# DIFFEOMORPHISMS LYING IN ONE PARAMETER GROUPS AND EXTENSION OF STRATIFIED HOMEOMORPHISMS

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ABSTRACT. In this note we first show using results of McDuff that for a  $C^r$ -manifold S, diffeomorphic to the interior of a compact manifold with boundary, the class of all  $C^r$ -diffeomorphisms lying in a one parameter group of S generates the connected component of  $1_S$  in  $Diff^r(S, S)$ . Then we use this result to obtain two extension theorems for stratified maps defined on some strata of a stratified space X. Our extension theorems hold for Mather's abstract stratified sets, for Whitney (b)-regular, Bekka (c)-regular, Verdier (w)-regular and Lipschitz-regular stratified spaces.

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**1. Introduction.** If S is a closed  $C^r$ -manifold, i.e. compact and without boundary, by denoting by  $Diff^r(S, S)$  the group of all  $C^r$ -diffeomorphisms of S  $(r = 0, ..., \infty)$ , it is well-known that the connected component  $Diff_0^r(S, S)$  of the identity map  $1_S : S \to S$  is a simple group (except possibly in the case  $r = \dim S + 1$ ) [3, 4, 10, 11, 12, 13, 20].

This theorem allows one to show that for a closed  $C^r$ -manifold, the image of the exponential map, i.e. the class E of all  $C^r$ -diffeomorphisms lying in a one parameter group of S, generates the whole of  $Diff_0^r(S, S)$ .

On the other hand, if S is a non-compact manifold the "simplicity of  $Diff_0^r(S, S)$ " fails to be true [14], so the property that E generates the whole of  $Diff_0^r(S, S)$  cannot be deduced and remains unproved. In 1984 J. Milnor [15] claimed, but without proof, that such a property ought to hold at least in the class of the  $C^{\infty}$ -manifolds diffeomorphic to the interior of a compact manifold with boundary, (remark 1.7).

In this paper we first show, in §2, that Milnor's claim holds for all such  $C^r$ -manifolds and for every  $r \ge 1$  (theorem 1). That is, if S is a manifold diffeomorphic to the interior *intM* of a compact manifold with boundary M, then E generates the whole of  $Diff_0^r(S,S)$ . In other words, every element  $f \in Diff_0^r(S,S)$  may be written as a composition of flows at time  $t = 1, f = \phi_1^1 \circ \cdots \circ \phi_1^s$ , of vector fields  $\zeta^1, \ldots, \zeta^s$  on S.

Then in §3 we apply theorem 1 in the context of regular stratifications to obtain two stratified extension theorems (theorems 2 and 3), first for abstract stratified sets [8, 9], a category which contains (b)-regular [21, 23] and (c)-regular stratifications [1, 2], and then for (w)-regular stratifications [22] (proposition 1) a class containing also Lipschitz stratifications [18].

In theorem 2 we show that if  $\mathcal{X} = (A, \Sigma)$  is an abstract stratified set and  $S = A_k - A_{k-1}$ is the union of all k-strata of  $\mathcal{X}$  every diffeomorphism  $f \in Diff_0^1(S, S)$  (which extends continuously on  $A_{k-1}$  also) extends continuously on a neighborhood U of S in A. In fact, writing  $f = \phi_1^1 \circ \cdots \circ \phi_1^s$ , then thanks to the theorem of stratified lifting of vector fields  $[\mathbf{8}, \mathbf{9}]$ , we can lift the vector fields  $\zeta^i$  and hence the flows  $\phi^i$  on a neighborhood U of S in A, and therefore we obtain by composition an extension of f which is a stratified homeomorphism defined on the neighborhood U of S in A (and which again extends continuously on  $A_{k-1}$ ). Because such an extension is not on the whole of A we call theorem 2 of "weak extension".

The weak extension theorem may be improved in order to construct extensions which are stratified homeomorphisms, isotopic to the identity, of the whole stratified space  $\mathcal{X}$  ("strong extension"). This occurs for example in theorem 2 when  $A_{k-1}$  is empty.

