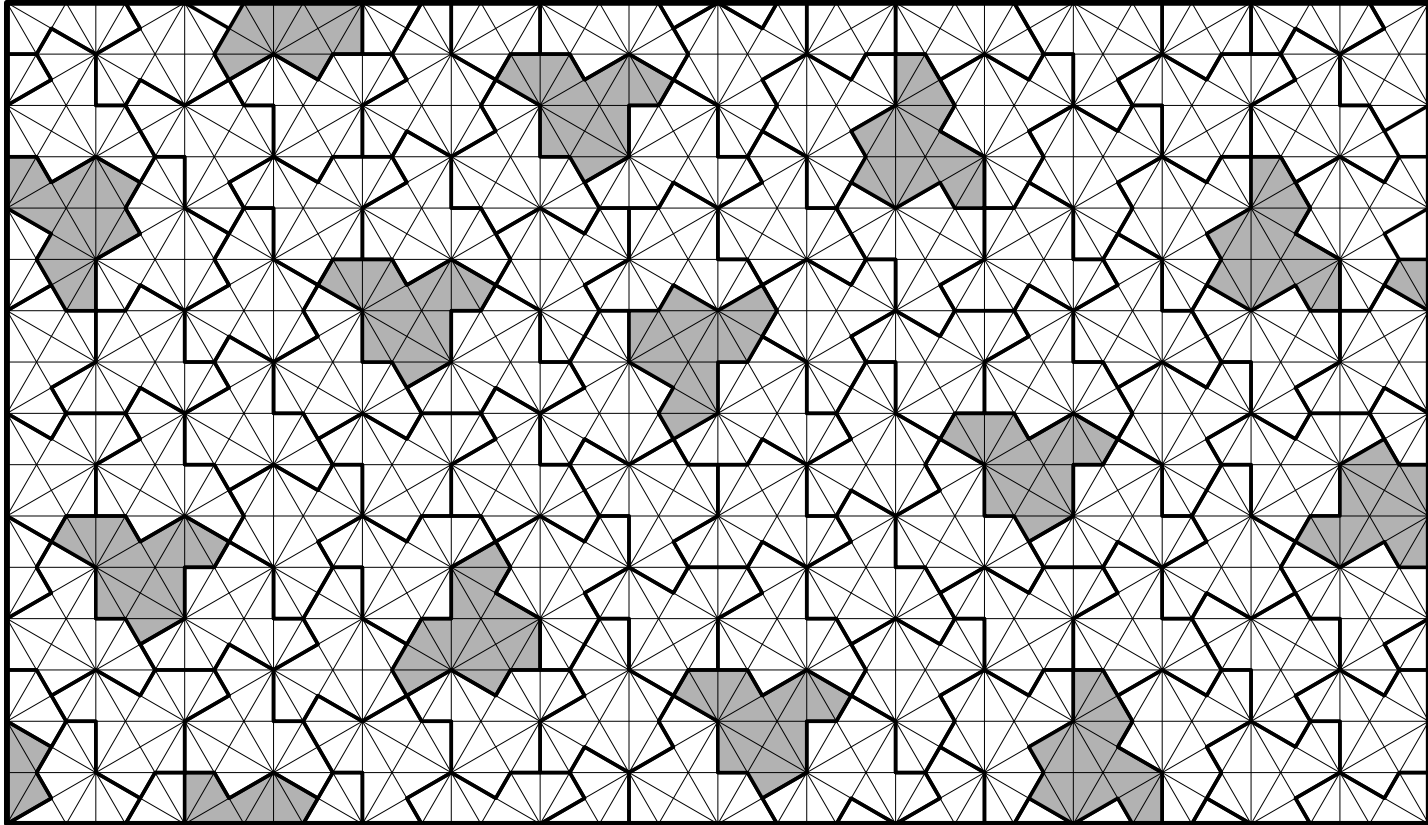
( joint work with Franz Gähler and Lorenzo Sadun )

# Menu

- An aperiodic Hat
- Why care ?
- Shape changes
- The CAP tiling
- Embedding & window
- Back to the Hat
- Spectres & shadows
- Some stories repeat ...
- Outlook



# Hats and anti-Hats

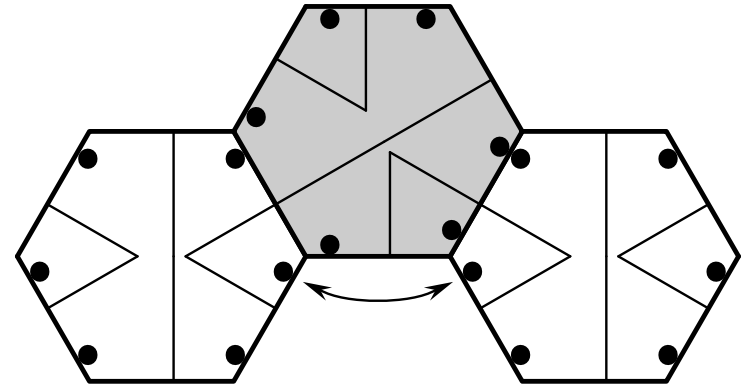
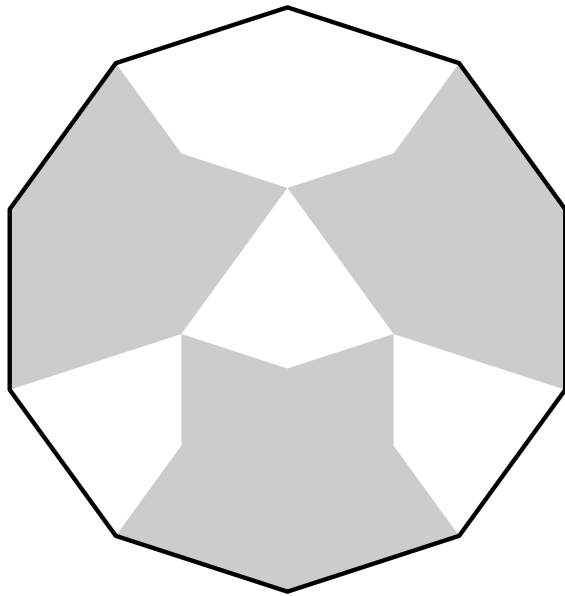
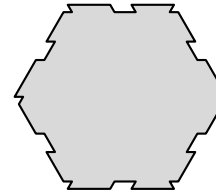
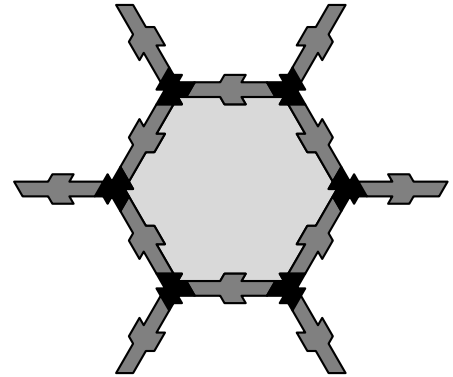
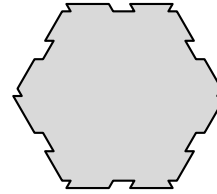
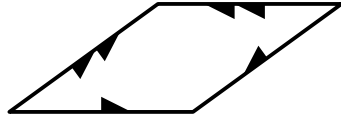
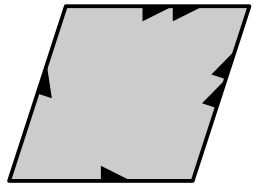


Smith, Myers, Kaplan and Goodman-Strauss, [arXiv:2303.10798](https://arxiv.org/abs/2303.10798)

Hats and anti-Hats together can tile the plane, but only **aperiodically**

Ratio of Hats to anti-Hats is  $\tau^4$ , with  $\tau = \frac{1}{2}(1 + \sqrt{5})$ , so **two** classes

# Predecessors



# Why care ?

- Lots of fun ... for everyone !
- First purely geometric monotile
- Dense sphere packings and beyond
- The 'crystallisation problem'
- Unique ground states ?
- Dynamics and topology
- Links to harmonic analysis
- Do we understand Euclidean space ?
- ...

