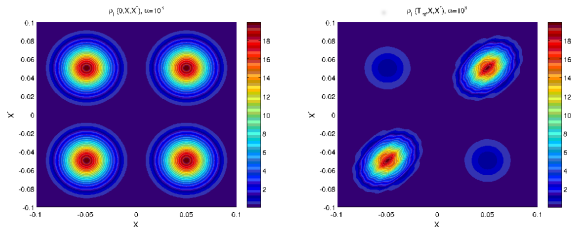


# Quantum jump processes for decoherence

Maxime Hauray

Aix-Marseille University

Nice, December 2017, PSPDE VI

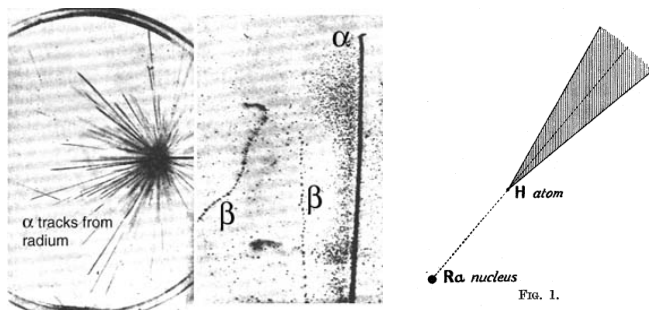


# Content

# Section 1

## Three physical experiments

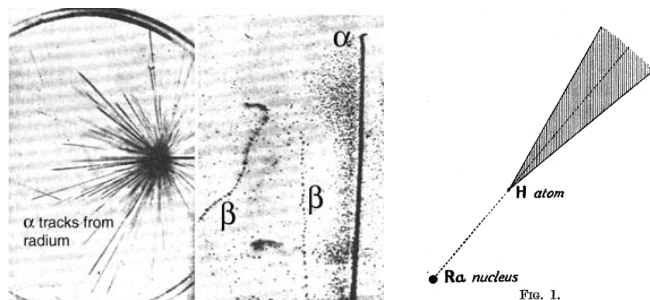
# Wilson's cloud chamber



Photography of Wilson's cloud chamber (PRLS '12), and Mott's original paper.

- **Question :** Why the **spherical wave function** of an  $\alpha$  particles gives **straight ionization line** in the cloud chamber? [Darwin (grandson), Heisenberg et Mott]

# Wilson's cloud chamber



Photography of Wilson's cloud chamber (PRLS '12), and Mott's original paper.

- **Question :** Why the **spherical wave function** of an  $\alpha$  particles gives **straight ionization line** in the cloud chamber? [Darwin (grandson), Heisenberg et Mott]
- Answer given by Mott [Mott, PRLS '29].
- Recently re-examined mathematically [Dell'Antonio, Figari & Teta, JMP '08] and [Teta, EJP '10] and [Carlone, Figari & Negulescu ,preprint]

# The two slit experiment of Young revisited

The decrease of interference fringes is observed experimentally in a two slit experiment near vacuum: [Hornberger & coll., PRL '03]

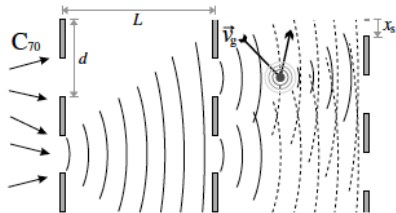


FIG. 1. Schematic setup of the near-field interferometer for  $C_{70}$  fullerenes. The third grating uncovers the interference pattern by yielding an oscillatory transmission with lateral shift  $x_s$ . Collisions with gas molecules localize the molecular center-of-mass wave function leading to a reduced visibility of the interference pattern.

A scheme of the experimental protocol and the results of Hornberger & all.

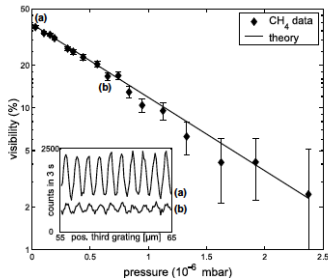


FIG. 2. Fullerene fringe visibility vs methane gas pressure on a semilogarithmic scale. The exponential decay indicates that each collision leads to a complete loss of coherence. The solid line gives the prediction of decoherence theory; see text. The inset shows the observed interference pattern at (a)  $p = 0.05 \times 10^{-6}$  mbar and (b)  $p = 0.6 \times 10^{-6}$  mbar.

