

Exponents of words under injective morphisms

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based on

joint work with Eva Foster² and Aleksi Saarela¹,
Saarela's earlier work and
additional on-going work.

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What we want to investigate?

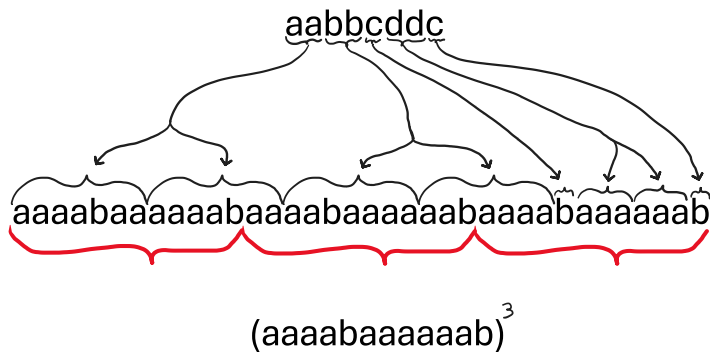


Figure: An injective morphism mapping primitive word to a cube. Example by Saarela (2025).

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The basics

- Let $v \in \Sigma^+$, $n \in \mathbb{N}$ and $r = \frac{n}{|v|} \in \mathbb{Q}$.
- Then by v^r we denote the prefix of length n of the infinite word $vvv \dots$.
- For example, $(aba)^{\frac{7}{3}} = abaabaa$.
- Morphisms are maps with the rule $h(uv) = h(u)h(v)$. Thus morphisms are defined by the images of letters.
- Injectivity of morphism means injectivity on finite words. I will ignore the fact that it is sometimes hard to check injectivity.
- For binary morphism h , h is injective iff $\{h(a), h(b)\} \not\subseteq v^*$ for any v (defect effect).

Definition (The (fractional) exponent and the integer exponent)

Let $v \in \Sigma^*$. Then it has two exponent values:

$$\begin{aligned} E(v) &= \max\{r \in \mathbb{Q} \mid \exists u \in \Sigma^+ : v = u^r\}, \\ IE(v) &= \max\{n \in \mathbb{N} \mid \exists u \in \Sigma^+ : v = u^n\}. \end{aligned}$$

Definition (Critical exponent and asymptotic critical exponent)

Let $w \in \Sigma^{\mathbb{N}}$. Then it has two exponent values:

$$\begin{aligned} CE(w) &= \sup\{E(v) \mid v \in \text{Fact}_+(w)\}, \\ ACE(w) &= \limsup_{n \rightarrow \infty} \{E(v) \mid v \in \text{Fact}_n(w)\}. \end{aligned}$$

Earlier results on Asymptotic Critical Exponent

Theorem (R. Entringer, D. Jackson, and J. Schatz, 1974)

There exists an infinite binary word having no squares of length > 4 .

Theorem (J. Beck, 1984 (or J. Cassaigne, 2008))

There exists an (effectively constructed) infinite binary word u with $\text{ACE}(u) = 1$.

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- Given a word, can we design a morphism that maps the word to a large repetition? **Which words have an upper bound on the exponent(s)** after mapping via morphisms?
- These questions are trivial if the morphisms are not restricted in any way, since we can map all words to unitary alphabet. However, if we focus on **injective morphisms**, these questions become interesting.

Definition (Mapped exponents)

$$E_{\mathcal{I}}(u) = \sup\{E(h(u)) \mid h \in \mathcal{I}\},$$

$$IE_{\mathcal{I}}(u) = \sup\{IE(h(u)) \mid h \in \mathcal{I}\} \text{ [Saarela, 2025]},$$

$$ACE_{\mathcal{I}}(w) = \sup\{ACE(h(w)) \mid h \in \mathcal{I}\},$$

where $\mathcal{I}$ is the set of all injective morphisms.

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where $\mathcal{I}$ is the set of all injective morphisms.

We could also define $CE_{\mathcal{I}}$, but that would be infinite for all infinite words by $h(a) = a^n$. (There might be interesting additional or alternative restrictions on morphisms such that CE could also be studied.)

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Example of an infinite $\text{IE}_{\mathcal{I}}$ and $\text{E}_{\mathcal{I}}$

Examples

Let $u = abaaba = (aba)^2$.

Let $h_k \in \mathcal{I}$ be morphisms defined by $h_k(a) = a$ and $h_k(b) = b(aab)^k$ for all $k \in \mathbb{N}$.