# The Hat family of tilings

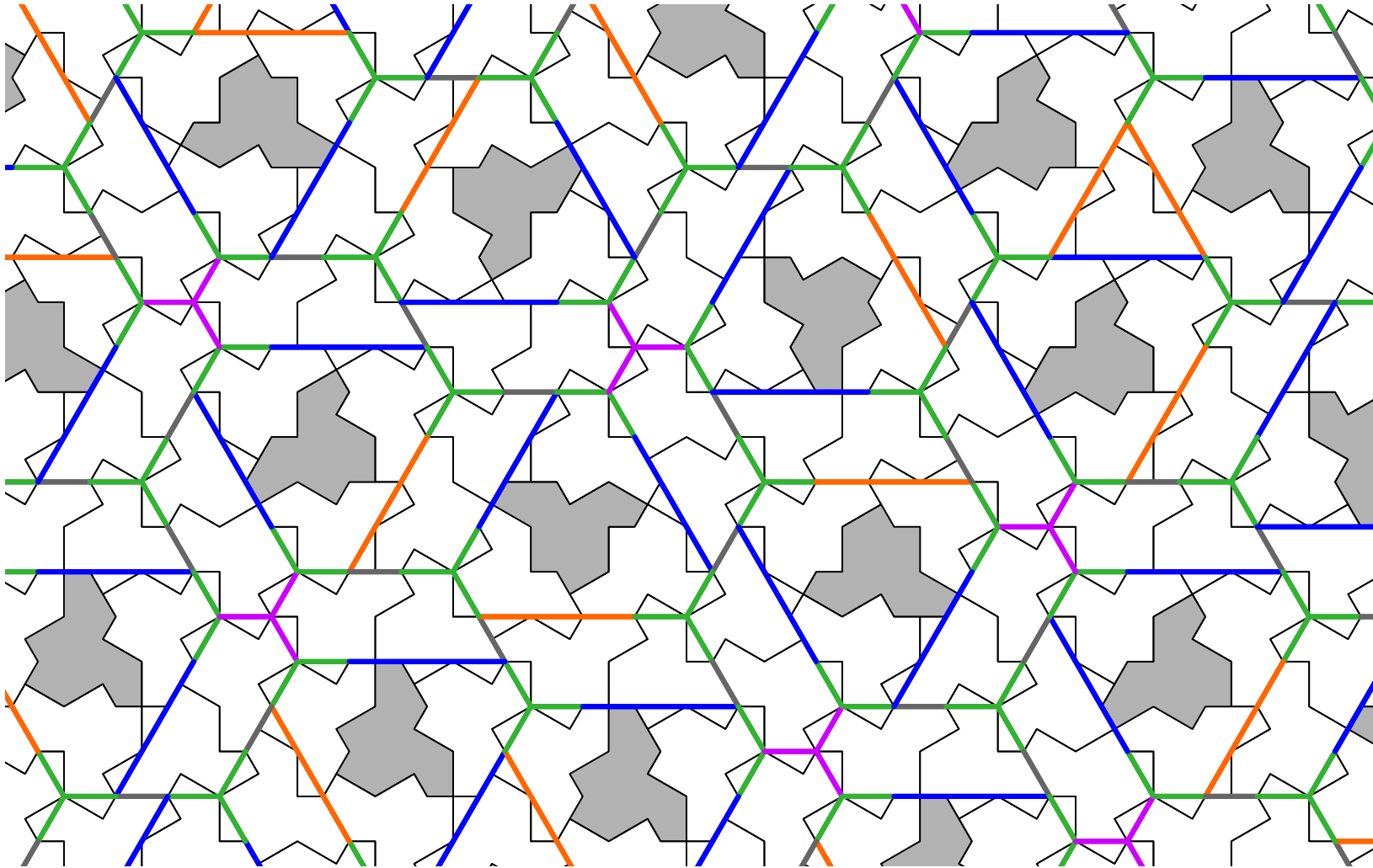
There are many **hat-like tilings**:

- Hats inevitably combine into clusters, forming **meta-tiles**
- A **combinatorial inflation** induces a hierarchical structure
- Many deformations are possible **without** changing the (local) combinatorial structure: **Hats, Turtles, ...** and the like

## Questions:

- What is the overall **structure** of such tilings ?
- Is there **Bragg** diffraction ? **Only** Bragg diffraction ?
- Are they **projection** tilings ? Are they **quasiperiodic** ?

# Hats and meta-tiles



# Shape changes in $\mathbb{R}^2$

The Hat deformations can be understood as **shape changes (SC)**:

- A shape change is a map  $f$  from (classes) of edges to  $\mathbb{R}^2$
- SC functions must be closed:  $\sum_{e \in \partial T} f(e) = 0$  for any tile  $T$
- Some SC functions induce MLD transformations
- We care about SC modulo MLD transformations

**Clark & Sadun:**

$$\text{SC / MLD} \simeq \check{H}^1(\mathbb{X}, \mathbb{R}^2)$$

$\mathbb{X} = \overline{\{t + \mathcal{T} : t \in \mathbb{R}^2\}}$  is the **tiling space** of a tiling  $\mathcal{T}$

$\check{H}^1(\mathbb{X}, \mathbb{R}^2)$  is a (computable !) **cohomology group** of  $\mathbb{X}$



# More shape changes

Types of SCs / cohomology classes:

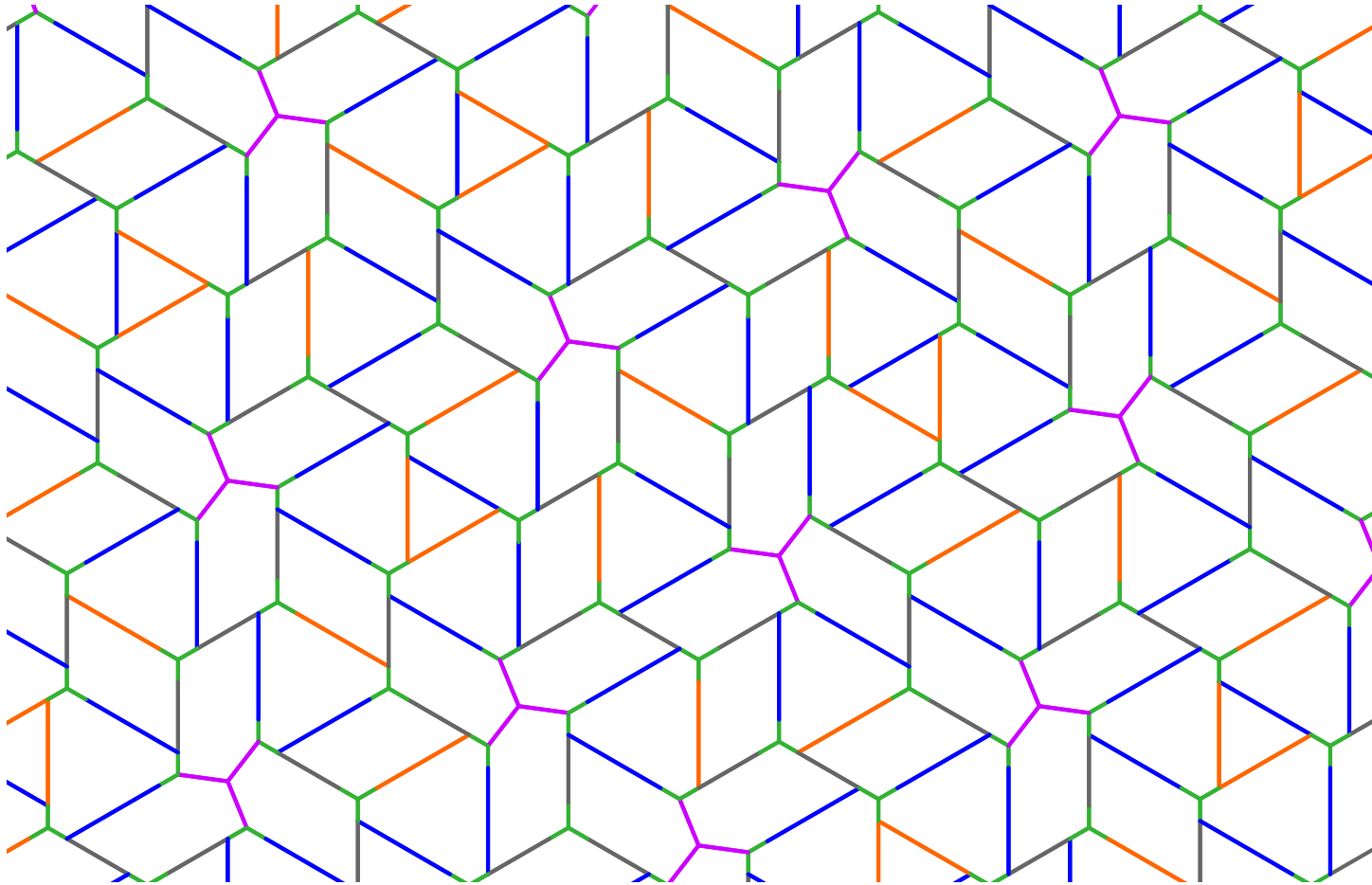
- **Linear maps** — essential change, but well understood
- **Asymptotically negligible** SCs — no effect on long-range order
- Other SCs — essential, but **absent** for the Hat family of tilings !