In a more general case this occurs if instead of a diffeomorphism  $f: S \to S$  of  $S = \bigcup_{\dim X=k} X$  we consider a homeomorphism  $f = \bigcup_j f_{X_j}$ , union of diffeomorphisms  $f_{X_j} \in Diff_0^1(X_j, X_j)$ , defined on a collection of strata  $\{X_j\}_j$  of various dimensions provided that all  $X_j$  are compact. This is the content of theorem 3.

Such stratified extension theorems can be used [17] to show a transversality theorem (by isotopy) for substratified spaces of a stratified space, allowing us to develop geometric homology and cohomology theories in which the ambient space  $\mathcal{X}$ , its cycles and cocycles are abstract stratified sets or Bekka (c)-regular stratifications (cf. [16] chapter IV), analogous to the geometric theories of Goresky [6].

We conclude the paper by Remark 5 in which we conjecture a possible interesting improvement of McDuff's results (theorem 1 and Corollary 2, [14]), using which one would obtain a global and stronger extension theorem for maps between stratified spaces.

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# 2. The image of the exponential map $Exp^r$ generates $Diff_0^r(S, S)$ .

Let S = intM be a  $C^r$ -manifold diffeomorphic to the interior intM of a compact  $C^r$ -manifold M with boundary.

Since  $S = intM = M - \partial M$  with M compact, the simplicity of  $Diff_0^r(S, S)$  fails to be true, but, in this case, all normal subgroups of  $Diff_0^r(S, S)$  are completely classified by D. McDuff [14] and are in bijective correspondence with the lattice of subsets of  $\{1, \ldots, k\}$ where k is the number of the connected components  $N_1, \ldots, N_k$  of the boundary  $\partial M$  of M.

Thanks to the results of McDuff (Theorem 1 and Corollary 1.3), we will show that for such a manifold S, the diffeomorphisms which are images of the exponential map generate  $Diff_0^r(S, S)$ .

Let us fix  $r \ge 1$ ,  $r \ne \dim S + 1$ , and denote by  $Exp^r$  the exponential map of S

$$Exp^r : \Gamma_0^r(S) \to Diff_0^r(S,S)$$
,  $Exp^r(\zeta) = \phi_1$ 

defined on the set  $\Gamma_0^r(S)$  of all  $C^r$ -vector fields  $\zeta$  of S admitting a global flow  $\phi : S \times \mathbb{R} \to S$ (denoted also by  $\phi = \{\phi_t : S \to S\}_{t \in \mathbb{R}}$ ) which associates to each  $\zeta$  the diffeomorphism  $\phi_1 : S \to S$  at time t = 1.

Obviously every image  $\phi_1 = Exp^r(\zeta)$  is in  $Diff_0^r(S, S)$ , i.e. lies in the connected component of  $1_S$  and moreover for every (other)  $t \in \mathbb{R}$ ,  $\phi_t \in Diff_0^r(S, S)$ , since  $\phi_t = \psi_1 = Exp^r(\eta)$  is the exponential of the vector field  $\eta = t \cdot \zeta$ . ONE PARAMETER GROUPS AND EXTENSION OF STRATIFIED HOMEOMORPHISMS

On the other hand, denoting by  $Exp^r(S) = \langle Exp^r(\Gamma_0^r(S)) \rangle$  the subgroup of  $Diff_0^r(S, S)$  generated by the image of the exponential map, with the same (well-known) proof as in the compact case, we immediately have that :

REMARK 1.  $Exp^r(S)$  is a normal subgroup of  $Diff_0^r(S,S)$ .  $\Box$ 

THEOREM 1. If S = intM is a  $C^r$ -manifold (diffeomorphic to the) interior of a compact  $C^r$ -manifold M with boundary, then :

$$Exp^{r}(S) = Diff_{0}^{r}(S, S).$$

*Proof.* Let us write  $S = M - \partial M$  with M a compact manifold with boundary. Then, the boundary  $\partial M$  of M is compact too and has a finite number of connected components, namely  $N_1, \ldots, N_k$ , i.e. :  $\partial M = \bigsqcup_{j=1}^k N_j$  (where  $\sqcup$  means "disjoint union").