# The quantum measurement problem

## The postulate of Quantum mechanics

- (P1) The phase space is a **Hilbert space**  $\mathcal{H} = L^2(D)$  (for us  $D = \mathbb{R}^d$ ),
- (P2) A quantum observable is a **self-adjoint operator** on  $\mathcal{H}$ :

$$A = \sum_{i \in \mathbb{N}} \lambda_i \phi_i \otimes \phi_i = \sum_{i \in \mathbb{N}} \lambda_i |\phi_i\rangle \langle \phi_i|,$$

- (P3-4) For a system in the state  $\psi$ , the **measurement** of  $A$  gives

$$\lambda_i \quad \text{avec proba} \quad p_i := |\langle \phi_i | \psi \rangle|^2,$$

- (P5) **Wave packet collapse.** After a measurement which result is  $\lambda_i$ , the system is in the state

$$\psi_+ = \phi_i \quad \text{ou} \quad \psi_+ = \frac{1}{\|P_i \psi\|} P_i \psi,$$

- The free evolution of a quantum system is driven by a **Schrödinger equation** :

$$i\partial_t \psi_t = H\psi_t.$$

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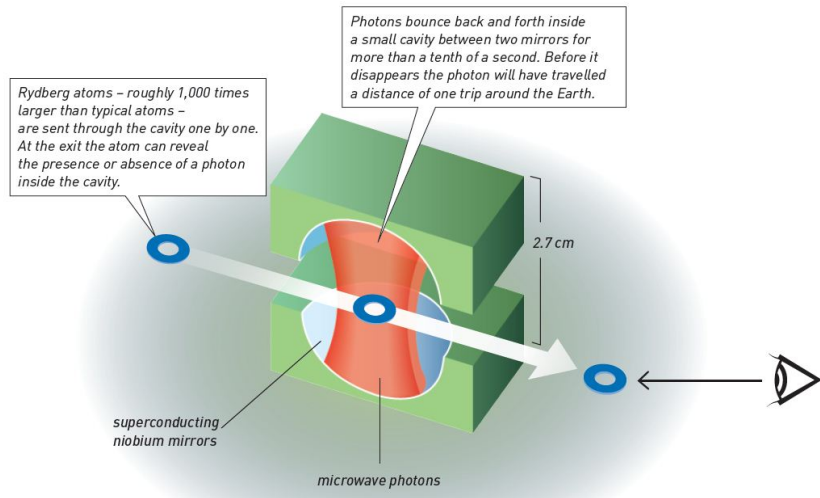
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Recurrent question: Why a postulate to describe a measurement ?

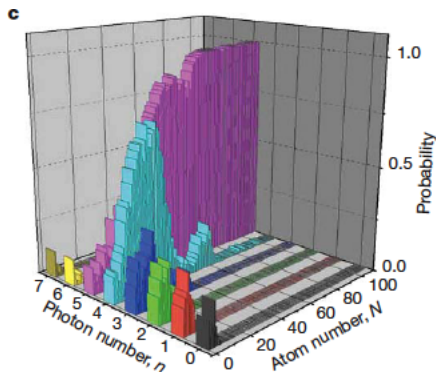


## Haroche experiment: Following experiment along time



Experimental set-up of Haroche & all. [Nature '07].

## Haroche experiment: Following experiment along time



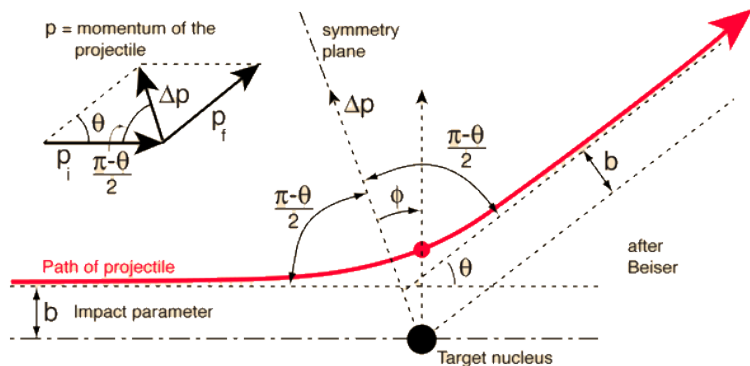
Experimental results by Haroche & all. [Nature '07].