**Theorem** (BGS '23)

The Hat tiling possesses no non-trivial, asymptotically relevant SCs. The asymptotically negligible SCs lead to topologically conjugate dynamical systems.

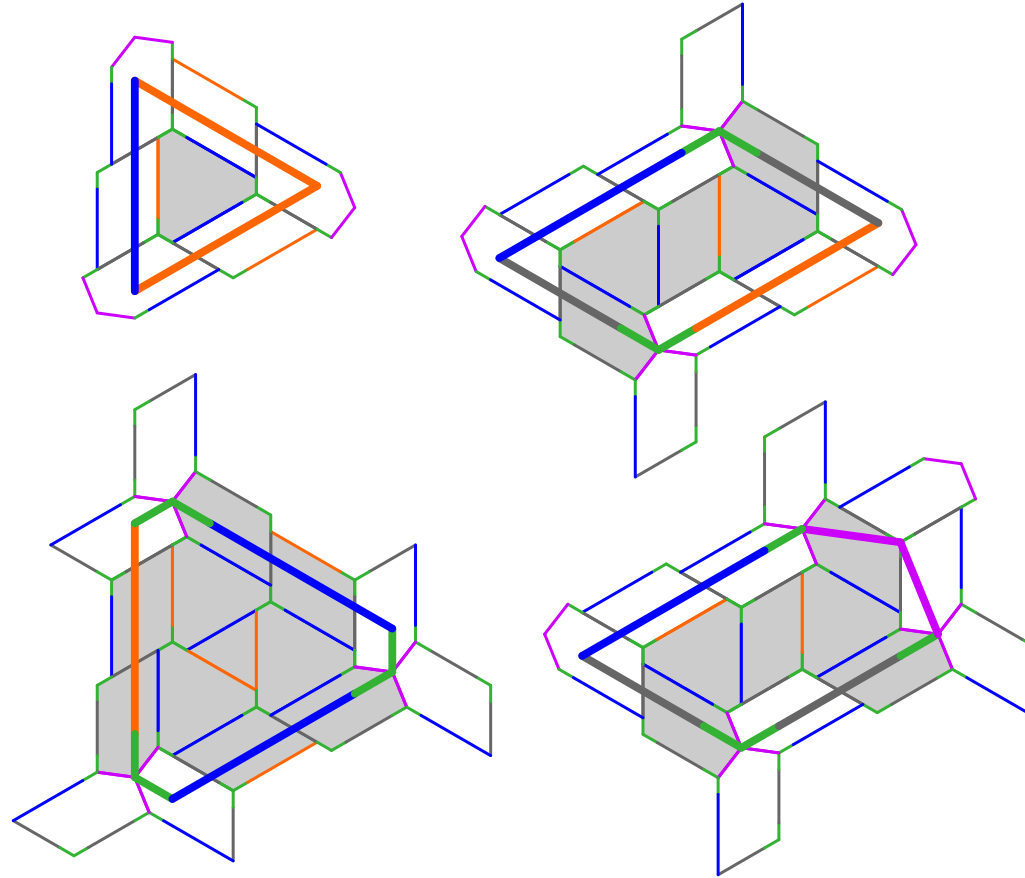
All members of the Hat family of tilings thus share their essential topological and spectral features.

# Self-similar meta-tiles: CAP tiling



One particular choice of (deformed) meta-tiles leads to a self-similar tiling, thus with a **geometric inflation**, not only a combinatorial one

# Inflation for CAP meta-tiles



Not a stone inflation, but each tile belongs to a unique super-tile

# Spectrum of CAP

## Theorem (BGS '23)

The dynamical system of the CAP tiling, under the translation action of  $\mathbb{R}^2$ , is **strictly ergodic**, and the spectrum is **pure point**, with **continuous** eigenfunctions (**topological pure point spectrum**).

The same applies to all tilings from the topological conjugacy class.

## Proof

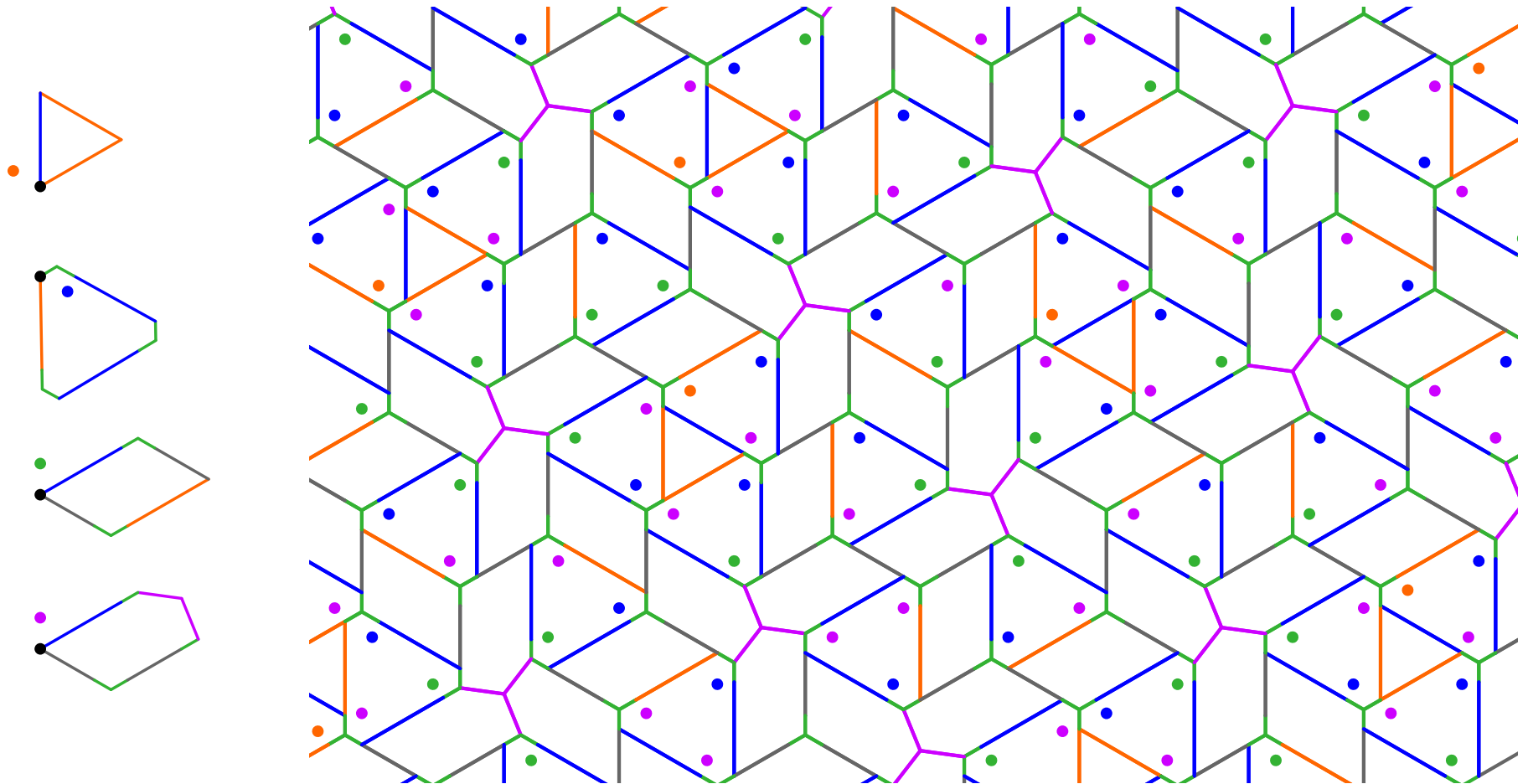
Solomyak's overlap algorithm can be applied, and gives: **YES** !