Using the same notations as in [14], we let  $K = \{1, ..., k\}$ , and for every  $j \in K$ ,

$$G_j = \left\{ g \in Diff_0^r(S,S) \mid g = id \text{ in a neighborhood of } N_j \right\}$$

and for every subset  $J \subseteq K$  (possibly empty) write

$$G_J = \left\{ g \in Diff_0^r(S,S) \mid g = id \text{ in a neighborhood of } \bigcup_{j \in J} N_j \right\} = \bigcap_{j \in J} G_j.$$

It is immediate to verify that  $G_J$  is a normal subgroup of  $Diff_0^r(S, S)$  and that for every  $I, J \subseteq K$  we have moreover : " $I \subseteq J \iff G_J \subseteq G_I$ ".

Therefore we obtain a lattice of normal subgroups  $(\{G_J\}_{J\subseteq K}, \supseteq)$ , corresponding to the lattice  $(\{J\}_{J\subseteq K}, \subseteq)$  of subsets of K, and admitting the subgroup  $G_K$  of all diffeomorphisms with compact support in S as minimum element and the subgroup  $G_{\emptyset} = Diff_0^r(S, S)$  as maximum element.

McDuff shows (Theorem 1) that "E is a normal subgroup of  $Diff_0^r(S, S)$  if and only if there exists a unique subset  $J \subseteq K$  such that  $G_J \supseteq E \supseteq [G_J, G_J]$  (where [, ] denotes the subgroup of the commutators)".

By considering  $Exp^{r}(S)$ , which is a normal subgroup (remark 1), we deduce that there exists a unique subset  $J_0$  of K such that

$$G_{J_0} \supseteq Exp^r(S) \supseteq [G_{J_0}, G_{J_0}].$$

On the other hand it is not difficult to verify that  $G_i \not\supseteq Exp^r(S)$  for every  $i \in K$ .

In fact, for each i = 1, ..., k let us consider a non-zero vector field  $\zeta_{N_i}^i$  on  $N_i$  admitting a global flow  $\phi^i$  and lift it along a collar  $C_i \equiv N_i \times [0, 1]$  (where  $N_i$  is identified to  $N_i \times 0$ ). Let us consider then the vector field  $\zeta^i$  defined on S by the formula:

$$\zeta^{i}(y) = \begin{cases} g(s) \cdot \zeta^{i}_{N_{i}}(x) & \text{if } y = (x, s) \in C_{i} = N_{i} \times ]0, 1] \\ 0 & \text{if } y \in S - N_{i} \end{cases}$$

where  $g \in C^r([0,1])$  is a smooth decreasing map verifying g(0) = 1 and  $g^{(n)}(1) = 0$  $\forall n = 0, \ldots, r$  (i.e. g vanishes in s = 1 together all its derivatives).

Obviously, every vector field  $\zeta^i$  admits again a global flow  $\Phi^i$  (given on  $C_i$  by  $\Phi^i((x,s),t) = \phi^i(x,g(s)t)$ ) and hence the diffeomorphism at time t = 1,  $\Phi_1^i = Exp^r(\zeta^i)$ 

lies by definition in  $Exp^r(S)$ . But on the other hand since  $\zeta_{N_i} \neq 0$  then  $\phi^i_{1|N_i} \neq 1_{N_i}$  and so  $\Phi^i_1 \notin G_i$ .

Finally since for every  $i \in K$ ,  $G_i \not\supseteq Exp^r(S)$ , we deduce that the only possible  $G_{J_0}$ which can contain  $Exp^r(S)$  corresponds to the subset  $J_0 = \emptyset$  and hence  $G_{J_0} = G_{\emptyset} = Diff_0^r(S, S)$ .

We have then,

$$Diff_0^r(S,S) \supseteq Exp^r(S) \supseteq [Diff_0^r(S,S), Diff_0^r(S,S)],$$

which concludes the proof since  $Diff_0^r(S, S)$  is a perfect group ([14], Corollary 1.3).

## 3. Extension of stratified homeomorphisms.

All manifolds and diffeomorphisms in this section are considered of class  $C^1$ , similarly smooth will mean  $C^1$  and thus we will write simply  $Diff_0$  and Exp respectively for  $Diff_0^1$  and  $Exp^1$ .