- Uses **non-demolishing** measurement: only the wave-packet of the probe collapse.
- The wave packet collapse of the photons follows, but only after (many) **repeated interactions**.
- Mathematical explanation given by Bauer and Bernard [Phys. Rev. A 84, 2011] with an interesting Markov process.

## Section 2

### Super-operator describing quantum collisions

# Classical collisions



!allows to deals with **instantaneous collisions** :

$$(\mathbf{x}, \mathbf{v}) \xrightarrow{\text{coll}} (\mathbf{x}, \mathbf{v}') \quad \text{avec } \mathbf{v}' = \mathbf{g}(\mathbf{v}, \theta)$$

## Describing a quantum collision

- Using the quantum scattering operator  $S_V$  ( $V$  interaction potential)

$$S_V := \lim_{t \rightarrow +\infty} e^{itH_0} e^{-2itH_V} e^{itH_0}, \quad \text{with} \quad H_0 = -\frac{1}{2}\Delta, \quad H_V = -\frac{1}{2}\Delta + V$$

- Quantum scattering with a massive particle**

The two particle wave-function  $\psi(t, X, x)$  evolves according to the Schrödinger eq.:

$$i\partial_t\psi = -\frac{1}{2m}\Delta_x\psi - \frac{1}{2M}\Delta_X\psi + V(x - X)\psi$$

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### An instantaneous quantum collision

$$\psi_{in} = \phi \otimes \chi \xrightarrow{\text{Collision}} \psi_{out} \simeq \phi(X) [S^X \chi](x),$$

where  $S^X$  is the scattering operator of the light particle with a center in  $X$ .

Obtained rigorously in [Adami, H., Negulescu, CMS 2016]

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- Problem** : After the collision, the two particles are **entangled**: no wave function for one particle anymore.
- Partial answer** : The density matrix formalism introduced by *von Neumann*.



## Density matrix or operator

- Quantum systems now described by **compact self-adjoint positive operators with unit trace** on  $H = L^2(\mathbb{R}^d)$ .

- **Pure states:** If a state has a wave function :

$$\rho = |\psi\rangle\langle\psi| \quad (= \psi \otimes \psi)$$

- **Mixed state:** The general case, after diagonalization

$$\rho = \sum_i \lambda_i |\psi_i\rangle\langle\psi_i|$$

- The **partial trace** : allows to average on “degrees of freedom” .

$$\rho_L(X, X') = \int \rho(X, x, X', x) dx$$

## A super-operator describing instantaneous collisions

According to [Joos-Zeh, Z. Phys. B '85], the effect of one interaction on the massive particle is describe by a super-operator  $\mathcal{S}_1^+ \subset \mathcal{B}(L^2(\mathbb{R}^d))$  :

$$\mathcal{S}_1^+ := \{ \rho \text{ sym. positive, } \text{Tr } \rho < +\infty \}$$

### Definition (Instantaneous collision operator)

defined on  $\mathcal{S}_1^+$  with  $I_V^X(X, X') := \langle S^X \chi, S^{X'} \chi \rangle$

$$\begin{aligned} \rho &\xrightarrow{\mathcal{I}_V^X} \mathcal{I}_V^X[\rho] \\ \text{with kernel } \rho(X, X') &\mapsto \rho(X, X') I_V^X(X, X'), \end{aligned}$$

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### General properties

- **Contractive:**  $|I_V^X(X, X')| \leq 1$ ,
- **Trace preserving:**  $I_V^X(X, X') = 1$ ,
- **Completely positive** (see the Stinespring dilatation theorem).

**References:** Davies CMP 78, Diosí, Europhys. Lett. '95 ; AltenMüller, Müller, Schenzle, Phys. Rev. A '97 ; Dodd, Halliwell, Phys. Rev. D '03 ; Hornberger, Sipe, Phys. Rev. A '03 ; Adler, J. Phys. A '06, Attal & Joye, JSP 07.