Strict ergodicity of the system and continuity of eigenfunctions follows from the inflation process. □

Done ... but no real insight ...

# Model set approach

**Alternative:** Construct MLD Delone set of control points, lift to  $\mathbb{R}^4$ , and prove that they form a one-component regular model set ...



# Pure point spectra

**CPS**

$$\begin{array}{ccccc}
 \mathbb{R}^d & \xleftarrow{\pi} & \mathbb{R}^d \times \mathbb{R}^m & \xrightarrow{\pi_{\text{int}}} & \mathbb{R}^m \\
 \cup & & \cup & & \cup \text{ dense} \\
 \pi(\mathcal{L}) & \xleftarrow{1-1} & \mathcal{L} & \longrightarrow & \pi_{\text{int}}(\mathcal{L}) \\
 \parallel & & & & \parallel \\
 L & \xrightarrow{\quad \star \quad} & & & L^*
 \end{array}$$

(Meyer 1972)

(Moody 1997)

**Model set**

$$\Lambda = \{x \in L : x^* \in W\} \quad (\text{assumed regular})$$

with  $W \subset \mathbb{R}^m$  compact,  $\lambda_L(\partial W) = 0$

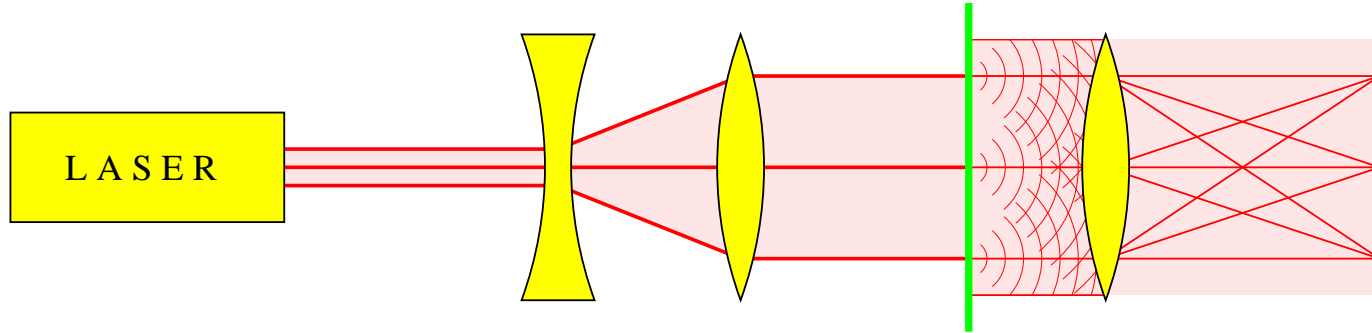
**Diffraction**

$$\widehat{\gamma} = \sum_{k \in L^{\circledast}} |A(k)|^2 \delta_k \quad \text{pure point !!} \quad (\omega = \delta_\Lambda)$$

with  $L^{\circledast} = \pi(\mathcal{L}^*)$  (Fourier module of  $\Lambda$ )

and amplitude  $A(k) = \frac{\text{dens}(\Lambda)}{\text{vol}(W)} \widehat{1_W}(-k^*)$

# Diffraction and spectra

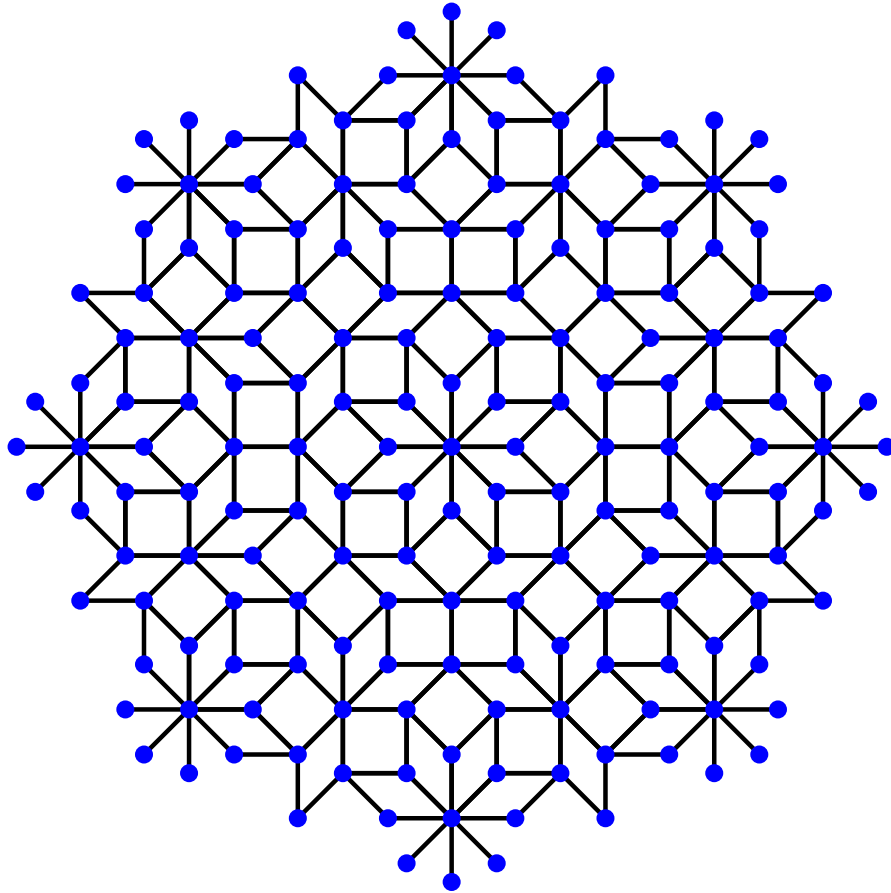


**Wiener's diagram**

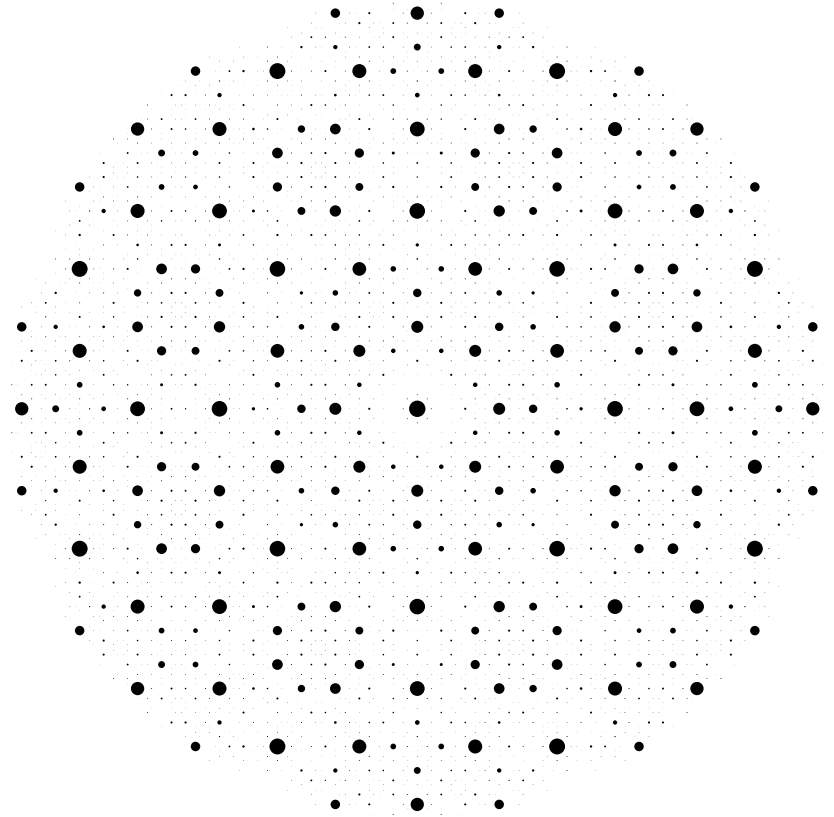
obstacle  $f(x)$ , with  $\tilde{f}(x) := \overline{f(-x)}$

$$\begin{array}{ccc} f & \xrightarrow{*} & f * \tilde{f} \\ \mathcal{F} \downarrow & & \downarrow \mathcal{F} \\ \hat{f} & \xrightarrow{|\cdot|^2} & |\hat{f}|^2 \end{array}$$