Then $h_k(u) = (a b(aab)^k a)^2 = ((aba)^{k+1})^2 = (aba)^{2k+2}$. Thus

$\text{IE}_{\mathcal{I}}(u) = \text{E}_{\mathcal{I}}(u) = \infty$.

Let $w = abaab = ua^{-1}$. A theorem in the next slide tells that $\text{IE}_{\mathcal{I}}(w) = 1$.

However, since $h_k(w)a = h_k(u) = (aba)^{2k+2}$, we have

$h_k(w) = (aba)^{2k+2-\frac{1}{3}}$. Thus $\text{E}_{\mathcal{I}}(w) = \infty$.

Theorem (J. Spehner, 1976)

Let $w \in \{a, b\}^*$ be a primitive ($\text{IE}(w) = 1$) word. Then

$$\text{IE}_{\mathcal{I}}(w) < \infty \iff \text{IE}_{\mathcal{I}}(w) = 1 \iff |w|_a \geq 2 \text{ and } |w|_b \geq 2.$$

Theorem (A. Saarela, 2025)

Let $w \in \Sigma^*$ be a primitive word. Then

$$\text{IE}_{\mathcal{I}}(w) < \infty \iff \text{IE}_{\mathcal{I}}(w) < |w| \iff |w|_a \geq 2 \text{ for all } a \in \text{alph}(w).$$

Short words often have infinite E_I . The following Theorem shows that $E_I(ababba) = 3$, and that there exists words with the E_I being arbitrarily close to 1.

Theorem

For all $n \geq 2$ we have $E_I((ab)^n ba) = 1 + \frac{2}{n-1}$.

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The only thing we need for this Theorem is the theorem of Fine and Wilf (or maybe trivial version of it).

Theorem (N. Fine and H. Wilf, 1965)

If a repetition of u and a repetition of v have a common prefix of length $|u| + |v| - \gcd(|u|, |v|)$, then u and v are integer powers of a common word.

Proof of low $E_{\mathcal{I}}$ Theorem

First, $E_{\mathcal{I}}((ab)^nba) \leq 1 + \frac{2}{n-1}$.

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- Let $u = (ab)^nba$ and $h \in \mathcal{I}$ such that $h(u) = v^r$ for some primitive v .
Now a repetition of $h(ab)$ and a repetition of v have a common prefix of length $|h(ab)^n|$.

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- If this prefix is of long enough length ($\geq |h(ab)| + |v|$), then Theorem of Fine and Wilf states that words $h(ab)$ and v are integer powers of a common word. By primitivity of v , $h(ab) = v^m$.

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- By the above equality and the assumptions, $h(u) = v^{nm} h(ba) = v^r$.
- After canceling v^{nm} , $h(ba) = v^{r-nm} = v^q$ for some $q \in \mathbb{Q}$.

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- After canceling v^{nm} , $h(ba) = v^{r-nm} = v^q$ for some $q \in \mathbb{Q}$.
- Since $|h(ba)| = |h(ab)|$, $h(ba) = v^m = h(ab)$, a contradiction with $h \in \mathcal{I}$.
- To avoid this contradiction, we must have $|h(ab)^n| < |h(ab)| + |v|$, and thus $(n-1)|h(ab)| < |v|$. We then have

$$r = \frac{|h(u)|}{|v|} < \frac{(n+1)|h(ab)|}{(n-1)|h(ab)|} = 1 + \frac{2}{n-1}.$$

Proof of low $E_{\mathcal{I}}$ Theorem

Next, $E_{\mathcal{I}}((ab)^n ba) \geq 1 + \frac{2}{n-1}$.

- Let h_k be a morphism defined by $h_k(a) = (ab)^k a$ and $h_k(b) = ba$.
- It can then be verified that $h_k(u) = [((ab)^k aba)^{n-1} ab]^{1 + \frac{4k+4}{(2k+3)(n-1)+2}}$.
- Thus $E_{\mathcal{I}}(u) \geq \lim_{k \rightarrow \infty} 1 + \frac{4k+4}{(n-1)(2k+3)+2} = 1 + \frac{2}{n-1}$.

Theorem

For all $n \geq 2$ we have $E_{\mathcal{I}}((ab)^n ba) = 1 + \frac{2}{n-1}$.

Characterizing words with infinite $E_{\mathcal{I}}$

Motivated by Saarela's characterization of the words with $IE_{\mathcal{I}} = \infty$, we wanted to find the language (over infinite alphabet)

$$L = \{w \mid E_{\mathcal{I}}(w) = \infty\}.$$

However, it turns out that unlike in the case of $IE_{\mathcal{I}}$, (we think) there is a need to separately consider different alphabet sizes.