## A simpler form in 1D

The scattering theory in 1D is simpler:

$$S(e^{ikx}) = t_k e^{ikx} + r_k e^{-ikx}, \quad \text{et} \quad S^X(e^{ikx}) = t_k e^{ikx} + e^{2ikX} r_k e^{-ikx}$$

Particular case : Collision super-operator in 1D.

$$I_V^X(X, X') = 1 - \Theta_V^X(X - X') + i\Gamma_V^X(X) - i\Gamma_V^X(X')$$

with  $\Theta_V^X \in \mathbb{C}$  and  $\Gamma_V^X \in \mathbb{R}$  defined with the help of reflexion and transmission amplitudes  $(r_k, t_k)$

$$\Theta_V^X(Y) := \int_{\mathbb{R}} \left(1 - e^{2ikY}\right) |r_k|^2 |\widehat{\chi}(k)|^2 dk,$$

$$\Gamma_V^X(X) := -i \int_{\mathbb{R}} e^{2ikX} r_k \overline{t_{-k}} \widehat{\chi}(k) \overline{\widehat{\chi}(-k)} dk.$$

$\Theta_V^X$  est la partie “**décohérente**”, et  $\Gamma_V^X \in [-1, 1]$  la partie “**potentielle**”.

## The general decomposition

A similar decomposition exists in larger dimension, when  $S = I + iT$ .

### General form of the collision super-operator

$$I_V^X(X, X') = 1 - \Theta_V^X(X, X') + i\Gamma_V^X(X) - i\Gamma_V^X(X')$$

with  $\Theta_V^X \in \mathbb{C}$ , et  $\Gamma_V^X \in \mathbb{R}$ , defined  $T$  by

$$\Theta_V^X(X, X') := \Im\langle \chi, T^X \chi \rangle + \Im\langle \chi, T^{X'} \chi \rangle - \langle T^X \chi, T^{X'} \chi \rangle$$

$$\Gamma_V^X(X) := \Re\langle \chi, T^X \chi \rangle.$$

$\Theta_V^X$  is the “**decoherent**” part, while  $\Gamma_V^X \in [-1, 1]$  is “**the potential**” one.

**Remark:** The optical theorem ensures that  $\Theta_V^X(X, X) = 0$ .

## Simplifications in 1D: GWP et “quasi”-scattering

- If  $\chi$  is a **Gaussian Wave Packet** (GWP) with parameters  $(x, p, \sigma)$

$$\chi(x) = \frac{1}{(2\pi\sigma^2)^{1/4}} e^{-\frac{(x-x)^2}{4\sigma^2} + ipx};$$

- **“Freeze”** the reflection and transmission amplitude

$$r_k = \alpha \in [0, 1] \quad \text{and} \quad t_k = \pm i \sqrt{1 - |\alpha|^2}.$$

**Important:** This approximation preserves all the important properties : unitarity of the scattering, complete positivity, commutation with the free evolution...

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### A simple explicit approximation.

$$I_\alpha^{p,\sigma}(X, X') = 1 - \Theta_\alpha^{p,\sigma}(X - X') + i \Gamma_\alpha^{p,\sigma}(X - x) - i \Gamma_\alpha^{p,\sigma} i(X' - x),$$

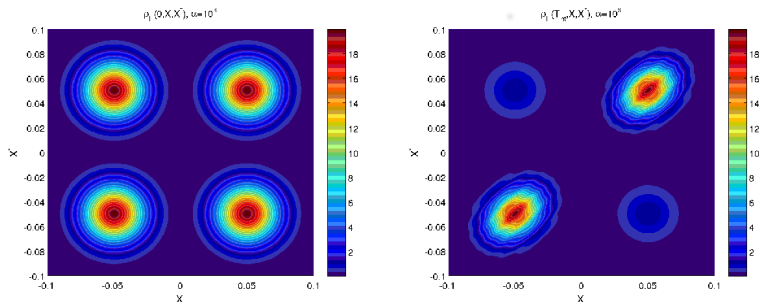
$$\text{with } \Theta_\alpha^{p,\sigma}(Y) = \alpha^2 \left( 1 - e^{2i\sigma p \frac{Y}{\sigma} - \frac{Y^2}{2\sigma^2}} \right),$$

$$\Gamma_\alpha^{p,\sigma}(X) = \pm \alpha \sqrt{1 - |\alpha|^2} e^{-2\sigma^2 p^2 - \frac{X^2}{2\sigma^2}}$$