# Example: Ammann–Beenker tiling



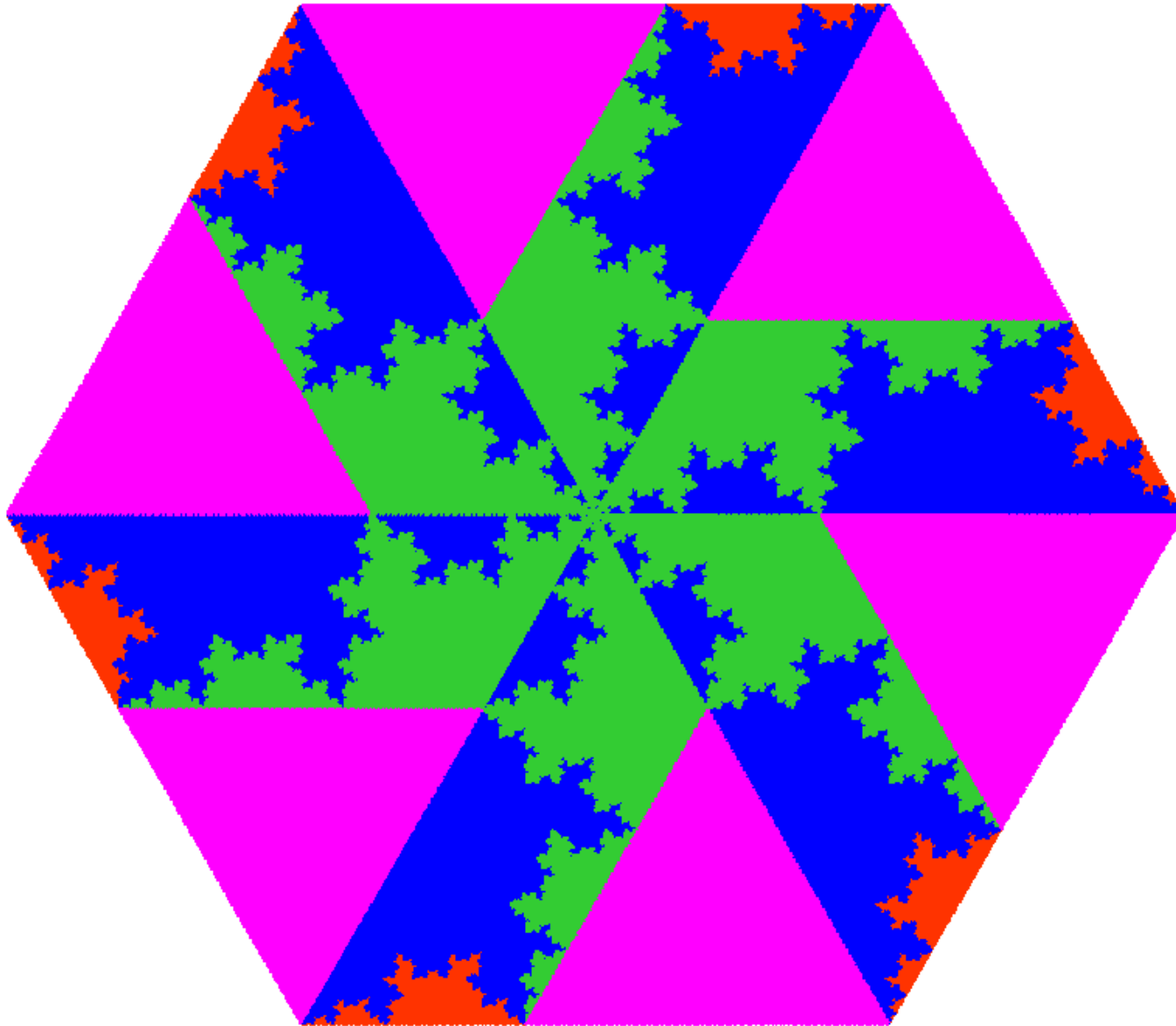
Tiling and point set



Diffraction



# The CAP window



# The model set structure

Inflation Delone set  $\Lambda$  is a subset of a **regular model set**  $\Lambda'$ , where the latter defines a system with topological pure point spectrum.

Inflation determines  $\rho = \text{dens}(\Lambda)$  via relative tile frequencies (from PF theory) and tile areas (from geometry), giving  $\rho = \frac{2}{3}\tau^2\sqrt{3}$ .

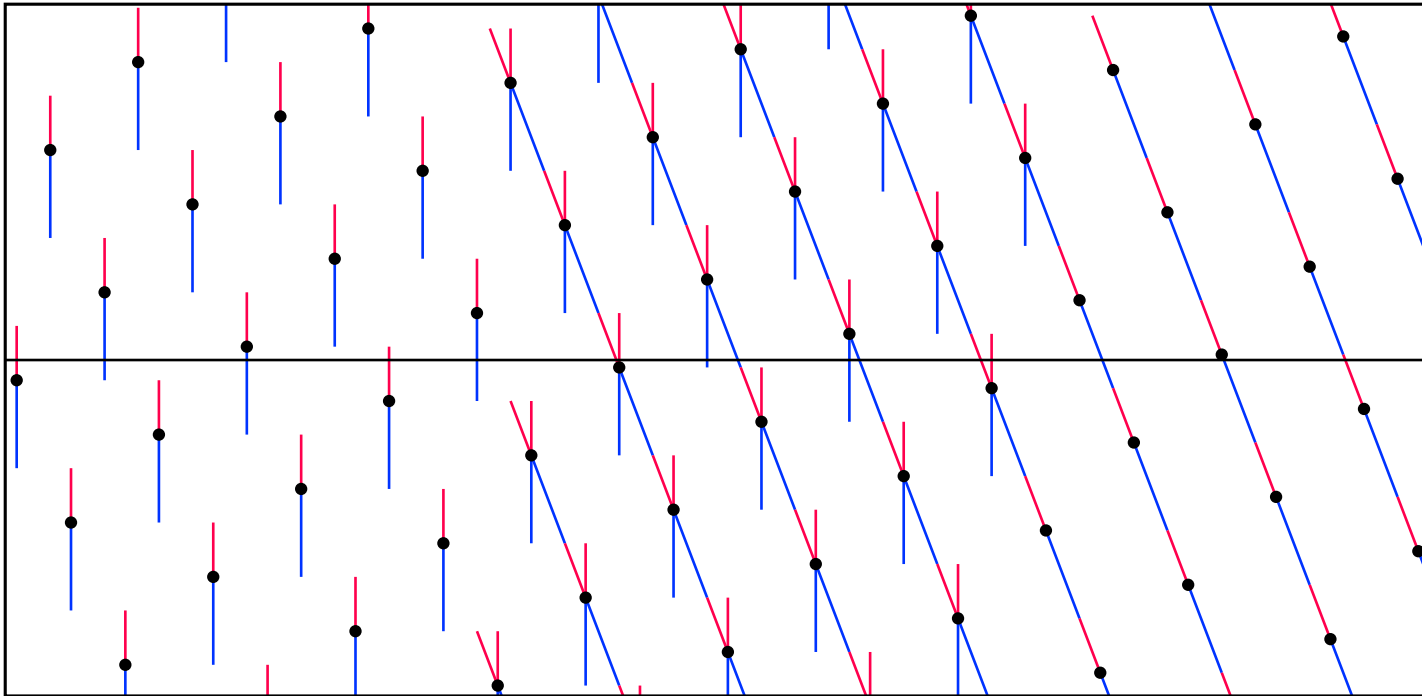
Projection gives  $\rho' = \text{dens}(\Lambda')$  as window area times density of embedding lattice,  $\rho' = \frac{2}{3}\tau^2\sqrt{3}$ , hence  $\rho' = \rho$ .