Theorem 1 above may be used for a stratified space  $\mathcal{X} = (A, \Sigma)$  in order to obtain some theorems of extension of stratified maps defined on some strata of  $\mathcal{X}$ .

DEFINITION 1. We recall that a *stratification* of a topological space A is a locally finite partition  $\Sigma$  of A into  $C^1$  connected manifolds (called the *strata* of A) which satisfy the *frontier condition* : if X and Y are strata such that X intersects the closure of Y, then X is contained in the closure of Y. We write then X < Y and denoting by  $\partial Y = \overline{Y} - Y$  we have  $\overline{Y} = Y \sqcup (\sqcup_{X < Y} X)$  and  $\partial Y = \sqcup_{X < Y} X$ , [8] (recall that  $\sqcup =$  disjoint union).

Under such hypotheses the pair  $\mathcal{X} = (A, \Sigma)$  is called a *stratified space* with *support* A and stratification  $\Sigma$ . The union of the strata of dimension  $\leq k$  is called the *k*-skeleton, denoted by  $\mathcal{X}_k$  or  $A_k$ .

Extra regularity conditions may then be imposed on the stratification  $\Sigma$ , such as to be an *abstract stratified set* in the sense of Mather [8, 9] when A is not necessarily embedded in a manifold, or, when A is a subset of a  $C^1$  manifold, to satisfy conditions (a) or (b) of Whitney [21, 23], or (c) of Bekka [2] or, when A is a subset of a  $C^2$  manifold, to satisfy conditions (w) of Kuo-Verdier [7, 22], or (L) of Mostowski [18].

The stratified extension theorems of this section will be done first for X an abstract stratified set, then we show that the proofs work again for all types of regular stratified spaces listed above.

DEFINITION 2. Let  $\mathcal{X} = (A, \Sigma)$  be a stratified space.

A family  $\mathcal{F} = \{(\pi_X, \rho_X, T_X)\}_{X \in \Sigma}$  is called a system of control data for  $\mathcal{X}$  if for each stratum X of  $\mathcal{X}$  we have that:

1)  $T_X$  is a neighbourhood of X in A (called *tubular neighbourhood of X*);

- 2)  $\pi_X : T_X \to X$  is a continuous retraction of  $T_X$  onto X (called *projection on* X);
- 3)  $\rho_X : T_X \to [0, \infty[$  is a continuous function such that  $X = \rho_X^{-1}(0)$  (called the distance function from X)

and, furthermore, for every pair of adjacent strata X < Y, by considering the restriction maps  $\pi_{XY} = \pi_{X|T_{XY}}$  and  $\rho_{XY} = \rho_{X|T_{XY}}$ , to the subset  $T_{XY} = T_X \cap Y$ , we have that :

- 5) the map  $(\pi_{XY}, \rho_{XY}) : T_{XY} \to X \times ]0, \infty[$  is a smooth submersion (it follows in particular that dim  $X < \dim Y$ );
- 6) for every stratum Z of X such that Z > Y > X and for every  $z \in T_{YZ} \cap T_{XZ}$  the following *control conditions* are satisfied :

i)  $\pi_{XY}\pi_{YZ}(z) = \pi_{XZ}(z)$  (called the  $\pi$ -control condition)

ii)  $\rho_{XY}\pi_{YZ}(z) = \rho_{XZ}(z)$  (called the  $\rho$ -control condition).

In what follows we will pose  $T_X(\epsilon) = \rho_X^{-1}([0, \epsilon[), \forall \epsilon \ge 0, \text{ and without loss of generality} will assume <math>T_X = T_X(1)$  [5, 8].

If A is Hausdorff, locally compact and admits a countable basis for its topology, the pair  $(\mathcal{X}, \mathcal{F})$  is called an *abstract stratified set*. Since one usually works with a unique system of control data of  $\mathcal{X}$ , in what follows we will omit  $\mathcal{F}$ .