## A simulation.

Initially, a massive particle in a superposed state

$$\phi(0) = \frac{1}{\sqrt{2}} (|\phi_{-}\rangle + |\phi_{+}\rangle) := \frac{1}{\sqrt{2}} |GWP(-\mathbf{X}, \mathbf{P}, \Sigma)\rangle + \frac{1}{\sqrt{2}} |GWP(\mathbf{X}, -\mathbf{P}, \Sigma)\rangle$$
$$\rho^M(0) = |\phi(0)\rangle\langle\phi(0)|$$



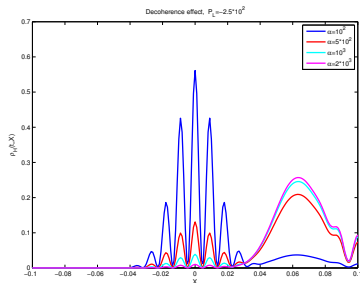
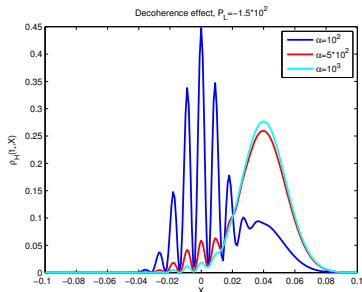
Density operator  $\rho^M(0)$  (modulus of the kernel) before and after collision.

From [Adami, H., Negulescu, CMS 2016].



## Simulation of the effect of the interaction on the interference fringes.

- Without interaction: the two bumps superposes at a time  $T^*$  with interference fringes.
- with interaction, the density is  $\rho_a^M(T^*, X, X)$ :



Density profil  $\rho^M(T^*, X, X)$  for different interaction strength  $\alpha$ , and velocity  $p$ .

- Observation :
  - ▶ Damped interference fringes,  
linked to the **transmission** of the light particle,
  - ▶ A bump on the right without fringes,  
linked to the **réflexion** of the light particle.  $\Rightarrow$  Moment exchange.

## Section 3

### Generators of quantum semi-groups: Lindblad super-operators

## Lindblad equations and super-operators

Importance of the **complete positivity**, see [Kossakowsky, RMP 72] and [Lindblad, CMP 76].

### Definition (Lindblad super-operator)

*It is the generator of a quantum semi-group, that preserves complete positivity.*

$$\partial_t \rho = L^* \rho := \sum_i \left( V_i \rho V_i^* - \frac{1}{2} (V_i^* V_i \rho + \rho V_i^* V_i) \right)$$

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- Poisson semi-group with unitary  $V_i$ :

$$\partial_t \rho = \sum_i \alpha_i V_i \rho V_i^* - \left( \sum_i \alpha_i \right) \rho$$

- Gaussian semi-group with self-adjoint  $V_i$

$$\begin{aligned} \partial_t \rho &= \sum_i \left( V_i \rho V_i - \frac{1}{2} (V_i^2 \rho + \rho V_i^2) \right) \\ &= - \sum_i [V_i, [V_i, \rho]] \end{aligned}$$

## Classical and quantum Poisson semi-group.

### Wigner transform

It is “almost” a **position velocity distribution**, associated to  $\rho$  :

$$f(x, v) := \int \rho\left(x - \frac{k}{2}, x + \frac{k}{2}\right) e^{ikv} dk,$$

**Problème** :  $f \in \mathbb{R}$  but not necessarily  $f \geq 0$ . But Husimi transform  $\tilde{f} = e^{\frac{1}{4}\Delta_{x,v}} f \geq 0$ .

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- quantum Poisson semi-group ( $\theta$  probability on  $\mathbb{R}$ ) :

$$\partial_t \rho = \int_{\mathbb{R}} (e^{ikx} \rho e^{-ikx} - \rho) \theta(dk).$$

- After Wigner transform

$$\partial_t f(x, v) = \int_{\mathbb{R}} (f(x, v - k) - f(x, v)) \theta(dk),$$

it is the Fokker-Planck equation for a jump process on the velocities.