$\implies$   $\Lambda$  has **pure point diffraction**

$\implies$   $(\mathbb{X}_\Lambda, \mathbb{R}^2)$  has **pure point dynamical spectrum**

$\implies$  **Topological** pure point spectrum

# Example: Fibonacci shape change



**Shape changes**

Change of relative interval lengths

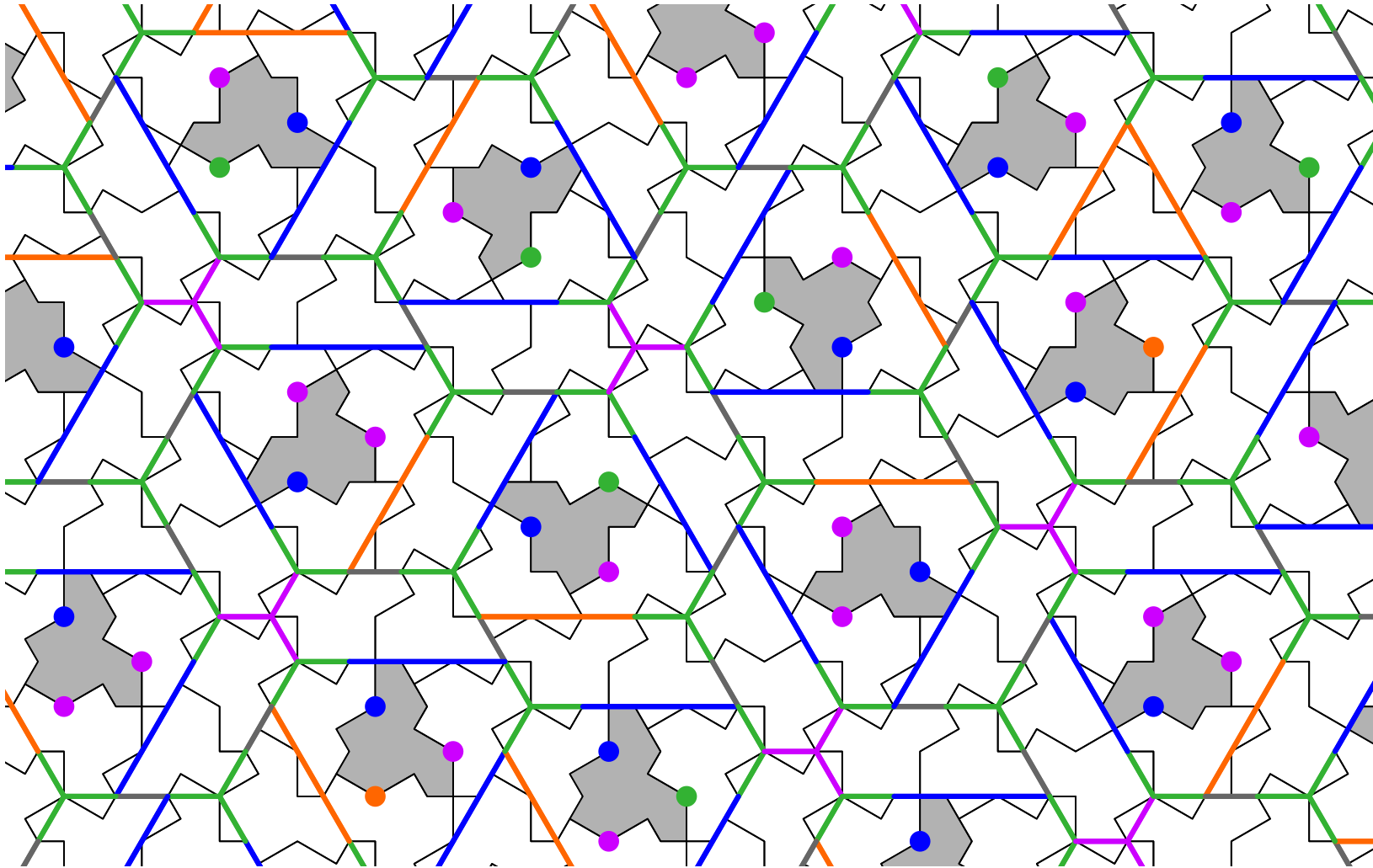
**Projection**

Shear of window gives shape change

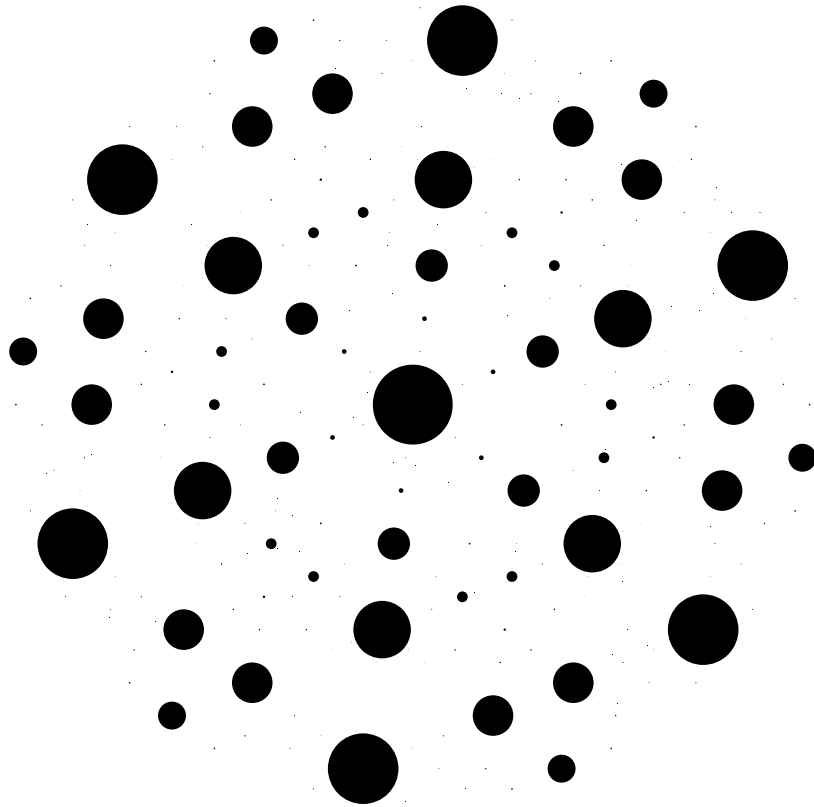
**Meaning**

Shape change is **re-projection**

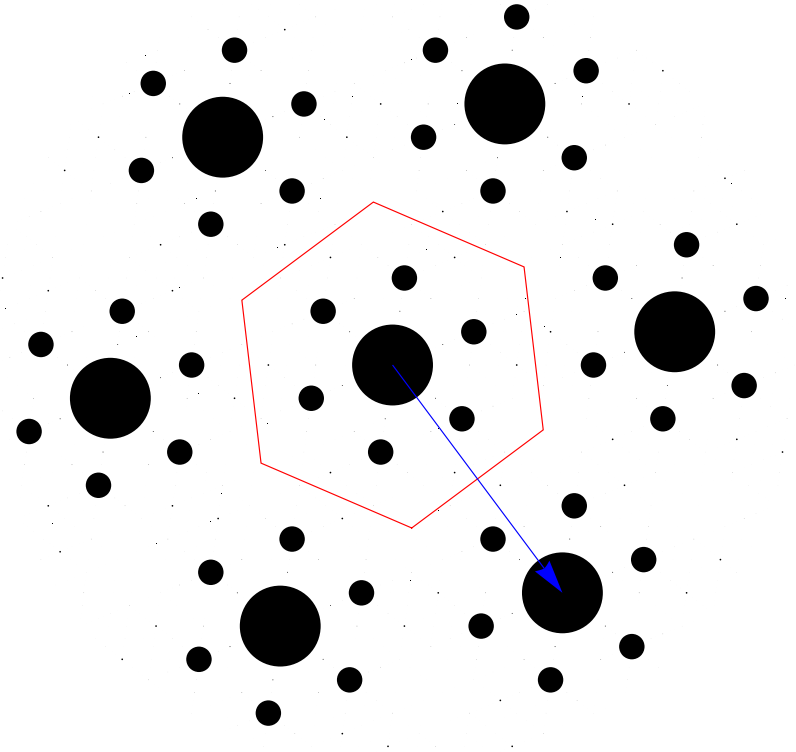