Characterizing words with infinite $E_{\mathcal{I}}$

We proved that $E_{\mathcal{I}}(w) = \infty$ implies that some letter in the word w must have a fixed factor between every two occurrences of it.

proof sketch.

- If $\exists h \in \mathcal{I}$ such that $h(w) = x^r$ for some $r > |w|$ and primitive x , then $\exists a \in \text{alph}(w)$ such that $|h(a)| > |x|$.
- A conjugate of a primitive word x can be a factor of x^r in only obvious ways.
- This implies that each $h(a)$ has a fixed “place” within the repetition of x .
- So if u is in-between word for two occurrences of $h(a)$, then $h(a)u$ is a whole-number repetition of some (fixed) conjugate of x .
- In the preimage side, if there is two different words between consecutive a 's, then we can construct words that contradict the injectivity of h .



Figure of the proof

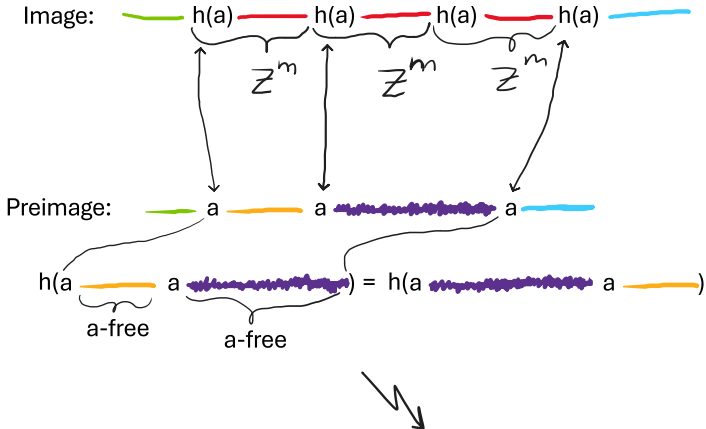


Figure: When $h(w) = x^n$ and $|h(a)| \geq |x|$, then yellow and purple factors must be the same.

Characterizing words with infinite $E_{\mathcal{I}}$

An easy case where we have a fixed factor between every occurrence of the letter a is a word of the type

$$uav uav u a \cdots = (uav)^r$$

for some a -free words u, v and $r \geq 1$.

Define h_k for all $k \in \mathbb{N}$ by $h_k(a) = a(vua)^k$ and fixing all other letters. Then it is easy to see that

$$h_k((uav)^r) = (uav)^R$$

for some $R > k$.

So all words of the type $(uav)^r$ have infinite $E_{\mathcal{I}}$.

Characterizing words with infinite $E_{\mathcal{I}}$

We can extend previous easy case to words $w = w_1(aw_2)^k aw_3$ where w_1, w_2 and w_3 all **commute** and are a -free. Then let $h(a) = w_3aw_1$ and fix all other letters. Then

$$\begin{aligned} h(w) &= w_1(w_3aw_1w_2)^k w_3aw_1w_3 \\ &= (w_1w_3aw_2)^k w_1w_3aw_1w_3 \\ &= (w_1w_3aw_2)^{k+1} w_2^{-1} w_1w_3 \\ &=^* (w_1w_3aw_2)^{k+1 + \frac{|w_1w_3| - |w_2|}{|w_1w_3aw_2|}} \end{aligned}$$

Since we can combine two injective morphisms to a single injective morphism, this case also gives infinite $E_{\mathcal{I}}$ by the previous slide.

This holds since $w_1, w_2, w_3 \in v^$ for some word v .

Over the binary alphabet these easy cases are the full story:

Theorem

$$L_2 = \{w \in \{a, b\}^* \mid E_{\mathcal{I}}(w) = \infty\} = \bigcup_{k \in \mathbb{N}} b^* a (b^k a)^* b^* + a^* b (a^k b)^* a^*.$$

The shortest interesting binary word is $aabb$ (G. Fici, 2024). To map this word to arbitrary high powers with injective morphisms, we could first map $b \rightarrow baa$ and fix the letter a , obtaining $aabb \rightarrow aabaabaa = (aab)^{\frac{8}{3}}$. Then we map $b \rightarrow b(aab)^k$, fixing the letter a again.

We obtain $aabb \rightarrow (aab)^{\frac{8}{3}} \rightarrow (aab)^{\frac{8}{3}+2k}$.