- Quantum Gaussian semi-group:

$$\partial_t \rho = X \rho X - \frac{1}{2} (X^2 \rho + \rho X^2)$$

After Wigner transform

$$\partial_t f(x, v) = \frac{1}{2} \Delta_v f(x, v),$$

which is the Fokker-Planck equation for a Langevin process (Brownian motion on velocities).

## classical quantum Gaussian semi-groups

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After Wigner transform

$$\partial_t f(x, v) = \frac{1}{2} \Delta_v f(x, v),$$

which is the Fokker-Planck equation for a Langevin process (Brownian motion on velocities).

- And for the Gaussian quantum semi-group :

$$\partial_t \rho = (i\partial)\rho(i\partial) - \frac{1}{2} ((i\partial)^2 \rho + \rho (i\partial)^2)$$

After Wigner

$$\partial_t f(x, v) = \frac{1}{2} \Delta_x f(x, v).$$



## Section 4

The “weak coupling” limit.

## An environment modeled by a thermal bath.

### With $N$ interaction par time unit

At random time  $T_i$ , the massive particle interact with GWP of parameter  $(x_i, \sigma_i = 1, \mathbf{p}_i)$  where  $(T_i, x_i, \mathbf{p}_i)$  are given by a **Poisson Random Measure** of intensity

$$N \frac{1}{2R_N} dt \otimes \frac{1}{2R_N} \mathbf{1}_{[-R_N, R_N]} dx \otimes \frac{1}{\sqrt{2\pi\bar{\sigma}}} e^{-\frac{1}{2\bar{\sigma}^2} p^2} d\mathbf{p}.$$

This is a **thermic bath** at temperature  $T = 1 + \bar{\sigma}^2$ .

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- $R_N$  is a truncature parameter, necessary in  $1D$ .
- $(T_{i+1} - T_i)$  are i.i.d. with exponential law  $\mathcal{E}(1/2N)$ .
- $x_i$  are i.i.d. with uniform law  $\mathcal{U}([-R_N, R_N])$ .
- $\mathbf{p}_i$  are i.i.d normal law  $\mathcal{N}(0, \bar{\sigma}^2)$ .
- everything is independent of everything.

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- $\mathbf{p}_i$  are i.i.d normal law  $\mathcal{N}(0, \bar{\sigma}^2)$ .
- everything is independent of everything.

**Question:**  $N$  interactions by time unit:

⇒ How to scale the interaction force to get a **finite effect** in the limit?

## The appropriate scaling for the interaction strength

- The super-operator  $\mathcal{I}_\alpha^{p,x}$  multiply the kernel by

$$I_\alpha^{p,x}(X, X') = 1 - \alpha^2 \left( 1 - e^{2ipY - \frac{1}{2}Y^2} \right) \pm i\alpha \sqrt{1 - \alpha^2} e^{-2p^2} \left( e^{-\frac{1}{2}(X-x)^2} - e^{-\frac{1}{2}(X'-x)^2} \right)$$

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- Replacing  $\alpha$  by  $\frac{\alpha}{\sqrt{N}}$ .

$$I_{\alpha, N}^{p,x}(X, X') = 1 - \frac{\alpha^2}{N} \left( 1 - e^{2ip(X-X') - \frac{1}{2}(X-X')^2} \right) \\ \pm i \frac{\alpha}{\sqrt{N}} \sqrt{1 - \frac{\alpha^2}{N}} e^{-2p^2} \left( e^{-\frac{1}{2}(X-x)^2} - e^{-\frac{1}{2}(X'-x)^2} \right)$$

## The appropriate scaling for the interaction strength

- The super-operator  $\mathcal{I}_\alpha^{p,x}$  multiply the kernel by

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- The “**decoherent**” term with  $\alpha^2/N$  has a bounded expectation by time unit.
- The “**potential**” term with  $\alpha/\sqrt{N}$  has uniform expectation, and bounded fluctuations.