# Back to the Hat



# Diffraction spectra

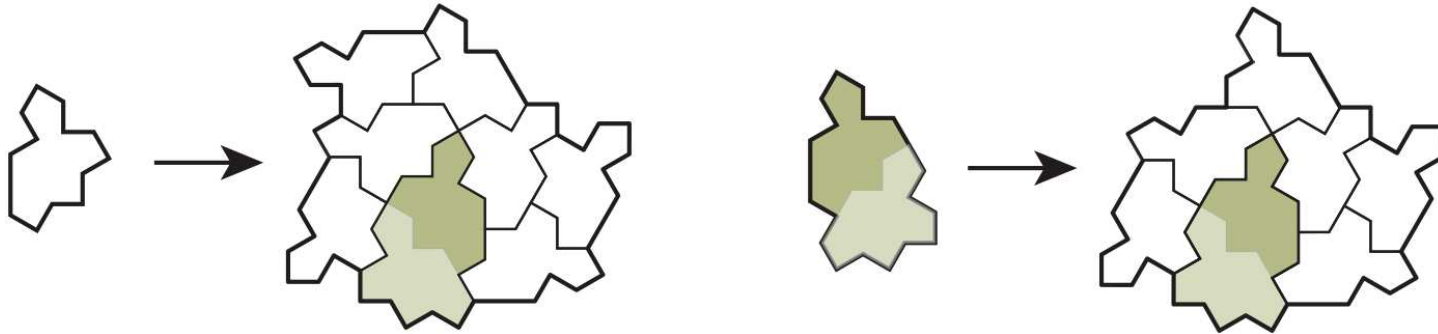


CAP



Hat

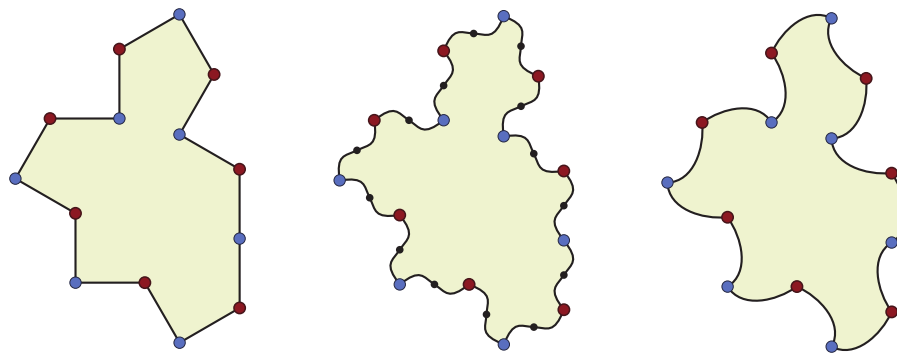
# Spectres and shadow Spectres



Smith, Myers, Kaplan and Goodman-Strauss, arXiv:2303.17743

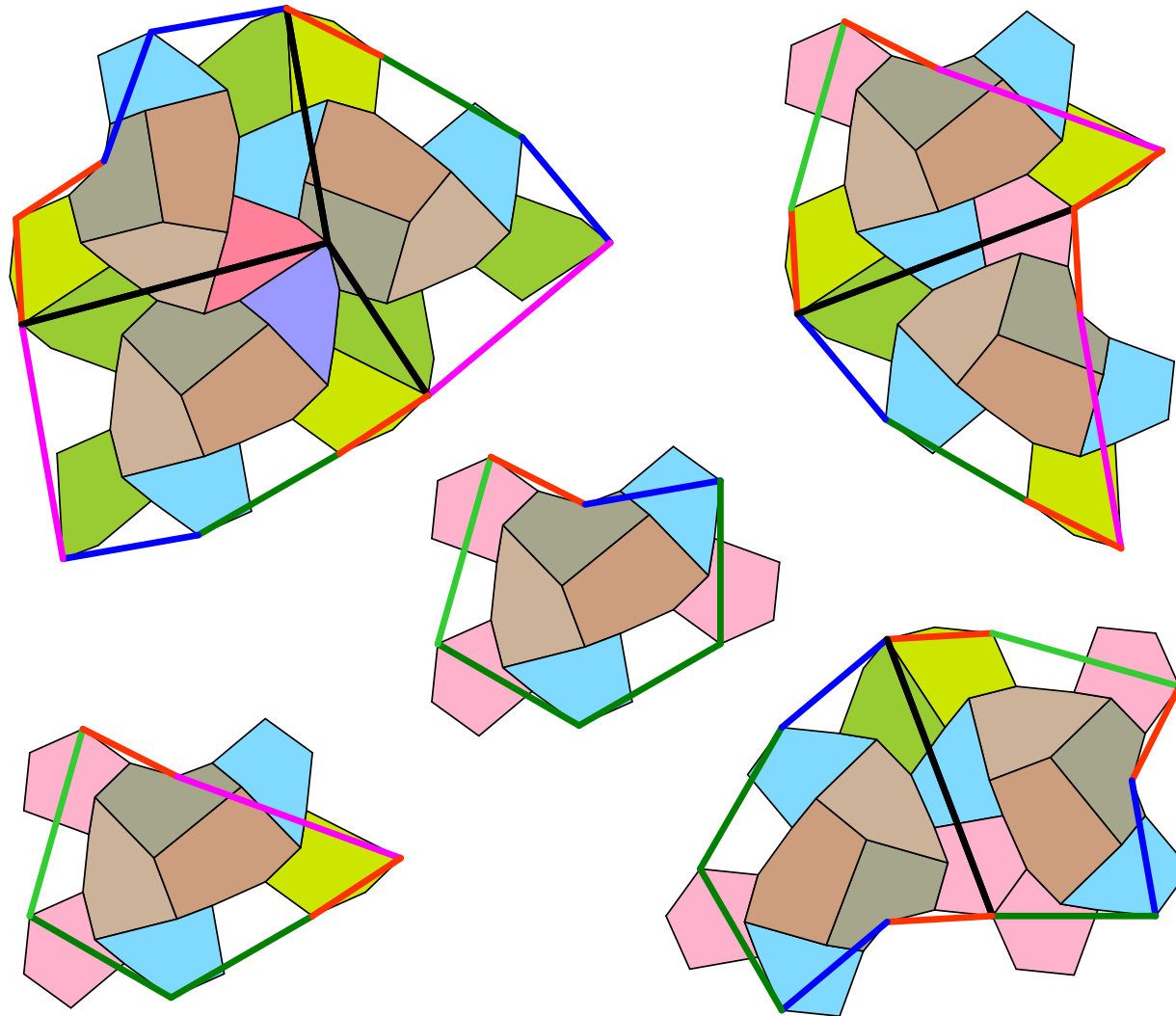
<https://cs.uwaterloo.ca/~csk/spectre/>

Frequency ratio of Spectres to shadow-Spectres is  $4 + \sqrt{15}$

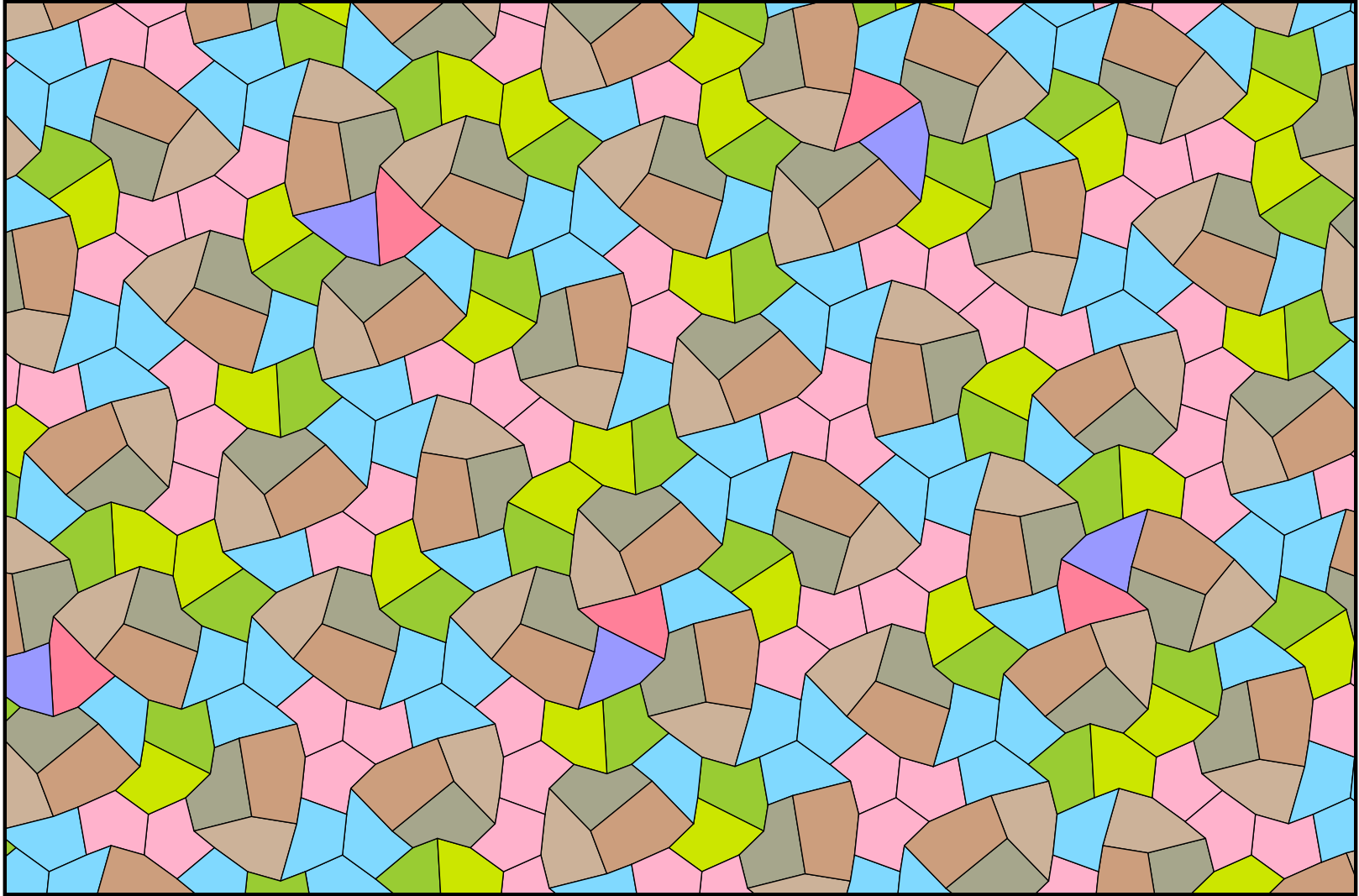


Modification of a lattice polygon to a real monotile