Main theorem on finite words

Theorem

For a word $w \in \Sigma^+$, the following are equivalent:

- ① $E_{\mathcal{I}}(w) = \infty$.
- ② $E_{\mathcal{I}}(w) > |w|$.
- ③ *There exists an injective morphism $h \in \mathcal{I}$ and a letter $a \in \text{alph}(w)$ such that $h(w) = x^r$ for some primitive $x \in \Gamma^*$, $r \in \mathbb{Q}$ and $|h(a)| \geq |x|$.*
- ④ *There exists an integer $k \geq 0$, a letter $a \in \Sigma$, words $w_1, w_2, w_3 \in (\Sigma \setminus \{a\})^*$, and an injective morphism h such that $w = w_1(aw_2)^k aw_3$, $h(w_1)$ and $h(w_2)$ are suffix-comparable, and $h(w_2)$ and $h(w_3)$ are prefix-comparable.*

The additional condition on fourth statement is necessary. The word $bbccabcbca$ has finite $E_{\mathcal{I}}$. Here $w_1 = bbcc$ and $w_2 = cbc b$ prevent the letter a to map to large word compared to primitive root of the image.

Note on the words of length 6

The shortest words with a finite $E_{\mathcal{I}}$ are of length 6, all binary. Here six are shown. One obtains the complete list by flipping a 's and b 's.

w	$E_{\mathcal{I}}(w)$
<i>aabbab</i>	3
<i>abaabb</i>	3
<i>ababba</i>	3
<i>abbaba</i>	3
<i>aababb</i>	2
<i>abbaab</i>	1.5

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Definition of $\text{ACE}_{\mathcal{I}}$

$$\begin{aligned}\text{ACE}_{\mathcal{I}}(w) &= \sup\{\text{ACE}(h(w)) \mid h \in \mathcal{I}\} \\ &= \sup_{h \in \mathcal{I}} \left(\limsup_{n \rightarrow \infty} \{E(f) \mid f \in \text{Fact}_n(h(w))\} \right).\end{aligned}$$

It is a bit more difficult to get a feel for this definition compared to its finite counterparts, as any finite part of the infinite word tells nothing about $\text{ACE}_{\mathcal{I}}$.

An example where ACE increases under injective morphism

Let $w = u_1 u_2 u_3 \cdots \in \{a, b\}^{\mathbb{N}}$ be a word with $\text{ACE}(w) = 1$, factored so that $|u_i| = i$. Let $\sigma(a) = 0$ and $\sigma(b) = 1$ and

$$w' = \prod_{i=1}^{\infty} u_i \sigma(u_i) \stackrel{\text{for example}}{=} a0ab01aba010a \cdots$$

It is not hard to believe that $\text{ACE}(w') = 1$.

Let $h(a) = ac$, $h(b) = bc$, $h(0) = ca$, $h(1) = cb$. Then $h(ab01) = acbccacb = (acbcc)^{\frac{8}{5}}$, and in general

$$h(u_i \sigma(u_i)) = (h(u_i) c)^{\frac{4|u_i|}{2|u_i|+1}}.$$

So $\text{ACE}_{\mathcal{I}}(w') \geq 2$.

We wanted to see if we can once again describe the words with $\text{ACE}_{\mathcal{I}} = \infty$. The end result is pleasantly clean. However, the upper bound we ended up with is quite weak.

Theorem

For infinite word $w \in \Sigma^{\mathbb{N}}$,

$$\text{ACE}_{\mathcal{I}}(w) = \infty \iff \text{ACE}(w) = \infty.$$

If $\text{ACE}(w) < \infty$, then

$$\text{ACE}_{\mathcal{I}}(w) \leq |\Sigma| + 1 + |\Sigma|(|\Sigma| + 1)(\text{ACE}(w) + 1).$$

Theorem (L. Dvořáková, P. Ochem and D. Opočenská, 2024)

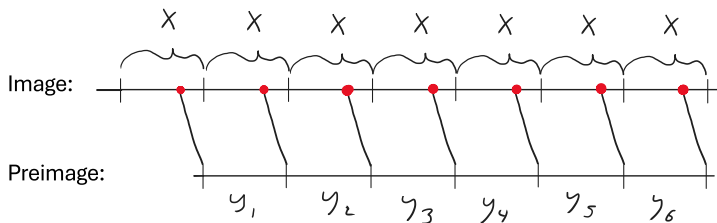
Let h be an injective morphism, u an infinite word with **uniform letter frequencies** and L a natural number. If all factors f of $h(u)$ with $|f| \geq L$ are **synchronizing words** of h , then $\text{ACE}(h(u)) = \text{ACE}(u)$.