If  $\mathcal{X}$  is an abstract stratified set, then A is metrizable and the tubular neighbourhoods  $\{T_X\}_{X\in\Sigma}$  may (and will always) be chosen such that: " $T_{XY} \neq \emptyset$  if and only if X < Y or X > Y or X = Y" (see [8] page 41 and following).

Notice also that the notion of system of control data of X, introduced by Mather in [8], is a fundamental tool allowing one to obtain a good (i.e. *controlled* cf. [5, 8]) stratified lifting  $\zeta_{T_X}$  on a tubular neighbourhood  $T_X$  of every vector field  $\zeta_X$  given on a stratum X. Such a lifting  $\zeta_{T_X}$  admits a global flow  $\phi_{T_X} : T_X \times \mathbb{R} \to T_X$  (when  $\zeta_X$  admits it) which is furthermore a continuous map.

DEFINITION 3. A stratified map  $f : \mathcal{Y} \to \mathcal{X}$  between two stratified spaces  $\mathcal{Y} = (B, \Sigma_{\mathcal{Y}})$ and  $\mathcal{X} = (A, \Sigma)$  is a continuous map  $f : B \to A$  which sends each stratum R of  $\mathcal{Y}$  into a unique stratum S of  $\mathcal{X}$ , such that the restriction  $f_R : R \to S$  is smooth. We call such a map f a stratified homeomorphism if f is a global homeomorphism and each  $f_R$  is a diffeomorphism.

THEOREM 2 (OF WEAK STRATIFIED EXTENSION). Let  $X = (A, \Sigma)$  be a compact abstract stratified set,  $A_k$  its k-skeleton and  $S = A_k - A_{k-1}$  the union of all its k-strata.

Every homeomorphism  $f : A_k \to A_k$ , whose restriction  $f_S$  lies in  $Diff_0(S, S)$ , may be extended to a stratified homeomorphism  $\tilde{f} : U \cup \partial S \to V \cup \partial S$  where U and V are two neighbourhoods of S in A.

*Proof.* Since A is compact then so is every closed subset, and in particular the union  $A_k$  of all its strata of dimension  $\leq k$  [8, 9].

Let us write  $\{X_j\}_j$  for the family of the strata of dimension k of X. Then  $S = A_{k+1} - A_k = \bigsqcup_j X_j$  and  $\partial S = \overline{S} - S = \bigcup_j \partial X_j$ .

Since by the frontier condition, every stratum X of X verifies  $\overline{X} = X \sqcup (\sqcup_{R < X} R)$  $(\sqcup_{R < X} R = \partial X)$ , then for every  $X_j$  one and only one of two following cases occurs :

i) there exist no strata  $R < X_j$ , hence  $\overline{X_j} - X_j = \emptyset$  and  $X_j$  is a compact manifold (X is then called of maximal depth).

*ii)* there exists a stratum  $R < X_j$ , hence  $\overline{X_j} - X_j \neq \emptyset$ ,  $X_j$  is non compact, but it is diffeomorphic to the interior of a compact manifold with boundary [19].

By the known results if  $X_j$  is compact (see introduction), and by theorem 1 if  $X_j$  is non compact, we have in both cases that  $Diff_0(X_j, X_j) = Exp(X_i) \forall j$ . On the other hand because  $S = \bigsqcup_j X_j$  is a disjoint union and each diffeomorphism  $f \in Diff_0(S, S)$ , being isotopic to the identity, must fix the connected components S (i.e.  $f(X_j) = X_j$ ), then we also have  $Diff_0(S, S) = Exp(S)$ .

Let us fix now a map  $f: A_k \to A_k$  as in the statement of the theorem.

Because  $Diff_0(S, S) = Exp(S)$  and since the restriction  $f_S$  lies in  $Diff_0(S, S)$ , we can rewrite  $f_S$  by

 $f_S = \phi_1^1 \circ \cdots \circ \phi_1^s$  with  $\phi_1^i = Exp(\zeta^i)$ ,

where for all  $i = 1, ..., s, \zeta^i$  is a vector field on S admitting a global flow  $\phi^i : S \times \mathbb{R} \to S$ .