## A quantum jump process

### The “weak coupling” model

$$i\partial_t \rho_t^N = [H_0, \rho_t^N] \quad \text{on } [T_i, T_{i+1}), \quad \text{avec } H_0 = -\frac{1}{2}\Delta$$

$$\rho_{T_i}^N = \mathcal{I}_{\alpha, N}^{p_i, x_i} \rho_{T_i^-}^N$$



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- Written with the PRM denoted  $P_N$ ,

$$\rho_t^N = \rho_0^N - i \int_0^t [H_0, \rho_s^N] ds + i \iiint_0^t [\mathcal{I}_{\alpha, N}^{p, x} \rho_s^N - \rho_s^N] P_N(ds, dx, dp),$$

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- Or with the compensated PRM  $\tilde{P}_N$ ,  $\theta_\infty(Y) = e^{-\frac{2}{T}Y^2}$ :

$$\rho_t^N = \rho_0^N - i \int_0^t [H_0 + \gamma_N, \rho_s^N] ds + \alpha^2 \int_0^t (\theta_\infty[\rho_s^N] - \rho_s^N) ds$$
$$+ i \iiint_0^t [\mathcal{I}_{\alpha, N}^{p, x} \rho_s^N - \rho_s^N] \tilde{P}_N(ds, dx, dp).$$

## A convergence result: low density environment

Theorem (Gomez & H., arXiv 2016, rough version)

If the cut-off parameter  $R_N \rightarrow \infty$ , then the solution converges in  $S_p$  ( $p > 1$ ) towards the unique solution of the **Lindblad** equation

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If  $R_N \leq N$ , then fluctuations  $Z_t^N = \sqrt{R_N}(\rho_t^N - \rho_t^\infty)$  converge in law in  $S_2$  towards the unique solution of

$$i dZ_t^\infty = [H_0 dt, Z_t^\infty] + i\alpha^2 (\theta_\infty [Z_t^\infty] - Z_t^\infty) dt + \alpha^2 [dW_t, \rho_t^\infty],$$

where  $W_t$  is a cylindrical BM with covariance

$$\mathbb{E}[W_t(X)W_s(X')] = c(s \wedge t)\alpha^2 e^{-\frac{1}{4}(X-X')^2}.$$

## A convergence result: dense environnement

Theorem (Gomez & H., arXiv 2016, rough version)

If  $R_N = R$ , then  $\rho^N$  converges in law in  $\mathcal{S}_p$  (pour  $p > 1$ ) towards the unique solution of a **stochastic Lindblad equation**

$$i d\rho_t^\infty = [H_0 dt + dW_t, \rho_t^\infty] + i\alpha^2 (\theta_\infty [\rho_t^\infty] - \rho_t^\infty) dt,$$

where  $W_t$  is a cylindrical BM with covariance

$$\mathbb{E}[W_t(X)W_s(X')] = c(s \wedge t) \frac{\alpha^2}{R} e^{-\frac{1}{4}(X-X')^2} g_R(X, X').$$

where  $g_R(X, X') \simeq 1$  when  $|X|, |X'| \ll R$ .

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or in Stratonovitch formulation

$$i d\rho_t^\infty = [H_0 dt + dW_{t\circ}, \rho_t^\infty] + i\alpha^2(\theta_\infty[\rho_t^\infty] - \rho_t^\infty) dt \\ + ic \int_{-R}^R [\gamma(\cdot - x), [\gamma(\cdot - x), \rho_t^\infty]] dx dt$$

## Effect of the stochastic potential on the decoherence

Stratonovich formulation separates the dynamics in:

- A **reversible** part:

$$i d\rho_t^\infty = [H_0 dt + dW_t \circ, \rho_t^\infty]$$

- A **dissipative** part:

$$i d\rho_t^\infty = i\alpha^2(\theta_\infty[\rho_t^\infty] - \rho_t^\infty) dt + ic \int_{-R}^R [\gamma(\cdot - x), [\gamma(\cdot - x), \rho_t^\infty]] dx dt$$

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### Remarque

*The Heisenberg-Ito equation*

$$i\partial_t \rho = [H_0 dt + dW_t, \rho_t]$$

*increases coherence, because in Stratonovich formulation*

$$i\partial_t \rho_t = [H_0 dt + dW_t, \rho_t] + 2i(g(0) - g(X - X'))\rho_t$$

*where  $g$  is the correlation function of the BM  $W$ .*