Theorem

Let h be an injective morphism, u an infinite word and L a natural number. If all factors f of $h(u)$ with $|f| \geq L$ are synchronizing words of h and $\text{ACE}(u) \in \mathbb{R}$, then $\text{ACE}(h(u)) \leq \lceil \text{ACE}(u) \rceil$.

Moreover, if u is over the binary alphabet and $\text{ACE}(u) \notin \mathbb{N}$, then there exists absolute constant $\lambda_u > 0$ such that for all h and L pairs, $\text{ACE}(h(u)) \leq \lceil \text{ACE}(u) \rceil - \lambda_u$.

Figure of repetitions with synchronizing words



$$h(y_i) = h(y_j) \Rightarrow y_i = y_j$$

Figure: If x is a synchronizing word for h , then we know some letter boundaries the preimage has just by looking the image. By injectivity, repetitions of x then imply repetitions in the preimage. The actual situation is a bit more complicated, here only the basic idea is illustrated.

Binary synchronizing words

Theorem

Let h be an injective morphism and w an infinite binary word. If there exists infinite list $f_1, f_2, f_3, \dots$ of factors of $h(w)$ that are not synchronizing words of h and $\lim_{i \rightarrow \infty} |f_i| = \infty$, then $\text{ACE}(h(w)) = \text{ACE}(w) = \infty$.

proof sketch.

$f_1 = ..$
 $f_2 =$
 $f_3 =$
 $f_4 =$
 $f_5 =$
.
.

By compactness, aligned like in the left, the factors f_i have a two-sided infinite limit word.

By assumptions, this limit word has two disjoint preimages by the morphism h .

In the case of binary morphisms, this can only happen if this limit word is periodic by results of Karhumäki, Mañuch and Plandowski (2003) on two-sided infinite words and defect effect.



The binary result

Theorem

Let w be an infinite binary word with $\text{ACE}(w) \in \mathbb{R}$. If $\text{ACE}(w) \in \mathbb{N}$ or w has uniform letter frequencies, then $\text{ACE}_{\mathcal{I}}(w) = \text{ACE}(w)$. Otherwise, $\text{ACE}_{\mathcal{I}}(w) < \lceil \text{ACE}(w) \rceil$.

Proof.

By $\text{ACE}(w) \in \mathbb{R}$ there exists some length after which all factors of $h(w)$ are synchronizing. Then if the word w has uniform letter frequencies, we apply the theorem by Dvořáková, Ochem and Opočenská. If not, we apply our modification of it. □

We also have a construction that shows this upper bound to be exact.

Conclusion

Finite words:

- There exists words with $E_{\mathcal{I}}$ being arbitrary close to one.
- All words with $E_{\mathcal{I}} = \infty$ have a factorization $w_1 a (w_2 a)^k w_3$ for some letter a and a -free words w_1, w_2 and w_3 . Words with $IE_{\mathcal{I}} = \infty$ have $k = 0$.
- This factorization characterizes the binary and the $IE_{\mathcal{I}}$ cases completely.

Infinite words:

- $ACE_{\mathcal{I}}$ is finite if and only if ACE is finite.
- For a binary word $w \in \{a, b\}^{\mathbb{N}}$, $ACE_{\mathcal{I}}(w) \leq \lceil ACE(w) \rceil$ where equality happens only if $ACE(w) \in \mathbb{N}$. This result is optimal.

Thank you for listening! Our papers:

- E. Foster, A. Saarela, A. Vanhatalo, 2025. Mapped Exponent and Asymptotic Critical Exponent of Words, DLT 2025.
- A. Saarela, 2025. Mapping words to powers by morphisms, Preprint.

Titles of the papers directly used in this talk:

- J. Beck, 1984. An application of Lovász local lemma: there exists an infinite 01-sequence containing no near identical intervals.
- J. Cassaigne, 2008. On extremal properties of the Fibonacci word.
- N. Fine and H. Wilf, 1965. Uniqueness theorems for periodic functions
- L. Dvořáková, P. Ochem, and D. Opočenská, 2024. Critical Exponent of Binary Words with Few Distinct Palindromes.
- J. Karhumäki, J. Mañuch, and W. Plandowski, 2003. A defect theorem for bi-infinite words.

Titles of the rest of the mentioned papers:

- R. Entringer, D. Jackson, and J. Schatz, 1974. On nonrepetitive sequences.
- J. Spehner, 1976. Quelques problèmes d'extension, de conjugaison et de présentation des sous-monoïdes d'un monoïde libre