Now we want to extend  $f_S : S \to S$  (and f) by lifting the vector fields  $\zeta^i$  of the flows  $\phi_t^i$ , and this will be possible by considering a controlled lifting of every  $\zeta^i$  [5, 8].

For every i = 1, ..., s and for every connected component X of S, i.e. for every kstratum (above noted by  $X_j$ ) of A, let us consider the restriction  $\zeta_X^i$  of  $\zeta^i$  on X and  $\zeta_{T_X}^i = \{\zeta_{T_{XY}}^i\}_{Y \ge X}$  (with  $T_{XY} = T_X \cap Y$ ) a stratified controlled lifting of  $\zeta_X^i$  on a stratified tubular neighborhood  $T_X = \bigcup_{Y \ge X} T_{XY}$  of a fixed system of control data  $\mathcal{F} = \{(\pi_Z, \rho_Z, T_Z)\}_{Z \in \Sigma}$ of  $\mathcal{X}$  [8].

Because every vector field  $\zeta_X^i$  has a global flow  $\phi_X^i$  (restriction of  $\phi^i$ ), then thanks to the hypotheses of control, the same property holds for the lifting  $\zeta_{T_X}^i$  and hence its flow  $\Phi_{T_X}^i: T_X \times \mathbb{R} \to T_X$  is a stratified flow extending continuously  $\phi_X^i$  [5, 8].

By considering then the tubular neighborhood  $T_S = \bigcup_{\dim X = k} T_X$  of S in A and, for every  $i = 1, \ldots, r$  the stratified one parameter group  $\phi_{T_S}^i = \bigcup_{\dim X = k} \phi_{T_X}^i : T_S \times \mathbb{R} \to T_S$ we obtain that the map composition of the "sections at time t = 1" of the  $\phi_{T_S}^i$ , i.e. :

$$h = [\phi_{T_S}^1]_1 \circ \cdots \circ [\phi_{T_S}^s]_1 \quad : \quad T_S \longrightarrow T_S ,$$

is a stratified homeomorphism of the tubular neighborhood  $T_S$ , a diffeomorphism restricted to each stratum of  $T_S$ , and extends  $f: S \to S$ .

The proof follows, by applying to h and  $h^{-1}$  the lemma below.

LEMMA. Let  $T_S \subseteq A$  be a stratified tubular neighborhood of S in  $\mathcal{X} = (A, \Sigma)$  and let  $h: T_S \to T_S$  be a continuous map whose restriction  $h_S: S \to S$  to S extends continuously on  $\partial S = \overline{S} - S$  by a continuous map  $h_{\partial S}: \partial S \to \partial S$ .

There exists a neighborhood U of S in A (contained in  $T_S$ ) such that the map  $h_U \cup h_{\partial S}$ :  $U \cup \partial S \to T_S \cup \partial S$  is continuous.

*Proof.* The support A of the abstract stratified set  $\mathcal{X}$  is metrizable so we can fix a metric  $d(\ ,\ )$  on A. Denote moreover by  $\mathcal{F}$  the system of control data of  $\mathcal{X}$  and by  $\{\pi_X: T_X \to X\}_{X \in \Sigma}$  its family of projections.

Because  $S = A_k - A_{k-1} = \bigcup_{\dim X = k} X$  then the stratified tubular neighborhood  $T_S$  of S is a disjoint union  $T_S = \bigsqcup_{\dim X = k} T_X$  where each  $T_X$  is the stratified tubular neighborhood the k-stratum X and where the elements of the family  $\{T_X\}_{\dim X = k}$  are pairwise disjoint. We can consider then a global projection map  $\pi_S = \bigcup_{\dim X = k} \pi_X : T_S \to S$  of  $T_S$  on S and we will denote, for every  $y \in T_S$ ,  $y' = \pi_S(y)$ .

Let us consider the following subset of  $T_S$ :

$$U = \left\{ y \in T_S \mid d(y, y') < \frac{1}{2}d(y', \partial S) \text{ and } d(h(y), h(y')) < d(y', \partial S) \right\}.$$

Since  $\partial S = \overline{S} - S$  is disjoint from S and  $T_S$ , for every  $y \in T_S$  we have  $d(y', \partial S) > 0$ and so U is clearly not empty and, by continuity of h, is an open neighborhood of S in A.