# Same old story ...

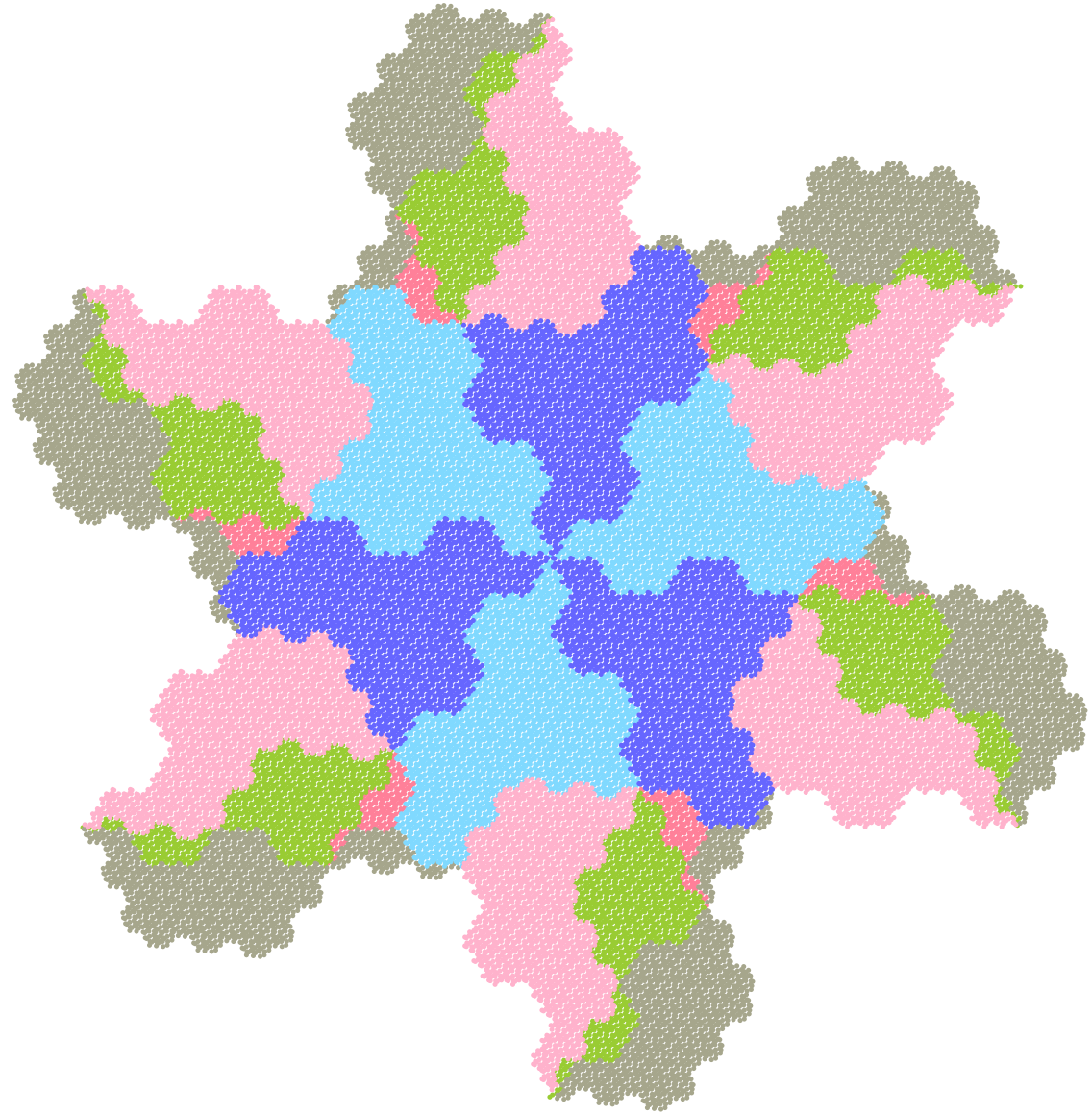
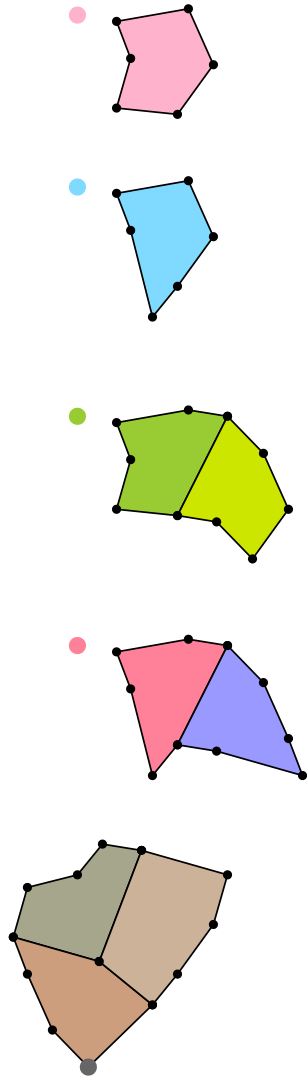


# Same old story ... only even more so





# Control points and window



# Outlook

- Calculate eigenfunctions !
- Harmonic analysis of Rauzy fractals
- Interesting Markov partitions
- FB coefficients via matrix cocycles
- Other monotiles ?
- Monotiles for  $d \geq 3$  ?
- Role of almost periodicity ?
- Do we understand Euclidean space ?
- This is only the beginning ...

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