Since the restriction  $h_S : S \to S$  extends continuously on  $\partial S$  by the continuous map  $h_{\partial S}$ , then for every fixed  $x \in \partial S$  and  $\epsilon > 0$  there exists a  $\delta \in [0, \frac{\epsilon}{2}[$  such that  $d(h(z), h(x)) < \frac{\epsilon}{2}$ ,  $\forall z \in B(x, \delta) \cap S$ .

If now  $y \in U \cap B(x, \frac{\delta}{2})$  by definition of U we immediately have

 $d(y,y') < \frac{1}{2}d(y',\partial S) < \frac{1}{2}d(y',x) \quad \text{and} \quad d(y',x) < d(y',y) + d(y,x) < \frac{1}{2}d(y',x) + d(y,x)$ 

by which

$$d(y',x) < 2d(y,x) < \delta \,, \qquad \text{thus} \quad y' \in B(x,\delta) \cap S \,, \qquad \text{and hence} \quad d(h(y'),h(x)) < \frac{\epsilon}{2} \,.$$

On the other hand, again by definition of U we also have

$$d((h(y), h(y')) < d(y', \partial S) < d(y', x) < \delta < \frac{\epsilon}{2}$$

which allows one to conclude that  $\forall y \in B(x, \frac{\delta}{2})$  we have :

$$d(h(y), h(x)) < d((h(y), h(y')) + d(h(y'), h(x)) < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$$
.  $\Box$ 

THEOREM 3. Let  $X = (A, \Sigma)$  be an abstract stratified set. If  $\{X_j\}_j$  is a family of compact strata of X, then every homeomorphism  $f : \bigsqcup_j X_j \to \bigsqcup_j X_j$ , whose restriction  $f_{X_j}$  lies in  $Diff_0(X_j, X_j)$ , extends to a stratified homeomorphism  $\tilde{f} : A \to A$ , isotopic to the identity, on the whole of A.

*Proof.* Let us write every restriction  $f_{X_j} \in Diff_0(X_j, X_j)$  as

$$f_{X_j} = [\phi_{X_j}^1]_1 \circ \dots \circ [\phi_{X_j}^{r_j}]_1$$
 where  $[\phi_{X_j}^r]_1 = Exp(\zeta_{X_j}^r), \quad \forall r = 1, \dots, r_j$ 

and  $\zeta_{X_i}^r$  is a smooth vector field on  $X_j$  (for all  $r = 1, \ldots, r_j$ ).

Then by the properties of abstract stratified sets [8, 9] for every  $\zeta_{X_j}^r$  there exists a stratified (controlled) vector field  $\zeta_{T_{X_j}}^r$  a lifting of  $\zeta_{X_j}^r$  on the tubular neighbourhood  $T_{X_j}$  of  $X_j$ . Such a lifted vector field admits (thanks to the control conditions) a stratified global flow  $\phi_{T_{X_j}}^r$  on  $T_{X_j}$  [8] which is moreover continuous on  $T_{X_j}$  and extends  $\phi_{X_j}^r$ .

Let us modify the modulus (but only the modulus) of  $\zeta_{T_{X_j}}^r$  in  $T_{X_j}$  by a smooth and decreasing function  $g: [0,1] \to [0,1]$  such that g(s) = 1 if  $s \leq \frac{1}{2}$  and g(s) = 0 if  $s \geq 1$ , in such a way to obtain the new vector field  $\tilde{\zeta}_{T_{X_j}}^r(x) = g(\rho_{X_j}(x))\zeta_{T_{X_j}}^r(x)$  admitting again a global flow (namely  $\tilde{\phi}_{T_{X_j}}^r$ ) and which moreover extends smoothly by zero on  $A - T_{X_j}(1)$ .

Writing  $\tilde{\zeta}_j^r$  for such an extended vector field and  $\tilde{\phi}_j^r : A \times \mathbb{R} \to A$  for its flow (which is obviously the identity off  $T_{X_j}(1) \times \mathbb{R}$ ), we obtain then for every j the stratified homeomorphism of A

$$\tilde{f}_j = [\tilde{\phi}_j^1]_1 \circ \dots \circ [\tilde{\phi}_j^{r_j}]_1 : A \longrightarrow A$$

extending the diffeomorphism  $f_{X_i}$  and which is the identity on  $A - T_{X_i}(1)$ .

Finally by recalling that the tubular neighbourhood  $\{T_{X_j}\}_j$  may be chosen pairwise disjoint we find the claimed extension  $\tilde{f}: A \to A$ , of  $f: \sqcup_j X_j \to \sqcup_j X_j$ , on the whole of A, by gluing together all lifting  $\tilde{f}_j$  i.e., by setting :

$$\tilde{f}(x) = \begin{cases} \tilde{f}_j(x) & \text{if } x \in \sqcup_j T_{X_j}(1); \\ \\ x & \text{if } x \in A - \sqcup_j T_{X_j}(1). \end{cases}$$

REMARK 2. The hypotheses of theorem 3 are verified for example when, as in theorem 2, f is given on a k-skeleton  $A_k$  of  $\mathcal{X}$  and  $A_{k-1} = \emptyset$  or again when all strata  $X_j$  on which f is defined are of maximal depth in  $\mathcal{X}$  (see i) in theorem 2).

REMARK 3. Whitney stratifications and Bekka (c)-regular stratifications always admit a system of control data [8] and [2], so these latter may be structured as abstract stratified sets. Hence the extension theorems 2 and 3 and Remark 2 hold for (b)- and (c)-regular stratifications.

PROPOSITION. Theorems 2 and 3 hold again by considering for  $X = (A, \Sigma)$  a (w)-regular stratification (instead of an abstract stratified set).

*Proof.* Notice that for  $\mathcal{X}$  a (w)-regular stratification, the lifting of vector fields giving stratified continuous flows may be again obtained [22] (without using systems of control

data). The proofs may then be obtained by slight modification of the proofs of theorems 2 and 3 in which we consider some arbitrary families of stratified tubular neighbourhoods  $\{T_X\}_X$ , of smooth projections  $\{\pi_X : T_X \to X\}_X$ , and distance functions  $\{\rho_X : T_X \to [0, \infty]\}_X$  not necessarily caming from a system of control data.  $\Box$ 

REMARK 4. Because Lipschitz regularity of a stratification implies (w)-regularity [18] then the extension theorems 2 and 3 hold again for Lipschitz stratifications.

REMARK 5. With the same notations as in the proof of the weak extension theorem, suppose that  $f_{|A_{k-1}} = 1_{A_{k-1}}$  is the identity map. As one can see through easy examples, it is not true in general that  $f = \phi_1^1 \circ \cdots \circ \phi_1^s$  and  $\lim_{x \to \partial S} f(x) = 1_{\partial S}(x)$  imply that for every  $i = 1, \ldots, s$  the vector field  $\zeta^i$  of  $\phi_t^i$  tends to the zero vector field on  $\partial S = \overline{S} - S \subseteq A_{k-1}$ . However, it would seem reasonable to think that in the case where the diffeomorphism  $f \in Diff_0(S, S)$  lies in a sufficiently small neighborhood of  $1_S$ , one could improve the choice of all  $\phi^i$  to have that their vector fields  $\zeta^i$  verify the continuity  $\lim_{x\to\partial S} \zeta^i(x) = 0$  $(\forall i = 1, \ldots, s)$  on  $\partial S$ .

In this case, an extension by 0 on the remaining part  $A - T_S$  may be obtained for a vector field  $\tilde{\zeta}^i$ , which is a perturbation of  $\zeta^i$  (slightly more complicated than in theorem 3), so that the *weak stratified extension theorem* would be improved giving a (global) strong stratified extension theorem of the diffeomorphism  $f: S \to S$  on the whole stratified space A. On the other hand, we have to remark that the possibility of deducing that for all i,  $\lim_{x\to\partial S} \zeta^i(x) = 0$ , depends on an extension of McDuff's results (theorem 1 and corollary 1.3 [14]), which seems to us non trivial.

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